



SAPONINS FROM THE ROOT OF *BUPLEURUM FALCATUM*

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Key Word Index—*Bupleurum falcatum*; Umbelliferae; Bupleuri Radix; malonylsaikosaponin; acetyl-saikosaponin; hydroxysaikosaponin; saikosaponin.

Abstract—Three new saponins and nine known saponins were isolated from the dried roots of *Bupleurum falcatum*. On the basis of chemical and spectral analyses, the structures of new compounds, named 4''-O-acetylsaikosaponin d and hydroxysaikosaponins a and c, were established. In aqueous acidic conditions, saikosaponins a and d were converted into not only known compounds, saikosaponins b₁ and b₂, but also hydroxysaikosaponins a and d, respectively. Furthermore, quantitative analysis of the decoction of Bupleuri Radix itself by HPLC exhibited that it contained saikosaponins a, c and d, and hydroxysaikosaponins a, c and d.

INTRODUCTION

Bupleuri Radix is the root of *Bupleurum falcatum* L. and its varieties (Umbelliferae). It is one of the best known crude drugs of KAMPO medicine in Japan and has been the subject of many investigations. In particular, saikosaponins, which are the principal ingredients of Bupleuri Radix, have been investigated as major biologically active substances in this crude drug [1-7].

In the preceding communication [8], we reported the presence of two new saponins, named malonylsaikosaponins a (1) and d (2) in fresh Bupleuri Radix, which contains a larger amount of 1 and 2 than their correlated saikosaponins a and d. Further, in continuing the chemical investigation on constituents of this plant, we isolated three new saponins (9, 11 and 12), together with seven known saponins (3-8 and 10). This paper deals with the isolation and structural elucidation of these new compounds.

RESULTS AND DISCUSSION

The saponin fraction of the methanolic extract of this plant was separated by using a combination of reversed-phase and normal-phase silica gel column chromatography to afford 12 compounds (1-12). Among them, 9, 11 and 12 were novel compounds, identified as 4''-O-acetylsaikosaponin d, hydroxysaikosaponin a and hydroxysaikosaponin c, respectively.

Compounds 1-8 and 10 from the root of *B. falcatum* and related genus were identified as 6''-O-malonyl-saikosaponin a (1), 6''-O-malonylsaikosaponin d (2), 2''-O-acetylsaikosaponin a (3), 3''-O-acetylsaikosaponin a (4), 4''-O-acetylsaikosaponin a (5), 6''-O-acetylsaikosaponin a (6),

2''-O-acetylsaikosaponin d (7), 3''-O-acetylsaikosaponin d (8) and 6''-O-acetylsaikosaponin d (10), respectively, by their chemical data and comparing physicochemical and spectral data with those described in the literature [9-13].

Compound 9, C₄₄H₇₀O₁₄, FAB mass spectrum m/z 823 [M + H]⁺, was obtained as a white amorphous powder. Its ¹H NMR spectrum showed eight methyl signals, two anomeric proton signals and two olefinic proton signals. Infrared bands at 1740 and 1248 cm⁻¹, and the ¹³C NMR spectrum at δ 20.9 (s) and 172.1 (q), showed an acetyl group. On alkaline hydrolysis with KOH, it afforded saikosaponin d, which was identified by HPLC and TLC.

These spectral and chemical data suggested that 9 was composed of saikosaponin d esterified with acetic acid. The recent publications [10-13] on the ¹³C NMR assignment of saikosaponins enabled us to distinguish the signals of the glucosyl, fucosyl and aglycone moieties in 9. By comparing the ¹³C chemical shifts of 9 with those of saikosaponin d, the C-4'' signal of glucose shifted downfield by 1.0 ppm and the neighbouring C-3'' and C-5'' signals shifted upfield by 2.1 and 2.3 ppm, respectively. These acylation shifts showed the acetyl group to be present at C-4'' of the glucosyl moiety. Comparison of the ¹³C chemical shifts of the glucosyl moiety of 9 and 5 showed the same acylation shifts (Table 1).

