

# Recent developments in the activation of carbon dioxide by metal complexes

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## Abstract

World leaders are negotiating a new global treaty that will lead to the reduction of global greenhouse gas emissions to legal commitments. Carbon dioxide is the largest single source

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of greenhouse gas, and emissions of carbon dioxide are increasing continuously. Utilization of carbon dioxide as a possible starting material for the synthesis of fine chemicals provides an attractive alternative to compounds presently derived from coal and petroleum. The effective conversion of carbon dioxide to useful chemicals will inevitably rely on transition metal catalysts. This review covers the recent progress in the direct activation of carbon dioxide by organo-transition metal complexes, with emphasis on insertion reactions and oxidative coupling reactions of carbon dioxide. © 1999 Elsevier Science S.A. All rights reserved.

**Keywords:** Activation; Carbon dioxide; Coordination; Insertion; Oxidative coupling; Transition metal complex

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## 1. Introduction

Global warming, caused by increasing emissions of greenhouse gases such as carbon dioxide, methane and others, has been recognized as a serious environmental problem [1–9]. An obvious solution has been to reduce emissions of CO<sub>2</sub> [1–9], and other options are available [5–8]. These include reducing the use of energy derived from fossil fuels. Another option is the recovery of CO<sub>2</sub> from energy conversion processes, which is then stored in the ocean. An alternative approach is using CO<sub>2</sub> as a renewable resource, i.e. the reuse of existing CO<sub>2</sub> as a source of carbon for producing chemicals. The latter option has received much attention recently [9–34]. This is because the utilization of CO<sub>2</sub> as an alternative source of carbon has several advantages, such as:

1. alleviating global climate change caused in part by the increasing CO<sub>2</sub> emissions;
2. extending the present limited carbon resources (coal, petroleum and natural gas) into an environmentally friendly and easily obtainable carbon source, i.e. CO<sub>2</sub>; and
3. simulating photosynthesis and enzyme-catalyzed reactions in the laboratory would be helpful in understanding the photosynthetic process in Nature and in utilizing enzyme-analogous reactions for chemical syntheses.

In spite of these advantages, the chemistry of CO<sub>2</sub> activation is still under-developed. This is due to the fact that CO<sub>2</sub> is highly thermodynamically stable and kinetically inert. The problem of CO<sub>2</sub> activation is a permanent challenge to the art of the chemist to ‘force’ this molecule into selective reactions under mild conditions.

CO<sub>2</sub> has been used in the synthesis of urea, cyclic carbonates, salicylic acid and methanol. An increased use of CO<sub>2</sub> would only be possible if the relatively inert CO<sub>2</sub> molecule could be activated. Numerous ways for CO<sub>2</sub> activation are currently under investigation [9–34]. These include bioconversion [9], photochemical reduction [9,10,13–15], electrochemical reduction [9,10,13–15,23], thermal heterogeneous and homogeneous reductions [7,9,16–21] and coordination to transition metals [9,10,13–15,22–34], as well as some combinations of two or more methods de-

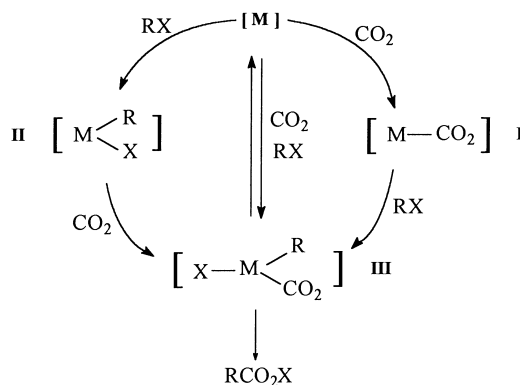
scribed above [9,10]. All these methods have one feature in common, which is the activation of  $\text{CO}_2$  by the coordination of  $\text{CO}_2$  to a transition-metal centre. This coordination lowers the activation energy required in further reactions involving the  $\text{CO}_2$  molecule, thus increasing the rates of these reactions, as in most cases this step is the rate-determining step for reactions involving  $\text{CO}_2$ . The coordination of  $\text{CO}_2$  makes it possible to convert this inert molecule with suitable reactants into useful products.

The conversion of  $\text{CO}_2$  and a substrate  $\text{RX}$  ( $\text{RX}$  might be alkyl halides, alkenes, alkynes, etc.) to the product  $\text{RCO}_2\text{X}$  at a transition metal centre is illustrated schematically in Scheme 1.

There are three possible routes (see Scheme 1) for the combination of  $\text{CO}_2$  and a substrate  $\text{RX}$  at a transition metal centre to give the product  $\text{RCO}_2\text{X}$ :

1. coordination of  $\text{CO}_2$  to the metal, providing a metal– $\text{CO}_2$  complex [I], followed by reaction of the metal– $\text{CO}_2$  complex [I] with the substrate  $\text{RX}$  to give [III]. Subsequent elimination would provide the product  $\text{RCO}_2\text{X}$  and reform the starting complex [M];
2. simultaneously coordinate both  $\text{CO}_2$  and the substrate at the metal centre to afford [III], followed by reductive elimination to give the product  $\text{RCO}_2\text{X}$  and to reform the starting complex [M]; and
3. coordinate the substrate to the metal first, forming the substrate–metal complex [II] which could then react with  $\text{CO}_2$ . Subsequent elimination would provide the desired product  $\text{RCO}_2\text{X}$ .

Binding the  $\text{CO}_2$  molecule and the substrate at the same transition-metal centre (structure [III]) would be a crucial step in both the stoichiometric and catalytic reactions of  $\text{CO}_2$ . The conversion of  $\text{CO}_2$  by the above-mentioned three routes will be discussed in detail in Sections 2–4.



Scheme 1.

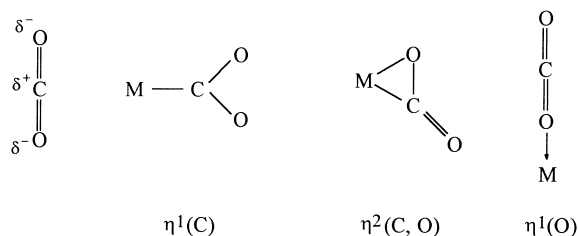


Fig. 1. CO<sub>2</sub> and its possible coordination modes at a transition metal centre.

## 2. Activation of carbon dioxide by the formation of metal CO<sub>2</sub> complexes

The formation of a transition metal–CO<sub>2</sub> complex via direct coordination is one of the most powerful and universal ways to induce the inert CO<sub>2</sub> molecule to undergo chemical reactions [31]. The synthesis and chemistry of transition metal–CO<sub>2</sub> complexes have been of continuous interest, and a number of reviews on this subject have appeared [9,10,13–15,22–34]. The latest review by Gibson, published in 1996, covered the most recent developments of the organometallic chemistry of metal–CO<sub>2</sub> complexes [32]. Thus, although metal–CO<sub>2</sub> complexes are central to CO<sub>2</sub> activation, they will not be discussed here in detail, other than to mention some particularly relevant aspects of CO<sub>2</sub> activation, and to comment on the most recent work.

### 2.1. Bonding of CO<sub>2</sub> at a transition metal centre

The CO<sub>2</sub> molecule is a linear triatomic molecule. The carbon atom possesses sp hybridization, and the C–O distance of 1.16 Å is shorter than a normal C=O double bond involving an sp<sup>2</sup> carbon atom. The different electronegativities of oxygen and carbon lead to a negative polarization on the oxygen atoms and a partial positive charge on the carbon atom. In its ground state, CO<sub>2</sub> also has two sets of  $\pi$  molecular orbitals which are orthogonal. Thus, the CO<sub>2</sub> molecule exhibits several distinct positions which could interact with a metal centre in different ways, as shown in Fig. 1.

The  $\eta^1(C)$  and  $\eta^2(C,O)$  side-on coordination modes have been confirmed by X-ray crystal structure determinations of known metal CO<sub>2</sub> complexes [35–37], e.g. [Rh(diars)<sub>2</sub>(Cl)( $\eta^1$ -CO<sub>2</sub>)] [35], [Ni( $\eta^2$ -CO<sub>2</sub>)(PCy<sub>3</sub>)<sub>2</sub>] [36] and [Cp<sub>2</sub>Nb( $\eta^2$ -CO<sub>2</sub>)(CH<sub>2</sub>SiMe<sub>3</sub>)] [37]. No X-ray crystal structural data of the  $\eta^1(O)$  coordination mode in transition metal complexes are currently available.

Theoretical studies on the bonding of a CO<sub>2</sub> molecule to a metal centre have been reported [34,38–45]. The  $\eta^1(C)$  versus  $\eta^2(C,O)$  side-on coordination mode dichotomy is under both orbital and electrostatic control [45], as shown in Fig. 2.

In the  $\eta^1(C)$  bonding mode there is a strong, two-electron stabilizing and charge transfer interaction between a d<sub>z<sup>2</sup></sub> type orbital (which is doubly occupied) and the  $\pi^*$  orbital of CO<sub>2</sub> (which is empty). In the  $\eta^2(C,O)$  side-on coordination mode the

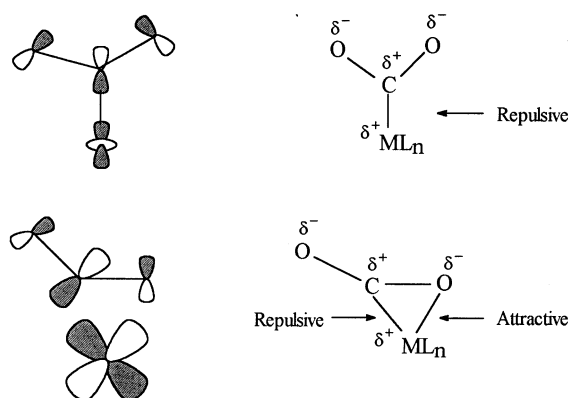


Fig. 2. Orbital overlapping and electrostatic interaction of the  $\eta^1(\text{C})$  and  $\eta^2(\text{C,O})$  side-on coordination modes of CO<sub>2</sub>.

orbital interaction pattern is reversed. The  $d\pi$  orbital interacts in a two-electron stabilizing interaction with the  $\pi^*$  orbital of CO<sub>2</sub>. The  $\eta^1(\text{C})$  bonding mode is most favoured when the transition metal fragment  $\text{ML}_n$  has a doubly occupied  $d\sigma$  type orbital that is relatively high in energy. This high energy will be best achieved if the metal is in a relatively low oxidation state which also reduces the repulsive electrostatic interaction. Examples of CO<sub>2</sub> complexes containing the  $\eta^1(\text{C})$  bonding are [Rh(diars)<sub>2</sub>(Cl)( $\eta^1$ -CO<sub>2</sub>)] [35] (Fig. 3) and [Co(salen)( $\eta^1$ -CO<sub>2</sub>)] [46–48]. The latter also has an alkali cation interacting with both oxygens (Fig. 4).

The  $\eta^2(\text{C,O})$  side-on coordination mode is favoured by a high-energy  $d\pi$ -type orbital. A stronger stabilization of the  $\eta^2(\text{C,O})$  mode will be achieved if the  $d\sigma$  orbital which points towards the CO<sub>2</sub> ligand is empty. Many complexes with the  $\eta^2(\text{C,O})$  mode have been reported in the literature, such as [Ni(PR<sub>3</sub>)<sub>2</sub>( $\eta^2$ -CO<sub>2</sub>)] (R = Cy, Ph, Bu, Et) [36,54], [Fe(PMe<sub>3</sub>)<sub>4</sub>( $\eta^2$ -CO<sub>2</sub>)] [55], [Cp<sub>2</sub>Nb(CH<sub>2</sub>SiMe<sub>3</sub>)( $\eta^2$ -CO<sub>2</sub>)] [37], *trans*-[Mo(PMe<sub>3</sub>)<sub>4</sub>( $\eta^2$ -CO<sub>2</sub>)<sub>2</sub>] [56] and [Cp<sub>2</sub>Mo( $\eta^2$ -CO<sub>2</sub>)] [57].

