

# An Electronically Switchable Leaky Wave Antenna

Limin Huang, *Member, IEEE*, Jung-Chih Chiao, and Michael P. De Lisio, *Member, IEEE*

**Abstract**—We report an electronically switchable dielectric leaky wave antenna. The main beam angle can be electronically steered using p-i-n diodes. The diodes are used as switches to control the radiation from two sets of gratings with different periods, thereby switching the main beam angle. Beam steering is achieved at a single fixed frequency; no frequency sweeping is necessary. A microwave prototype demonstrates a  $35^\circ$  change in beam direction at 3.5 GHz. Measured antenna patterns agree with theoretical predictions. This approach should be scalable to millimeter-wave frequencies using grating structures monolithically integrated on a semiconductor waveguide.

**Index Terms**—Electronic beam steering, leaky wave antenna.

## I. INTRODUCTION

**L**EAKY WAVE antennas based on a dielectric rod waveguide periodically loaded with perturbations have been investigated for some time [1]–[6]. These antennas are lightweight, easy to fabricate, and readily integrated into conventional millimeter-wave systems—properties that make leaky wave antennas the subject of continuing interest today. Another intriguing property of leaky wave antennas is the fact that the main beam direction can readily be scanned. The angle  $\theta$  of the main beam measured from broadside will be given by the well-known expression

$$\sin \theta = \frac{\lambda_0}{\lambda_g} - m \frac{\lambda_0}{d} \quad (1)$$

where

- $d$  perturbation spacing;
- $\lambda_0$  free-space wavelength;
- $\lambda_g$  guided wavelength inside the dielectric rod;
- $m$  integer most often equal to unity.

Many researchers achieve scanning by changing the operating frequency, thereby changing both the free-space and guided wavelengths  $\lambda_0$  and  $\lambda_g$  in a prescribed manner [1], [4], [6]. Fralich and Litva [7] have reported a frequency scannable leaky wave antenna integrated with a voltage-controlled oscillator, effectively achieving an electronically controlled beam. For many applications, however, fixed-frequency operation would be preferable. Horn and co-workers [8] achieve electronic scanning by using p-i-n diodes to modulate the effective waveguide size. They demonstrate fixed-frequency electronic beam steering by effectively changing the guided wavelength

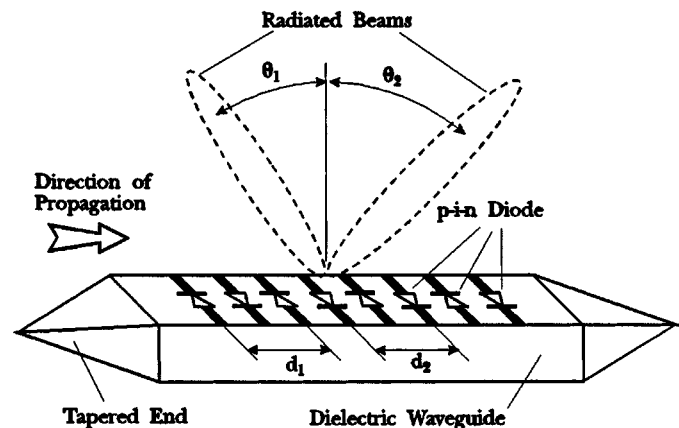


Fig. 1. Principle of antenna operation. Metal strips loaded on a dielectric waveguide radiate microwave energy. p-i-n diodes act as switches to change the strip spacing from  $d_1$  to  $d_2$ , thereby switching the beam angle from  $\theta_1$  to  $\theta_2$ .

$\lambda_g$ . These researchers report a  $10^\circ$  change in beam angle as the diode bias is varied. Finally, Maheri and others [9] achieve fixed-frequency beam scanning by varying a static magnetic field in a corrugated ferrite slab. This approach also effectively varies the guided wavelength  $\lambda_g$ .