Further, the position of the acetyl group in 9 was confirmed by ¹H-¹H shift correlation spectroscopy (COSY) and the homonuclear Hartman-Hahn spectrum (HOHAHA). Thus, the structure of 9 was elucidated as 4''-O-acetylsaikosaponin d.

Compound 11, C₄₂H₇₀O₁₄, FAB mass spectrum m/z 797 [M - H]⁻, was obtained as a white amorphous powder. Its ¹³C NMR spectrum was similar to that of

Table 1. ^{13}C NMR data for acetylsaikosaponins (CD_3OD)

	3	7	4	8	5	9	6	10
C-1	39.3	39.3	39.2	39.2	39.2	39.2	39.3	39.3
C-2	26.1	26.2	26.1	26.1	26.1	26.1	26.2	26.1
C-3	82.9	83.0	83.1	83.3	83.1	83.3	83.1	83.3
C-4	44.1	44.3	44.0	44.3	44.0	44.3	44.1	44.3
C-5	47.9	48.0	48.0	48.1	48.1	48.1	48.1	48.2
C-6	18.2	18.2	18.2	18.2	18.2	18.2	18.2	18.2
C-7	32.1	32.1	32.1	32.1	32.1	32.1	32.2	32.2
C-8	43.0	42.6	43.0	42.6	43.0	42.6	43.0	42.6
C-9	54.0	53.9	54.0	53.9	54.0	53.9	54.0	53.9
C-10	37.0	37.1	37.1	37.1	37.1	37.1	37.1	37.1
C-11	134.2	134.0	134.2	133.9	134.2	134.0	134.2	133.9
C-12	130.6	131.5	130.6	131.5	130.6	131.5	130.6	131.5
C-13	85.7	86.7	85.7	86.7	85.7	86.7	85.7	86.7
C-14	46.5	44.1	46.5	44.1	46.5	44.1	46.5	44.1
C-15	36.0	35.5	36.0	35.5	36.0	35.5	36.0	35.5
C-16	65.4	78.0	65.4	78.0	65.4	78.0	65.4	78.0
C-17	47.5	46.1	47.5	46.1	47.5	46.1	47.6	46.1
C-18	53.1	52.1	53.1	52.1	53.1	52.1	53.1	52.1
C-19	38.5	39.2	38.5	39.2	38.5	39.2	38.6	39.2
C-20	32.3	32.5	32.3	32.5	32.3	32.5	32.3	32.5
C-21	35.2	37.4	35.2	37.4	35.2	37.4	35.3	37.4
C-22	26.2	31.6	26.1	31.6	26.1	31.6	26.1	31.6
C-23	64.5	64.6	64.8	65.0	64.8	64.9	64.8	65.0
C-24	12.8	12.8	12.8	12.8	12.8	12.8	12.8	12.8
C-25	18.9	19.0	19.0	19.0	19.0	19.0	19.0	19.0
C-26	20.2	19.7	20.2	19.8	20.2	19.8	20.3	19.8
C-27	21.3	18.5	21.3	18.6	21.3	18.6	21.2	18.6
C-28	73.4	78.5	73.4	78.5	73.4	78.5	73.4	78.5
C-29	34.0	34.0	34.0	34.0	34.0	34.0	34.0	34.0
C-30	24.1	24.6	24.1	24.7	24.1	24.7	24.1	24.6
(Fucosyl moiety)								
C-1'	106.2	106.2	105.6	105.6	105.6	105.6	105.6	105.6
C-2'	71.6	71.6	71.8	71.9	71.8	71.9	71.8	71.8
C-3'	84.7	84.8	85.1	85.1	85.1	85.2	85.2	85.3
C-4'	72.4	72.5	72.4	72.4	72.4	72.4	72.3	72.3
C-5'	71.2	71.2	71.3	71.4	71.4	71.4	71.3	71.3
C-6'	16.9	16.9	16.9	16.9	16.9	17.0	17.0	17.0
(Glucosyl moiety)								
C-1''	103.7	103.7	105.4	105.4	105.6	105.6	105.4	105.3
C-2''	76.0	76.0	73.6	73.6	75.4	75.4	75.2	75.2
C-3''	75.5	75.5	78.6	78.7	75.8	75.8	77.6	77.6
C-4''	71.3	71.4	69.4	69.4	72.4	72.4	71.7	71.7
C-5''	77.9	78.0	77.7	77.7	75.4	75.4	75.4	75.4
C-6''	62.3	62.4	62.1	62.1	62.1	62.1	64.8	64.8
(Acetyl)								
C=O	172.6	172.6	172.7	172.7	172.1	172.1	172.7	172.7
CH ₃	21.2	21.2	21.2	21.1	20.9	20.9	20.8	20.8

