

## JCO Interviews Dr. Stephen R. Marquardt on the Golden Decagon and Facial Beauty

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**DR. GOTTLIEB** Steve, you appeared on a recent television documentary series, The Human Face, in a "Beauty", and presented a Golden Decagon mask that you have devised from the Golden Ratio. What is the Ratio?

**DR. MARQUARDT** The Golden Ratio is a ratio found in nature and design that measures 1:1.618. It has the Fibonacci Ratio or Phi Ratio and, sometimes, the divine ratio. It is also referred to as the Golden Section.

**DR. GOTTLIEB** Many historic figures--Pythagoras, Plato, Euclid, da Vinci, Durer, and Mozart, to name a few, believed the Golden Ratio represented perfect harmony in nature and in design. Is there evidence for such a phenomenon?

**DR. MARQUARDT** It does seem to occur throughout nature in many biologic systems, including flower divergence from a stem in plants, and particularly in humans. For example, the width of the nose to the width of the mouth is a ratio of 1:1.618 in a beautiful face. In an ideal dentition, the width of the maxillary central incisor is 1.618 times the width of the maxillary lateral incisor. In an ideal human body--for example, Leonardo da Vinci's Man (or "Man in a Circle")--the distance from the bottom of the foot to the navel is 1.618 times the distance from the navel to the top of the head, and the distance from the navel to the thyroid cartilage, or Adam's apple, is 1.618 times the distance of the thyroid cartilage to the top of the head.

**DR. GOTTLIEB** You believe there is a mathematical, biological basis for human attractiveness in the Golden Ratio?

**DR. MARQUARDT** A famous platitude is that "beauty is in the eye of the beholder", and to a great extent, it is. A man may find a Ferrari automobile extraordinarily beautiful, where a woman might find it very unattractive. A woman might find a particular dress very beautiful, where a man may have totally ambivalent feelings about it. On the other hand, when it comes to facial attractiveness, there does seem to be a universal perception of characteristic form or shape of the face that are found attractive, and this attractive-face concept seems to be not only universal within one culture, but universally cross-cultural, regardless of other parameters, including birth rank, age, etc. This universal perception of attractiveness appears to have a biological basis.

**DR. GOTTLIEB** Why did you create the Golden Decagon mask?

**DR. MARQUARDT** I have often been given credit for creating the Golden Decagon mask. However, I must say that I didn't create it, but rather discovered it. We now believe that this mask is an archetypal or instinctual in our brain which is present before we are born and is with us throughout our lifetime. I originally was asked to answer the question, "Is beauty quantifiable?" That is, is there an idealistic or idealized form of the face that is universal with regard to a perception of attractiveness? The vast majority of people I questioned regarding this felt that beauty was not understandable or quantifiable, and something that we might never really comprehend to any degree. However, a few throughout history, including the artist Sir Joshua Reynolds and others, have felt that beauty probably is understandable and quantifiable to some extent. The reason I was searching for a quantifiable measure of attractiveness myself was to better understand beauty, because as a facial reconstructive surgeon, a great

surgical skill is used not only to correct functional facial deformities, but also to help correct esthetic defects. Without a clear understanding of what beauty is, it's very difficult to have an appropriate or meaningful correction of an esthetic deformity.

**DR. GOTTLIEB** That would apply to orthodontists as well.

**DR. MARQUARDT** Absolutely.

**DR. GOTTLIEB** How did you develop the mask?

**DR. MARQUARDT** I began merely studying faces of professional models and movie stars. By virtue of the fact that they get paid for being attractive, they seemed to be a good group to study to ascertain if there were some theme in attractive faces. I initially studied them with regard to any similarities they might have, and four Golden Ratios did seem to occur in the faces of these attractive people much more often than in the faces of unattractive individuals. There had been little success in multiple previous attempts to use the Golden Ratio in the attractive face, primarily because no generalized recurrent theme was found in the face.

