

# Silicon-Modified Carbohydrate Surfactants I: Synthesis of Siloxanyl Moieties Containing Straight-Chained Glycosides and Amides

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New siloxanyl-modified carbohydrate surfactants of the amide and glycoside type have been synthesized by coupling between defined as well as higher-molecular-weight siloxanes and carbohydrate structures via spacers of different lengths and hydrophilic power. Linear and branched monohydrogen di-, tri-, tetra- and penta-siloxanes and polyhydrogen siloxanes as well as mono- and di-saccharide lactone structures have been found to be good starting materials for the synthesis of amides, often in quantitative yield, whereas glycosides had to be prepared in low-yield multistep sequences including protection/deprotection steps. Selected strategies were applied to polysiloxanes yielding quantitatively a broad variety of carbohydrate-modified comb-like structures. The new substances were characterized by means of  $^{13}\text{C}$  NMR spectroscopy, GC, capillary GC, GC-MS coupling and elemental analysis.

**Keywords:** siloxanes; carbohydrate modified; surfactants; saccharide

## INTRODUCTION

Carbohydrate-modified hydrocarbons have been known for a long time. Due to the introduction of strict toxicological and ecological regulations for surfactants in the past decade, interest in this long-known surfactant group has revived and has led to production on an industrial scale.<sup>1</sup>

The following selection illustrates the variety of the existing structures:

(1) esters of long-chained fatty acids;<sup>2-4</sup>

- (2)  $\alpha/\beta$ -alkylpolyglycosides by chemical<sup>5</sup> or enzymic synthesis;<sup>6,7</sup>
- (3) alkyl ethers of carbohydrates,<sup>8,9</sup>
- (4) alkylglucamines by reductive amination of carbohydrates with fatty amines<sup>10,11</sup> or by alkylation of primary and secondary aminosaccharides;<sup>12,13</sup>
- (5) *N*-acyl derivatives of aminosaccharides<sup>10,14-16</sup> or alkylamides of aldonic and uronic acids;<sup>17,18</sup>
- (6) alkylurethanes<sup>12,19,20</sup> and ureas.<sup>19,21</sup>

Although silicon-containing structures (Si-O-C bonds) have been extensively used as protecting groups in carbohydrate chemistry,<sup>22</sup> only a few attempts have been made to synthesize hydrolytically stable Si-C linked species.

Glycosides bearing siloxanyl moieties have been claimed by Greber<sup>23</sup> and Sejpka.<sup>24</sup> In both cases acid-catalysed Fischer glycosidations are described, despite the known tendencies of unprotected reducing carbohydrates to oligomerize and of siloxanes to equilibrate under such conditions.

In an attempt to avoid the above problems, Stadler<sup>25</sup> followed a multistep procedure on the route to carbohydrate-polysiloxane graft copolymers. Peracetylation, glycosidation with an alkenol, hydrosilylation and finally deacetylation yield mainly  $\beta$ -glycosides of polysiloxanes. Recently, results on the successful enzymic grafting of amylose to aldonamide structures containing polysiloxanes were published.<sup>26</sup> A step in this synthetic sequence is the known<sup>27</sup> reaction of aldonolactones with primary amino functions of polysiloxanes. Because primary amino functions in polysiloxanes are usually derived from the hydrosilylation of allyl amine, a reaction which results in poor yields, a constant cross-linking tendency and high toxicity of the unsaturated amine, the availability of such functionalized polymers is limited.

In an approach to modify starch, the OH groups

were functionalized randomly with an epoxysilane in the presence of an excess of alkali.<sup>28,29</sup> After neutralization the Si–O–C bonds of the alkoxysilane structure were replaced by Si–O–Si links, yielding a rather undefined cross-linked copolymer.

So far, no attempt has been made to synthesize systematically carbohydrate surfactants containing low-molecular-weight as well as polymeric silicon moieties, in order to determine the structure dependence of their physicochemical properties. The urgency of this task derives from the contradiction between the claimed superior interfacial properties of silicon surfactants and the lack of detailed information about the reasons for these findings. Additionally, future demands for improved biodegradability will probably have to be met by the incorporation of renewable raw materials. The properties of these types of surfactants will have to be known at that point.

## METHODS AND MATERIALS

### Methods

<sup>13</sup>C NMR spectra were recorded on a Varian XL 300 spectrometer. Epoxides and amines were dissolved in CDCl<sub>3</sub>; amides and glycosides were dissolved in deuterated DMSO. The solvent signals served as internal standard. Column GC experiments were carried out on a Varian 1400 chromatograph (temperature programme: 50 °C → 280 °C; heating rate 10 °C min<sup>-1</sup>; FID). A 0.5 m steel column ( $\frac{1}{8}$  inch) packed with Chromosorb W-AW-DMCS (80–100 mesh, modified with 10% SE 30 as separation phase) was used. The capillary GC–MS coupling experiment (temperature programme: 200 °C → 260 °C; heating rate 3 °C min<sup>-1</sup>; electron impact mass spectra, 70 eV) was carried out on an HP 5985 B spectrometer. The 50 m glass capillary was poly(ethylene glycol) (PEG)-modified. The elemental analysis data were determined on a Carlo Erba analyser, model 1106.

### Siloxanes

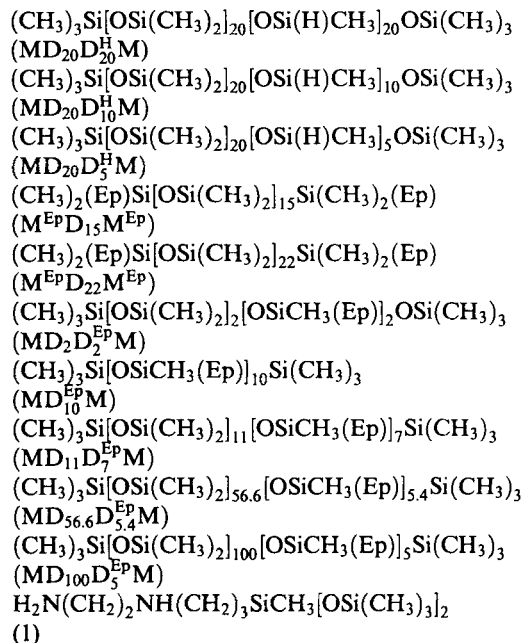
Pentamethyldisiloxane (MM<sup>H</sup>) and 1,1,1,3,3,5,5-heptamethyltrisiloxane (MDM<sup>H</sup>) are commercially available from ABCR Karlsruhe, Germany.

1,1,1,3,5,5,5-Heptamethyltrisiloxane (M<sub>2</sub>D<sup>H</sup>) and tris(trimethylsiloxy)silane (M<sub>3</sub>T<sup>H</sup>) were prepared according to equilibration procedures described elsewhere.<sup>30</sup>

In analogy, 1,1,1,5,5,5-hexaethyl-3-methyltrisiloxane was synthesized by equilibration of poly(methylhydrogensiloxane) (1.8 g, prepared from dichloromethylsilane by hydrolysis) in an excess of hexaethyldisiloxane (28 g, prepared from triethylchlorosilane by hydrolysis in an aqueous alkaline solution) at 115 °C. A polystyrene-based ion exchanger (1 g, Wofatit OK 80, active form —SO<sub>3</sub><sup>-</sup>) served as catalyst. After the equilibrium was reached (12 h reaction time, product composition controlled by GC) the catalyst was filtered off and the trisiloxane was distilled at 230–240 °C under atmospheric pressure.

