#### **ORIGINAL ARTICLES**

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# Improvement of solubility of $C_{70}$ by complexation with cyclomaltononaose ( $\delta$ -cyclodextrin)

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We investigated the solubilizing effects of cyclomaltononaose ( $\delta$ -CD), a cyclic oligosaccharide composed of nine  $\alpha$ -1,4-linked D-glucose units, on  $C_{70}$  by using the ball-milling method based on a solid-solid mechanochemical reaction. The complex between  $C_{70}$  and  $\delta$ -CD was characterized by UV-VIS spectrometry and fast atom bombardment mass spectrometry (FAB-MS). Coloration of the  $C_{70}/\delta$ -CD system was red-brown in aqueous solution, and the UV-VIS spectrum was in agreement with that of  $C_{70}$  in hexane solution. The FAB-MS spectrum of the  $C_{70}/\delta$ -CD system showed a negative ion peak corresponding to the molecular weight of a complex between two  $\delta$ -CD and one  $C_{70}$ . These findings suggest that the solubilization of  $C_{70}$  in water was due to complex formation of  $C_{70}$  with  $\delta$ -CD, and the stoichiometric ratio of this complex was 1:2 ( $C_{70}$ : $\delta$ -CD).

#### 1. Introduction

Cyclodextrin (CD) is a common name for cyclic oligosaccharides composed of a number of α-1,4-linked D-glucose units, of which 6, 7 and 8 are well known as  $\alpha$ -,  $\beta$ - and γ-CD, respectively. Owing to their average cavity diameter of 5-8 Å, they are able to form an inclusion complex with a variety of guest molecules. They and their derivatives have been well studied and are used in many fields (Dodziuk 2006). In contrast, there have been few papers concerning large-ringed CDs (LR-CDs) composed of more than nine  $\alpha$ -1,4-linked D-glucose units. However, a paper by French et al. presented the first definitive evidence of such molecules, and those with 9, 10, 11, 12 and 13 Dglucose units were named  $\delta$ -,  $\epsilon$ -,  $\zeta$ -,  $\eta$ - and  $\theta$ -CD, respectively (French et al. 1965). Yet, due to low yields and difficulties in their purification, they have not been studied in detail. We have focused on LR-CDs for several years and have previously reported on the isolation, purification methods, physicochemical properties, and abilities of LR-CDs with  $9\sim45$  D-glucose units to form inclusion complexes (Miyazawa et al. 1995; Endo et al. 1995; Ueda et al. 1996; Endo et al. 1997a; Endo et al. 1997b; Endo et al. 1998; Onodera et al. 2002; Shimizu et al. 2003, Nakadate et al. 2004; Taira et al. 2006; Katsura et al. 2006). In addition, we have determined the crystal structures for cyclomaltodecaose and cyclomaltotetradecaose (Ueda et al. 1996; Harata et al. 1998). Above all, we have established an isolation and purification method to obtain relatively large amounts of δ-CD (Miyazawa et al. 1995; Wakamiya et al. 1997), and characterized its physicochemical properties and complex-forming abilities with a number of drugs (Miyazawa et al. 1995; Ueda et al. 1999).

Fullerenes ( $C_{60}$ ,  $C_{70}$ ) were successfully prepared in measurable quantities by Krätschmer et al. (1990), which led to extensive studies in organic and inorganic chemistry and material sciences (Kroto et al. 1991). Following an exciting report on the inhibition of HIV-1 proteases by  $C_{60}$  derivatives (Friedman et al. 1993), many research groups have begun to investigate the biological uses of fullerenes over the past few years (Jensen et al. 1996). However, the predominant hydrophobic character of fullerenes causes low solubility in polar media, especially water.

Beginning with the work of Andersson et al. (1992), several groups reported a successful technique to overcome this problem that relied on embedding  $C_{60}$  in γ-CD (Zhang et al. 1994; Kuroda et al. 1995). The reports suggest that γ-CD, which has a cavity size similar to the diameter of  $C_{60}$ , is the most suitable host molecule for solubilization. However, there has been little information on the dissolving of  $C_{70}$ , a member of the fullerene family, in water using CDs (Andersson et al. 1994). Our preliminary experiment revealed that  $C_{70}$  could be solubilized in water by complexation with δ-CD (Furuishi et al. 1998). It was considered that  $C_{70}$  showed better fit to δ-CD than γ-CD since δ-CD has a larger cavity. However, details of the interaction mechanism and complex structure between  $C_{70}$  and δ-CD were unknown.

In this paper, we described the solubilizing effects of  $\delta$ -CD on  $C_{70}$  in aqueous solution via complex formations on the basis of data from the UV-VIS spectrum. Furthermore, we determined the stoichiometry of this complex by fast atom bombardment mass spectrometry (FAB-MS).