Very recently, Fujita et al. [58] found evidence for charge transfer in some cobalt–CO<sub>2</sub> complexes by X-ray absorption near-edge spectroscopy (XANES). The XANES study of the CO<sub>2</sub> adducts of [Co(HMD)] (HMD = 5,6,6,12,14,14-hexamethyl-1,4,8,11-tetraazacyclotetradeca-4,11-diene) clearly indicates significant charge transfer from Co to the bound CO<sub>2</sub> in both five-coordinate [Co(III)(HMD)-

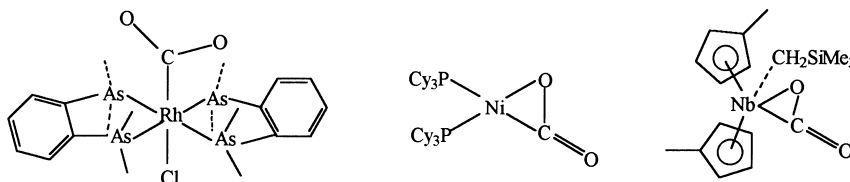


Fig. 3. Some representative examples of complexes with  $\eta^1(\text{C})$  and  $\eta^2(\text{C,O})$  side-on coordination modes.

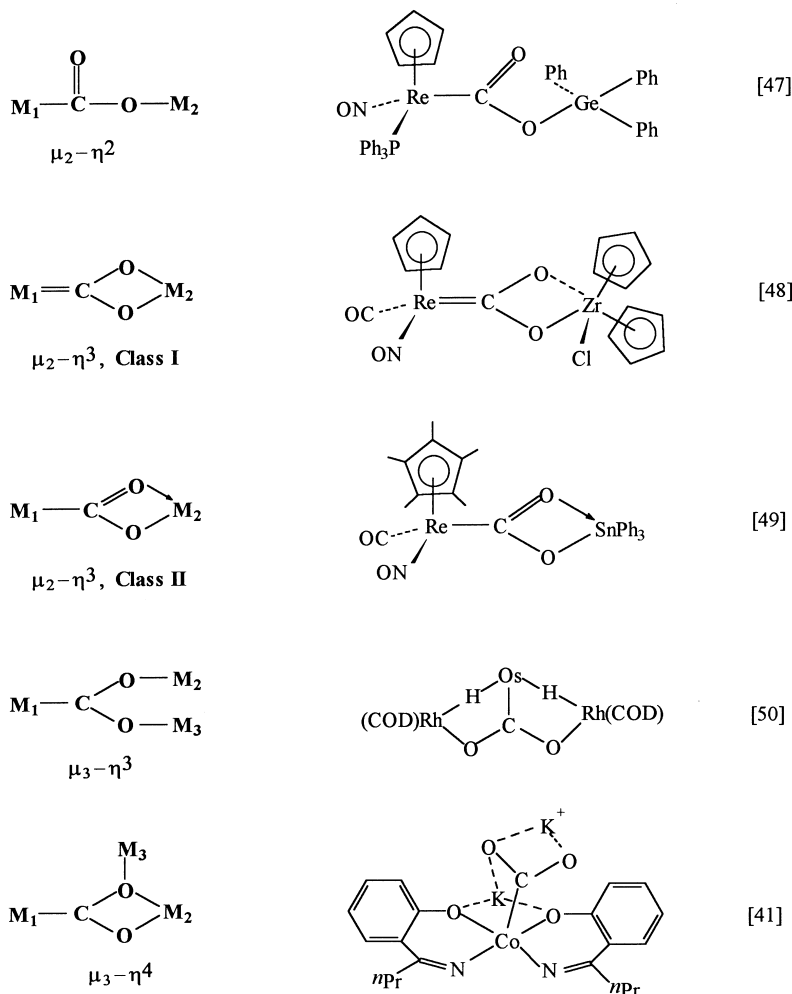
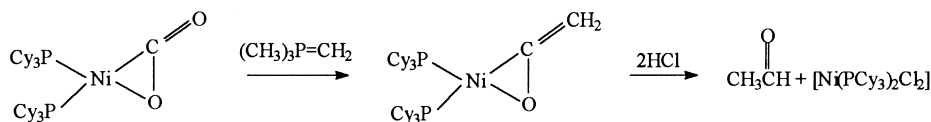


Fig. 4. Structural types and examples of  $\text{CO}_2$  bridging complexes [46–53].

$(\text{CO}_2^{2-})^+$  and six-coordinate  $[\text{Co}(\text{III})(\text{HMD})(\text{CO}_2^{2-})(\text{CH}_3\text{CN})]^+$  species. Binding of  $\text{CO}_2$  to the cobalt through the electrophilic carbon, with the oxygens bending back in an  $\eta^1(\text{C})$  bonding configuration, is the best model to account for the observed charge transfer [58].

## 2.2. Binding of $\text{CO}_2$ at two or more metal centres

$\text{CO}_2$  can be bound by two or more metal centres via the coordination of the carbon atom to one metal and either one or both of the oxygen atoms of the  $\text{CO}_2$  to other metal(s). Thus, numerous complexes with bridging  $\text{CO}_2$  ligand can be



Scheme 2.

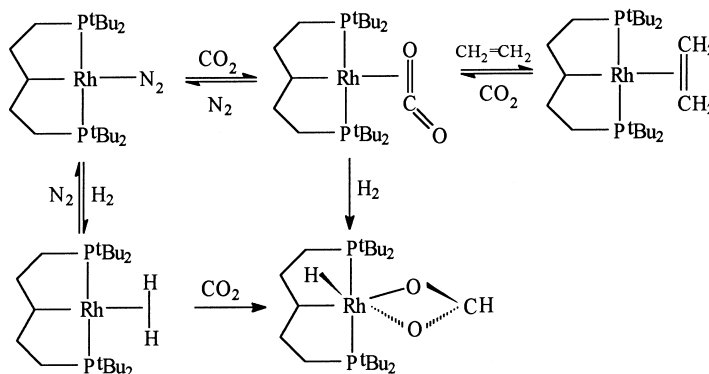
formed. The complexes with bridging  $\text{CO}_2$  ligand can be classified according to their bonding modes [32,33], as shown in Fig. 4.

Since the synthesis and structural characterization of  $\text{CO}_2$  bridging complexes have been comprehensively reviewed by Gibson very recently [32], this will not be repeated here. However, it is noteworthy that the activation of  $\text{CO}_2$  by early–late transition metal complexes is of particular interest since this bifunctional activation of  $\text{CO}_2$  by a combination of an early, oxophilic and a late, electron-rich transition metal might have great potential in successful activation of  $\text{CO}_2$ . The concept of activating  $\text{CO}_2$  by bifunctional systems was first pioneered by Floriani and coworkers [46–48]. Some recent examples will be discussed in Section 4.6.

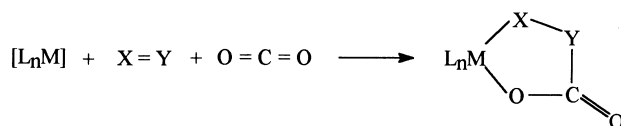
### 2.3. The reactivity of the coordinated $\text{CO}_2$ ligand

Although numerous metal– $\text{CO}_2$  complexes have been synthesized, the conversion of the  $\text{CO}_2$  ligand in these complexes with suitable substrates to give organic products is much less well studied [32]. Recently, the reaction of the coordinated  $\text{CO}_2$  ligand of the complex  $[\text{Ni}(\text{PCy}_3)_2(\text{CO}_2)]$  with  $(\text{CH}_3)_3\text{P}=\text{CH}_2$ , leading to a nickel ketene complex, has been reported [59]. The reaction of the ketene complex with  $\text{HCl}$  provides  $[\text{Ni}(\text{PCy}_3)_2\text{Cl}_2]$  and the organic product, acetaldehyde, as shown in Scheme 2.

The newly reported rhodium  $\text{CO}_2$  complex [60] (see Scheme 3), prepared from the rhodium dinitrogen or ethylene complexes, can react with  $\text{H}_2$  to form the hydrido formate complex. The hydrido formate complex can also be obtained by the reaction of the dihydrogen complex with  $\text{CO}_2$ .



Scheme 3.



Scheme 4.

### 3. Oxidative coupling of CO<sub>2</sub> with unsaturated substrates

The second route for the conversion of CO<sub>2</sub> into organic products is the simultaneous oxidative coupling of CO<sub>2</sub> and an unsaturated compound at a transition metal centre. Several reviews discussing the oxidative coupling reactions of CO<sub>2</sub> with unsaturated substrates have been published [16,17,27,28,61]. Due to the importance of this reaction in the conversion of CO<sub>2</sub> into useful organic products, a brief summary of these results and comments on recent progress is presented.

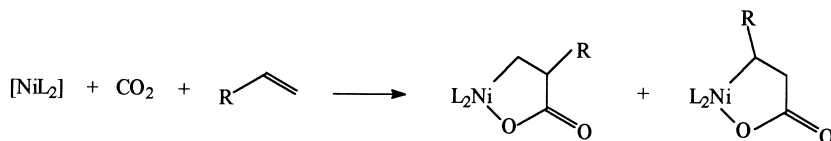
CO<sub>2</sub> undergoes oxidative coupling reactions with an unsaturated substrate X=Y at a metal centre [L<sub>n</sub>M] to form the metallacycle as shown in Scheme 4.

The unsaturated compound X=Y can be a hydrocarbon (alkynes [62–65], alkenes [65–74], dienes and conjugated dienes [75–80], and cumulenes [81]), imines [27,82] or aldehydes [83,84]. The predominant transition metal complexes which mediate the coupling of CO<sub>2</sub> with unsaturated substrates are electron-rich Ni(0) complexes, but some other transition metals such as Ti [16,17], Mo [16,17,28], Fe [77], Pd [16,17,28] and Pt [82] are also reactive.

The formation of nickellacycles from CO<sub>2</sub> and alkenes has become the basis of an extensive organonickel-based chemistry for the carboxylation of alkenes, as shown in Schemes 5–8.

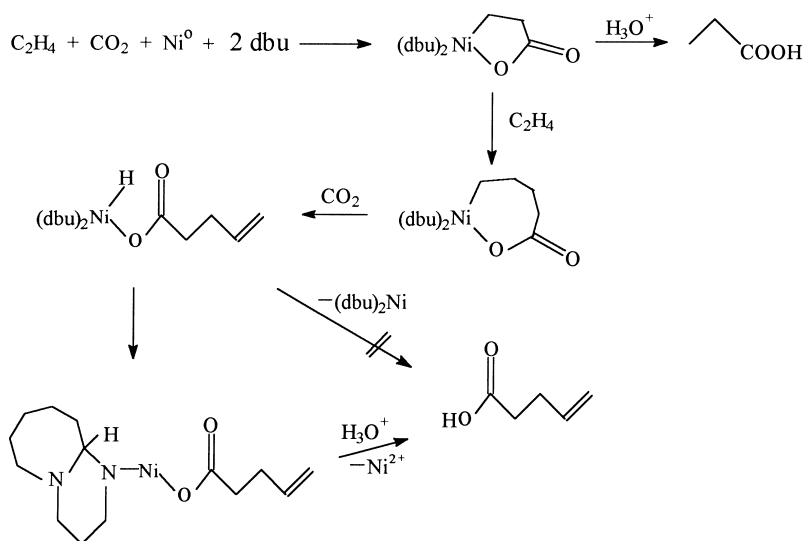
From the coupling reaction of ethylene and CO<sub>2</sub> with [Ni(COD)<sub>2</sub>] in the presence of 1,8-diazabicyclo[5,4,0]undec-7-ene (dbu), the complex [(dbu)<sub>2</sub>Ni{η<sup>2</sup>-CH<sub>2</sub>CH<sub>2</sub>C(O)O}] has been isolated and structurally characterized by Hoberg et al. [66]. This complex undergoes further ethylene insertion to give a seven-membered ring complex, which by subsequent β-H elimination and acid hydrolysis gives pentenoic acid, as shown in Scheme 6.

These authors [66] have also shown that the Ni–C bond in the bis-dbu complex is available for insertion reactions with compounds containing carbon–carbon double bonds: hence, various acid products could be obtained depending on the compounds and work-up conditions (see Scheme 7).



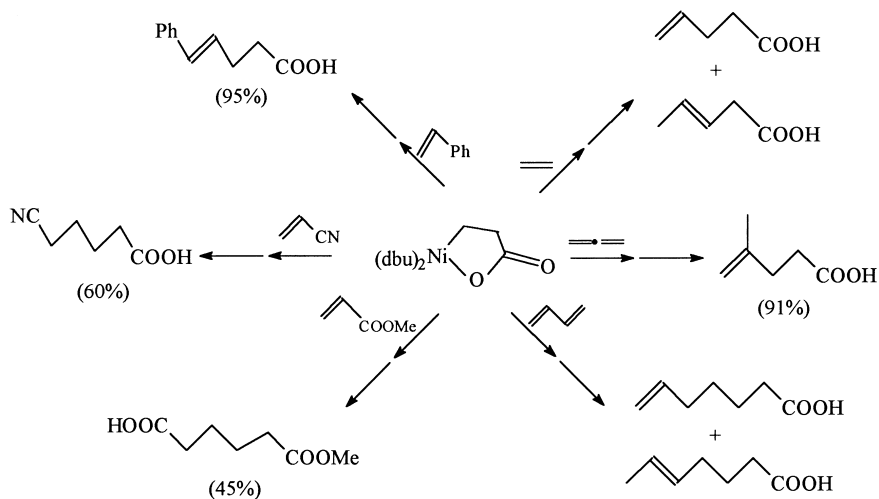
Scheme 5.