**DR. GOTTLIEB** Why was that?

**DR. MARQUARDT** The big problem was that limited areas of the face could be described in linear fashion, carrying a 1:1.618 ratio, but this was all pretty much one-dimensional. No one had ever successfully described the face or the entire face in any way in a two-dimensional configuration. My first major breakthrough realization was that the visual perception of the face is really two-dimensional, and that if there were going to be a recurring theme in the face that was mathematical, it would most likely be two-dimensional, rather than one-dimensional. The simplest configuration that describes the Golden Ratio in two dimensions is an acute Golden Triangle with sides of 1.618 and a base of 1, or an obtuse Golden Triangle with a base of 1.618 and sides of 1. Together, these two triangles form a Golden regular pentagon, and the regular pentagon itself, if duplicated, inverted, and superimposed on itself, forms the Golden Decagon--a regular vertex radial decagon. I must admit it took quite some time for me to evolve from the Golden Section line into the two-dimensional complex configuration of the Golden Decagon. Once that was established, then the rest of the facial configuration was constructed fairly rapidly.

**DR. GOTTLIEB** For a long time the Golden Divider, which is a double caliper set to the Golden Ratio, has been used to identify Golden relationships. What is the advantage of the mask over the divider?

**DR. MARQUARDT** The Golden Divider is very helpful to establish and understand linear relationships. To understand two-dimensional Phi harmony and Phi relationships, particularly in a face, it's necessary to use or at the very least the Phi decagon, which is the ultimate representation of the Phi Ratio as it occurs in three dimensions.

**DR. GOTTLIEB** Are you developing a three-dimensional mask?

**DR. MARQUARDT** We are actually working on constructing the 3D versions of the masks at this time. For neutral and smiling expressions, we already have the mask in two views (frontal and lateral). With two views of an object you can construct the third view, and with all three views you can construct a three-dimensional model. Since the two views of each expression already defined, we are well on our way to the 3D construction.

**DR. GOTTLIEB** One of the intriguing things about the Golden Decagon matrix is its relationship to DNA. Do you say, the Golden Ratio is in the DNA structure, are the beauty and harmony in nature, including the structure of the human body and face, genetically determined according to the Golden Ratio?

**DR. MARQUARDT** As can be seen on our website, [www.beautyanalysis.com](http://www.beautyanalysis.com), the Golden Decagon cor fairly complex geometrically, and the DNA molecule (particularly the "B" DNA molecule, which is the r DNA seen in biologic systems) in cross-section exactly matches the Golden Decagon geometric configur This is probably because the DNA, needing to replicate itself by increasing in size at a constant ratio, ad without deviation, appears to call upon the mathematics of the Golden Section, which itself is the only m configuration that can duplicate itself ad infinitum without variance. Because both the DNA molecule an Decagon have this property, this is the most likely reason that the DNA follows the Golden Section geon research we' ve done so far seems to strongly indicate that the DNA structure, which is the determinant c face construction, is built upon the Decagon complex, which in turn is built upon Phi or the Golden Secti feel that the Phi mask or Golden mask is a genetically encoded configuration or archetype which is basic: in our genetic material and passed on from generation to generation for recognition of our own species.

**DR. GOTTLIEB** Is it science fiction to think that some of the more serious departures from facial and b and harmony may be improved with DNA modification?

**DR. MARQUARDT** DNA is the basis for all life. It is the source code from which we, and everything w made. Our body' s potentially ideal form and function are a product of our DNA, and likewise our body' and abnormal functions are frequently a function of our own DNA. Since our DNA is the source code of with greater control of our DNA through its purposeful modification, we should ultimately be able to cor functions and anatomic configurations that DNA is responsible for manipulating and constructing.

**DR. GOTTLIEB** You have developed both frontal and lateral versions of the mask, as well as smiling o you show us those?

**DR. MARQUARDT** Here you can see both the frontal and lateral versions of the mask, in repose (Fig. 2 smiling (Fig. 2B).

**DR. GOTTLIEB** How should the masks be used?

**DR. MARQUARDT** The mask really describes the idealized form of the face. The ideal size of the face approximately 1/7 to 1/8 of body height, which has been known since the first Greek sculptors presented and has been used ever since. On the other hand, there has never been a clear understanding or any quant ideal shape or form of the face or its components, including the nose, eyes, lips, etc. I believe these mask the first concept that truly mathematically quantifies the idealized face and its frontal and lateral views, b and smiling.

**DR. GOTTLIEB** How do you then apply that information?

**DR. MARQUARDT** The application for this information or technology would be in any area where ide: configurations are important, whether it be in medicine, in surgical applications of reconstructive procedi face; in orthodontics, for diagnosing variations from ideal and treatment planning their corrections; in art painting or sculpture; and in the graphic arts, to modify and adjust faces to increase their visual perceptio attractiveness. Additionally, the technology can be used in per- sonal identification and security program: face could be compared to the mask and this comparison used as a unique personal "fingerprint" of the in face. Even genetically identical twins, under close analysis, have slight variances in their facial configura are quite a few other applications, including biology, psychology, and anthropology, but these are some c ones.

