ONE-STEP SYNTHESIS OF (-)-5-EPI-HYDANTOCIDIN‡

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Abstract- One-step synthesis of (-)-5-epi-hydantocidin was achieved by heating a mixture of D-isoascorbic acid and urea without solvent. Studies on N,O-spiroketal formation and epimerization between (+)-hydantocidin and (-)-5-epi-hydantocidin were also carried out to explore some mechanistic aspects of the obtained results.

(+)-Hydantocidin (1), isolated from the fermentation broth of *Streptomyces hygroscopicus* SANK 63584 in 1991, is exceptionally intriguing as a new generation of herbicide because of its promising profile of herbicidal and plant growth regulatory activities with no toxicity against microorganisms and animals. The unique structure carrying a spirohydantoin nucleus at the anomeric position of D-ribofuranose constitutes the first naturally occurring spironucleoside.^{1,2} It is also reported that its spiro isomer, (-)-5-epi-hydantocidin (2), displays herbicidal activity being approximately 60% of that for 1.³ Due to its significant herbicidal activity and unique structure, considerable synthetic efforts have so far been devoted to the synthesis of 1⁴ and its analogs.⁵⁻⁷

We have recently reported a novel synthetic route to 1 and 2 pictured in Scheme 1.8 This was designed based on the speculated biosynthetic process that might occur through the open-chain precursor (6) or its equivalent such as 7. The synthesis was realized by preparing the D-psicose derivatives (4 and 5) from D-fructose (3) and subsequently employing the intramolecular N,O-spiroketal formation of 7 to 1 and 2 as a key step. The 5-hydroxyhydantoin derivative (7) being equivalent to 6 was obtained from either 4 or 5 by way of 6.

[‡] This paper is dedicated to the memory of Professor Emeritus Yoshio Ban.

Scheme 1

D-fructose
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This communication described an alternative and more direct preparation method of 2 which also features the intramolecular N,O-spiroketal formation. Thus, we have now found that 2 can be simply prepared in one-step starting from two readily available simple building blocks, D-isoascorbic acid (8) and urea (9) as shown in Scheme 2. The typical experimental procedure is as follows. A stirred mixture of 8 (3.0 g, 17 mmol) and 9 (0.84 g, 14 mmol) was heated at 130°C for 3.5 h9 without any solvent. When the reaction was performed using a solvent such as water, N,N-dimethylformamide(DMF), or dimethyl sulfoxide (DMSO) under various conditions, 10 none of 1 and/or 2 was detected in the reaction mixture by hplc analysis. 11 The resulting dark brown caramel was subjected to column chromatography on YMC•GEL ODS-AQ 120-S50 (50 g) using water as an eluant. The eluates containing 2 were collected and concentrated in vacuo to yield a 1.17 g of the semi-purified product mixture involving 2 as a pale yellow caramel. 12 After acetylation (Ac₂O-Py, DMAP), this mixture could be readily separated by column chromatography on silica gel (20 g, hexane/EtOAc = 3/1), providing an 8.4 mg (0.17 %) of triacetate (11)¹³ as a colorless caramel, $\left[\alpha\right]_{D}^{20} + 105^{\circ}$ (c = 0.89, CHCl₃) [an authentic sample of 11,¹⁴ $\left[\alpha\right]_{D}^{20} + 103^{\circ}$ (c = 0.92, CHCl₃)]. Treatment of the reaction mixture with Dowex 50W-X8 in ⁿPrOH/H₂O = 2/1 for 2 h at 45°C prior to the first purification slightly increased the isolated yield of 11 to 0.21%. All the spectral data (ir, ¹H-nmr, ms) collected for 11 were identical with those of the authentic sample. ¹⁴

Scheme 2

Contrary to our expectation, no trace amount of tetraacetate $(12)^{15}$ which may be derived from 1 could be isolated by the one-step synthesis. Unsuccessful isolation of 1 completely differs from the previous results affording a mixture of 1 and 2 (30:70, see Table 1, entry 1). The most plausible mechanism for this remarkable one-step synthesis is shown in Scheme 2. Thus, nucleophilic addition of 9 to the reactive C_1 carbonyl group in 8A being one of the four possible tautomers of 8, 16 prompts cleavage of the lactone ring, leading to 6. Subsequent intramolecular N, O-spiroketal formation of 7 produced from 6 in the reaction mixture gives rise to 2.