Tetramethyldisiloxane (M<sup>H</sup>M<sup>H</sup>) is accessible from the hydrolysis of dimethylchlorosilane. 1,1,3,3,5,5-Hexamethyltrisiloxane (M<sup>H</sup>DM<sup>H</sup>, b.p. 120–125 °C) and 1,1,3,3,5,5,7,7,9,9-decamethylpentasiloxane (M<sup>H</sup>D<sub>3</sub>M<sup>H</sup>, b.p. 88–92 °C/17 mmHg) were synthesized by equilibration of polydimethylsiloxane (3 g, prepared from dichlorodimethylsilane by hydrolysis) in an excess of tetramethyldisiloxane (30 g) in the presence of the above-mentioned ion exchanger, i.e. Wofatit OK 80 (1 g, 140 °C, 12 h reaction time).

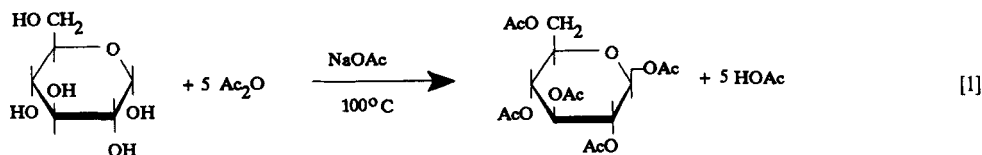
The following polyhydrogensiloxanes, allyl glycidyl ether derivatives of siloxanes (Ep) and aminosiloxanes were gifts from Bayer AG Leverkusen and Goldschmidt AG Essen (abbreviations used in this paper are given in parentheses after each formula)





Diethylamine dissolved in methanol was found to

		Reaction time (h)	Yield (%)	C (%)		H (%)		<sup>13</sup> C NMR (ppm)	
Compound				(calc.)	(found)	(calc.)	(found)	C-1	C-2
<b>2</b>	gluc(ac)5	1.5	53	49.26	49.24	5.64	5.63	92.45	
<b>3</b>	malt(ac)8	6	50	49.59	49.56	5.60	5.59	97.14	
<b>4</b>	palat(ac)8	6	35	49.59	49.86	5.60	5.78		109.25



			<sup>13</sup> C-NMR (ppm)					
Compound		Yield (%)	C-1	C-2	C-7	C-8	C-9	C-10
<b>5</b>	gluc(ac)4-prop	68	99.39		56.60	79.65	76.83	
<b>6</b>	gluc(ac)4-but	65	101.36		68.62	20.66	81.78	70.98
<b>7</b>	malt(ac)7-prop	70	96.98		56.55	79.69	76.80	
<b>8</b>	malt(ac)7-but	74	96.81		69.19	20.67	81.80	70.81
<b>9</b>	palat(ac)7-prop	42		108.20	50.27	78.14	75.26	

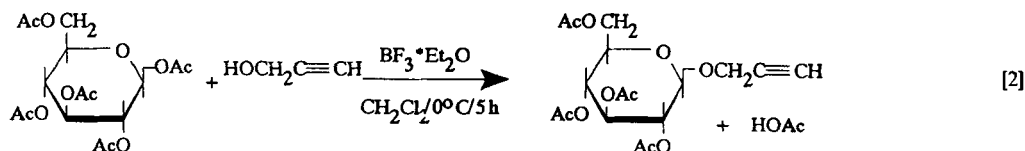
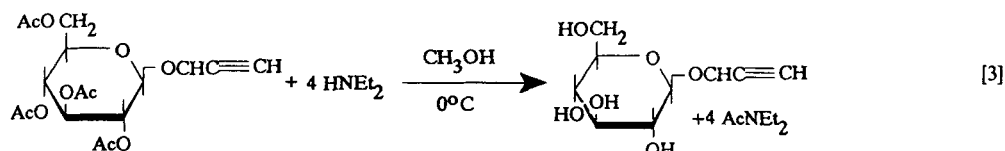


Table 3 Alknyl glycosides

Compound		Yield (%)	<sup>13</sup> C-NMR (ppm)				
			C-1	C-2	C-7	C-8	C-10
10	gluc-prop	80	103.34		59.21	81.40	78.46
11	gluc-but	82	105.08		70.81	21.84	85.17
12	malt-prop	87	103.14		59.22	81.10	79.49
13	malt-but	86	104.75		70.78	21.75	85.5
14	palat-prop	78		111.80	78.84	75.92	71.99



be an efficient deacetylation mixture (Table 3, Eqn [3]). Prop-2-ynyl-(*O*<sup>2</sup>, *O*<sup>3</sup>, *O*<sup>4</sup>, *O*<sup>6</sup>-tetra-*O*-acetyl)-β-D-glucopyranoside (0.4 g, 10<sup>-3</sup> mol) was dissolved in 2.5 cm<sup>3</sup> of dry methanol. At 0 °C 1 cm<sup>3</sup> of diethylamine was added and the mixture was stored at this temperature for 24 h. Volatile substances were removed under reduced pressure and the syrup obtained was dissolved in 1 cm<sup>3</sup> of methanol. After the addition of diethyl ether (2 cm<sup>3</sup>) bright crystals were precipitated which could be filtered off and dried.

In a platinum-catalysed hydrosilylation step, 1,1,1,3,5,5,5-heptamethyltrisiloxane (M<sub>2</sub>D<sup>H</sup>; m<sub>2</sub>d; 20 h) or tris(trimethylsiloxy)silane (M<sub>3</sub>T<sup>H</sup>; m<sub>3</sub>t; 38 h) can be added to the triple bond (Table 4, Eqn [4]). Under an argon atmosphere, prop-2-ynyl-β-D-glucopyranoside (2 g, 9 × 10<sup>-3</sup> mol) was dissolved in 10 cm<sup>3</sup> dioxane and 2.1 g (9 × 10<sup>-3</sup> mol) M<sub>2</sub>D<sup>H</sup>. The platinum catalyst (0.45 cm<sup>3</sup> of a 0.01 M solution of H<sub>2</sub>PtCl<sub>6</sub> · 6H<sub>2</sub>O in isopropanol) was added and the mixture was stirred at 100 °C for 20 h. The solvent was removed under reduced pressure.

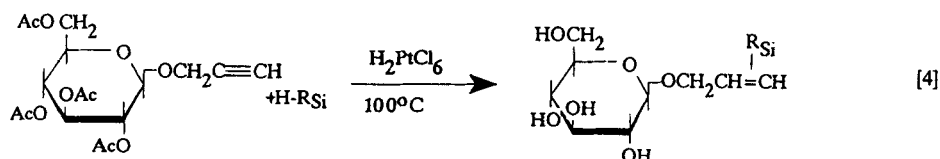
## Amides

### Preparation of amino-functionalized siloxanes

3-(γ-Aminopropyl)-1,1,1,3,5,5,5-heptamethyltrisiloxane (23) (b.p. 139–142 °C/50 mmHg) was prepared<sup>33</sup> from M<sub>2</sub>D<sup>H</sup> and allylamine in the presence of the alkanol-treated Lamoreaux platinum catalyst<sup>34</sup> (argon atmosphere; SiH/C=C/Pt = 1 : 1 : 10<sup>-4</sup>; 8 h reflux).

Siloxane derivatives bearing a primary and a secondary amino function were prepared via epoxides, which are easily accessible from hydrosiloxanes and allyl glycidyl ether<sup>35</sup> (argon atmosphere; SiH/C=C/Pt = 1 : 1.2 : 2 × 10<sup>-4</sup>; reaction time 4–10 h at 130–145 °C). It was found that the above-mentioned Lamoreaux platinum catalyst yields the epoxides almost quantitatively (Table 5, Eqn [5]).