**DR. GOTTLIEB** Does the Golden Decagon apply to the faces of children?

**DR. MARQUARDT** The Golden Decagon mask that has been presented at this point is that of the post-pubescent female adult, ranging from about 14 to 24 years of age. There is a mask for the young child, and it is some slight variation from the post-pubescent adult mask, but is distinctly different in several ways. As anybody of child development can attest, the face distinctly changes between the ages of approximately 2 and 14. A child between the ages of approximately 6 months and 2 years has a high degree of attraction for adults for the purposes of creating a nurturing behavior in adults toward that child. As the child increases in age, the face becomes less like that face, becoming relatively less attractive with age. For example, a 2-year-old is generally four times as attractive than a 4-year-old. Most 4-year-olds are found to be more attractive than 6-year-olds, most 6-year-olds more attractive than 8-year-olds, and most 8-year-olds more attractive than 10- or 11-year-olds. At this point, the attractiveness changes, and between the ages of approximately 11 and 14, the attractiveness increases tremendously. At 14, the face has metamorphosed into that of the pubescent adult. This matured face is generally quite attractive in a nurturing way for parents, but in a sexual attraction way for a mate. So while the mask of the child age 6 years is significantly different from that of the post-pubescent adult, both are highly attractive, but in very different ways for their different biological purposes.

**DR. GOTTLIEB** Does the concept of beauty change in the adult aging process?

**DR. MARQUARDT** At about age 24, the postpubescent adult face begins to slowly and progressively become less attractive year after year from that point forward. It is interesting to note here that the face of the female becomes progressively less attractive at a greater rate than does the face of the human male. In other words, the human male's attractiveness maintains longer than the female's attractiveness. Although the human male is not generally as attractive during the post-pubescent period as the female, he does maintain his attractiveness to a degree for a longer period of time.

**DR. GOTTLIEB** Some orthodontists believe in very early treatment of certain conditions, at 3 to 6 years of age. Some cases may be started from 6 to 9, while a majority are probably started between 9 and 12 years of age. Do you know about the development of the face encourage or discourage certain orthodontic treatment changes at these ages?

**DR. MARQUARDT** The ultimate goals of orthodontic treatment are the idealization of the occlusion and, as far as possible, the simultaneous positive influence on the facial attractiveness of the patient. Regardless of the age when the patient starts treatment, these should be the primary concerns. With respect to the mask, anything that an orthodontist can do to "guide" the patient's facial components into a high correlation with the mask will result in the final esthetic outcome of treatment. It should be remembered that the mask is that of the face of the post-pubescent adult, so the goal of the orthodontist, with respect to esthetics, would be to treat the child's face so that it is an attractive young adult face--in other words, has a high correlation to the mask.

**DR. GOTTLIEB** There must be examples of faces generally accepted as beautiful that do not exactly fit the mask.

**DR. MARQUARDT** No biological configuration of the face that occurs naturally fits the mask exactly. The mask is a geometrically perfect configuration, and biological systems are never geometrically perfect. Although they can come extremely close, none are exactly mathematically precise. However, in my experience, the more a face fits the mask, the more it will be subjectively attractive by human examiners, the more closely it will fit the mask. Conversely, the less a face fits the mask, the less it will be attractive. It is important to note, however, that most faces do fit the mask quite well. The difference between a plain face and a beautiful face is just a matter of a few millimeters in different areas--nothing as extraordinary as one might expect. It is not until faces are perceived to be fairly unattractive that they begin to deviate from the mask.

significantly. This is pretty clear on our website under the section "Beauty Ranges". On the site you'll find a tremendous amount of information on our research and on the concept of beauty ranges.

**DR. GOTTLIEB** Concepts of facial beauty seem to vary with time and among races. How does that square with the concept of the Golden Decagon mask?