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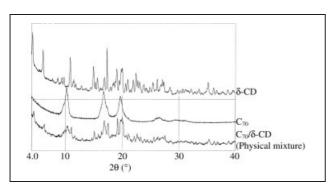


Fig. 1: XRD patterns of  $\delta$ -CD,  $C_{70}$  and the  $C_{70}$  / $\delta$ -CD system (physical mixture)

#### 2. Investigations, results and discussion

# 2.1. Determination of the time taken to obtain a ground mixture of $C_{70}$ with $\delta$ -CD

The method of grinding a mixture is widely used for reducing the particle size of a solid to enable dissolution of water-insoluble compounds in water, since dissolution rate depends strongly on particle size. Reducing the size is a consequence of a strong grinding force on a solid, and causes an increase in activation energy on the surface and distortion of the crystal lattice. Braun et al. reported a preparation to dissolve  $C_{60}$  in water by grinding between  $C_{60}$  and  $\gamma$ -CD (Braun et al. 1994). Hence, the theory and methods of obtaining ground mixtures were applied for the solubilization of  $C_{70}$  by use of  $\delta$ -CD.

Powder X-ray diffraction (XRD) patterns of  $\delta$ -CD, C<sub>70</sub> and a physical mixture of  $\delta$ -CD and  $C_{70}$  (molar ratio 1:1) are presented in Fig. 1. The pattern of the physical mixture could be interpreted as an approximate superposition of the  $\delta$ -CD and C<sub>70</sub>. As with the C<sub>70</sub>/ $\delta$ -CD system, no interaction was found between  $\delta$ -CD and  $C_{70}$  by physical mixing alone. The intensity of each peak decreased with increasing grinding time, and a broad pattern was observed after 6, 12, 24 and 48 h (Fig. 2). The changes in XRD patterns upon grinding indicated a decrease in proportion of crystalline forms of  $\delta$ -CD and  $C_{70}$ , and no new diffraction peak (implying the formation of a crystalline complex) appeared. This suggests that the crystalline structure of  $C_{70}$  and  $\delta$ -CD were disrupted, and that both  $C_{70}$  and δ-CD molecules were substantially converted into amorphous states. Moreover, the diffraction patterns did not alter after 6 h of grinding; this was found to be an adequate duration for obtaining a ground mixture of  $\delta$ -CD and C<sub>70</sub> under our experimental conditions.

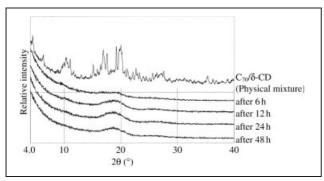


Fig. 2: Effect of ball-milling time on XRD pattern of the  $C_{70}/\delta$ -CD system

#### 2.2. UV-VIS spectrum of the $C_{70}/\delta$ -CD system

C<sub>70</sub> has strong absorption in the ultraviolet region when dissolved in aqueous and organic media, thus can be easily detected by UV-VIS spectroscopy. Figure 3 shows the UV-VIS spectra of the  $C_{70}/\delta$ -CD and  $C_{70}/\gamma$ -CD systems. The spectrum of the  $C_{70}/\delta$ -CD system was in agreement with that of  $C_{70}$  in hexane solution (Ajie et al. 1990; Hare et al. 1991). The  $\lambda_{max}$  of this system was observed at 237.5 nm, and the spectrum of the  $C_{70}/\delta$ -CD system in water was slightly red-shifted compared with that of C<sub>70</sub> in hexane solution. It can therefore be presumed that an intermolecular interaction between the cavity of  $\delta$ -CD and the  $\pi$ -system of C<sub>70</sub> is involved. The C<sub>70</sub>/ $\delta$ -CD system solution appeared red-brown, similar to the color of C<sub>70</sub> in toluene solution, however the  $C_{70}/\gamma$ -CD system was colorless. From these results, it was suggested that the solubilization of C<sub>70</sub> into water was due to formation of a complex between  $C_{70}$  and  $\delta$ -CD. The concentration of  $C_{70}$  in water was about  $8.36 \times 10^{-5}$  M. This concentration was almost the same as that of the  $C_{70}/\gamma$ -CD system reported by Andersson et al. (1994). The solubilization of  $C_{70}$  with γ-CD was achieved by use of surplus γ-CD. On the contrary, the spectral intensity of the  $C_{70}/\gamma$ -CD system in