Under argon, 19.98 g (6.75 × 10<sup>-2</sup> mol) of tris(trimethylsiloxy)silane (M<sub>3</sub>T<sup>H</sup>) and 9.24 g of (8.1 × 10<sup>-2</sup> mol) allyl glycidyl ether were mixed. The Lamoreaux catalyst (0.088 g, 3% wt platinum content, 1.35 × 10<sup>-5</sup> mol Pt) was added. The tem-



**Table 4** Siloxanyl-modified alkenyl glycosides

Compound		Yield (%)	<sup>13</sup> C NMR (ppm)						
			C-1	C-2	C-7	C-8	C-9	C-10	Si(CH <sub>3</sub> ) <sub>3</sub>
15	gluc-prop-m <sub>2</sub> d	80	103.38		71.09	147.57 145.50	125.58 129.00		0.09 1.88
16	gluc-prop-m <sub>3</sub> t	92	103.46		71.78	144.30	129.15		1.73
17	gluc-but-m <sub>2</sub> d	82	104.14		69.63	17.97	146.10 147.90	128.10 130.90	0.09 1.94
18	gluc-but-m <sub>3</sub> t	76	104.11		69.68	20.78	147.50 146.30	129.10 126.70	1.73
19	malt-prop-m <sub>2</sub> d	79	103.37		71.27	147.53 145.58	125.76 129.20		0.17 1.95
20	malt-but-m <sub>2</sub> d	90	104.04		71.83	18.23	146.02 147.90	130.81 128.50	0.01 2.00
21	malt-but-m <sub>3</sub> t	84	104.16		71.46	20.83	147.20	129.30 126.80	1.94
22	palat-prop-m <sub>2</sub> d	66		109.40	72.35	146.40 148.30	125.20 127.80		0.11 2.01

<sup>a</sup> gluc, glucosyl; prop, propenyl; but, butenyl; malt, maltosyl, palat, palatinosyl.

perature was raised to 145 °C and maintained for 10 h. The hydrosilylation product was isolated by vacuum distillation.

By similar hydrosilylations of allyl glycidyl ether, monofunctional  $\alpha,\omega$ -difunctional siloxanes and polyhydrogensiloxanes were converted into the epoxide derivatives (Table 6, Eqns [6] and [7]).

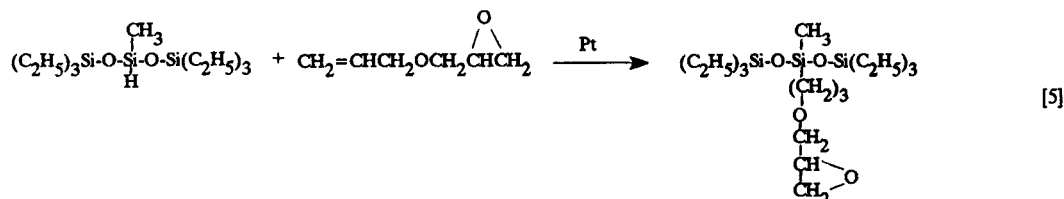
Nucleophilic opening of the epoxide ring with an excess of a diamine in refluxing methanol yielded the desired low-molecular-weight aminoalkylsiloxanes. The reaction time and product distribution strongly depends on the amine structure (Tables 7 and 8, Eqns [8]–[10]).

Ethylenediamine (30 g, 0.5 mol) was dissolved in 30 cm<sup>3</sup> of methanol. The mixture was heated to reflux temperature. 3-[3-(Oxiranylmethoxy)propyl]-1,1,1,3,5,5,5-heptamethyltrisiloxane (M<sub>2</sub>D<sup>Ep</sup>, 30 g,  $8.93 \times 10^{-2}$  mol, 10 min) was added dropwise. The reaction was continued for 20 min. Methanol and excess ethylenediamine were removed under reduced pressure (90 °C/1 mmHg). The siloxanyl-modified diamine (**34**) was obtained as a pale yellow oil. Optionally the substance could be distilled in a vacuum (b.p. 215–222 °C/7.5 mmHg).

Ethylenediamine was used to open epoxide rings attached to structures other than M<sub>2</sub>D<sup>Ep</sup> (Table 9,

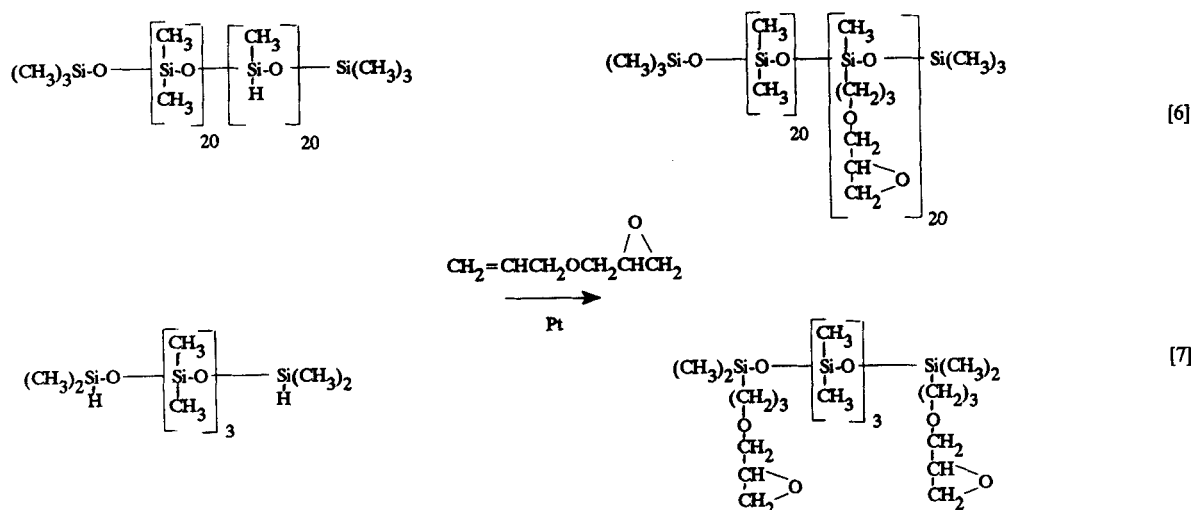
**Table 5** Synthesis of monofunctional epoxysiloxanes

Product		H-siloxane	Conversion (% GC)	Yield (%)	B.p. (°C/mmHg)	<sup>13</sup> C-NMR (shift ppm)			
						C-1	SiCH <sub>3</sub>	Si(CH <sub>3</sub> ) <sub>2</sub>	Si(CH <sub>3</sub> ) <sub>3</sub>
24	MM <sup>H</sup>		100	82	130–135/7.5	13.62		–0.15	1.55
25	MDM <sup>H</sup>		100	74	120–125/2.5	13.80		0.11/1.34	1.86
26	MD <sup>H</sup> M		97	85	129–132/3	13.32	–0.71		1.43
27	M <sub>3</sub> T <sup>H</sup>		91	57	162–167/7.5	10.43			1.51
28	[(C <sub>2</sub> H <sub>5</sub> ) <sub>3</sub> SiO] <sub>2</sub> CH <sub>3</sub> SiH		86	35	137–147/0.7	13.61	–0.46	6.14 (SiCH <sub>2</sub> )	6.66 (CH <sub>3</sub> )