**DR. MARQUARDT** Concepts of facial beauty have been thought to vary with time and among races, but research shows that this is not particularly true. The mask's correlation with attractive faces throughout history is consistent. There are slight variances between the races with regard to the mask, but this variance is also consistent throughout time. Again, on our website, [www.beautyanalysis.com](http://www.beautyanalysis.com), under the section "Beauty Through History", as far back as Queen Nefertiti are analyzed using the mask. Beautiful faces extending through the Greek, Roman, late Egyptian, Renaissance, post-Renaissance, Baroque, precontemporary and contemporary are exhibiting a high correlation with the mask (Figs. 3A, 3B). This is pretty clear evidence that the mask appears to consistently fit attractive faces throughout history.

**DR. GOTTLIEB** There has been some discussion in dental esthetics about symmetry vs. balance. Some perfect symmetry is not beautiful. Do you agree with that concept?

**DR. MARQUARDT** Terms like "symmetry", "balance", and "harmony" have been used over and over again without much distinction for their actual definitions. Symmetry can be defined as mirror imaging about an axis. Equality of magnitudes on either side of a division. So from this definition, we can see that absolute symmetry does not have balance, but balance wouldn't necessarily have symmetry. Harmony, on the other hand, is the presence of recurring themes within an entity. Probably the term that's been equated most commonly with beauty is symmetry. It has been said that perfect symmetry is perfect beauty. It is true that an attractive face is relatively symmetric, but it doesn't mean that a symmetric face is necessarily attractive. For example, a face like Paulina Porizkova of this issue, is quite symmetric and quite beautiful at the same time. On the other hand, if you take a face like E. Neuman's from Mad magazine and make it perfectly symmetric, he doesn't become Paul Newman, symmetric Alfred E. Neuman. So symmetry doesn't necessarily predict attractiveness. A face doesn't have to have exactly perfect symmetry to be beautiful, but symmetry is an important factor, and there must be a good correlation between symmetry for a face to be beautiful.

**DR. GOTTLIEB** Why is the smiling mask more attractive than the repose mask?

**DR. MARQUARDT** The smiling face has historically been considered more attractive than the repose face in all cultures. The smiling mask actually contains significantly more of the Golden Decagon elements than the repose mask. This appears to be the reason that we find the smiling mask more attractive. The greater the number of Golden Decagon elements used in the construction of a facial configuration or expression, the more attractive it will appear to humans.

**DR. GOTTLIEB** What part do the teeth play in the smiling version of the mask?

**DR. MARQUARDT** When one smiles, the geometric or mathematic center of the face is not the geographic center of the face. The face is constructed of multiple Decagon complexes which pretty much descend to the mouth. The teeth, so when one smiles, the center of attraction of the face is actually the smile. This includes the lips and their contents, particularly the maxillary anterior teeth. Since the maxillary central incisors and the lateral incisors are the center of the smile, one could actually say that the anterior maxillary teeth are really the center of attraction in the face.

**DR. GOTTLIEB** So some elements of the face are more critical than others?

**DR. MARQUARDT** The perception of the face is predicated on recognition of the different subcompon face. The most important parts for facial recognition are contained within what is referred to as the "Inter This is a triangle from the eyebrows to the chin--specifically, one encircling the eyebrows and extending chin with the point downward at the chin. Contained within this Internal Triangle are the eyebrows, the e the lips, and the chin. These elements are actually the critical elements in facial recognition. The cheeks, the hairline, etc., contained outside of the Internal Triangle are secondary elements and are not as import elements within the Internal Triangle for facial recognition and the perception of attractiveness.

**DR. GOTTLIEB** Can you picture ways in which an orthodontist could use the Golden Decagon mask?

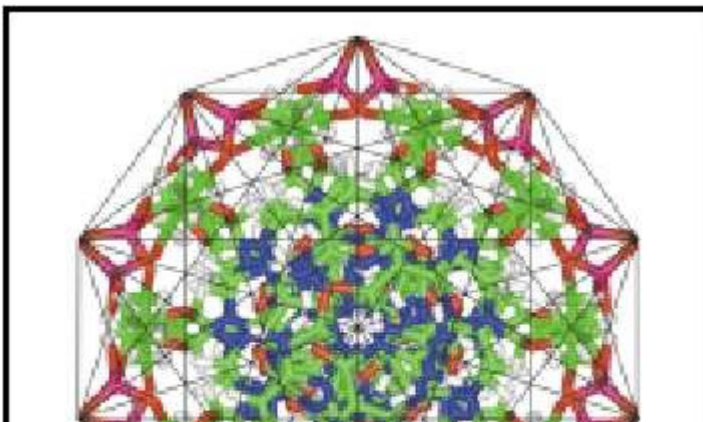
**DR. MARQUARDT** The orthodontist can use the mask as a paradigm of the ideal, final esthetic result. I orthodontist can change or modify the esthetics with treatment, he or she should be cognizant of the chan to bring the facial soft tissues into as close an alignment with the mask as possible to effect an ideal esthe functional, result. Treatment planning using growth forecasts and facial analyses are critical when treatin they mature into the young adult stage of their life, and having an idealized goal such as the mask to treat believe, would give much more consistent and esthetic results at the end of treatment than is currently po