saikosaponin **b**₃ (**14**). However, the signal of a methoxyl group was detected in saikosaponin **b**₃, but not in **11**. By comparing the ^{13}C chemical shifts of **11** with those of saikosaponin **b**₃, the C-11 signal of the aglycone shifted upfield by 9.3 ppm and neighbouring C-9 and C-12 signals shifted downfield by 2.9 and 4.9 ppm (Table 2), respectively. These spectral data suggested that **11** pos-

sesses not a methoxyl group, but a hydroxyl group at C-11 of the aglycone moiety of saikosaponin **b**₃. On acid treatment with acetic acid–H₂O, compound **11** afforded saikosaponin **a**. Conversely, saikosaponin **a** afforded **11** under similar conditions. Thus, the structure of **11** was elucidated as de-11-*O*-methylsaikosaponin **b**₃, named hydroxysaikosaponin **a**.

Table 2. ^{13}C NMR data for hydroxysaikosaponins (CD_3OD)

	14	11	12	15	13
C-1	40.7	41.5	42.0	40.7	41.6
C-2	26.4	26.4	27.3	26.4	26.4
C-3	83.3	83.4	90.5	83.4	83.5
C-4	44.5	44.8	40.5	44.3	44.6
C-5	48.4	48.5	57.2	48.5	48.6
C-6	18.9	19.0	19.5	18.9	19.0
C-7	33.8	34.1	34.6	34.1	34.3
C-8	41.5	41.6	41.6	41.1	41.2
C-9	52.6	55.5	55.6	52.3	55.3
C-10	38.8	38.8	39.0	39.0	39.0
C-11	77.4	68.1	68.1	77.6	68.3
C-12	123.1	128.0	128.0	122.8	127.6
C-13	149.0	146.5	146.6	150.6	148.0
C-14	44.1	44.2	44.7	42.7	42.7
C-15	36.7	36.7	36.7	37.5	37.5
C-16	67.3	67.5	67.5	74.6	74.7
C-17	44.7	44.8	44.9	44.1	44.2
C-18	44.6	44.4	44.4	43.0	42.8
C-19	47.6	47.2	47.3	48.7	48.3
C-20	31.7	31.7	31.7	31.7	31.6
C-21	34.7	34.8	34.8	35.0	35.0
C-22	25.9	26.0	25.9	30.7	30.6
C-23	65.2	65.3	28.6	65.2	65.4
C-24	13.4	13.4	17.0	13.4	13.4
C-25	18.1	17.9	17.4	18.1	17.9
C-26	18.8	18.7	18.7	18.8	18.8
C-27	26.6	27.1	27.1	26.6	27.1
C-28	68.8	68.9	68.8	71.1	71.1
C-29	33.7	33.7	33.7	33.5	33.5
C-30	24.3	24.3	24.3	24.8	24.9
OCH_3	54.4	—	—	54.1	—
	(Fucosyl)	(Fucosyl)	(Glucosyl)	(Fucosyl)	(Fucosyl)
C-1'	105.5	105.6	106.6	105.6	105.5
C-2'	71.8	71.9	75.6	71.9	71.9
C-3'	85.1	85.2	77.0	85.2	85.3
C-4'	72.3	72.4	80.6	72.4	72.4
C-5'	71.8	71.3	75.7	71.2	71.3
C-6'	16.9	16.9	69.5	17.0	17.0
	(Glucosyl moiety)				
C-1''	105.6	105.7	104.9	105.7	105.7
C-2''	75.3	75.4	75.0	75.4	75.4
C-3''	77.7	77.7	78.1	77.9	78.0
C-4''	71.2	71.4	71.6	71.4	71.4
C-5''	77.9	77.9	78.0	77.7	77.8
C-6''	62.4	62.5	62.7	62.4	62.4
	(Rhamnosyl moiety)				
C-1'''			103.0		
C-2'''			72.2		
C-3'''			72.4		
C-4'''			73.8		
C-5'''			70.8		
C-6'''			17.9		