**Table 6** Synthesis of polyfunctional epoxysiloxanes

Product	H-siloxane	Conversion (% GC)	Yield (%)	B.p. (°C/mmHg)	<sup>13</sup> C-NMR (shift ppm)			
					C-1	SiCH <sub>3</sub>	Si(CH <sub>3</sub> ) <sub>2</sub>	Si(CH <sub>3</sub> ) <sub>3</sub> <sup>b</sup>
29	M <sup>H</sup> DM <sup>H</sup>	100	55	174–187/1	13.15		0.22 <sup>I</sup> 0.42 <sup>II</sup>	
30	M <sup>H</sup> D <sub>3</sub> M <sup>H</sup> D <sub>3</sub>	100	100 <sup>a</sup>		13.71		0.19 <sup>III</sup> 1.22 <sup>IV</sup> 1.09 <sup>V</sup>	
31	MD <sub>20</sub> D <sub>20</sub> <sup>H</sup> M	100	100 <sup>a</sup>		13.35	–0.64	0.92	1.69
32	MD <sub>20</sub> D <sub>10</sub> <sup>H</sup> M	100	100 <sup>a</sup>		13.27	–0.66	0.95	1.68
33	MD <sub>20</sub> D <sub>5</sub> <sup>H</sup> M	100	100 <sup>a</sup>		13.30	–0.66	0.95	1.68

<sup>a</sup> Volatile material till 90 °C/1 mmHg was distilled off.<sup>b</sup> Key : ≡Si<sup>I</sup>–O–Si<sup>II</sup>–O–Si<sup>I</sup>≡ ≡Si<sup>III</sup>–O–Si<sup>IV</sup>–O–Si<sup>V</sup>–O–Si<sup>IV</sup>–O–Si<sup>III</sup>≡

Eqns [11] and [12]). The reactions were carried out with a 5 : 1 molar amine excess. After the quantitative conversion, excess ethylenediamine and the solvent were removed under reduced pressure (max. 90 °C/1 mmHg).

### Preparation of amides

In a final reaction step, different lactone rings (Fig. 2) were opened by nucleophilic attack of the

aminoalkylsiloxanes described above (Tables 10–12, Eqns [13]–[15]).

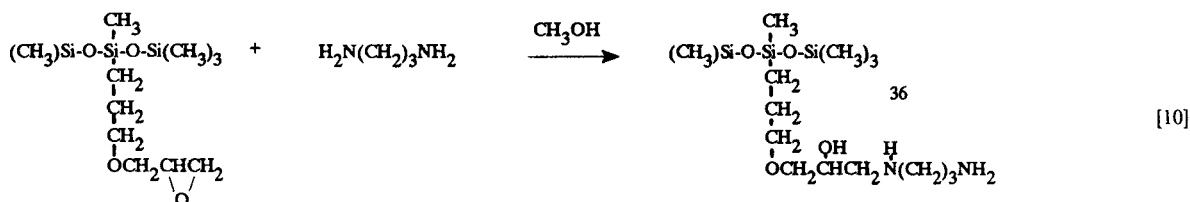
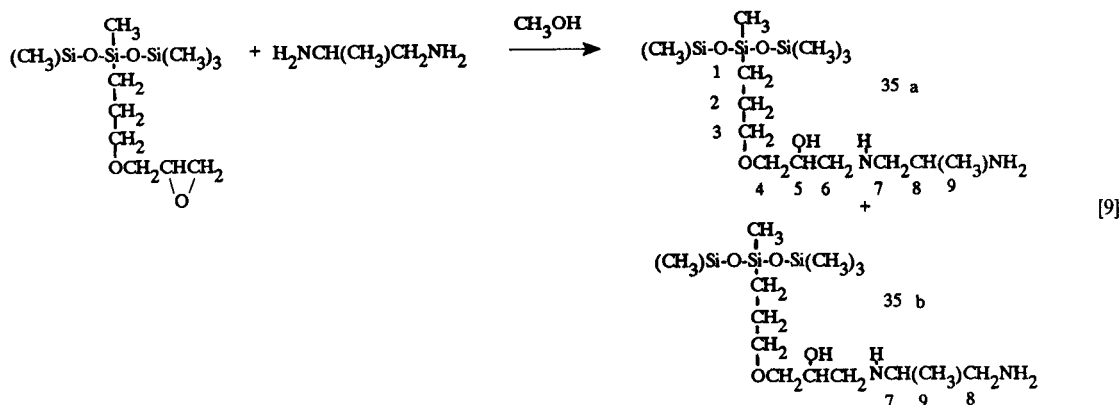
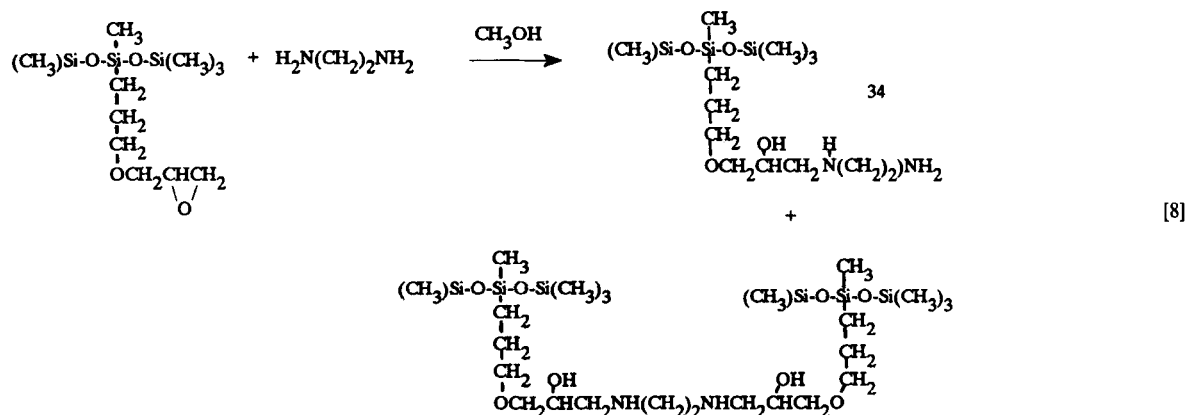
γ-Hydroxybutyric acid amides were synthesized in a steel autoclave (80 °C, 10 h reaction time). Reactions with the other lactones were carried out either in refluxing methanol or, in the cases of ethanol and isopropanol, at 70 °C for about 6 h. For volatile aminosiloxanes the complete conversion was controlled by means of GC. After evaporation of the

**Table 7** Alkylation of different diamines with MD<sup>Ep</sup>M (25) in methanol

Product	Amine	Molar ratio, amine/epoxide	Reaction time (min)	Product ratio, mono-/bis- (% GC)	B.p. (°C/mmHg)
34	H <sub>2</sub> N(CH <sub>2</sub> ) <sub>2</sub> NH <sub>2</sub>	1 : 1	120	72 : 28	
		5 : 1	20	100 : 0	215–222/7.5
35a	H <sub>2</sub> NCH <sub>2</sub> CH(CH <sub>3</sub> )NH <sub>2</sub>	1 : 1	120	50 : 50	
35b		5 : 1	90	92 : 8	217–227/7.5
36	H <sub>2</sub> N(CH <sub>2</sub> ) <sub>3</sub> NH <sub>2</sub>	1 : 1	300	80 : 20	225–227/6.5

**Table 8**  $^{13}\text{C}$  NMR data of the monoalkylation products (shifts in ppm)

Compound	C-1	C-2	C-3	C-4	C-5	C-6	C-7	C-8	C-9
<b>34</b>	13.08	22.77	73.45	73.68	68.29	52.08	51.85	41.10	
<b>35a</b>	13.25	22.94	73.47	73.86	68.56	52.29	57.81/57.94	46.20/46.29	21.62/21.80
<b>35b</b>	13.25	22.94	73.47	73.86	68.40	52.42	54.65/55.10	49.45/49.80	21.62/21.80
<b>36</b>	13.17	22.86	73.53	73.76	68.24	52.31	47.30	33.15	39.92



solvent, the products were washed several times in diethyl ether or n-pentane, depending on their solubility.