We report fixed-frequency beam switching using a different approach: we electronically vary the perturbation spacing  $d$ . Fig. 1 illustrates the idea. A rectangular dielectric rod is loaded with a grating of metal-strip perturbations. p-i-n diodes are loaded in these metal strips. Two grating periods  $d_1$  and  $d_2$  are present. The p-i-n diodes act as switches, controlling the effective grating spacing. A dc bias voltage controls the state of the p-i-n diode switch, thereby controlling whether grating  $d_1$  or  $d_2$  primarily radiates. This, in turn, switches the main beam angle from  $\theta_1$  to  $\theta_2$ . The beam could be switched among many possible angles by using several grating spacings. This approach has a number of advantages. Beam scanning is achieved without changing the operating frequency. Electronic control allows rapid variation of the scan angle. Finally, the approach is amenable to MMIC fabrication techniques by monolithically integrating the switch diodes on silicon, gallium arsenide, or indium phosphide waveguides. Our approach is similar to the work developed by several researchers who achieve beam steering using a photoinduced plasma grating in a semiconductor antenna [10]–[12].

## II. ANTENNA FABRICATION

We designed, fabricated, and tested a microwave scale model as a proof of the concept. A microwave system has the advantage of being easy to fabricate and test, while the approach should be scalable to millimeter wavelengths. Fig. 2 shows a photograph of the antenna. The dielectric rod waveguide is constructed out of lucite, with a relative dielectric constant of 2.56. The cross

Manuscript received April 22, 1998; revised June 28, 2000. This work was supported by a DURIP equipment award from the Army Research Office and a Grant from TRW Space and Electronics Group.

L. Huang was with the Department of Electrical Engineering, University of Hawaii at Manoa, Honolulu, HI 96822 USA. She is now with Mitec Telecom, Pointe Claire, QC H9R 5Z8, Canada.

J.-C. Chiao and M. De Lisio are with the Department of Electrical Engineering, University of Hawaii at Manoa, Honolulu, HI 96822 USA.

Publisher Item Identifier S 0018-926X(00)10837-3.



Fig. 2. Photographs of the leaky wave antenna. The dielectric waveguide cross section is  $2.75 \times 1.25$  inches. The antenna is 36 inches long.

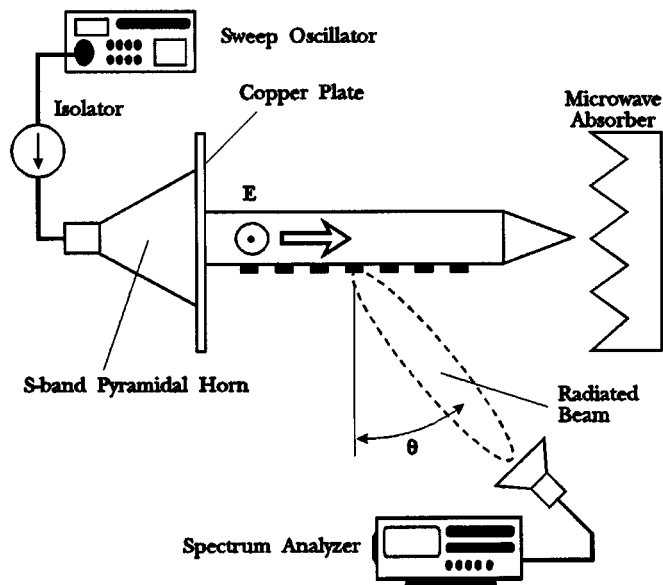
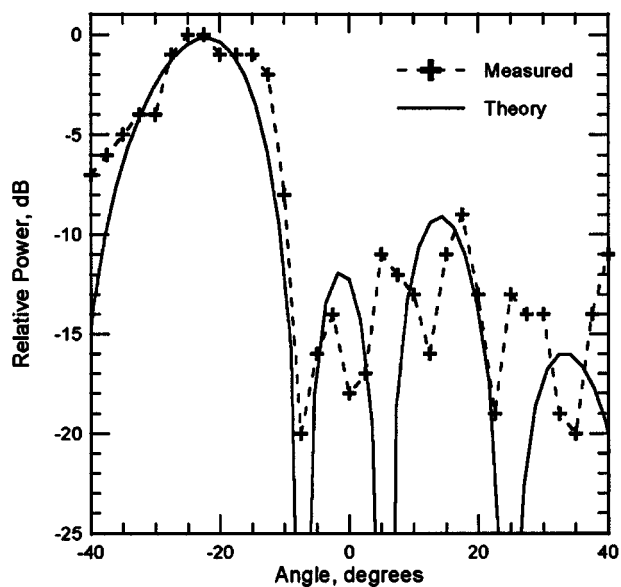
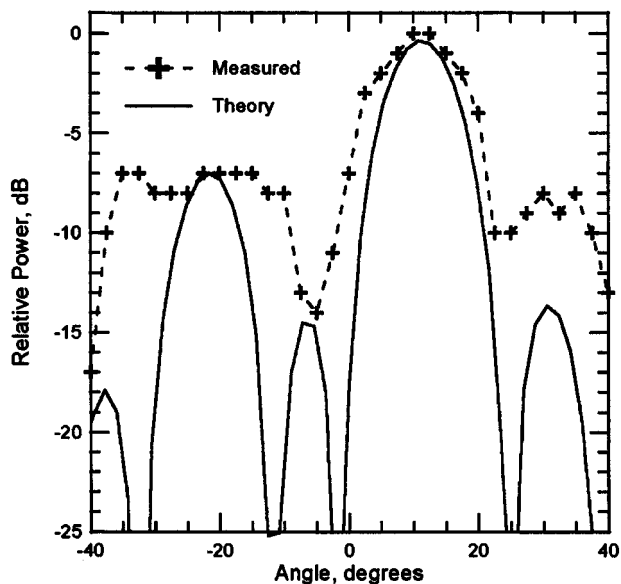