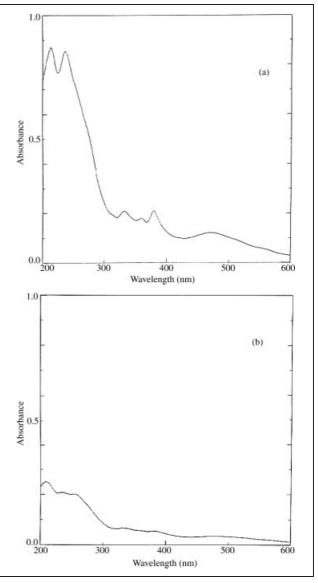


Fig. 3: UV-VIS spectra of (a)  $C_{70}/\delta$ -CD complex (diluted 12.5 times) and (b)  $C_{70}/\gamma$ -CD complex (not diluted) in aqueous solution

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Fig. 3(b) was lower than that of the  $C_{70}/\delta$ -CD system. This difference was due to the better fit of  $C_{70}$  to the inner hydrophobic space of  $\delta$ -CD than to that of  $\gamma$ -CD, because  $\delta$ -CD had a larger cavity.

In order to clarify the interaction between  $\delta$ -CD and  $C_{70}$  in aqueous solution, we investigated it in detail by <sup>1</sup>H NMR and <sup>13</sup>C NMR spectroscopy at 50 °C. The <sup>1</sup>H and <sup>13</sup>C NMR spectra of the  $C_{70}/\delta$ -CD system (molar ratio of 1:1) were almost the same as that of  $\delta$ -CD alone. It was considered that this sample could not give adequate solubility of C<sub>70</sub> in D<sub>2</sub>O to be measured by NMR spectrometry. Hence, the sample was prepared as a molar ratio of 1:5  $(C_{70}: \delta\text{-CD})$  in DMSO-d<sub>6</sub>, since the solubility of the complex was too low to give high-quality NMR spectra in  $D_2O$ . The essential feature of the interaction of  $C_{70}$  with δ-CD in DMSO may be similar to that which occurs in water, because the relative magnitude of dielectric constants of the solvents ( $\varepsilon = 46.8$  and 80, respectively) against the hydrophobic guest molecule is not significantly different between DMSO and water (Jyothirmayi et al. 1991; Matsui et al. 1994; Gafni et al. 1997). The chemical shifts of all protons in a D-glucose unit in the presence of C<sub>70</sub> moved about 0.025 ppm toward the higher field region than those in the absence of C<sub>70</sub>, although a large signal change was not observed for each proton (data not shown). It is well known that protons at the H-5 and H-3 positions in D-glucose units are in the inner hydrophobic space of the CD ring. If the C<sub>70</sub> molecule inserts into the inner space of the  $\delta$ -CD molecule by inclusion complex formation, the protons H-5 and H-3 will be most influenced by the insertion. This result suggests that a complex between  $\delta$ -CD and  $C_{70}$  could be formed, however the interaction is very weak.

Moreover, we found the chemical shifts of all carbons in the D-glucose unit of  $\delta$ -CD in the presence of  $C_{70}$  moved on average 0.02 ppm toward the lower field region than those in the absence of  $C_{70}$  (data not shown). However, we did not observe the five carbon signals of  $C_{70}$  in the DMSO-d<sub>6</sub> solution. In organic solution, <sup>13</sup>C NMR spectrum for  $C_{70}$  consisted of five signals at 150.1, 147.5, 146.8, 144.7 and 130.3 ppm (Taylor et al. 1990). From the data described above, we suggest the possibility of an interaction between  $C_{70}$  with  $\delta$ -CD in aqueous solution, but are unable to obtain strong evidence.

## 2.3. Determination of the stoichiometry of $C_{70}/\delta$ -CD systems by FAB-MS

From UV-VIS and NMR measurements, it was suggested that an interaction between  $\delta$ -CD and  $C_{70}$  had been revealed, however this was not verified by the stoichiometry of the  $C_{70}/\delta$ -CD system.

The MS spectrometric detection of host-guest complexes, where two or more molecules are held together by weak non-covalent interactions, has become possible with the development of softer ionization techniques, such as fast atom bombardment (FAB) and electrospray. Andersson et al. reported that a complex consisting of two  $\gamma$ -CD and one molecule of C<sub>60</sub> was identified by negative fast atom bombardment/liquid secondary ion (FAB/LSI) MS spectroscopy (Andersson et al. 1995). Here we describe the use of FAB-MS for determination of the stoichometric ratios of C<sub>70</sub>/ $\delta$ -CD system.