Thus 23 g ( $5.81 \times 10^{-2}$  mol) of the aminosiloxane

(**34**) and 22.5 g ( $5.8 \times 10^{-2}$  mol) glucopyranosyl arabinonic acid lactone were dissolved in 150 cm<sup>3</sup> of methanol. The mixture was heated to reflux temperature for 5 h. The solvent was removed

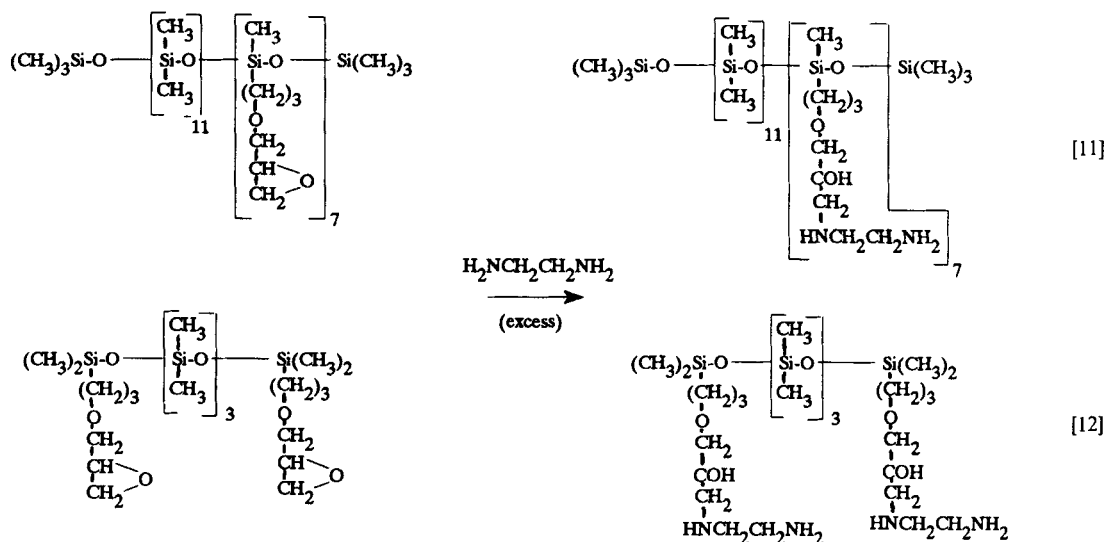
**Table 9** Synthesis of diamines by monoalkylation of epoxysiloxanes with ethylenediamine

Product	Siloxane	Solvent	Cross-linking <sup>a</sup>
37	MM <sup>Ep</sup>	Methanol	—
38	MDM <sup>Ep</sup>	Methanol	—
39	M <sub>3</sub> T <sup>Ep</sup>	Methanol	—
40	[(C <sub>2</sub> H <sub>5</sub> ) <sub>3</sub> SiO] <sub>2</sub> CH <sub>3</sub> Si <sup>Ep</sup>	Methanol	—
41	M <sup>Ep</sup> DM <sup>Ep</sup>	Methanol	—
42	M <sup>Ep</sup> D <sub>3</sub> M <sup>Ep</sup>	Methanol	—
43	M <sup>Ep</sup> D <sub>15</sub> M <sup>Ep</sup>	Methanol	+
		Ethanol	—
44	M <sup>Ep</sup> D <sub>22</sub> M <sup>Ep</sup>	Methanol	+
		Ethanol	+
		Isopropanol	—
45	MD <sub>10</sub> <sup>Ep</sup> M	Methanol	—
46	MD <sub>2</sub> D <sub>2</sub> <sup>Ep</sup> M	Methanol	—
47	MD <sub>20</sub> D <sub>20</sub> <sup>Ep</sup> M	Methanol	—
48	MD <sub>11</sub> D <sub>7</sub> <sup>Ep</sup> M	Methanol	—
49	MD <sub>20</sub> D <sub>10</sub> <sup>Ep</sup> M	Methanol	—
50	MD <sub>20</sub> D <sub>5</sub> <sup>Ep</sup> M	Methanol	—
51	MD <sub>56.6</sub> D <sub>5.4</sub> <sup>Ep</sup> M	Methanol	+
		Ethanol	—
52	MD <sub>100</sub> D <sub>5</sub> <sup>Ep</sup> M	Methanol	+
		Ethanol	+
		Isopropanol	—

<sup>a</sup> +, Observed; —, not observed.

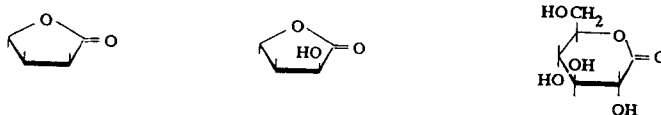
under reduced pressure and a powdery, partly wax-like material was obtained. Due to the presence of traces of methanol, the substance had considerable solubility in diethyl ether (40 cm<sup>3</sup>). The solvent was removed in vacuum and the powder obtained

was dispersed in a second portion of diethyl ether. The solvent could be sucked off and the precipitate was dried under reduced pressure. The amide (67) was obtained as a yellow powder readily soluble in water.

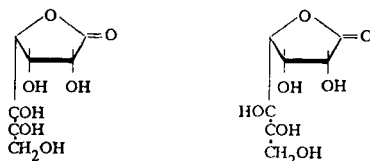




$\gamma$ -butyrolactone (bl) (+/-)- $\alpha$ -hydroxy- $\gamma$ -butyrolactone (hb) D-gluconic acid  $\delta$ -lactone (gl)



D-heptagluconic acid  $\gamma$ -lactone (hl) D-glycero-l-manno-heptanoic acid  $\gamma$ -lactone (gm)



glucopyranosyl arabinonic acid lactone (gp)

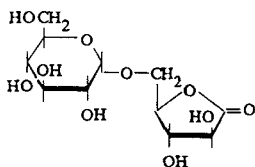


Figure 2

## RESULTS AND DISCUSSION

### Glycosides

The four-step reaction sequence (peracetylation, alkynolysis, deacetylation and hydrosilylation) was

used because previous Fischer glycosidation experiments confirmed the undesired influences of carbohydrate oligomerization and siloxane equilibration on the product uniformity.

Peracetylations of palatinose repeatedly gave lower yields than those for glucose and maltose.

Table 10 Reactions of aminosiloxanes with lactones

Product	Amine	Lactone <sup>a</sup>	Solvent <sup>b</sup>	Product	Amine	Lactone <sup>a</sup>	Solvent <sup>b</sup>	Product	Amine	Lactone <sup>a</sup>	Solvent <sup>b</sup>
53	23	hb	MeOH	69	35	gl	MeOH	85	41	gp	MeOH
54	23	gl	MeOH	70	35	gp	MeOH	86	42	gp	MeOH
55	23	hl	MeOH	71	36	bl	MeOH	87	43	gl	EtOH
56	23	gp	MeOH	72	36	gl	MeOH	88	44	bl	iPrOH
57	1	bl	MeOH	73	36	gp	MeOH	89	44	gl	iPrOH
58	1	hb	MeOH	74	37	bl	MeOH	90	45	gl	MeOH
59	1	gl	MeOH	75	37	gl	MeOH	91	46	gl	MeOH
60	1	hl	MeOH	76	37	gp	MeOH	92	46	gp	MeOH
61	1	gp	MeOH	77	38	bl	MeOH	93	47	gl	MeOH
62	34	bl	MeOH	78	38	gl	MeOH	94	48	gl	MeOH
63	34	hb	MeOH	79	38	gp	MeOH	95	49	gl	MeOH
64	34	gl	MeOH	80	39	bl	MeOH	96	50	gl	MeOH
65	34	hl	MeOH	81	39	gl	MeOH	97	51	bl	EtOH
66	34	gm	MeOH	82	39	gp	MeOH	98	51	gl	EtOH
67	34	gp	MeOH	83	40	gp	MeOH	99	52	bl	iPrOH
68	35	bl	MeOH	84	41	gl	MeOH	100	52	gl	iPrOH

<sup>a</sup> See Fig. 2 for corresponding structures

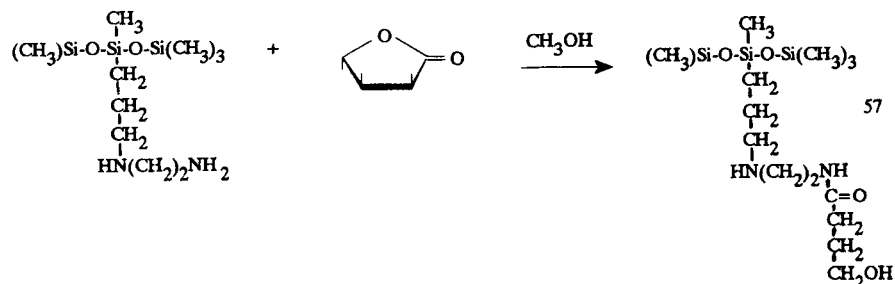
<sup>b</sup> iPrOH, isopropanol.