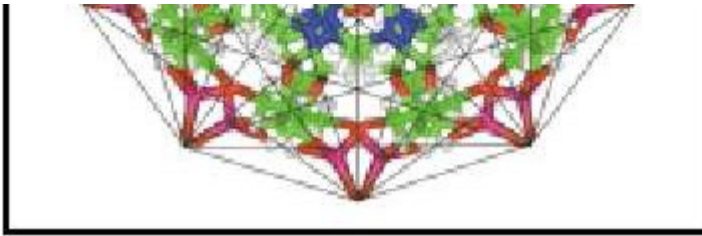
**DR. GOTTLIEB** Could children in an orthodontic practice use the mask to explore aspects of their own

**DR. MARQUARDT** Children can certainly apply the mask to their faces to explore the correlation of th the mask, but it is unfair to judge a child' s attractiveness or future attractiveness by applying the mask to child, due to the variation of growth patterns and inconsistency of facial growth from one child to anothe children do not match the mask particularly well before they are 14 or more years of age. I think after tha however, the mask is a significant way for pubescent young adults to analyze their faces, and a guide for orthodontist in deciding on a treatment plan that will effect the most positive esthetic change for them. In believe that growth forecasting before the age of 14 may be a valuable aid in helping the orthodontist det closely the child' s face, with normal growth, might potentially match the mask by the time they are a yo this information, the orthodontist can make necessary corrections to guide growth into a configuration th the mask as closely as possible by young adulthood. This is particularly true when surgery is contemplate orthodontic therapy.

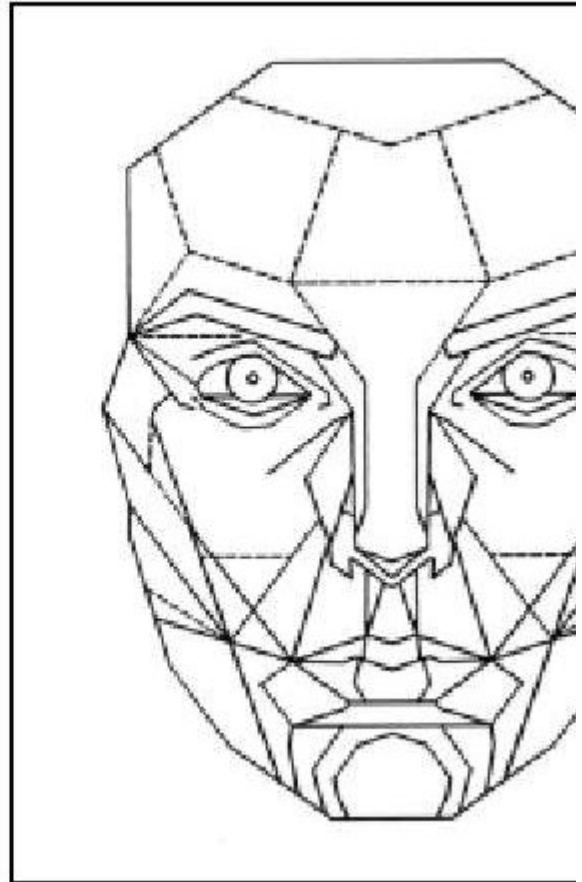
**DR. GOTTLIEB** Thank you, Steve, for giving our readers a fascinating insight into the ingredients of h beauty. •