Fig. 3. Simplified schematic of the measurement setup.

section of the rod is rectangular, machined to be  $2.75 \times 1.25$  inches. These dimensions were chosen to be comparable to the cross section of *S*-band waveguide as well as a standard size for easy fabrication. The entire rod is 36 inches long, with both ends tapered to a point to improve the input and output matching.



(a)



(b)

Fig. 4. Measured and theoretical *H*-plane radiation patterns. (a) Corresponds to the 5.1-cm strip spacing. (b) Corresponds to the 7.8-cm strip spacing.

Metal-strip radiators are positioned along the length of the antenna. Six strips are spaced 5.1 cm apart ( $d_1$ ) and five strips are spaced at 7.8-cm intervals ( $d_2$ ). All strips are identical, with the length and width determined experimentally. M-Pulse packaged p-i-n diodes are located midway along the strips. Thin magnet wires loaded with ferrite-bead chokes provide dc bias to the switch diodes.

Fig. 3 shows a simplified schematic of the measurement setup. A sweep oscillator connected to a *S*-band pyramidal horn is used to excite the dielectric waveguide. The isolator helps to maintain the frequency stability of the generator. A copper plate at the mouth of the horn is used as a screen to block any direct radiation from the horn itself. The dielectric rod is excited through an aperture in the plate. The copper screen can

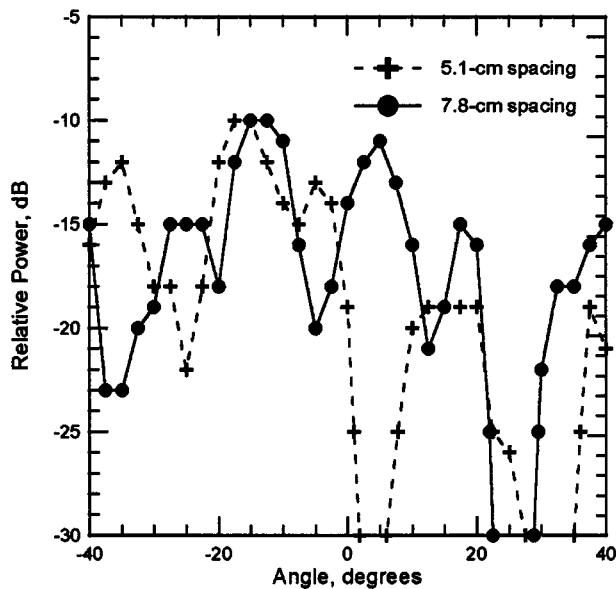


Fig. 5. Measured cross-polarized  $H$ -plane radiation pattern.

also be noted in Fig. 2. Microwave absorbing material placed at the tapered end of the rod acts as a termination. The antenna pattern is measured with a small wideband horn antenna and a spectrum analyzer.