The spectrum of the  $C_{70}/\delta$ -CD system is shown in Fig. 4. The peaks at m/z 841.1 correspond to the negative ion for  $C_{70}$ , and the peak at m/z 3756.9 corresponds to the complex of two  $\delta$ -CD and one  $C_{70}$ . This result suggests a

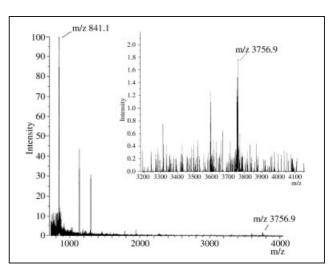


Fig. 4: FAB-MS spectrum of C<sub>70</sub>/δ-CD complex

stoichiometric ratio of the  $C_{70}/\delta$ -CD complex of 1:2 ( $C_{70}$ :  $\delta$ -CD).

In conclusion, we have succeeded in dissolving  $C_{70}$  in a water complexation with  $\delta$ -CD, an LR-CD composed of nine  $\alpha$ -1,4-linked D-glucose units, using a preparation of ground mixture. A duration of 6 h was found to be adequate to obtain ground mixtures between  $\delta$ -CD and  $C_{70}$ . The solubility of  $C_{70}$  in water was approximately  $8.36 \times 10^{-5}$  M using UV-VIS spectrometry. FAB-MS showed the formation of a 1:2 complex of the  $C_{70}/\delta$ -CD system.

Finally, we hope the  $\delta$ -CD is a candidate for a highly selective phase in HPLC separation of  $C_{60}$ ,  $C_{70}$  and other fullerenes, especially chiral molecules (Cabrera et al. 1993). We continue to isolate other LR-CDs composed of more than ten  $\alpha$ -1,4-linked D-glucose units, and the interactions between these and higher fullerenes will be published elsewhere in the near future.

#### 3. Experimental

#### 3.1. Materials

 $\delta$ -CD in excess of 98% purity was prepared by the method described previously (Miyazawa et al. 1995).  $\gamma$ -CD was obtained from Wacker Chemicals East Asia Ltd. (Tokyo, Japan).  $\delta$ -CD and  $\gamma$ -CD were used after recrystallization from water.  $C_{70}$  was purchased from Term USA (Berkeley, CA, USA), and purity of  $C_{70}$  was greater than 99%. All other chemicals and solvents were commercial grade and used without purification. Milli-Q water was used in all experiments.

#### 3.2. Instruments

A lightproof ball mill (model V-1M; Irie Shokai Co., Ltd., Tokyo, Japan) was used; its volume was 50 mL and height 78 mm. The enclosed glass balls had a diameter of 7 mm. Powder X-ray diffraction (XRD) was recorded using an RINT 1400 X-ray diffractormeter (Rigaku Co., LTD., Tokyo, Japan). X-Ray measurement was carried out at  $1^{\circ}/\text{min}$  from  $4^{\circ}$  to  $40^{\circ}$  of the diffraction angle at room temperature at 60 kV and 200 mA. UV-VIS spectrometry was measured using a Ubest-30 Double Beam spectrophotometer (JASCO Co., Ltd., Tokyo, Japan). FAB-MS was performed in a negative mode by a JEOL SX-102A mass spectrometer (JEOL, Tokyo, Japan) with a Magic Bullet matrix and acceleration voltage of -6 kV.

### 3.3. Determination of the time taken to obtain a ground mixture of $C_{70}$ with $\delta$ -CD

A mixture of 20.2 mg of  $C_{70}$  (2.4×10<sup>-5</sup> mol) and 35.0 mg of  $\delta$ -CD (2.4×10<sup>-5</sup> mol) was ball-milled at room temperature. The mixture was measured by XRD after ball-milling for 6, 12, 24, and 48 h.

### 3.4. Preparation of the complex of $C_{70}$ with $\delta$ -CD in aqueous solution and analysis by spectrophotometer

Initially, a mixture of 20.2 mg of  $C_{70}$  ( $2.4 \times 10^{-5}$  mol) and 35.0 mg of  $\delta$ -CD ( $2.4 \times 10^{-5}$  mol) was ball-milled at 230 rpm at room temperature.

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After adding 25 mL of water, the solution was incubated at 25 °C for about 3 days. The suspension was centrifuged at 25 °C and 10,000 rpm (maximum gravity approximately 12,000 G) for 1 h. The supernatant was examined by UV-VIS spectroscopy at a wavelength of 237.5 nm. The C<sub>70</sub>/γ-CD system was studied in the same manner.

#### 3.5. Determination of the stoichiometry of the $C_{70}/\delta$ -CD system by FAB-MS

FAB-MS analysis of the C<sub>70</sub>/δ-CD system was performed after freeze drying to remove water from the aqueous samples, in a similar manner as the sample preparation for UV-VIS spectrometry.

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