## FIGURES

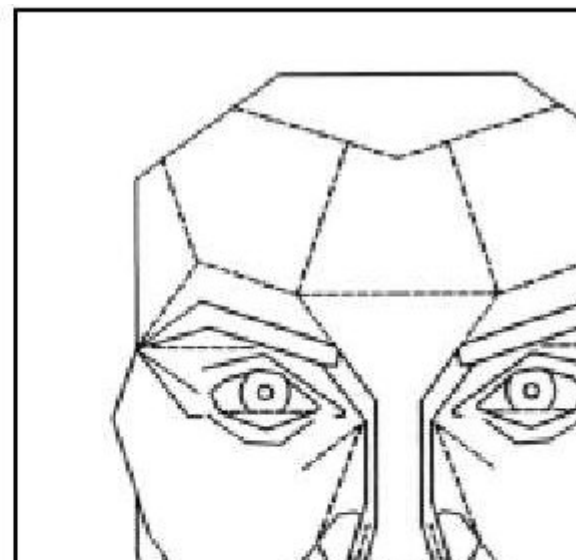
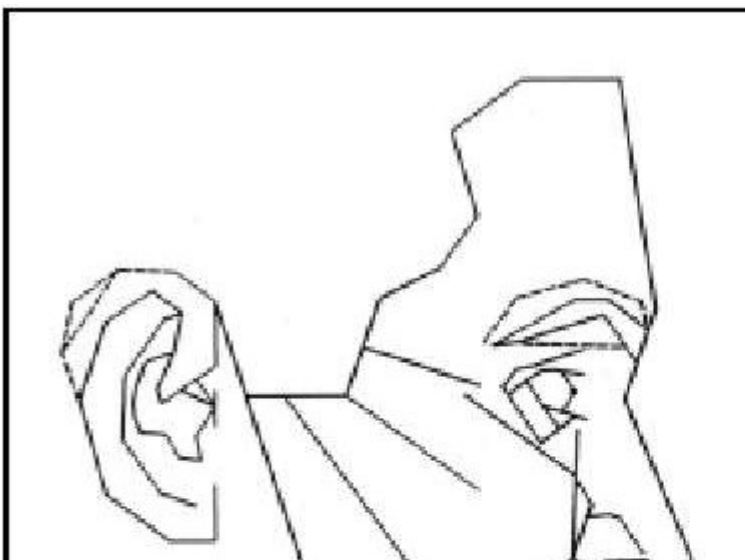




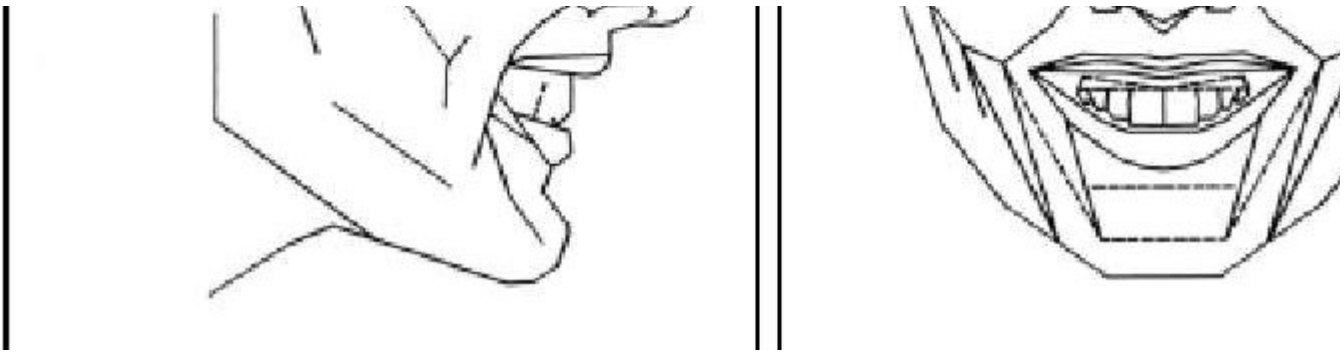
**Fig. 1** Fig. 1 Golden Decagon (black) overlaid on cross-section of B-DNA molecule (color).



**Fig. 2A** Golden Decagon masks (repose).







**Fig. 2B** Golden Decagon masks (smiling).



**Fig. 3A** Application of Golden Decagon mask to historical concepts of facial beauty. A. Venus, c. 164-110 BC, courtesy Toledo Museum of Art, Toledo, OH.







**Fig. 3B** Application of Golden Decagon mask to historical concepts of facial beauty. B. Detail from Port Barrett Moulton by Sir Thomas Lawrence, 1794, courtesy Huntington Library, Art Collections and Botar San Marino, CA.