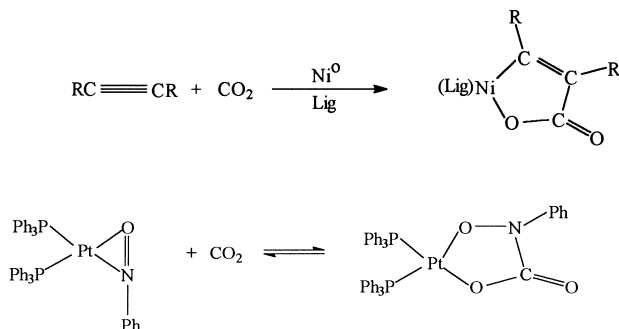
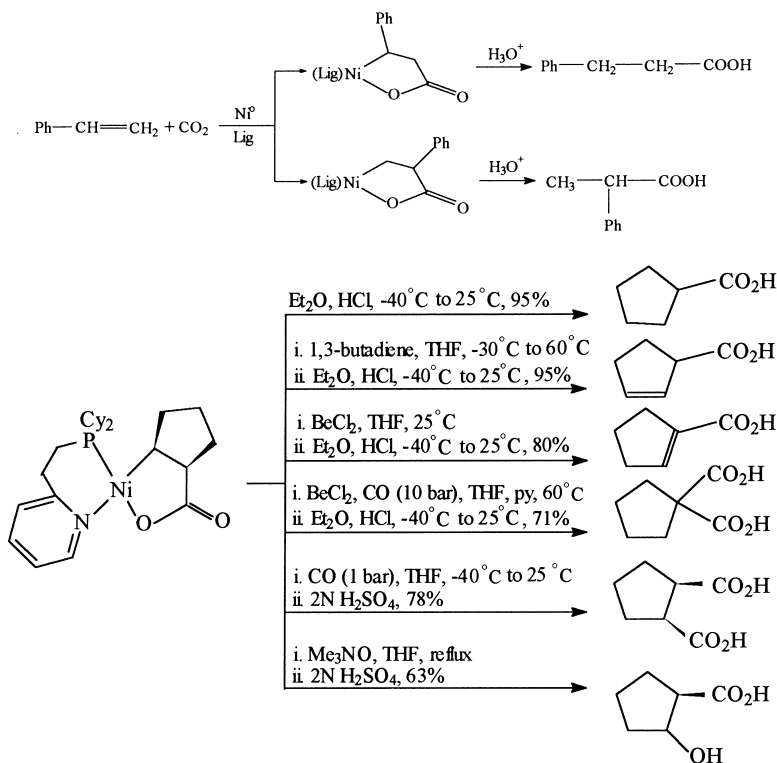


Scheme 6.

The starting nickel(0) complexes which have been used for the coupling reaction can also be  $[Ni(cdt)]$ ,  $[Ni(COD)(bipy)]$  or  $[Ni(TMEDA)(COD)]$ . The ligands used for stabilizing the  $Ni(0)$  species can be bipy, TMEDA, dcpe, dbu or dicyclohexylphosphinoethyl-2-pyridyl (dcpp). Depending on the starting organic substrates and work-up conditions, an extensive range of functionalized products can be obtained (see Scheme 8, for some examples [65,69,70,82]).

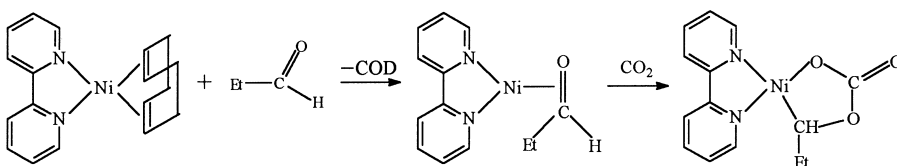


Scheme 7.

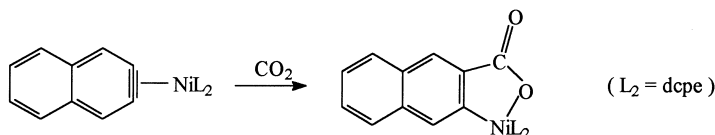


Scheme 8.

Reactions of aldehydes with  $\text{CO}_2$  at  $\text{Ni}(0)$  centres have recently been studied by Geyer et al. [84]. A detailed kinetic analysis revealed that  $\text{CO}_2$  does not react with  $[\text{Ni}(\text{bipy})(\text{COD})]$  directly but with the nickel propionaldehyde complex to form a five-membered cyclic nickel complex (Scheme 9). The reaction proceeds according to an associative mechanism, during which the  $\text{CO}_2$  was inserted into a  $\text{Ni}-\text{O}$  bond by an oxidative coupling step.



Scheme 9.

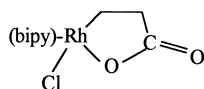


Scheme 10.

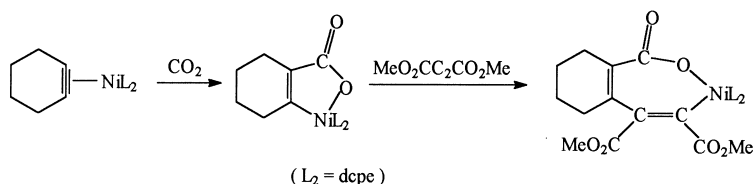
The reaction of the  $\text{Ni}(0)$  naphthalene complex  $[\text{Ni}(\eta^2\text{-C}_{10}\text{H}_8)\text{L}_2]$  ( $\text{L}_2 = \text{dcpe}$ ) with  $\text{CO}_2$  gives the  $\text{CO}_2$  inserted product as shown in Scheme 10 [85]. The analogous cyclohexyne complex  $[\text{Ni}(\eta^2\text{-C}_6\text{H}_8)\text{L}_2]$  ( $\text{L}_2 = \text{dcpe}$ ) also reacts with  $\text{CO}_2$  to give the five-membered metallacycle complex. Dimethylacetylenedicarboxylate inserts into the  $\text{Ni}-\text{C}$   $\sigma$  bond of the metallacycle complex to give the seven-membered metallacycle, as shown in Scheme 11 [86].

The tantalum–benzyne complex  $[\text{Cp}^*\text{Ta}(\eta^4\text{-buta-1,3-diene})(\eta^2\text{-C}_6\text{H}_4)]$  has similarly recently been reported to react with  $\text{CO}_2$  to give a five-membered metallacycle complex [87].

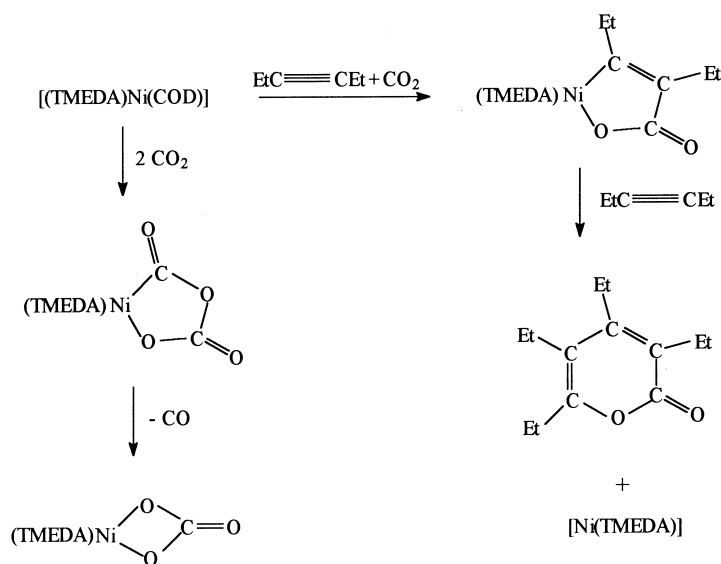
The rhodium ethylene complex  $[\text{Rh}(\text{bipy})(\text{C}_2\text{H}_4)\text{Cl}]$  reacts slowly with  $\text{CO}_2$  at r.t. in THF to give a brown solid, which has been postulated as the metallacycle shown below [88].



Immobilized palladium catalysts, prepared from  $[\text{Pd}(\eta^5\text{-Cp})(\eta^3\text{-C}_3\text{H}_5)]$  and polymer-bound phosphines, are found to catalyze  $\text{C}-\text{C}$  coupling reactions of  $\text{CO}_2$  and 1,3-butadiene to produce  $\delta$ -lactone 2-ethylidene-6-heptene-5-olid.  $\text{C}_{17}$  carboxylic esters can also be obtained using catalysts with ligands prepared from chloromethylated polystyrene and  $\text{LiPPh}_2$  [89].



Scheme 11.



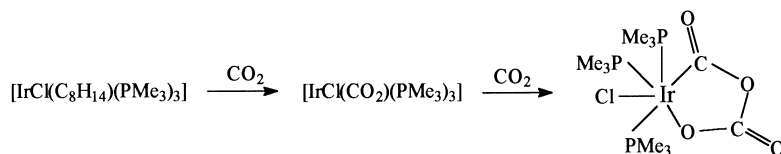
Scheme 12.

Recent studies of the reaction of hex-3-yne with  $\text{CO}_2$  in the presence of  $[\text{Ni}(\text{TMEDA})(\text{COD})]$  have revealed that a competing reaction in the catalytic cyclo-oligomerization of hex-3-yne and  $\text{CO}_2$  is the formation of carbon monoxide and  $[\text{Ni}(\text{TMEDA})(\text{CO}_3)]$  [90]. These authors have suggested that the reduction of  $\text{CO}_2$  to  $\text{CO}$  probably proceeds via an intermediate in which two molecules of  $\text{CO}_2$  are coupled head-to-tail to form a metallacycle as shown in Scheme 12. The head-to-tail coupling of two molecules of  $\text{CO}_2$  has been observed for an iridium complex, as shown in Scheme 13 [91].

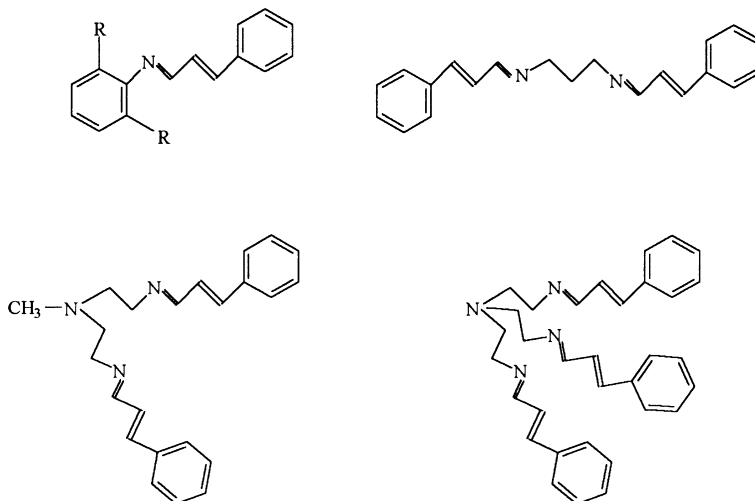
More recently, Walther et al. [92] have reported that the coupling of  $\text{CO}_2$  with 1-azadiene type ligands (see Scheme 14) of the complexes  $[\text{Ni}(\text{1-azadiene})_n]_2$  forms nickellacyclic carbamate complexes. In these carbamate complexes,  $\text{CO}_2$  is activated and is able to carboxylate acetophenone to yield benzoic acid upon protolysis.

The oxidative coupling of  $\text{CO}_2$  with the metal carbon triple ( $\text{M}\equiv\text{C}$ ) bond in some carbyne complexes has also been reported [93,94]. Either the carbon or one oxygen atom of a  $\text{CO}_2$  molecule could bind to the metal depending on the nature of the metal of the carbyne complex. Fisher et al. [93] have reported the reaction of the anionic carbyne complexes with  $\text{CO}_2$  to afford the oxygen-bound metallacycle as shown in Scheme 15.