**Table 11**  $^{13}\text{C}$  NMR data of selected compounds (shifts in ppm)

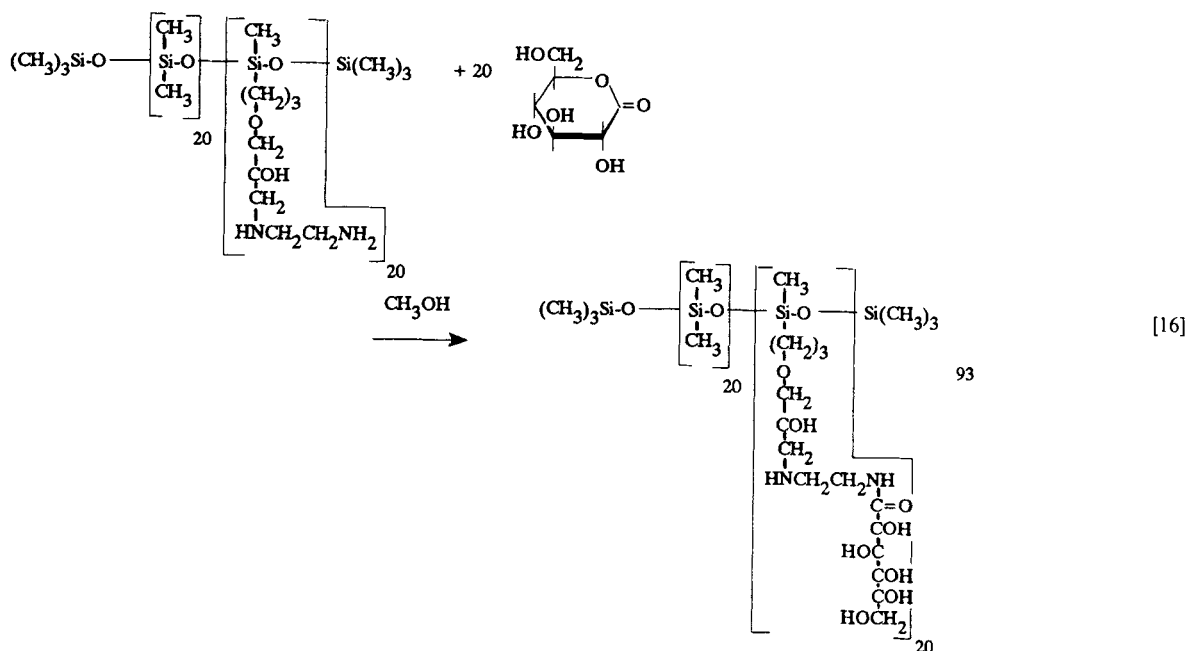
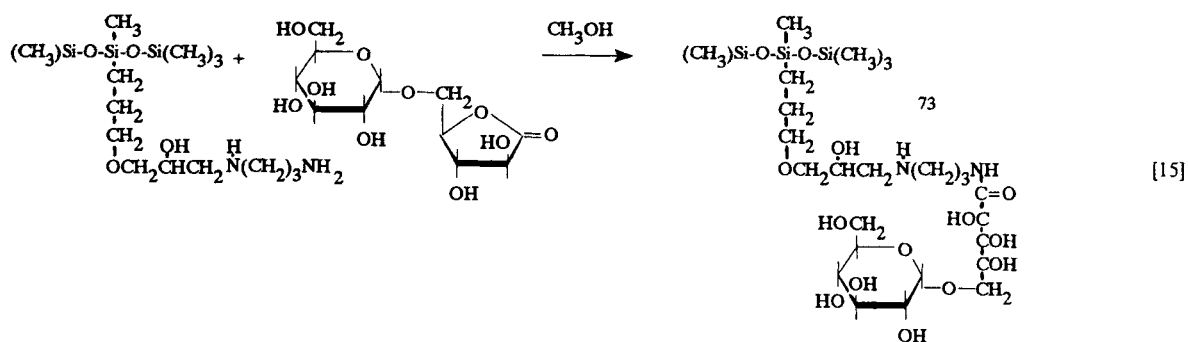
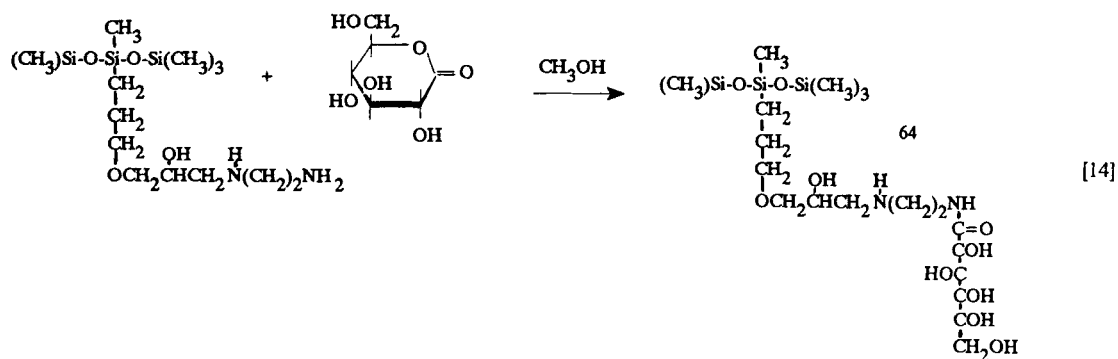
C atom	54	56	62	64	65	67	69a	69b	72
1	14.39	14.45	13.14	13.19	13.25	13.20	13.22	13.22	13.20
2	22.87	22.97	22.88	22.93	23.00	22.94	22.96	22.96	22.93
3	41.21	41.26	72.97	73.04	73.17	73.06	73.08	73.08	73.04
4	172.42	173.04	73.47	73.49	73.60	73.51	73.54	73.54	73.57
5	73.68	73.60	68.56	68.47	68.48	68.51	68.43/68.59	68.75/68.97	68.46
6	70.16	70.75	52.70	52.50	52.52	52.57	52.76/52.84	52.10/ 52.50	52.91
7	71.57	69.50	48.88	48.60	48.61	48.74	54.31/54.45	50.02/50.18	47.09
8	72.49	68.87	38.83	38.19	38.34	38.30	43.52/43.88	44.16/44.24	29.35
9	63.43	98.91	172.16	172.65	173.53	173.53	172.12/172.17	172.63/172.68	36.72
10		71.63	32.19	73.60	73.60	73.67	73.60/73.67	73.73/73.81	172.41
11		72.43	28.69	70.17	74.77	70.90	70.23	70.14	73.71
12		70.19	60.36	71.50	68.12	69.14	71.49	71.49	70.13
13		72.28		72.20	71.57	68.82	72.11/72.33	72.27/72.46	71.51
14		60.84		63.41	72.07	98.95	63.43	63.43	72.46
15					64.42	71.69	21.62/21.80	21.62/21.80	68.46
16						72.48			
17						70.18			
18						72.37			
19						60.84			

