THE OXIDATION REACTION OF INDOLES WITH THALLIUM(III) TRINITRATE

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Dedicated to Professor Kyosuke Tsuda on the occasion of his 75th birthday

Abstract - Some indole derivatives were submitted to the oxidation

with thallium(III) trinitrate[TTN] to give the corresponding oxindole

and isatin derivatives. Indole-3-propionic acid derivatives were oxi
dized with TTN to afford the spire-y-lactones in good yields.

During the past decade, the investigation of oxidation reaction of olefinic compounds with thallium(III) reagents has been extensively made by McKillop and Taylor,  $^1$  who clarified the unique useful properties of this reagent to be unattainable with any other oxidizing reagents for organic syntheses. Although oxidation reactions at  $C_2$ ,  $C_3$ -double bond of indole derivatives for generation of the corresponding oxindoles with a variety of oxidizing agents have been known,  $^2$  these methods are not always useful because of low overall yields accompanied by unfavored by-products under rather drastic conditions.

We describe here the new oxidation method of some indole derivatives with thallium(III) trinitrate[TTN]<sup>3</sup> giving the notable results. Table I summarizes the conversion of indoles(1, 2, 7, and 8) with TTN into the corresponding C<sub>3</sub>-disubstituted oxindoles(3, 4, 5, 5, and 6) and isatin derivatives(9 and 10), 6 while oxidations of the same compounds performed with TI(OAC)<sub>3</sub> and TI(OCOCF<sub>3</sub>)<sub>3</sub> were not satisfactory. In the cases of 5 and 6, it was presumed that the nitro group was introduced to C<sub>3</sub>-position of the oxindoles based on their spectral data[5, mp 149-151°, IR(CHCl<sub>3</sub>) 1745 and 1550 cm<sup>-1</sup>; MS m/e 192(M<sup>+</sup>) and 146(M<sup>+</sup>-NO<sub>2</sub>, base peak):
6, IR(CHCl<sub>3</sub>) 1730 and 1555 cm<sup>-1</sup>; MS m/e 278(M<sup>+</sup>) and 232(M<sup>+</sup>-NO<sub>2</sub>, base peak)] and the elemental analyses. The oxidation of oxindole 11 with TTN in methanol at 25° afforded 3 in a high yield. When this oxidation was conducted in dry acetonitrile and then treated with water, 7 a nitrate group was introduced to give the 3-nitroxy-oxindole 13[mp 110°, IR(CHCl<sub>3</sub>) 3200, 1735, and 1640 cm<sup>-1</sup>; MS m/e 208(M<sup>+</sup>) and 162 (M<sup>+</sup>-NO<sub>2</sub>, base peak)], and 12[mp 155-157°(1it<sup>8</sup> 161-162°)) as its hydrolyzed product

Table I				
Compd	TTN(eq Mol)	Solvent	Reaction Temp(°C)	Product(% yield) a
<u>1</u>	1.4	MeOH	10	5(27)
	1.4	МеОН	-60	<u>3</u> (11), <u>5</u> (10)
<u>2</u>	2.0	5% aq CH3CN	-10	4(19), 6(16)
7	2.8	5% aq CH <sub>3</sub> CN	0	<u>9</u> (57)
<u>8</u>	2.5	5% aq CH3CN	0	<u>10</u> (33)
11	1.0	МеОН	25	<u>3</u> (92)
	1.5	CH <sub>3</sub> CN	25	<u>12(20), 13(11)</u>

<sup>a</sup>All products show isolated yields after purification of silica gel chromatography.

## (Scheme 1).

This new oxidation method of indoles was further applied to the other indole systems having a nucleophilic site such as carboxyl group in the molecules, which afforded the corresponding spirolactone-oxindoles in better yields than by NBS oxidation method (Scheme 2). Oxidative lactonization of the acid 14 with TTN has been already reported to give 15.10 In a similar manner, 16 was submitted to the oxidation [TTN(2.0 equiv), MeOH, -10°, 15 min] to furnish 17 (56%) [mp 160-161°, IR(CHCl<sub>3</sub>) 3200, 1780, and 1730 cm<sup>-1</sup>; H NMR(CDCl<sub>3</sub>)  $\delta$  2.3-3.5(m, 4), 3.81(s, 3),  $\delta$  3.5-7.3(m, 3) and 8.56(broad, 1, D<sub>2</sub>O exchange); MS m/e 233(M<sup>+</sup>)]. Also, the oxidation of N-phthalimide-L-tryptophan(18) with TTN(2.5 equiv)(10% aq