The reaction of  $\text{CO}_2$  with a ruthenium carbyne complex, however, affords the carbon-bound metallacycle as shown in Scheme 16 [94]. The above mentioned coupling reactions serve as unique examples for the oxidative coupling of  $\text{CO}_2$  at a transition metal centre.



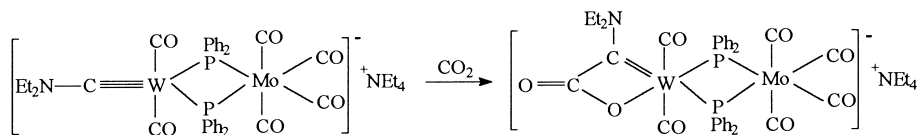
Scheme 13.



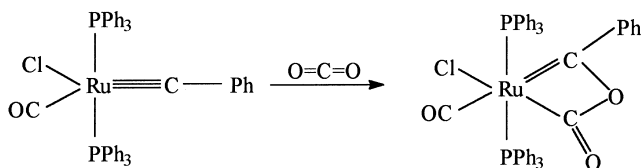
Scheme 14.

#### 4. Insertion reactions of $\text{CO}_2$

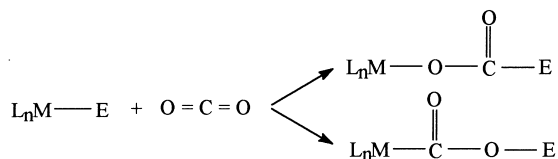
The insertion of  $\text{CO}_2$  into a preformed metal–element (M–E) bond is of great industrial importance. There are mainly seven types of  $\text{CO}_2$  insertion reactions reported so far, i.e. the insertion of  $\text{CO}_2$  into the M–E bond (where E = C, H, N, O, P, Si or other metal). Two possible products could be obtained from the insertion of  $\text{CO}_2$  into the M–E bond, as shown in Scheme 17.



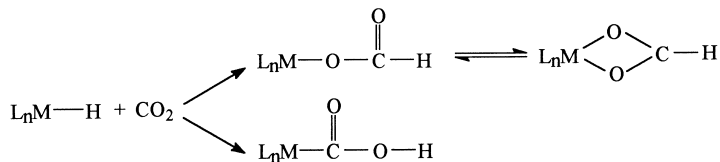
Scheme 15.



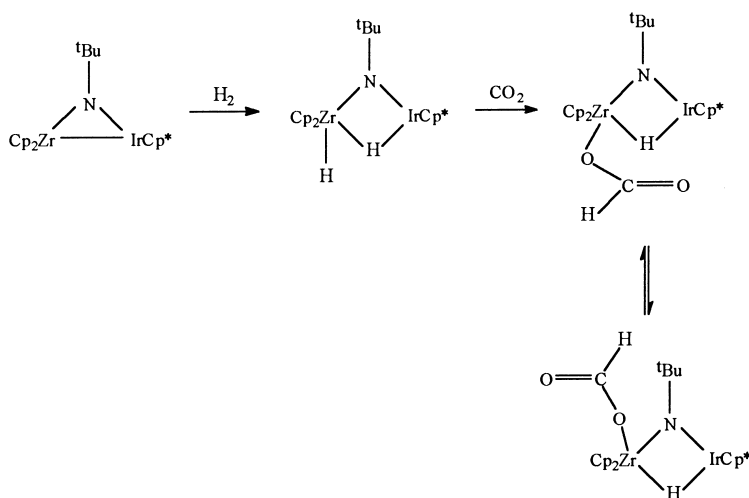
Scheme 16



Scheme 17

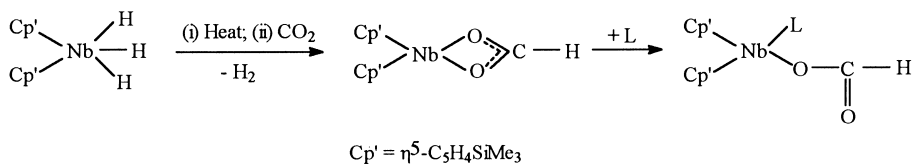


Scheme 18.

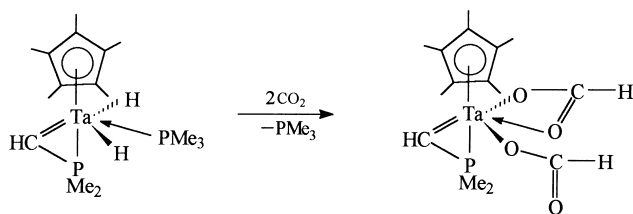


Scheme 19

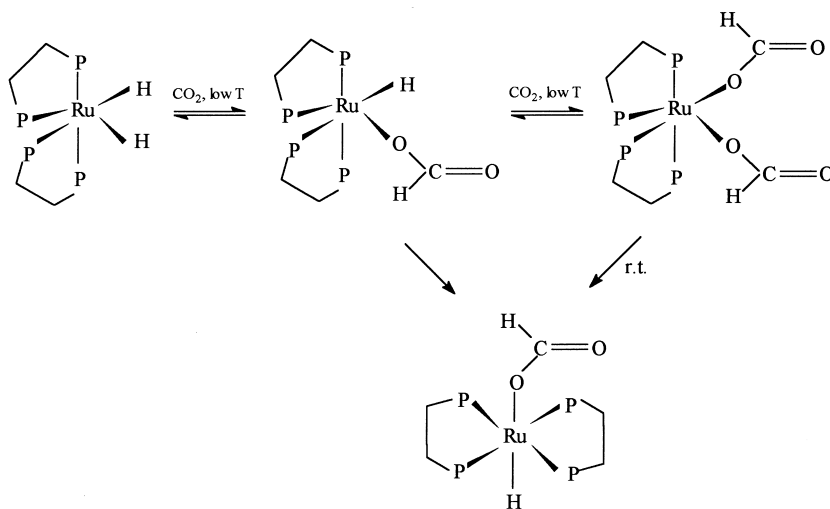
There are several reviews on the insertion of  $\text{CO}_2$  into the  $\text{M}-\text{E}$  bond in the literature [22,27–31], which have covered the results in this area up to 1994. Only the more recent developments in this area will be summarized. If there is no current example of a particular type of reaction, examples of earlier studies may be provided. This is to show the wide applications of the insertion reactions in



Scheme 20



Scheme 21



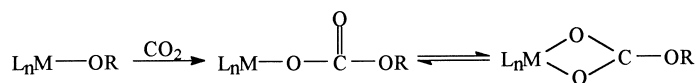
Scheme 22

activation of  $\text{CO}_2$ . Only the successfully demonstrated examples of  $\text{CO}_2$  insertion reactions will be included and attempted, but unsuccessful results will be excluded except in cases where a comparison between the reactivity of related complexes is made.

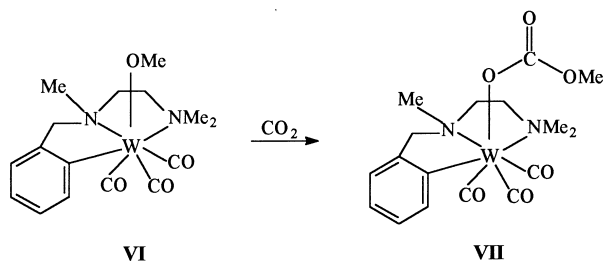
#### 4.1. Insertion of $\text{CO}_2$ into the $\text{M}-\text{H}$ bond

The insertion of  $\text{CO}_2$  into the  $\text{M}-\text{H}$  bond can lead either to a formate complex or a metallocarboxylic acid complex as shown in Scheme 18.

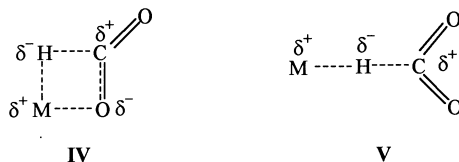
The first step in the reaction is the nucleophilic attack of the  $\text{L}_n\text{M}^{\delta+}-\text{H}^{\delta-}$  at the electrophilic carbon of  $\text{CO}_2$  to form the polar transition states **IV** and **V**. This process requires a rather polar  $\text{M}^{\delta+}-\text{H}^{\delta-}$  bond [95].



Scheme 23



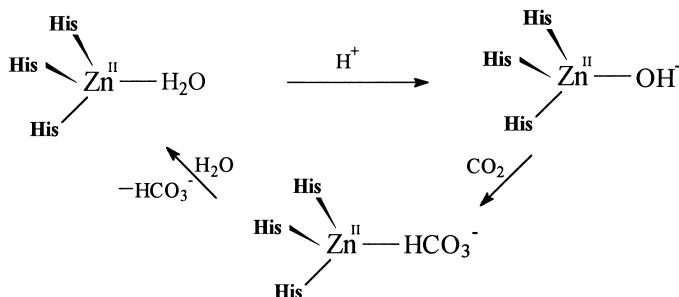
Scheme 24



Rearrangement of the intermediates **IV** and **V** generally affords the formate complex, while the metallocarboxylic acid complex is a much less common product. In fact, to the best of our knowledge, there are no examples of stable metallocarboxylic acids obtained directly from  $\text{CO}_2$  insertion into  $\text{M-H}$  bonds. A new cobaloxime carboxylic acid complex  $[\text{Co}(\text{dmgH})_2(\text{H}_2\text{O})(\text{CO}_2\text{H})]$  ( $\text{dmgH}_2 = \text{dimethylglyoxime}$ ) with a  $\text{Co-C}$  bond, however, has been prepared by the reaction of  $[\text{Co}(\text{dmgH})_2(\text{H}_2\text{O})_2]$  with  $\text{CO}_2$  [96].

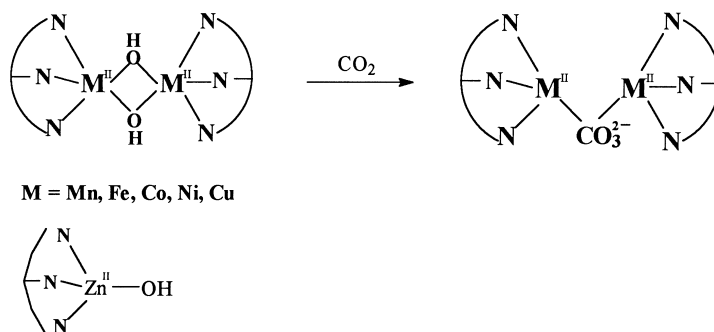
Earlier studies have shown that the rates of insertion of  $\text{CO}_2$  into the  $\text{M-H}$  bond of the anionic complexes  $[\text{MH}(\text{CO})_4\text{L}]^-$  ( $\text{M} = \text{Cr}, \text{Mo}, \text{W}$ ;  $\text{L} = \text{CO}, \text{PR}_3$ ) are dependent on the nucleophilicity of these species, which is affected by the donating ability of the ligand  $\text{L}$  [97].

The reaction of  $[\{\text{CpTi}(\mu\text{-H})\}_2(\mu\text{-}\eta^5\text{-C}_{10}\text{H}_8)]$  with  $\text{CO}_2$  in toluene leads to the formation of the bis(formato) complex  $[\{\text{CpTi}(\mu\text{-}\eta^1\text{-OC(O)H})\}_2(\text{C}_{10}\text{H}_8)]$ , which has been characterized by X-ray structural analysis to contain two monodendate bridging formates [98].



Scheme 25.





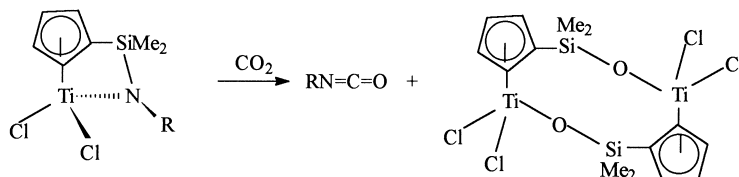
Scheme 26.

The heterobinuclear complex  $[Cp_2Zr(H)(\mu-H)(\mu-N'Bu)IrCp^*]$  reacts rapidly with  $CO_2$ , leading to the formation of two diastereomeric heterobimetallic formate complexes, as shown in Scheme 19 [99]. It has also been found that  $CO_2$  inserts selectively into the terminal  $Zr-H$  bond to afford the terminal formate complex, with no evidence of insertion into the bridging hydride. The reaction of the formate complex with  $PhLi$  leads to lithium formate in 30% yield and regenerates  $[Cp_2Zr(\mu-N'Bu)IrCp^*]$ .