Compound **12**, $\text{C}_{48}\text{H}_{80}\text{O}_{18}$, FAB mass spectrum m/z 943 $[\text{M} - \text{H}]^-$, was obtained as a white amorphous powder. Its ^{13}C NMR spectrum was similar to that of saikosaponin c. Chemical shifts of C-9, C-11, C-12 and

C-13 of the aglycone moiety of **12** were similar to those of **11** (Table 2). These spectral data suggested that the structure of **12** has a hydroxyl group at C-11 of the aglycone moiety of saikosaponin f. Compound **12** was obtained by

acid treatment of saikosaponin c with acetic acid-H₂O. Thus, the structure of **12** was elucidated as 11-hydroxysaikosaponin f, named hydroxysaikosaponin c.

In the nuclear Overhauser and exchange spectroscopy (NOESY) of **11** and **12**, the H-11 signal showed correlation with the C-25 and C-26 methyl groups with a 1,3 diaxial relation. Thus, the hydroxyl group at C-11 of **11** and **12** was in the α -configuration. This is the first report of the isolation of compounds **9**, **11** and **12**.

Compound **13**, C₄₂H₇₀O₁₄, FAB mass spectrum m/z 797 [M - H]⁻, was obtained as a white amorphous powder. Its ¹³C NMR spectrum was similar to that of saikosaponin b₄ (**15**), but the signal of a methoxyl group was detected in saikosaponin b₄, but not in **13**. By comparing the ¹³C chemical shifts of **13** with those of saikosaponin b₄, the C-11 signal of the aglycone shifted upfield by 9.3 ppm and neighbouring C-9 and C-12 signals shifted downfield by 3.0 and 4.9 ppm (Table 2), respectively. These spectral data suggested that **13** was similar to saikosaponin b₄, but with a hydroxyl group connected at C-11 of the aglycone moiety. Thus, the structure of **13** was elucidated as de-11-*O*-methyl-saikosaponin b₄, named hydroxysaikosaponin d. On the basis of this result, saikosaponin d was also converted into compound **13** by carefully controlled acid conditions.

Moreover, we elucidated that saikosaponin a and **11** were interconvertible under acidic conditions in water. These results suggested that saikosaponin a and **11** reach an equilibrium state and gradually convert into saikosaponin b₁ under the acidic conditions in water. Therefore, other saikosaponins, e.g. saikosaponins c and d, acetylsaikosaponins a and d, malonylsaikosaponins a and d, also might undergo a similar reaction under the acidic conditions.

Generally, the decoction of crude drugs is found to be weakly acidic. Therefore, it is possible that the above reactions can occur during preparation of the decoction. Therefore, a decoction of Bupleuri Radix was prepared and subjected to detailed analysis by HPLC. The decoction contained saikosaponins a, c and d, hydroxysaikosaponins a, c and d, and saikosaponin b₂. This result suggested that the KAMPO decoction including Bupleuri Radix contains not only saikosaponins, but also hydroxysaikosaponins.

In the preceding communication [8], we reported that Bupleuri Radix contains a larger amount of malonylsaikosaponins a and d than their corresponding saikosaponins. However, we were not able to detect these malonylsaikosaponins a and d in the decoction of Bupleuri Radix. This result suggested that malonylsaikosaponins a and d were hydrolysed to saikosaponins or converted into other compounds by heat and/or acid during the decocting process.