### III. MEASURED RESULTS

To test the beam switching, we excite our dielectric leaky wave antenna with a 3.5-GHz signal. One set of p-i-n diodes is forward biased (+1 V) and the other set is reverse biased (−25 V). Fig. 4 plots the antenna's measured  $H$ -plane radiation patterns. Fig. 4(a) shows the pattern with the diodes biased such that the 5.1-cm grating is the primary source of radiation. Fig. 4(b) shows the pattern with most of the radiation emitting from the 7.8-cm grating. Negative angles correspond to a beam radiated in the backward direction. The main beam shifts by  $35^\circ$  when the diode bias is switched. We note that the absolute peak radiated power for the two cases are within 1 dB of each other. We suspect that the broad flat sidelobe centered at  $-25^\circ$  in Fig. 4(b) may be the result of reflections from the copper end plate. The measured cross-polarized power is low—more than 10 dB below the power in the main beam, as shown in Fig. 5.

The theoretical curves in Fig. 4 are generated using Marcatilli's method to determine the wavelength in the dielectric rod [13]. We also assume that the currents in the radiating strips have identical magnitudes. Ideally, the nonradiating strips would carry zero RF current. Practically, however, the diode switch cannot completely prevent these strips from radiating. It is this spurious radiation that causes the rather high side-lobe level. A good fit between theory and experiment is achieved when we assume the spurious power radiated by a single nonradiating strip is 12 dB less than the power radiated from a single radiating element. It may be possible to improve the agreement between theory and experiment by assuming a more complicated nonuniform radiating strip illumination.

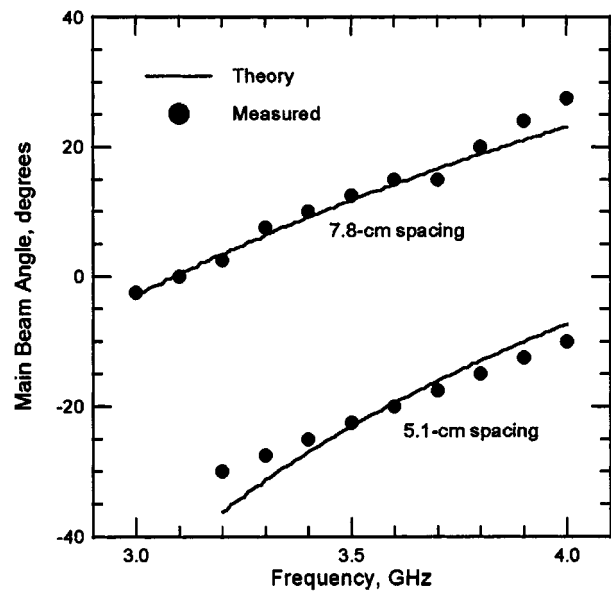


Fig. 6. Measured and theoretical main beam angle for both strip spacings as a function of frequency.

It is also possible to scan the main beam for both grating spacings  $d_1$  and  $d_2$  by varying the operating frequency. This is illustrated in Fig. 6. The operating frequency is varied from 3.0 to 4.0 GHz. The theoretical curves are generated using (1), with  $\lambda_g$  determined using Marcatilli's approach [13]. The agreement for both strip spacings is very good. With frequency scanning, the main beam angle can be swept over a considerable angular range.