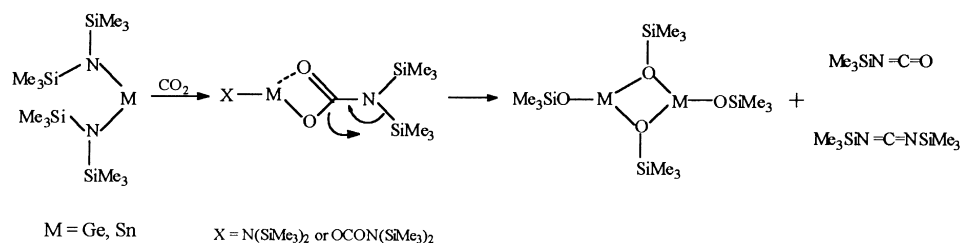
The complex  $[Nb(\eta^5-C_5H_4SiMe_3)_2H_3]$  reacts with  $CO_2$  at  $60^\circ C$  in THF to give the formate complex  $[Nb(\eta^5-C_5H_4SiMe_3)_2\{\eta^2-OC(O)H\}]$  in 95% yield [100]. The reaction of the  $\eta^2-(O,O')$  formate complex with different  $\pi$ -acids or heterocumylene molecules results in opening of the bidentate formate ligand, giving rise to the monodentate formate-containing complexes  $[Nb(\eta^5-C_5H_4SiMe_3)_2\{\eta^1-OC(O)H\}L]$  ( $L = CS_2$ ,  $CO$  or  $2,6-Me_2C_6H_3NC$ ) as a consequence of the coordination of the incoming ligand (Scheme 20).

The complex  $[(\eta^5-C_5Me_5)Ta(PMe_3)(H)_2(\eta^2-CHPMe_2)]$  has been reported to undergo insertion of two equivalents of  $CO_2$  in petroleum ether at r.t. to afford the bis(formato) complex  $[(\eta^5-C_5Me_5)Ta(\eta^1-OC(O)H)(\eta^2-(O,O')OC(O)H)(\eta^2-CHPMe_2)]$ , as shown in Scheme 21 [101].

The insertion of  $CO_2$  into the  $Mn-H$  bond of the complexes  $[Mn(NO)_2(L)_2H]$  ( $L = PMe_3$ ,  $PEt_3$ ) in toluene at room temperature gives the  $\eta^1$ -formate complexes  $[Mn(NO)_2(L)_2\{OC(O)H\}]$  ( $L = PMe_3$ ,  $PEt_3$ ) in high yields (94–98%) [102]. The complexes  $[Mn(CO)_3(L)_2H]$  ( $L = PMe_3$ ,  $PEt_3$ ) do not react with  $CO_2$  even under more vigorous reaction conditions. This is attributed to the nucleophilicity of the



Scheme 27.



Scheme 28.



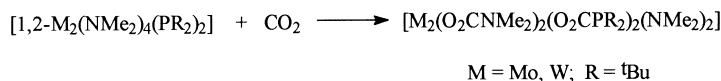
Scheme 29.

nitrosyl-substituted complexes for the reaction with CO<sub>2</sub>, while the carbonyl derivatives have not attained sufficient nucleophilicity for the same reaction [102].

The reactions of the complexes [ReH(CO)<sub>5-n</sub>(PMe<sub>3</sub>)<sub>n</sub>] (*n* = 2, 3, 4) with CO<sub>2</sub> have also been reported. The complex with *n* = 2 does not react with CO<sub>2</sub> even at elevated temperatures. The complexes with *n* = 3 and 4 react with CO<sub>2</sub> (1 bar) in toluene at r.t., yielding the η<sup>1</sup>-formate complexes [Re{OC(O)H}(CO)(L)<sub>n</sub>(PMe<sub>3</sub>)<sub>3</sub>] (L = CO, PMe<sub>3</sub>) in high yield (98%). This is proposed to be due to the increased nucleophilicity of the complexes with *n* = 3 and 4 compared with the complex with *n* = 2 [103].

The η<sup>1</sup>-formate complex *fac*-[Re(bipy)(CO)<sub>3</sub>{OC(O)H}] has been prepared by irradiation of the complex *fac*-[Re(bipy)(CO)<sub>3</sub>(PPh<sub>3</sub>)<sub>3</sub>]<sup>+</sup> in the presence of triethanolamine and CO<sub>2</sub>. The formate complex *fac*-[Re(bipy)(CO)<sub>3</sub>{OC(O)H}] was thought to be the ‘real’ photocatalyst for the reduction of CO<sub>2</sub> to CO [104]. However, in a subsequent paper, the same research group reported that the reduction of CO<sub>2</sub> to CO is more efficiently photocatalyzed by *fac*-[Re(bipy)(CO)<sub>3</sub>(PR<sub>3</sub>)<sub>3</sub>]<sup>+</sup> [PR<sub>3</sub> = P(OEt)<sub>3</sub> and P(O<sup>i</sup>Pr)<sub>3</sub>] than by the formate complex [105].

The reaction of *cis*-[Ru(dmpe)<sub>2</sub>H<sub>2</sub>] with CO<sub>2</sub> has been investigated [106]. Addition of CO<sub>2</sub> at 20°C results in the formation of two formate complexes: the major product is the *trans* formate hydride, *trans*-[Ru(dmpe)<sub>2</sub>H{OC(O)H}], while the minor species is the bis(formate) complex *cis*-[Ru(dmpe)<sub>2</sub>{OC(O)H}<sub>2</sub>]. When the addition of CO<sub>2</sub> is performed at –78°C, both the bis(formate) and the *cis*-formate hydride, *cis*-[Ru(dmpe)<sub>2</sub>H{OC(O)H}], are observed (see Scheme 22). The crystal structure of the complex *trans*-[Ru(dmpe)<sub>2</sub>H{OC(O)H}] has been determined [106].



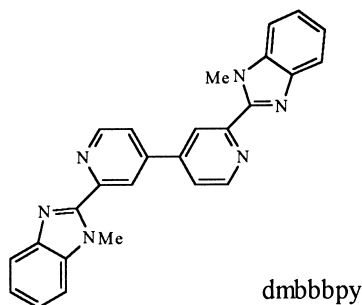
Scheme 30.

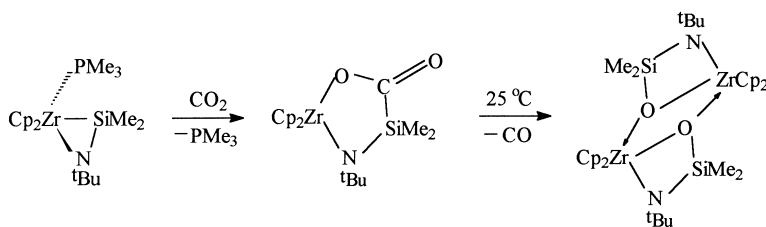
Reaction of  $[\text{Cp}^*\text{Ru}(\text{PCy}_3)\text{Cl}]$  with 1–2 atm of  $\text{CO}_2$  in the presence of  $\text{NaBH}_4$  initially forms the formate complex  $[\text{Cp}^*\text{Ru}(\text{PCy}_3)(\eta^2\text{-O}_2\text{CH})]$  which is gradually converted into formic acid and  $[\text{Cp}^*\text{Ru}(\text{PCy}_3)(\text{COH})]$  [107]. The reaction of  $[\text{Ru}\{\text{MeSi}(\text{CH}_2\text{PMe}_2)_3\}(\text{PMe}_3)\text{H}(\text{Ar})]$  with  $\text{CO}_2$  does not yield a formate complex, but yields the carbonate complex  $[\text{Ru}\{\text{MeSi}(\text{CH}_2\text{PMe}_2)_3\}(\text{PMe}_3)(\text{CO}_3)]$  instead [108].

It is worth highlighting the recent developments in the hydrogenation of  $\text{CO}_2$  [18,20,21] by Ru [109–116] and Rh [117–126] catalysts. The ruthenium complexes  $[\text{RuH}_2(\text{PMe}_3)_4]$  and  $[\text{RuCl}_2(\text{PMe}_3)_4]$  have been found to be very reactive catalysts for the hydrogenation of  $\text{CO}_2$  in a supercritical mixture of  $\text{CO}_2$  and  $\text{H}_2$ , for the formation of formic acid, formate esters and DMF, in the presence of  $\text{NEt}_3$ , alcohols or  $\text{HNMe}_2$  [18,109–112]. A total yield of 420000 mol DMF per mol catalyst ( $\text{TOF} = 6000 \text{ h}^{-1}$ ) has been reported by Jessop et al. [111,112] for the reaction of  $\text{H}_2$ ,  $\text{CO}_2$  and  $\text{HNMe}_2$  catalyzed by  $[\text{RuCl}_2(\text{PMe}_3)_4]$ . More recently, Baiker and coworkers reported the solvent-free synthesis of DMF and of methyl formate by using highly reactive ruthenium complexes with bidentate phosphine ligands [113]. The synthesis of DMF proceeds very rapidly at  $100^\circ\text{C}$  with up to 99% yield and always with 100% selectivity to DMF. An extremely high turnover frequency (TOF) of  $360000 \text{ h}^{-1}$  in DMF synthesis is obtained using the  $[\text{RuCl}_2(\text{dppe})_2]$  catalyst [113].

Baiker and coworkers [114] have also prepared a hybrid immobilized ruthenium complex catalyst using the sol–gel process. The catalyst shows high activity in the synthesis of DMF from  $\text{H}_2$ ,  $\text{CO}_2$  and  $\text{HNMe}_2$  under supercritical conditions. The turnover numbers obtained with the Ru catalyst markedly exceed previously reported values for heterogeneous catalysts, but are lower than those of the homogeneous catalyst  $[\text{RuCl}_2(\text{PMe}_3)_4]$  [111,112].

Electrochemical reduction of  $\text{CO}_2$  to CO by *trans*-(Cl) and *cis*-(Cl)- $[\text{Ru}(\text{bipy})(\text{CO})_2\text{Cl}_2]$ , *cis*-(CO)- $[\text{Ru}(\text{bipy})(\text{CO})_2(\text{CO}_2\text{Me})\text{Cl}]$  and their derivatives has been reported [115]. Tanaka and coworkers have reported that electrochemical reduction of  $\text{CO}_2$  catalyzed by mono- and dinuclear complexes  $[\text{Ru}(\text{bipy})_2(\text{dmmbbpy})][(\text{PF}_6)_2]$  and  $[\text{Ru}(\text{bipy})_2(\text{dmmbbpy})\text{Ru}(\text{bipy})_2][(\text{PF}_6)_4]$  selectively produces formic acid and  $\text{C}_2\text{O}_4^{2-}$ , depending on the presence and the absence of water, respectively, in acetonitrile [116].





Scheme 31.

The authors suggested that two-electron reduction of the mono- and dinuclear complexes causes dechelation of dmbbpy, the resultant five-coordinate Ru and monodentate dmbbpy<sup>−</sup> may provide two binding sites for an attack of CO<sub>2</sub>, which would facilitate a coupling reaction of CO<sub>2</sub> affording oxalate [116]. This work has demonstrated the effect of water in determining the product selectivity. In order to obtain oxalate, an anhydrous condition is essential. Catalytic production of oxalate selectively by the reduction of CO<sub>2</sub> using [(CpM)<sub>3</sub>(μ<sub>3</sub>-S)<sub>2</sub>]<sup>2+</sup> (M = Co, Rh and Ir) has been reported before [117].

The effects of ligands on the catalytic hydrogenation of CO<sub>2</sub> to formic acid using in situ catalysts formed from [Rh(COD)(μ-Cl)]<sub>2</sub> and monodentate and bidentate phosphorus ligands have been studied [118,119]. The mechanism of the Rh-catalyzed hydrogenation of CO<sub>2</sub> to formic acid has recently been investigated by kinetic and theoretical studies [120–122]. The synthesis of formic acid using water-soluble rhodium catalysts with sulfonated phosphanes has been reported by Gassner and Leitner [123]. The reaction conditions (water–amine mixtures) are similar to those used for separation of CO<sub>2</sub> from flue gases.

A series of complexes of the type [RhP<sub>2</sub>(hfacac)] (P<sub>2</sub> = bidentate chelating phosphane, hfacac = hexafluoroacetylacetonate) has been investigated for CO<sub>2</sub> hydrogenation to formic acid. It is found that <sup>103</sup>Rh NMR shifts of these complexes in solution are mainly determined by the P–Rh–P angles as obtained from solid-state structures, and are correlated to the catalytic activity of these complexes [124,125]. The accessible molecular surface (AMS) model has been introduced as a new approach for the description of steric ligand effects in homogeneous catalysis, particularly for the rhodium-catalyzed hydrogenation of CO<sub>2</sub> [125].