**Table 12** Elemental analysis data of selected compounds (%)

Compound	C		H		N	
	(calc.)	(found)	(calc.)	(found)	(calc.)	(found)
54	42.01	41.79	8.53	8.75	3.06	2.80
56	42.78	42.05	7.80	8.05	2.37	2.47
61	43.67	43.59	8.22	8.35	4.43	4.27
62	47.30	47.08	9.54	9.77	5.81	5.89
64	43.90	43.51	8.71	8.78	4.88	4.54
65	43.70	43.77	8.61	8.82	4.63	3.95
67	44.19	44.10	8.22	8.35	3.96	3.75
70	45.00	44.83	8.33	8.62	3.88	3.64
73	45.00	44.78	8.33	8.63	3.88	3.76
76	45.57	44.90	8.22	8.26	4.43	4.00
79	44.19	43.60	8.22	8.62	3.96	3.83



[13]



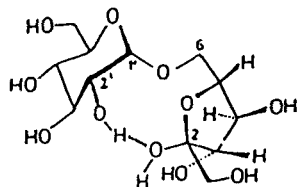


Figure 3

The reason for this effect is the formation of an intramolecular hydrogen bond between the oxygen atom of the 2'-OH group and the hydrogen atom of the 2'-OH group<sup>36</sup> (Fig. 3).

The alkynolysis of the anomeric acetyl group preferentially yields  $\beta$ -glycosides. The  $\text{BF}_3 \cdot \text{Et}_2\text{O}$  catalyst suppresses the rearrangement of the  $\beta$ -glycoside more than the other Lewis catalysts (e.g.  $\text{ZnCl}_2$ )<sup>32</sup>. Consequently the  $^{13}\text{C}$  NMR signal for the anomeric C-1 atom in compound **5** ( $\beta$ -glucoside 100 ppm; Table 2) was accompanied by a very weak signal for the  $\alpha$ -glycoside (96 ppm) just above the detection limit. Therefore the content of the  $\alpha$ -glycoside was estimated to be in the region of about 5%.

There are two possibilities after incorporation of the multiple bond. Stadler<sup>25</sup> first added protected allyl glycosides to a polysiloxane backbone by hydrosilylation before the deprotection of the OH groups was carried out. Although the hydrosilylation of the triple bond of protected glycosides by the tri- and tetra-siloxanes can proceed rapidly, it had not been possible to deprotect to OH-groups in a final step without cleaving the Si-O-Si bonds. The tendency to form polysiloxanes and hexamethyldisiloxane is too strong for such low-molecular-weight siloxanyl structures.

Therefore the glycosides were first deprotected and, in a subsequent catalytic reaction, hydrosilylated. Hydrosilylations of multiple bonds in the presence of OH groups usually yield silyl ethers by dehydrocondensation.<sup>37</sup> Solvents like dioxane are able to mask the OH groups<sup>38</sup> and give access to the desired products.

Glycosides, especially those of the disaccharide type, are weakly soluble in dioxane. Therefore unusually long reaction times had to be applied. The amount of catalyst necessary for the reactions is 10 to 100 times greater than in conventional hydrosilylations of triple bonds.<sup>38</sup> This means that the presence of a bulky carbohydrate moiety has an inhibiting influence on the hydrosilylation.

Additionally, the size of the carbohydrate moiety strongly affects the regioselectivity of the reaction. The analysis of the  $^{13}\text{C}$  NMR data showed that in

compound **16** the  $\gamma$ -isomer is formed exclusively, while compound **15** already contains about 15% of  $\beta$ -product. The smaller trisiloxane can be added to a position closer to the carbohydrate ring. Spacer extension for a single  $\text{CH}_2$  unit has the same effect. In compound **18** the bigger tetra-siloxane is even attached to both possible positions.

Disaccharide structures further restrict the scope of the hydrosilylation. Attempted additions of  $\text{M}_3\text{T}^{\text{H}}$  to propynyl maltoside and propynyl palatinoside failed, whereas  $\text{M}_2\text{D}^{\text{H}}$  reacted in both cases. A spacer extension for one  $\text{CH}_2$  unit makes the addition of the tetrasiloxane possible (compound **21**).

The siloxanyl-modified glycosides are yellow to brown waxes or syrups (glucosides) or powders (maltosides and the palatinoside).

The glycosides synthesized were found to be poorly soluble in water, indicating an imbalance between the weak hydrophilic monosaccharide moiety and a powerful hydrophobic siloxanyl unit. Disaccharide structures counterbalance the siloxane better and make the products sufficiently soluble in water.

Unfortunately, Fischer glycosidations of disaccharides also yield a broad product spectrum, from mono- to oligo-saccharide derivatives, because glycosidic links between the carbohydrate rings are formed and cleaved in an equilibrium process.<sup>39</sup> This fact further reduces the chances of obtaining defined and sufficiently water-soluble siloxanyl-modified glycosides via a route suitable for industrial purposes.

## Amides

### Siloxanyl-modified amines

The following two strategies were considered to solve the problem mentioned above: (1) the use of recently available disaccharide derivatives of lactone<sup>18</sup> and amine<sup>14</sup> types, and (2) the incorporation of a hydrophilic spacer which supports the carbohydrate moiety. To synthesize such spacers, the well-known allyl glycidyl ether derivatives were reacted with different amines in refluxing methanol (Eqns [8]–[10]). The results are listed in Table 7.

Ethylenediamine in a five-fold molar excess reacts fast enough to suppress the undesired formation of a bis-product. Due to the decreasing concentration of ethylenediamine in the final stages of the reaction, initially equimolar quantities of the reactants yield a minor amount of the bis-product. According to GC data this bis-product starts to appear after 70% of total epoxide conversion.

**Table 13** Key signals in the MS fragmentation pattern of compounds **35a** and **35b**

<b>35a</b>					
<i>m/z</i>	395	366	221	73	44
Relative intensity (%)	9	44	85	64	100
Fragment	$M^+ - CH_3$	$M^+ - H_2NC(CH_3)H$	$C_7H_{21}O_2Si_3$	$H_2NC(CH_3)HCH_2NH$	$H_2NC(CH_3)H$
<b>35b</b>					
<i>m/z</i>	395	380	221	73	
Relative intensity (%)	10	58	72	65	
Fragment	$M^+ - CH_3$	$M^+ - H_2NCH_2$	$C_7H_{21}O_2Si_3$	$H_2NCH_2C(CH_3)HNNH$	

The methyl-substituted ethylenediamine, 1,2-propylenediamine, cannot react fast enough with the epoxide to suppress the formation of the bis-product. The presence of the methyl group seems to reduce the nucleophilic power of this amine considerably.

According to a capillary GC–MS investigation of the distilled product, two different amines are formed. The signal assignment of the fragmentation pattern prove the assumption that the primary amino function adjacent to the methyl group reacts more slowly than the non-hindered one (Table 13, **35b**/**35a**=26.3%:73.7%, key signals at *m/z* 366 for isomer **35a** and *m/z* 380 for isomer **35b**).

Due to the different proportions of the isomers in the mixture, a division of the  $^{13}C$  NMR signals into strong and weak signal sets was possible. The exact C-shift structures for both isomers in the mixture **35a**/**35b** were established on the basis of regular  $^{13}C$  NMR and APT data (Table 8). Double signal sets at 46 ppm (strong) and 55 ppm (weak) represent the CH structures C-8 in **35a** and C-7 in **35b** whereas the  $CH_2$  signal sets at 49 ppm (weak) and 57 ppm (strong) belong to C-8 in **35b** and C-7 in **35a**.