### IV. CONCLUSION

We have demonstrated an electronically switchable leaky wave antenna using p-i-n diode switches. The angle of the main beam changes by  $35^\circ$  when the bias on the diodes is varied. Measured radiation patterns agree very well with theoretical predictions. Although these measurements were conducted on a 3.5-GHz microwave model, the approach should be extendable to millimeter wavelengths using monolithic fabrication techniques.

At very high millimeter-wave frequencies, parasitic losses in the diodes will ultimately limit the antenna efficiency. An intriguing alternative is to use microelectromechanical switches (MEMS) to control the beam direction [14], [15]. These tiny switches have very low series losses well into the millimeter-wave regime. The idea is proposed in Fig. 7. A cantilever-beam switch is formed by depositing a thin layer of dielectric material with evaporated metal patterns on sacrificial layers. The cantilever beam is supported by a thicker layer of electroplated metal. The dielectric layer serves two functions: it provides dc bias isolation for an electrostatic force to close the switch and it provides the required stress to separate the contact when the bias is off. Another interesting possibility is to use photosensitive devices as switches, controlling the beam direction optically.

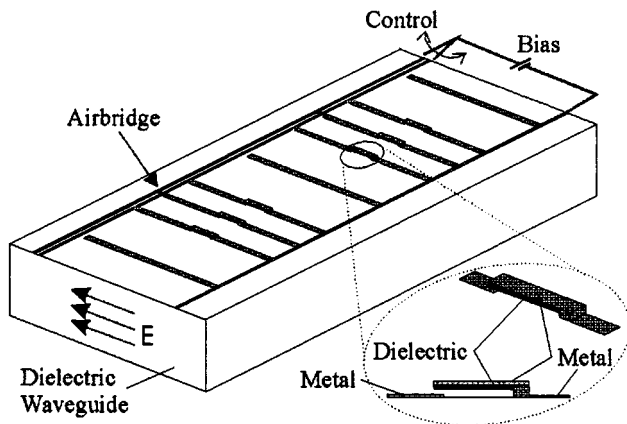


Fig. 7. Proposed MEMS switch for millimeter-wave applications. The state of the switch is controlled by the bias lines.

#### ACKNOWLEDGMENT

The authors would like to thank M-Pulse Microwave, Inc. for the generous donation of the p-i-n diodes. They also acknowledge B. Respicio of the University of Hawaii who machined the dielectric waveguide.

#### REFERENCES

- [1] K. L. Klohn, R. E. Horn, H. J. Jacobs, and E. Freibergs, "Silicon waveguide frequency scanning linear array antenna," *IEEE Trans. Microwave Theory Tech.*, vol. 26, pp. 764–773, Oct. 1978.
- [2] T. Itoh and B. Adelseck, "Trapped image guide for milli-meter-wave circuits," *IEEE Trans. Microwave Theory Tech.*, vol. 28, pp. 1433–1436, Dec. 1980.
- [3] S. Kobayashi, R. Lampe, R. Mittra, and S. Ray, "Dielectric rod leaky-wave antennas for millimeter-wave applications," *IEEE Trans. Antennas Propagat.*, vol. 29, pp. 822–824, Sept. 1981.
- [4] T. N. Trinh, R. Mittra, and R. J. Paleta, "Horn image-guide leaky-wave antenna," *IEEE Trans. Microwave Theory Tech.*, vol. 29, pp. 1310–1314, Dec. 1981.
- [5] F. K. Schwering and S.-T. Peng, "Design of dielectric grating antennas for millimeter-wave applications," *IEEE Trans. Microwave Theory Tech.*, vol. 31, pp. 199–209, Feb. 1983.
- [6] M. Ghomi, B. Lejay, J. L. Amalric, and H. Baudrand, "Radiation characteristics of uniform and nonuniform dielectric leaky-wave antennas," *IEEE Trans. Antennas Propagat.*, vol. 41, pp. 1177–1186, Sept. 1993.
- [7] R. Fralich and J. Litva, "Beam-steerable active array antenna," *Electron. Lett.*, vol. 28, pp. 184–185, Jan. 1992.
- [8] R. E. Horn, H. Jacobs, E. Freibergs, and K. L. Klohn, "Electronic modulated beam-steerable silicon waveguide array antenna," *IEEE Trans. Microwave Theory Tech.*, vol. 28, pp. 647–655, June 1980.
- [9] H. Maheri, M. Tsutsumi, and N. Kumagi, "Experimental studies of magnetically scannable leaky-wave antennas having a corrugated ferrite slab/dielectric layer structure," *IEEE Trans. Antennas Propagat.*, vol. 36, pp. 911–917, Nov. 1988.
- [10] V. A. Manasson, L. S. Sadovnik, A. Moussessian, and D. B. Rutledge, "Millimeter-wave diffraction by a photo-induced plasma grating," *IEEE Trans. Microwave Theory Tech.*, vol. 43, pp. 2288–2290, Sept. 1995.