A cationic rhodium complex [Rh(η<sup>2</sup>-(O,P)Cy<sub>2</sub>PCH<sub>2</sub>CH<sub>2</sub>OCH<sub>3</sub>)<sub>2</sub>][BPh<sub>4</sub>] is an efficient catalyst for the hydrogenation of CO<sub>2</sub> to produce formic acid. The addition of triethylamine and water, respectively, is crucial for the synthesis of formic acid in high yields [126].

#### 4.2. Insertion of CO<sub>2</sub> into the M–O bond

A variety of transition metal complexes with M–OR groups undergo insertion reactions with CO<sub>2</sub>. If R is an organic moiety, alkyl or aryl carbonate complexes are formed; if R is hydrogen, hydrogen carbonate species are obtained [22,27–31] (see Scheme 23).

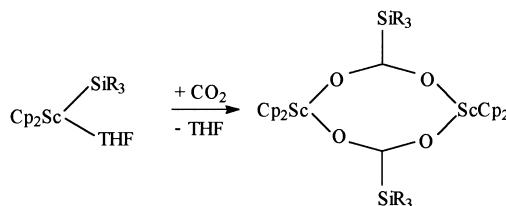
The reaction of the methoxide tungsten complex [VI] (see Scheme 24) with an atmosphere of CO<sub>2</sub> in CH<sub>2</sub>Cl<sub>2</sub> at 40°C for ca. 72 h results in the formation of the  $\eta^1$ -carbonate complex [VII], isolated as an air-stable yellow solid in 24% yield. Regeneration of [VI] when either a THF solution or a solid sample of [VII] is placed under vacuum demonstrates the reversible nature of this CO<sub>2</sub> insertion [127].

Although the insertion of CO<sub>2</sub> into the W–O bond of [Et<sub>4</sub>N][W(CO)<sub>5</sub>OPh] proceeds quickly at ambient temperature and atmospheric pressure to afford the corresponding phenyl carbonate complex [Et<sub>4</sub>N][W(CO)<sub>5</sub>{O<sub>2</sub>COPh}] [128], the complex [Et<sub>4</sub>N][W(CO)<sub>4</sub>(PPh<sub>2</sub>Me)OPh] reacts slowly with CO<sub>2</sub>, compared with [Et<sub>4</sub>N][W(CO)<sub>5</sub>OPh] [129]. The aryloxo complex [Et<sub>4</sub>N][W(CO)<sub>5</sub>{O-2,6-Ph<sub>2</sub>-C<sub>6</sub>H<sub>3</sub>}] is not reactive to CO<sub>2</sub> even under more vigorous conditions [129]. This lack of CO<sub>2</sub> reactivity is proposed to be due to the steric interference of the bulky ligand. The heterobinuclear complex [Et<sub>4</sub>N][W(CO)<sub>5</sub>OPh{Cr(CO)<sub>3</sub>}] is also not reactive to CO<sub>2</sub> [130].

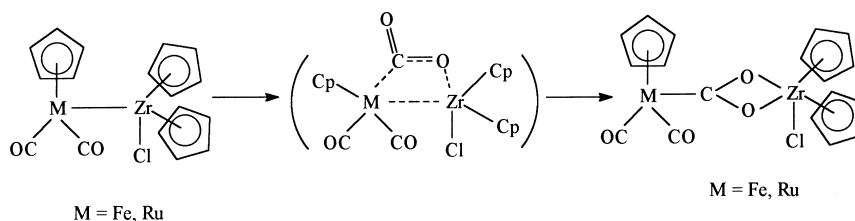
The Mn(I) and Re(I) alkoxide complexes *fac*-[M(CO)<sub>3</sub>(P–P)(OR)] (M = Mn or Re; P–P = dppe or dppp; R = CH<sub>3</sub>, C<sub>2</sub>H<sub>5</sub> or CF<sub>3</sub>CH<sub>2</sub>) react readily at r.t. with CO<sub>2</sub> by insertion of CO<sub>2</sub> into the M–O bond, giving rise to the corresponding carbonate complexes *fac*-[M(CO)<sub>3</sub>(P–P){O<sub>2</sub>COR}] [131]. Benzene solutions of the alkoxide complexes can even absorb CO<sub>2</sub> from the atmosphere. It is also found that the insertion and deinsertion of CO<sub>2</sub> from these complexes are reversible under mild conditions. The crystal structure of the carbonate complex *fac*-[M(CO)<sub>3</sub>(dppe){O<sub>2</sub>COCH<sub>3</sub>}] has been determined [131].

The fixation of CO<sub>2</sub> by hydroxo metal complexes to afford the metal bicarbonate or carbonate species is relevant to the fixation of CO<sub>2</sub> by some metalloenzymes. The enzyme, whose function is apparently related directly to the fixation of CO<sub>2</sub> by hydroxo metal complexes, is carbonic anhydrase [132]. The proposed mechanism for CO<sub>2</sub> hydration by the carbonic anhydrase is shown in Scheme 25 [132].

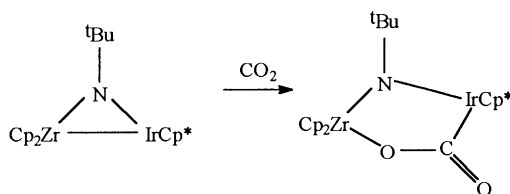
A variety of zinc-containing carbonic anhydrase model systems have been described [133]. A series of hydroxo complexes of the first-row divalent metal ions (Mn, Fe, Co, Ni, Cu, Zn), containing the ligand HB(3,5-*i*Pr<sub>2</sub>pz)<sub>3</sub> [hydrotris(3,5-diisopropyl-1-pyrazolyl)-borate] as shown in Scheme 26, are found to react with CO<sub>2</sub> to afford  $\mu$ -carbonato-dinuclear complexes, of which the molecular structures have been determined [133]. The zinc complex [Tp<sup>tBu,Me</sup>Zn–OH] ([Tp<sup>tBu,Me</sup> = hydrotris(3-*tert*-butyl-5-methylpyrazolyl)borate] reacts with CO<sub>2</sub>, forming the unstable bicarbonate complex [Tp<sup>tBu,Me</sup>ZnOC(O)OH] in solution from which the dinuclear



Scheme 32.



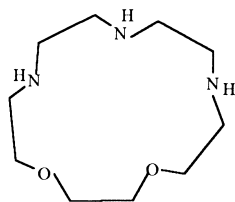
Scheme 33.

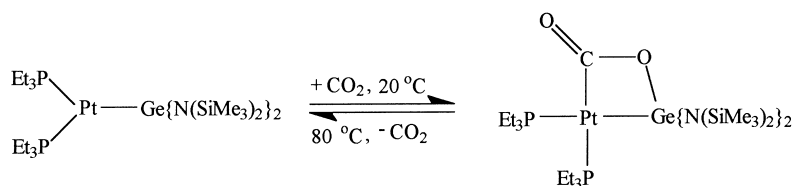


Scheme 34.

carbonate complex  $[\text{Tp}^{\text{tBu,Me}}\text{ZnOC}(\text{O})\text{OZnTp}^{\text{tBu,Me}}]$  crystallizes [134]. The zinc hydroxide complexes  $[\text{Tp}^{\text{Cum,Me}}\text{Zn}-\text{OH}]$   $[\text{Tp}^{\text{Cum,Me}} = \text{hydrotris}(3\text{-cumenyl-5-methylpyrazolyl})\text{borate}]$  and  $[\text{Tp}^{\text{tBu,Me}}\text{Zn}-\text{OH}]$  can be converted to the alkyl carbonate complexes  $[\text{Tp}^*\text{Zn}-\text{OCO}_2\text{R}]$  by reaction with alcohol and  $\text{CO}_2$  or dialkyl dicarbonates. The zinc complexes catalyze the formation of diethyl carbonate from ethanol and  $\text{CO}_2$  [135].

Solutions containing the Cu(II) and Zn(II) complexes with the macrocyclic ligand L ( $\text{L} = [\text{15}] \text{aneN}_3\text{O}_2$ ) rapidly absorb atmospheric  $\text{CO}_2$  to give the carbonate bridged trinuclear  $[\{\text{ZnL}\}_3(\mu_3\text{-CO}_3)][(\text{ClO}_4)_4]$  and  $[\{\text{CuL}\}_3(\mu_3\text{-CO}_3)][(\text{ClO}_4)_4]$  complexes [136]. The crystal structures of both complexes have been reported. The process of  $\text{CO}_2$  fixation is believed to be due to the presence of the hydroxo species  $[\text{M}(\text{II})\text{L}(\text{OH})]^+$  ( $\text{M} = \text{Zn, Cu}$ ) [136].

[15]aneN<sub>3</sub>O<sub>2</sub>



Scheme 35.

#### 4.3. Insertion of $\text{CO}_2$ into the $\text{M}-\text{N}$ bond

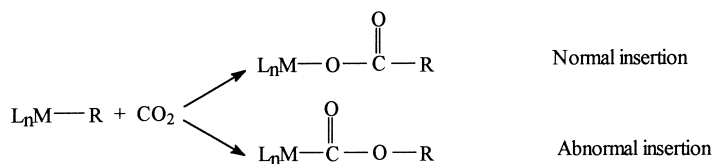
The insertion of  $\text{CO}_2$  into the  $\text{M}-\text{N}$  bond has been investigated not only for transition metals [22,27–31], but also for the Group 14 elements Ge and Sn [137].

The complexes  $[\text{Cp}^*\text{Ti}(\text{NR}_2)_3]$  ( $\text{R} = \text{Me}$  or  $\text{Et}$ ) react rapidly with  $\text{CO}_2$  in toluene to give the corresponding insertion products  $[\text{Cp}^*\text{Ti}(\eta^2\text{-O}_2\text{CNR}_2)_3]$  ( $\text{R} = \text{Me}$  or  $\text{Et}$ ) [138]. Hydrolysis takes place if the solutions of these complexes are exposed to wet air, which leads to the formation of the complexes  $[\{\text{Cp}^*\text{Ti}(\eta^2\text{-O}_2\text{CNR}_2)\}_2(\mu\text{-O})_2]$  ( $\text{R} = \text{Me}$  or  $\text{Et}$ ). The structure of the complex where  $\text{R} = \text{ethyl}$  has been determined [138].

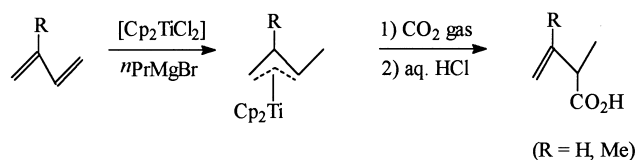
A comparative study for the insertion of  $\text{CO}_2$  into  $\text{W}-\text{C}$ ,  $\text{W}-\text{N}$ , and  $\text{W}-\text{O}$  bonds has been carried out for three related complexes  $[\text{Cp}^*\text{W}(\text{NO})(\text{NHCMe}_3)(\text{CH}_2\text{CMe}_3)]$ ,  $[\text{Cp}^*\text{W}(\text{NO})(\text{NHCMe}_3)(\text{OCMe}_3)]$  and  $[\text{Cp}^*\text{W}(\text{NO})(\text{OCMe}_3)(\text{CH}_2\text{CMe}_3)]$  [139]. The only compound that reacts with  $\text{CO}_2$  to give the  $\text{CO}_2$ -inserted product  $[\text{Cp}^*\text{W}(\text{NO})(\eta^2\text{-O}_2\text{CNHCMe}_3)(\text{CH}_2\text{CMe}_3)]$  is the  $\text{W}-\text{N}$  bond-containing complex  $[\text{Cp}^*\text{W}(\text{NO})(\text{NHCMe}_3)(\text{CH}_2\text{CMe}_3)]$ . This study shows that the more nucleophilic amidonitrogen is the preferential site of  $\text{CO}_2$  insertion.

The reaction of the complexes  $[\text{Ti}(\eta^5\text{-}\eta^1\text{-C}_5\text{H}_4\text{SiMe}_2\text{NR})\text{Cl}_2]$  ( $\text{R} = \text{'Bu}$  or  $\text{CHMePh}$ ) with  $\text{CO}_2$  leads to their conversion into the oxo derivative  $[\text{Ti}(\mu\text{-}\eta^5\text{-C}_5\text{H}_4\text{SiMe}_2\text{O})\text{Cl}_2]_2$  with elimination of the alkyl isocyanates  $\text{O}=\text{C}=\text{NR}$  ( $\text{R} = \text{'Bu}$  or  $\text{CHMePh}$ ) as organic products (see Scheme 27). This is believed to be due to a metathesis process between  $\text{CO}_2$  and the  $\text{M}-\text{N}$  bond (which is of partial double-bond character) [140].