With an aim to explore the reason why 12 obtainable from 1 could not be isolated from the one-step synthesis, studies on the N,O-spiroketal formation were carried out under three different conditions (A, B and C) employing 7a (major) and 7b (minor) as shown in Table 1. These epimers (7a,b) were prepared according to our previous method⁸ and cleanly separated by hplc (TOSOH TSK-gel, ODS-80TS, H₂O).¹⁷ The absolute stereochemistries of 7a,b could not be determined by their spectral data. A 2: 1 mixture of 7a,b had been found to give a 30: 70¹¹ mixture of 1 and 2 when treated with Dowex 50W-X8 in ⁿPrOH/H₂O = 2/1 at 45°C (condition A) for 3.5 h (entry 1).⁸ However, treatment of the same mixture of 7a,b at 130°C (condition B) for 3.5 h (73 % conversion) provided a mixture of 1 and 2 in a ratio of 12: 88 (entry 2). By heating the mixture of 7a,b in the presence of D-isoascorbic acid (1 equiv.) (condition C) for 3.5 h, a 14: 86 ratio of 1 to 2 was also obtained with increased conversion (93 %)(entry 3).

Table 1. N,O-Spiroketal formation of 7 to 1 and 2.

entry	substrate	conditions ^a	reaction time, h	conversion, %	1 : 2 ^b
1	7 (7a/7b = 2/1)	A	3.5	100	30 : 70 ⁸
2	7(7a/7b = 2/1)	В	3.5	73	12 : 88
3	7(7a/7b = 2/1)	С	3.5	93	14 : 86
4	7a	Α	0.5	47	49 : 51
5	7a	Α	12.5	100	38 : 62
6	7a	В	3.5	85	10 : 90
7	7a	C	3.5	91	15 : 85
8	7 b	Α	0.5	95	8:92
9	7 b	Α	2.0	100	9:91
10	7 b	В	3.5	62	13:87
11	7 b	С	3.5	94	8:92

a) Condition A: Dowex 50W-X8 in n PrOH/H₂O = 2/1 at 45°C. Condition B: heating at 130°C without solvent. Condition C: heating at 130°C in the presence of p-isoascorbic acid (1 equiv.) without solvent. b) Determined by hplc analysis. 11

On the other hand, treatment of 7a under the condition A for 30 min (47 % conversion) afforded a mixture of 1 and 2 in a ratio of 49:51 and the ratio changed to 38:62 after 12.5 h (100 % conversion) (entries 4 and 5). When 7b was treated under the same conditions, the ratio of 1 to 2 was found to be 8:92 after 30 min (95 % conversion) and no further change of the ratio was observed after 2h (100 % conversion) (entries 8 and 9). Under the condition B, 7a formed 2 more dominantly with 10:90 selectivity and 7b also provided 2 with 13:87 selectivity (entries 6 and 10). In the presence of D-isoascorbic acid (condition C), the reaction proceeded more smoothly and the conversion yields increased up to over 90 % with the selectivity similar to that obtained under the condition B (entries 7 and 11). These observations suggest that the N,O-spiroketal formations of 7a and 7b may take place through different reaction mechanisms between the conditions A and B, C. Thus, under the condition A, 7a provided a 1:1 mixture of 1 and 2, whereas 7b gave 2 with high selectivity. On the other hand, the conditions B and C under which the N,O-spiroketal formation was examined at 130°C resulted in the formation of thermodynamically more stable product (2) with high selectivity from the both starting materials (7a and 7b).

Table 2. Equilibrium between 1 and 2 under acidic conditions.

entry	substrate	conditions ^a	time, h	1: 2 ^b
1	1	A	6	51 : 49
2	1	Α	12	8:92
3	1	В	3.5	100:0
4	1	С	3	49 : 51
5	1	С	6	52 : 48
6	2	A	6	4:96
7	2	Α	28	9:91
8	2	В	3.5	0:100
9	2	C	1.5	8:92
10	2	С	3	9:91

a) Condition A: Dowex 50W-X8 in n PrOH/H₂O = 2/1 at 45°C. Condition B: heating at 130°C without solvent. Condition C: heating at 130°C in the presence of p-isoascorbic acid (1 equiv.) without solvent. b) Determined by hplc analysis. 11

Moreover, it was found that 1 and 2 possessing an N,O-spiroketal functionality can readily epimerize under the same acidic conditions as employed for the N,O-spiroketal formation. The results summarized in **Table 2** show that 1 and 2 are readily epimerized by treating with Dowex 50W-X8 at 45°C (condition

A)(entries 1, 2, 6 and 7). The half time value (t_{1/2}) of epimerization of 1 to 2 and the equilibrium ratio of 1 to 2 were estimated as ca. 6 h and ca. 1:10 in favor of 2, respectively. ¹⁸ Although 1 and 2 underwent no epimerization by simple heating (condition B)(entries 3 and 8), the epimerization of 1 readily took place in the presence of D-isoascorbic acid (1 equiv.)(condition C), giving 2 in 49:51 ratio after 3 h and no further change of the ratio was observed after 6 h (entries 4 and 5). Under the same conditions, more thermodynamically stable 2 also epimerized to a mixture of 1 and 2 in 9:91 ratio after 3 h (entries 9 and 10). On the basis of these observations, no isolation of 12 obtainable from 1 in the one-step synthesis might be explained by the very low yield (less than 0.02 %) of 1 induced by the N,O-spiroketal formation selectively producing 2 and/or the rapid epimerization of 1 to 2 in the presence of a large excess amount of D-isoascorbic acid.