- [11] A. Alphones and M. Tsutsumi, "Leaky wave radiation from a periodically photoexcited semiconductor slab waveguide," *IEEE Trans. Microwave Theory Tech.*, vol. 43, pp. 2435–2441, Sept. 1995.
- [12] V. A. Manasson, L. S. Sadovnik, V. A. Yepishin, and D. Marker, "An optically controlled MMW beam-steering antenna based on a novel architecture," *IEEE Trans. Microwave Theory Tech.*, vol. 45, pp. 1497–1500, Aug. 1997.
- [13] E. A. J. Marcatilli, "Dielectric rectangular waveguide and directional coupler for integrated optics," *Bell Syst. Tech. J.*, vol. 48, pp. 2071–2102, Sept. 1969.
- [14] K. E. Petersen, "Micromechanical membrane switches on silicon," *IBM J. Res. Devel.*, vol. 23, pp. 376–385, July 1979.
- [15] J.-C. Chiao and D. B. Rutledge, "Microswitch beam-steering grid," in *17th Int. Conf. Infrared Millimeter Waves Dig.*, Dec. 1992, pp. 406–407.



**Limin Huang** (M'00) was born in Shanghai, China. She received the B.S. degree in electrical engineering from the University of Electronic Science and Technology of China, and the M.S. degree in electrical engineering from the University of Hawaii.

From 1982 to 1990, she was an Engineer with Nanjing Research Institute of Electronic Technology in China, designing the microwave components for radar and telecommunication systems. She has been with the Mitec Telecom as RF/Microwave Engineer since 1999. Her research interests include

leaky wave antennas, device characterization and modeling, and the design of microwave active circuits.

**Jung-Chih Chiao** received the B.S. degree in the electrical engineering Department of National Taiwan University in 1988. He received the M.S. and Ph.D. degrees from the MMIC group in the Department of Electrical Engineering at California Institute of Technology in 1991 and 1995, respectively.

From October 1995 to August 1997, he served as a Research Scientist at Bell Communications Research (Bellcore, now Telcordia) in the Optical Networking Systems and Testbeds group. His work focused on wavelength division multiplexing (WDM) optical networks. He has been an Assistant Professor in the Department of Electrical Engineering, University of Hawaii at Manoa since 1997. His research interests include microwave/millimeterwave integrated circuits, quasi-optical components, microelectromechanical system (MEMS) RF, and optical devices as well as WDM optical networks.

**Michael P. De Lisio** (S'90–A'95–M'96) was born in Southfield, MI, on July 29, 1968. He received the B.S.E. degree in electrical engineering from the University of Michigan, Ann Arbor, in 1990, the M.S. degree in electrical engineering from the California Institute of Technology in Pasadena, in 1991, and the Ph.D. degree from Caltech in 1996.

In January 1996, he joined the Department of Electrical Engineering at the University of Hawaii at Manoa as an Assistant Professor. He is currently an Associate Professor. His research interests include high-frequency solid-state devices, microwave and millimeter-wave power combining, and monolithic quasi-optical devices.

Dr. De Lisio is a member of Tau Beta Pi, Eta Kappa Nu, and the American Society for Engineering Education. In 1999, he was the Secretary to the IEEE MTT-S Administrative Committee (AdCom), and is currently an AdCom member.