Other examples of the metathesis between  $\text{CO}_2$  and the  $\text{M}-\text{N}$  bond are the reactions of  $[\text{M}\{\text{N}(\text{SiMe}_3)_2\}_2]$  ( $\text{M} = \text{Ge}$  and  $\text{Sn}$ ) with  $\text{CO}_2$  to give  $[\text{M}\{\text{OSiMe}_3\}_2]_2$ ,  $\text{Me}_3\text{SiN}=\text{C}=\text{O}$  and  $\text{Me}_3\text{SiN}=\text{C}=\text{NSiMe}_3$  [137]. The mechanism by which the metathesis process occurs is proposed as the insertion of  $\text{CO}_2$  into the corresponding  $\text{M}-\text{NR}_2$  bonds, as shown in Scheme 28. Metathetical exchange between  $\text{CO}_2$  and the tin(II) dimer,  $[\text{Sn}\{\text{N}(\text{SiMe}_3)_2\}(\mu\text{-O'Bu})_2]$  produces two new heteroleptic tin(II) dimers,  $[\text{Sn}\{\text{N}(\text{SiMe}_3)_2\}(\mu\text{-O'Bu})_2\text{Sn}(\text{OSiMe}_3)]$  and  $[\text{Sn}(\text{OSiMe}_3)(\mu\text{-O'Bu})_2]$  [141]. The inser-



Scheme 36.



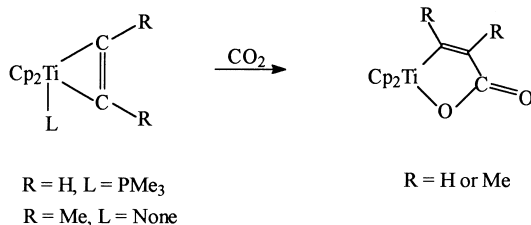
Scheme 37.

tion of CO<sub>2</sub> into the Pd–N bond of *trans*-[PdPh(PMe<sub>3</sub>)<sub>2</sub>(NHPh)] gives *trans*-[PdPh(PMe<sub>3</sub>)<sub>2</sub>(O<sub>2</sub>CNHPh)] [142].

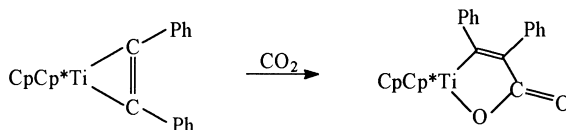
The dialkyl carbamate complexes [M(O<sub>2</sub>CNR<sub>2</sub>)<sub>n</sub>] (M = Nb or Ta, R = Et, *n* = 5; M = Nb, R = Et or <sup>*i*</sup>Pr, *n* = 4) have been prepared from the corresponding metal chlorides with CO<sub>2</sub> and HNR<sub>2</sub> in toluene [143]. The reaction of [Pd(η<sup>3</sup>-allyl)Me(PPh<sub>3</sub>)] with diethylamine under an atmosphere of CO<sub>2</sub> has been reported to give a dinuclear complex [{Pd(PPh<sub>3</sub>)}<sub>2</sub>(μ-η<sup>3</sup>-C<sub>3</sub>H<sub>5</sub>)(μ-O<sub>2</sub>CNEt<sub>2</sub>)] in 63% yield [144,145]. The dimethyl complexes [PdMe<sub>2</sub>L<sub>2</sub>] (L = tertiary phosphine) react with CO<sub>2</sub> and dialkylamines HNR<sub>2</sub>R' (R, R' = H, alkyl and phenyl) to give the corresponding carbamate complexes [PdMe(O<sub>2</sub>CNRR')L<sub>2</sub>] [146]. These reactions may be better regarded as ligand substitutions rather than CO<sub>2</sub> insertions because the formation of the carbamate complexes may go via the routes shown in Scheme 29 [28].

#### 4.4. Insertion of CO<sub>2</sub> into the M–P bond

Only two examples for the insertion of CO<sub>2</sub> into an M–P bond are available [147,148]. The insertion of CO<sub>2</sub> into both the M–N and the M–P bonds of the complexes [1,2-M<sub>2</sub>(NMe<sub>2</sub>)<sub>4</sub>(P<sup>*i*</sup>Bu<sub>2</sub>)<sub>2</sub>] (M = Mo and W) initially yields [1,2-M<sub>2</sub>(O<sub>2</sub>CNMe<sub>2</sub>)<sub>2</sub>(O<sub>2</sub>CP<sup>*i*</sup>Bu<sub>2</sub>)<sub>2</sub>(NMe<sub>2</sub>)<sub>2</sub>] (M = Mo and W) [147,148]. The molybdenum compound is labile to ligand exchange reactions in solution to yield

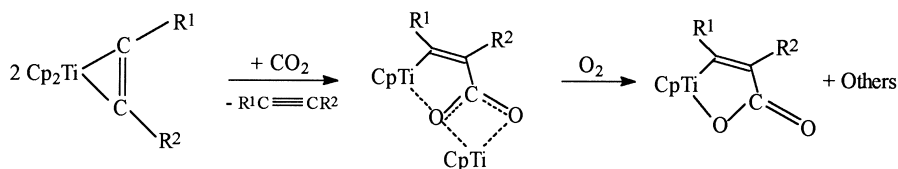


Scheme 38.

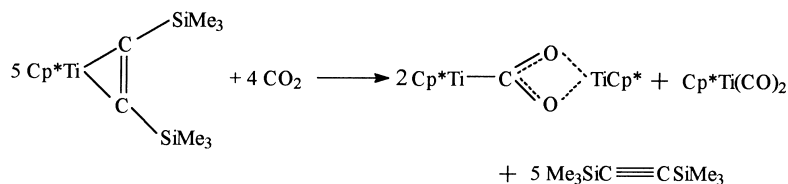


Scheme 39





Scheme 40.



Scheme 41.

$[\text{Mo}_2(\text{O}_2\text{CP}^t\text{Bu}_2)_4] \cdot 2\text{C}_6\text{H}_6$  a compound having a Mo–Mo quadruple bond supported by a paddle-wheel arrangement of four phosphinocarboxylate ligands which support the Mo–Mo bond [147,148] (see Scheme 30).

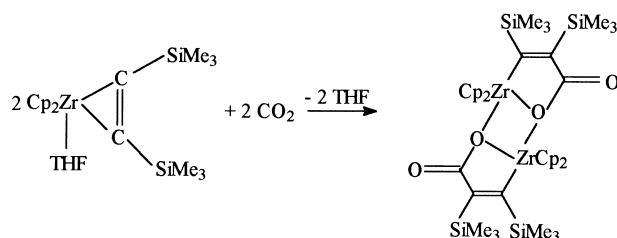
#### 4.5. Insertion of $\text{CO}_2$ into the M–Si bond

The insertion of  $\text{CO}_2$  into the Zr–Si bond of  $[\text{Cp}_2\text{Zr}(\eta^2\text{-SiMe}_2\text{N}^t\text{Bu})(\text{PMe}_3)]$  has been observed at low temperature (using  $^{13}\text{CO}_2$ ) leading to the formation of cyclo- $[\text{Cp}_2\text{ZrOC}(=\text{O})\text{SiMe}_2\text{N}^t\text{Bu}]$ , which decomposes at  $25^\circ\text{C}$  to give CO and the dimerization product as shown in Scheme 31 [149].

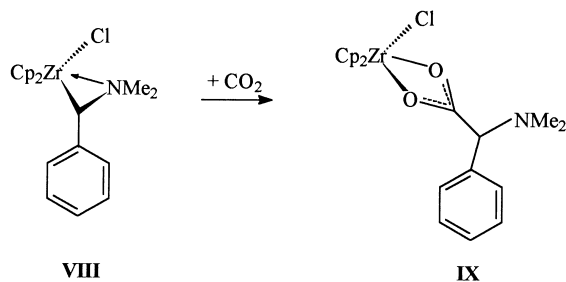
The insertion of  $\text{CO}_2$  into the Sc–Si bond of  $[\text{Cp}_2\text{Sc}(\text{SiR}_3)(\text{THF})]$  [ $\text{R} = (\text{SiMe}_3)_3$  and  $^t\text{BuPh}_2$ ] occurs readily to form the dimeric silanecarboxylate complexes  $[\text{Cp}_2\text{Sc}(\mu\text{-O}_2\text{CSiR}_3)]_2$ . The crystal structure of the complex where  $\text{R} = \text{SiMe}_3$  has been reported [150] (see Scheme 32).

#### 4.6. Insertion of $\text{CO}_2$ into the metal–metal (M–M') bond

Activation of  $\text{CO}_2$  by simultaneous interaction with electron-rich and electron-



Scheme 42.

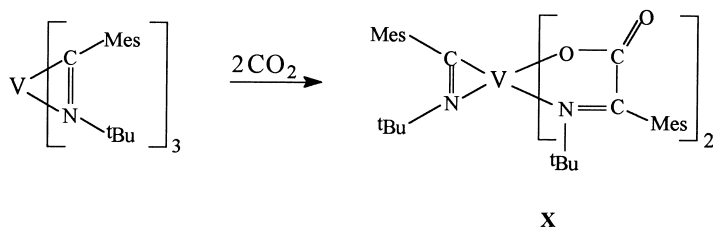


Scheme 43.

deficient metal centres has been of continuous interest since the concept of bifunctional  $\text{CO}_2$  activation was first introduced by Floriani and coworkers in 1974 [46–48]. Recently, Cutler and coworkers [151] have shown the first examples of direct insertion of  $\text{CO}_2$  into the early–late transition metal bond. The insertion of  $\text{CO}_2$  into the  $\text{M}–\text{Zr}$  bond ( $\text{M} = \text{Fe}, \text{Ru}$ ) of  $[\text{Cp}(\text{CO})_2\text{M}–\text{Zr}(\text{Cl})\text{Cp}_2]$ , forming the  $\mu-\eta^1(\text{C}):\eta^2(\text{O}, \text{O}')$  compounds  $[\text{Cp}(\text{CO})_2\text{M}–\text{CO}_2–\text{Zr}(\text{Cl})\text{Cp}_2]$ , may involve a bifunctional  $\text{CO}_2$  activation step, as shown in Scheme 33 [151].

A series of  $\text{CO}_2$ -bridged heterobimetallic complexes of the type  $[\text{LM}–\text{CO}_2–\text{L}'\text{M}']$  are known, although most of them have been prepared by other routes [28,32,152]. Recently, Bergman and coworkers [99] have reported another direct insertion of  $\text{CO}_2$  into an  $\text{M}–\text{M}'$  bond, namely the  $\text{Ir}–\text{Zr}$  bond of the complex  $[\text{Cp}_2\text{Zr}(\mu-\text{N}'\text{Bu})\text{IrCp}^*]$ , to give the unique heterobimetallic complex  $[\text{Cp}_2\text{Zr}(\mu-\text{N}'\text{Bu})\{\mu-\text{OC}(\text{O})\}\text{IrCp}^*]$ , as shown in Scheme 34. The crystal structure of the complex  $[\text{Cp}_2\text{Zr}(\mu-\text{N}'\text{Bu})\{\mu-\text{OC}(\text{O})\}\text{IrCp}^*]$  has been determined [99].

Reversible reaction of  $\text{CO}_2$  with the three-coordinate complex  $[(\text{Et}_3\text{P})_2\text{PtGe}\{\text{N}(\text{SiMe}_3)_2\}_2]$  has been reported by Litz et al. [153], as shown in Scheme 35. The structure of  $\text{CO}_2$  complex showed a side-bound  $\text{CO}_2$  molecule to the  $\text{Pt}–\text{Ge}$  moiety, forming a highly distorted four-membered metallacycle. The presence of the germylene ligand and the formation of a  $\text{Ge}–\text{O}$  bond is believed to be crucial for the reaction of  $[(\text{Et}_3\text{P})_2\text{PtGe}\{\text{N}(\text{SiMe}_3)_2\}_2]$  with  $\text{CO}_2$ . Despite the apparent analogy to metal–carbene chemistry shown in the formation of the metallacycle, alkenes such as ethylene and norbornene do not react with  $[(\text{Et}_3\text{P})_2\text{PtGe}\{\text{N}(\text{SiMe}_3)_2\}_2]$  to form a metallacyclobutane [153].



Scheme 44.