CH<sub>3</sub>CN, 0°  $\rightarrow$ rt, 5 h) gave two diastereomeric lactones, 19a (34%) [mp 271-273°, [ $\alpha$ ]<sub>D</sub><sup>20</sup> -163°(c 0.5, acetone)] <sup>11</sup> and 19b (16%) [mp 261-263°, [ $\alpha$ ]<sub>D</sub><sup>20</sup> -220°(c 0.5, acetone)], <sup>12</sup> whose stereochemistry at the spiro position was determined by comparison with the known optical rotations. <sup>13</sup> In this oxidation reaction, if the reaction time was limited to 5 min under a similar condition (0°), there was readily trapped the intermediate 20 (80%) [mp 239-243°(decomp), [ $\alpha$ ]<sub>D</sub><sup>20</sup> -38°(c 0.5, acetone); IR(Nujol) 3300, 1780, 1750, and 1720 cm<sup>-1</sup>; <sup>1</sup>H NMR(DMSO-d<sub>6</sub>)  $\delta$  5.73(t, 1, J=10Hz), 7.0-7.4(m, 4), 7.96(s, 4), and 11.65(s, 1); MS m/e332(M<sup>+</sup>)], which was further treated with TTN in 5% aq CH<sub>3</sub>CN to give a mixture of 19a and 19b in 62% yield. <sup>14</sup> Further studies are in progress.

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- 4. The compound 3, mp 120-122°(lit 121-123°), IR(Nujol) 1745 and 1715 cm<sup>-1</sup>;  $^{1}_{H}$  NMR(CDCl<sub>3</sub>)  $^{3}_{0}$  1.60(s, 3), 3.09(s, 3), 6.9-7.3(m, 4), and 9.11(broad, 1, D<sub>2</sub>0 exchange); MS m/e 177(M<sup>+</sup>).
- 5. The compound 4, IR(CHCl<sub>3</sub>) 3250 and 1720 cm<sup>-1</sup>;  $^{1}$ H NMR(CDCl<sub>3</sub>)  $^{6}$  1.20(t, 3, J=7Hz), 2.3(m, 4), 4. $^{0}$ 9(q, 2, J=7Hz), 4.2(broad, 1, D<sub>2</sub>O exchange), 7.2(m, 4), and 8.81(broad, 1, D<sub>2</sub>O exchange); MS m/e 249(M<sup>+</sup>).
- 6. The compounds, 9[IR(Nujol) 1740, 1720, and 1700 cm<sup>-1</sup>;  $^{1}H$  NMR(acetone-d<sub>6</sub>)  $^{6}$  2.76 (t, 2, J=7Hz),  $^{4}$ .02(t, 2, J=7Hz) and 7.0-7.8(m, 4); MS m/e 219(M<sup>†</sup>) and 132 (base peak)], and  $^{10}$ [mp 97.5°, IR(Nujol) 1740 cm<sup>-1</sup>;  $^{1}H$  NMR(CDCl<sub>3</sub>)  $^{6}$  2.71(t, 2, J=8Hz), 3.62(s, 3), 3.97(t, 2, J=8Hz), and 6.9-7.6(m, 4); MS m/e 233(M<sup>†</sup>) and 132(base peak)]. Furthermore, the compound 10 was identical with the sample obtained through N-alkylation of isatine with methyl acrylate in the presence of sodium hydride in THF-DME at room temperature.
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- 11. The compound 19a, IR(Nujo1) 3250, 1790, 1760, 1745, and 1700 cm<sup>-1</sup>;  $^1H$  NMR (acetone-d<sub>6</sub>)  $\frac{1}{6}$   $\frac{1}{3}$ .11(dd, 2, J=3, 10Hz), 5.71(t, 1, J=10Hz), 7.0-7.6(m, 4) and 7.95(s, 4); MS m/e 348(M<sup>+</sup>)].
- 12. The compound  $\frac{19b}{5}$ , IR(Nujol) 3200, 1800, 1770, 1740, and 1710 cm<sup>-1</sup>; H NMR (DMSO-d<sub>6</sub>) & 2.8-3.2 (m, 2), 5.83 (dd, 1, J=10, 13Hz), 6.9-7.8 (m, 4) and 7.92 (s, 4); MS m/e 348 (M<sup>+</sup>).
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- 14. In regard to this oxidative cyclization, a similar intermediate such as 20 was detected on oxidation with NBS. [T. Hino, H. Miura, T. Nakamura, R. Murata, and M. Nakagawa, <u>Heterocycles</u>, 3, 805 (1975); cf. E. C. Taylor, J. G. Andrade, G. J. H. Rall, and A. McKillop, J. Org. Chem., 43, 805 (1978).

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