In summary, we have succeeded in exploring a novel one-step synthesis of 2 starting with D-isoascorbic acid and urea. Further studies for improving the chemical yield are being examined in our laboratories and will be reported in due course.

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- 9. The reaction performed at 100° for 3.5 h or at 130°C for 2 h gave no trace amount of 2. The yields of 11 obtained after the prolonged reaction time at 130°C are as follows: 0.04 % (3 h), 0.07 % (4 h), 0.05 % (4.5 h).
- 10. Uses of additives such as Molecular Sieves, MgSO₄, p-TsOH, H₂SO₄, DBU, DMAP gave no trace amount of 2.
- 11. The ratio of **1** and **2** was monitored by hplc system (TOSOH HLC-803) [ODS column (Asahi Chemical Industry, Asahipack® HIKARISIL-C18, i.d. 6x150mm), development with water (0.5 ml/min), and measurement of uv 210 nm absorbancel, ^tR-**1**, 11.2 min; ^tR-**2**, 9.8 min.
- 12. All attempts to directly separate 2 from this mixture met with failure.
- 13. Data for 11. Ir (neat, cm⁻¹): 3250 (m), 3080 (w), 2950 (w), 1795 (m), 1740 (s), 1420 (m), 1370 (s), 1230 (s), 1100 (m), 1040 (m), 945 (w), 900 (w), 760 (w), 635 (w). 1 H-Nmr (400 MHz, CDCl₃): 8.14 (1H, br s, >NH), 6.69 (1H, br s, >NH), 5.54 (1H, dd, J = 2.7, 5.0, H-3), 5.44 (1H, d, J = 5.0, H-4), 4.42-4.46 (2H, m, H-2, H-1), 4.11 (1H, dd, J = 5.9, 13.5, H-1), 2.16 (3H, s, OAc), 2.15 (3H, s, OAc), 2.12 (3H, s, NAc). 13 C-Nmr (100 MHz, CDCl₃): 170.7, 170.3, 169.5 (x₂), 155.5, 91.2, 80.3, 72.2, 71.5, 62.8, 20.7, 20.5, 20.2. Ms (m/z, 15 eV): 345 (M⁺+1, 3.5), 303 (1), 302 (9), 271 (6), 242 (4), 224 (3), 214 (6), 211 (6), 187 (17), 170 (52), 128 (63), 68 (24), 43 (100). HRms (m/z): calcd for C₁₃H₁₇N₂O₉ 345.0932; found: 345.0932.
- 14. An authentic sample of 2 was acetylated with Ac₂O-Py containing DMAP (3 mol%) for 60 min at room temperature, giving 11 and the tetraaetate of 2 in 52 % and 46 % yield, respectively, after purification by column chromatography on silica gel.
- 15. When 1 was treated with Ac₂O-Py in the presence of DMAP (10 mol%) at room temperature for 60 min, 12 was obtained in 89 % yield more selectively than 11.
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- 17. Data for 7a: Tlc R_f 0.28 (SiO₂, MeCN/H₂O, 9/1). ¹H-Nmr (400MHz, D₂O): 4.15 (1H, d, J = 5.3, H-1'), 3.96 (1H, ddd, J = 3.0, 5.2, 6.3, H-3'), 3.91 (1H, dd, J = 5.3, 6.3, H-2'), 3.80 (1H, dd, J = 3.0, 12.0, H-4'), 3.65 (1H, dd, J = 5.2, 12.0, H-4'). ¹³C-Nmr (100MHz, D₂O): 178.9, 161.0, 90.6, 75.7, 74.8, 72.8, 65.1. Ms (m/z, CI): 237 (MH⁺), 219 (MH⁺-18). Data for 7b: Tlc R_f 0.21 (SiO₂, MeCN/H₂O, 9/1). ¹H-Nmr (400MHz, D₂O): 4.03 (1H, d, J = 9.7, H-1'), 3.90 (1H, ddd, J = 3.2, 4.0, 4.5, H-3'), 3.77 (1H, dd, J = 3.2, 12.0, H-4'), 3.71 (1H, dd, J = 4.0, 9.7, H-2'), 3.66 (1H, dd, J = 4.5, 12.0, H-4'). ¹³C-Nmr (100MHz, D₂O): 178.5, 161.0, 88.9, 76.0, 75.4, 74.2, 64.5. Ms (m/z, CI): 237 (MH⁺), 219 (MH⁺-18).
- 18. Similar but different equilibrium ratio of 1 to 2 (80 % TFA, ca. 1:4) had been reported by Fleet et al.5