‘Grignard-analogous’ rhodium phosphane complexes  $[\text{Rh}\{(\text{Ph}_2\text{P}(\text{CH}_2)_n\text{PPh}_2)_2\}][\text{MgCl}]$  ( $n = 2, 3$ ) have recently been reported to react with  $\text{CO}_2$  to form  $\text{MgCO}_3$  and CO [154]. The authors believe that the highly nucleophilic rhodium centre provides the two electrons required for this process.

#### 4.7. Insertion of $\text{CO}_2$ into the $\text{M}-\text{C}$ bond

This insertion reaction is of paramount importance in the utilization of  $\text{CO}_2$  as a source of carbon [7,16,17,27–31]. There are two possible modes of  $\text{CO}_2$  insertion into the  $\text{M}-\text{C}$  bond of a complex: normal insertion leads to the formation of a carboxylate complex, and inverse insertion (or abnormal insertion) to the formation of a 1-metalated formate ester (or alkoxycarbonyl complex), as shown in Scheme 36. Recent reviews of the results on  $\text{CO}_2$  insertion into the  $\text{M}-\text{C}$  bond have covered the work up to 1993 [27–31]. Therefore, only the most recent results will be presented.

The reaction of  $\text{CO}_2$  with  $[\text{Cp}_2\text{Ti}(\eta^3\text{-allyl})]$  complexes (isolated or prepared in situ) takes place in a highly regioselective manner to give the  $\beta,\gamma$ -unsaturated carboxylic acid as the sole regioisomer [155] (see Scheme 37).

Cyclopentadiene can also be used to prepare 2-cyclopentene-1-carboxylic acid via the same process. Replacement of the achiral Cp ligands of  $[\text{Cp}_2\text{TiCl}_2]$  with chiral ligands neomenthyl- $\eta^5$ -cyclopentadienyl (NMCp) results in asymmetric  $\text{CO}_2$  fixation to produce chiral  $\beta,\gamma$ -unsaturated carboxylic acids [155].

The insertion of  $\text{CO}_2$  into the Ti–C bond of the acetylene complexes  $[\text{Cp}_2\text{Ti}(\text{PMe}_3)(\text{C}_2\text{H}_2)]$  and  $[\text{Cp}_2\text{Ti}(\text{C}_2\text{Me}_2)]$  gives the five-membered metallacycles, as shown in Scheme 38 [156,157].

In the interaction of the tolane complex  $[\text{CpCp}^*\text{Ti}(\text{PhC}_2\text{Ph})]$  with  $\text{CO}_2$ , the insertion of a  $\text{CO}_2$  molecule into the Ti–C bond of the titanacyclopentene ring takes place with the formation of the titanafuranone metallacycle, as shown in Scheme 39 [158].

The reaction of  $\text{CO}_2$  with tolane, bis(trimethylsilyl)acetylene and phenyl(trimethylsilyl)acetylene complexes of titanocene  $[\text{Cp}_2\text{Ti}(\text{R}^1\text{C}_2\text{R}^2)]$  ( $\text{R}^1 = \text{R}^2 = \text{Ph}$ ;  $\text{R}^1 = \text{R}^2 = \text{SiMe}_3$ ;  $\text{R}^1 = \text{Ph}$ ,  $\text{R}^2 = \text{SiMe}_3$ ) results in the displacement of ca. 0.5 mol of free acetylene with the formation of the unusual binuclear alkenylcarboxylate complexes of trivalent titanium  $[\text{Cp}_2\text{TiC}(\text{R}^1)=\text{C}(\text{R}^2)-\text{C}(\text{O})\text{OTiCp}_2]$ , as shown in Scheme 40 [159,160]. More recently, the same research group has reported the reaction of the binuclear complex with oxygen to form the titanafuranone metallacycles as shown in Scheme 40 [161].

In the interaction of  $\text{CO}_2$  with the bis(trimethylsilyl)acetylene complex  $[\text{Cp}_2^*\text{Ti}(\text{Me}_3\text{SiC}_2\text{SiMe}_3)]$ , full displacement of bis(trimethylsilyl)acetylene from the titanium coordination sphere takes place and  $\text{CO}_2$  undergoes disproportionation to form  $[\text{Cp}_2^*\text{Ti}(\text{CO})_2]$  and the binuclear carbonate complex  $[\{\text{Cp}_2^*\text{Ti}\}_2\text{CO}_3]$  [160–162] (see Scheme 41). The structure of  $[\{\text{Cp}_2^*\text{Ti}\}_2\text{CO}_3]$  has been established by an X-ray diffraction study [160]. These studies of the reactivity of the titanium complexes with  $\text{CO}_2$  have demonstrated [156–162] that the ligands play a significant role in directing the reaction pathways of these complexes.

The zirconium bis(trimethylsilyl)acetylene complex  $[\text{Cp}_2\text{Zr}(\text{THF})(\text{Me}_3\text{SiC}_2\text{SiMe}_3)]$  readily reacts with  $\text{CO}_2$  at r.t. to form the dimeric zirconafuranone metallacycle [163] (see Scheme 42).

The insertion of  $\text{CO}_2$  into the  $\text{Zr}-\text{C}$  bond of the complex [VIII] gives the product [IX] in low yield [164] (see Scheme 43).

Treatment of the zirconium porphyrin dimethyl complex  $[\text{Zr}(\text{OEP})\text{Me}_2]$  (OEP = dianion of octaethylporphyrin) with  $\text{CO}_2$  generates the acetate complex  $[\text{Zr}(\text{OEP})(\text{OAc})_2]$  [165]. The reaction proceeds rapidly in the dark. Controlled experiments with radical traps indicate that no radicals are involved in this reaction. Recently, the insertion of  $\text{CO}_2$  into the  $\text{Zr}-\text{C}$  bond of the heterobimetallic complex  $[\text{Cp}(\text{PMe}_3)_2\text{RuCH}=\text{CHZr}(\text{Cl})\text{Cp}_2]$  has been reported [166] to produce the carboxylate complex  $[\text{Cp}(\text{PMe}_3)_2\text{RuCH}=\text{CHCO}_2\text{Zr}(\text{Cl})\text{Cp}_2]$ .

The insertion of  $\text{CO}_2$  into the  $\text{V}-\text{C}$  bonds of  $[\text{V}(\text{Mes})_3(\text{THF})]$  (Mes = 2,4,6- $\text{Me}_3\text{C}_6\text{H}_3$ ) gives the tris(carboxylate) derivative  $[\text{V}(\text{O}_2\text{CMes})_3]_n$  [167]. The reaction of the tris(iminoacyl) complex  $[\text{V}\{\eta^2-\text{C}(\text{Mes})=\text{N}^t\text{Bu}\}_3]$  with  $\text{CO}_2$  leads to the double insertion of  $\text{CO}_2$  into the  $\text{V}-\text{C}$  bonds with the formation of the complex [X] [168]. The complex [X] has been characterized by X-ray crystallography (see Scheme 44).

A series of interesting compounds containing coordinated alkyl groups and  $\text{CO}_2$   $[\text{Cp}'_2\text{Nb}(\eta^2-\text{CO}_2)\text{R}]$  ( $\text{R} = \text{CH}_3$ ,  $\text{CH}_2\text{Ph}$ ,  $\text{CH}_2\text{CMe}_3$ ,  $\text{CH}_2\text{SiMe}_3$ ) have been reported [169]. These complexes may serve as model complexes for the intermediates of the  $\text{CO}_2$  insertion into  $\text{M}-\text{C}$  bonds. The complexes  $[\text{Cp}'_2\text{Nb}(\eta^2-\text{CO}_2)\text{R}]$  can be prepared by sodium amalgam reduction of the corresponding alkyl chloride derivatives  $[\text{Cp}'_2\text{Nb}(\text{Cl}_2)\text{R}]$  in the presence of  $\text{CO}_2$  [169]. Alternatively, these complexes can be made by aerobic oxidation of the corresponding metal carbonyl complexes [170]. The  $\eta^2-\text{CO}_2$  coordination mode in the complex  $[\text{Cp}'\text{Nb}(\eta^2-\text{CO}_2)(\text{CH}_2\text{Ph})]$  has been confirmed by X-ray crystallography [169].

The thermal and photochemical decarbonylation rather than insertion was observed for the complex  $[\text{Cp}'_2\text{Nb}(\eta^2-\text{CO}_2)\text{CH}_3]$  [169,171,172]. Recent studies of the reactivity of the complexes  $[\text{Cp}'_2\text{Nb}(\eta^2-\text{CO}_2)\text{R}]$  ( $\text{R} = \text{CH}_3$ ,  $\text{CH}_2\text{Ph}$ ,  $\text{CH}_2\text{CMe}_3$ ,  $\text{CH}_2\text{SiMe}_3$ ) reveal that the reaction courses significantly depend on the nature of the alkyl group [169]. Although there is no report of the successful insertion of the ligand  $\text{CO}_2$  into the  $\text{Nb}-\text{R}$  bond so far, a model complex  $[\text{Cp}'_2\text{Nb}(\eta^2-(\text{O},\text{O}')\text{O}_2\text{CMe})]$  (for the formal insertion of  $\text{CO}_2$  into the  $\text{Nb}-\text{R}$  bond) has been reported [100].

The insertion of  $\text{CO}_2$  into the  $\text{M}-\text{C}$  bond ( $\text{M} = \text{Cr}$ ,  $\text{Mo}$ ,  $\text{W}$ ) of some neutral and anionic compounds has been summarized in earlier reviews [27–31]. Recent studies have shown that the 16-valence electron diaryl complex  $[\text{Cp}^*\text{W}(\text{NO})\text{Ph}_2]$  undergoes  $\text{CO}_2$  insertion to give the  $\eta^2$ -carboxylate complex  $[\text{Cp}^*\text{W}(\text{NO})(\eta^2-\text{O}_2\text{CPh})\text{Ph}]$  [173].

A coordinatively unsaturated acetylide complex  $[\text{Cp}^*\text{Ru}(\text{PPh}_3)(\text{C}\equiv\text{CPh})]$ , generated in situ from the reaction of the vinylidene complex  $[\text{Cp}^*\text{Ru}(\text{Cl})(\text{PPh}_3)(=\text{C}=\text{CH}_2\text{Ph})]$  with  $\text{NEt}_3$ , has been reported to react with  $\text{CO}_2$  to produce the carboxylate complex  $[\text{Cp}^*\text{Ru}(\text{PPh}_3)(\eta^2-\text{O}_2\text{CC}\equiv\text{CPh})]$ . The formation of the carboxylate complex can be explained by migratory insertion of the nucleophilic acetylide ligand to a weakly coordinated carbonyl carbon of  $\text{CO}_2$  [174].

The carboxylation of the phenyl ligand of  $[\text{NiBrPh}(\text{bipy})]$  with  $\text{CO}_2$  in DMF

gives a mixture of benzoic acid and biphenyl after acid hydrolysis. This reaction is proposed to involve the insertion of CO<sub>2</sub> into the Ni–aryl bond [175].

## 5. Conclusion

The knowledge of CO<sub>2</sub> binding and activation has considerably increased since the first stable CO<sub>2</sub> complex [Ni(CO<sub>2</sub>)(PCy<sub>3</sub>)<sub>2</sub>] was structurally characterized more than two decades ago. Different binding modes of CO<sub>2</sub> in mononuclear and polynuclear metal complexes have been characterized and spectroscopic methods are available to distinguish between them. Binding of CO<sub>2</sub> to a metal centre leads to a net electron transfer from the metal to the LUMO of CO<sub>2</sub> and thus leads to its activation. Accordingly, coordinated CO<sub>2</sub> undergoes reactions that are impossible for free CO<sub>2</sub>.

Many stoichiometric and most catalytic reactions involving CO<sub>2</sub> activation proceed via formal insertion of CO<sub>2</sub> into highly reactive M–E bonds with the formation of new C–E bonds. These reactions might not necessarily require strong coordination of CO<sub>2</sub> as in stable complexes, but are generally initiated by nucleophilic attack of E at the Lewis acidic carbon atom of CO<sub>2</sub>. Weak interaction between the metal and the lone pairs of one oxygen atom of CO<sub>2</sub> may play a role in supporting the insertion process.

It may be necessary to emphasise that only if we understand the underlying principles of CO<sub>2</sub> activation can the goal of using CO<sub>2</sub> as an environmentally friendly and economically feasible source of carbon be achieved. Although we are more knowledgeable about CO<sub>2</sub> activation, the effective activation of CO<sub>2</sub> by transition metal complexes is still a goal that is hard to reach and remains an exciting research area in organometallic chemistry.

## Acknowledgements

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