The extension of the alkylene spacer for one  $CH_2$  unit between both amino functions in 1,3-propylenediamine further reduces its nucleophilic potential and the amount of bis-product increases.

Monofunctional siloxanyl amines bearing moieties other than  $M_2D$  were exclusively synthesized with ethylenediamine because of the quantitative conversion of the epoxide to the desired mono-product (Table 9). We never observed any significant influence of the size of the siloxane moiety on the reactivity of the epoxide. It was sufficient in all cases to distil off the methanol and the excess ethylenediamine.

All the monofunctional amines described in Tables 7 and 9 are colourless or slightly yellow oily liquids possessing a typical amine smell. Due to

the presence of ether, hydroxy, and primary and secondary amino functions, they have considerable solubility in water.

$\alpha, \omega$ -Difunctional di-, tri- and penta-siloxanes can be described in a similar manner.

Polymeric structures can also be converted quantitatively into amino derivatives. The diamine and the solvent for the reactions on polysiloxane structures have to be chosen carefully. Ethylenediamine in excess can react fast enough to avoid cross-linking. As predicted from results on monofunctionalized siloxanes, explorative experiments with 1,2- and 1,3-propylenediamine also yielded cross-linked polysiloxanes. Highly functionalized siloxanes can be converted in methanol. With a decreasing number of epoxy functions, the less polar ethanol (compounds **43** and **51**) and isopropanol (compounds **44** and **52**) have to be chosen. Experiments with such materials, in methanol and in certain cases even in ethanol, leads to the precipitation of a cross-linked silicone rubber.

Depending on the density of amino functions, many of these polymers can already be dissolved in water, while others remain insoluble.

The advantage of this technique is that it allows the synthesis of aminopolysiloxanes of almost any composition and avoids the problems of allylamine chemistry.

With a single exception, the  $^{13}C$  NMR shift patterns of the N- and O-substituted hydrocarbon chains of the siloxanyl-modified amines in Table 9 are identical to that of compound **34**. In amine **39** the C-1 signal is high-field shifted to 10.20 ppm (compound **34**, C-1 13.08 ppm).

### Amides

By nucleophilic ring opening, different lactones were converted into the corresponding amides. Although the formation of siloxanyl-modified amides from siloxanyl-modified esters and short-chained amines usually demands drastic reaction conditions<sup>40</sup>

(reaction temperature higher than 200°C) the lactones applied reacted under moderate ones (60–80 °C). Minor differences in reactivity are not due to varying ring sizes but to the number of OH groups attached to the ring.

$\gamma$ -Butyrolactone (a five-membered ring) reacts more slowly and only at elevated temperatures compared with (+/–) $\alpha$ -hydroxy- $\gamma$ -butyrolactone or D-gluconic acid  $\delta$ -lactone (a six-membered ring).

The structure of the amine did not influence the course of the reaction significantly. In all cases the amide of the primary amino function was formed. Secondary amino or hydroxyl groups did not yield any considerable amount of by-products.

The  $^{13}\text{C}$  NMR signal assignment for the amides (Table 11) took into consideration the data listed in Table 8.

Compound **62** makes it possible to determine the shifts of both  $\text{CH}_2$  units attached to the ether bridge (C-3 and C-4, approx. 73.00 ppm and 73.50 ppm).

In compound **54** the hydroxylated C-5 is adjacent to the carbonyl function and shows a low-field shift (approx. 73.70 ppm). The signals for the atoms C-6 to C-9 were assigned according to the known data for sorbitol.<sup>41</sup> The signal sets for compounds **64** and **72** follow immediately.

The shift structure for the disaccharide derivatives **56** and **67** was established by consideration of the data for glucopyranosyl arabinonic acid lactone.<sup>42</sup>

This quantitative and regioselective reaction channel opens up the possibility of tailoring the properties of surfactants for a given low-molecular-weight siloxanyl moiety by two methods (Table 14). The water solubility of the surfactant can be due to the presence of a large polyhydroxylated carbohydrate unit. The spacer plays no role. In these cases (compound **56**) white or bright yellow powdery solids possessing a moderate solubility in weak polar organic solvents are obtained. Alternatively, a weakly hydrophilic carbohydrate or hydroxy-

carboxylic acid moiety can be attached to a powerful hydrophilic spacer yielding viscous oils (**62**), thick syrups (**63**) or amorphous solids (**64**). Surfactants of this type remain soluble in water but possess remarkably improved solubility in weak polar organic liquids (**62**). They lead to the conventional polyether surfactants with their polar groups delocalized along an extended hydrocarbon chain.

The combination of both hydrophilic spacer and strong hydrophilic carbohydrate (**67**) yields powdery substances with excellent water solubility. Surprisingly their solubility in organic solvents is usually not reduced (**56**–**67**). In certain cases minor changes in the spacer structure can improve the solubility in organic solvents remarkably (**70**).

Therefore it can be concluded that an extended and heteroelement-modified hydrocarbon spacer causes improved solubility in heteroatom-substituted hydrocarbon solvents of varying polarity (Table 14).

Depending on the chain length, the consistency of  $\alpha,\omega$ -gluconamide-functionalized siloxanes ranges from hard powder (compound **84**) to wax (compounds **87** and **89**). The latter possess an unusually soft feel. The change from the gluconamide to the  $\gamma$ -hydroxybutyramide derivative yields a viscous syrup (compound **88**).

In general, surfactants of this type are less soluble in water than monofunctional derivatives of the same siloxane unit size.

Additionally, a series of gluconamide derivatives of different aminopolysiloxanes was synthesized (Table 10, compounds **90**, **91**, **93–96**, **98**, **100**).

The  $^{13}\text{C}$  NMR shift patterns of the polyfunctional derivatives were found to be combinations of the siloxanyl shift data as shown in Table 5 and the spacer/carbohydrate data given for compounds **64** and **67** in Table 11.

These comb-like structures are powdery (high density on carbohydrate functions) or wax-like

**Table 14** Solubility<sup>a</sup> of selected surfactants in solvents of different polarity

Compound	NMP	NOP	Hallcomid M8–10	Xylene	1,2,3- Trimethylbenzene	Isophorone	Octyl acetate	Rape-oil methyl ester	Paraffin oil
<b>56</b>	+	+	+	–	–	–	–	–	–
<b>62</b>	+	+	+	+	+	+	+	+	–
<b>67</b>	+	+	+	–	–	–	–	–	–
<b>70</b>	+	+	+	+	+	–	–	–	–
<b>73</b>	+	+	+	–	–	–	–	–	–

<sup>a</sup> Solubility of 7.15% surfactant in the solvent at room temperature. +, soluble; –, insoluble. NMP, *N*-methylpyrrolidone; NOP, *N*-octylpyrrolidone; Hallcomid M8–10,  $\text{C}_8 - \text{C}_{10}$  carboxylic acid amide.

materials. Derivatives of  $\gamma$ -butyrolactone are viscous oils. They can be synthesized in a practically unlimited range of compositions and in quantitative yields if the appropriate solvent is applied.

Their solubility in water depends on the density of gluconamide moieties. Conversely, a decreasing number of carbohydrate units does not automatically improve the solubility in less polar organic solvents. It was found that in such cases an acceptable solubility was even more limited in isopropanol. This fact emphasizes the importance of a sufficiently longer spacer for good organic solubility. It further indicates that methyl groups attached to Si–O–Si backbones are different from those attached to hydrocarbon chains with respect to cohesion energy densities, and are therefore of limited value for this purpose. In separate papers the qualitative and quantitative differences will be explained.

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