EXPERIMENTAL

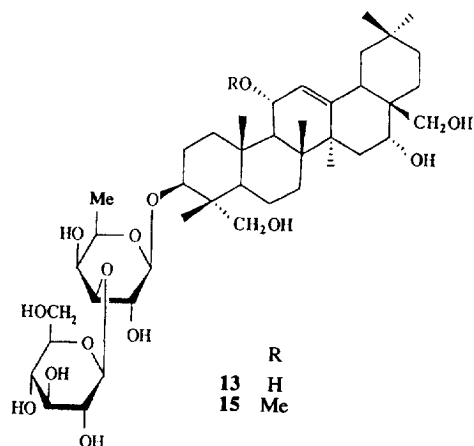
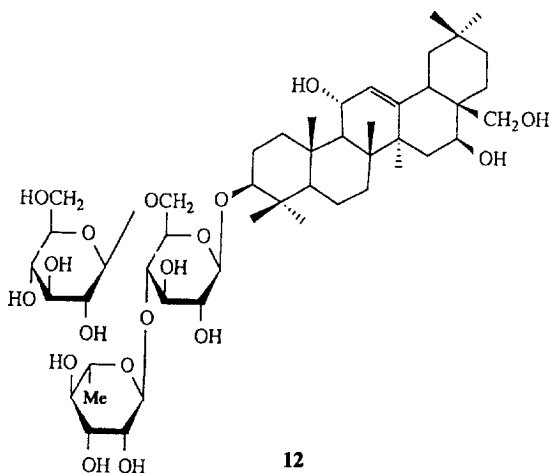
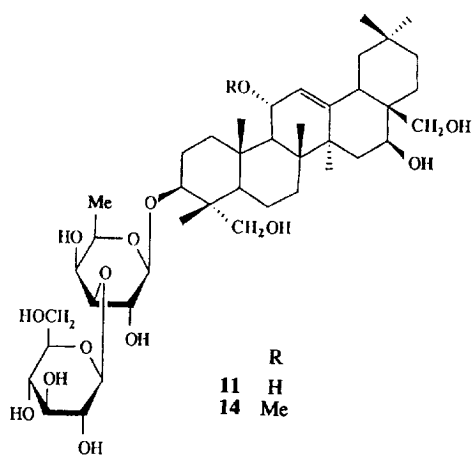
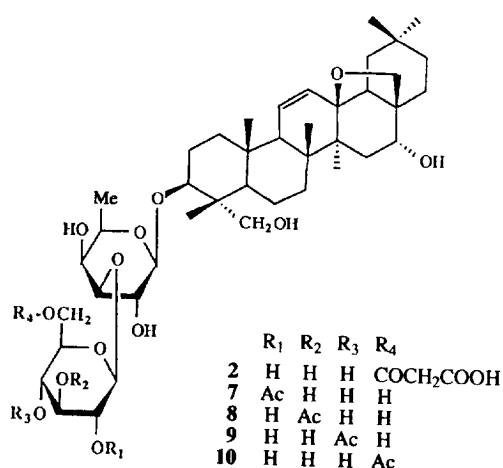
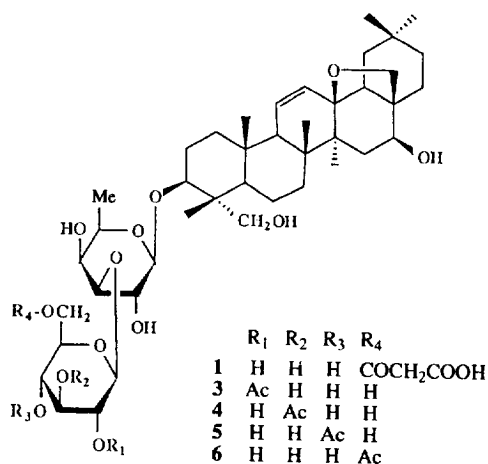
Mps: uncorr. ¹H and ¹³C NMR: 400 and 500 MHz, respectively, with TMS as int. standard. TLC: precoated

Silica gel 60 F₂₅₄ plate, spots visualized by dil. H₂SO₄. Prep. HPLC: YMC packed column R-354 (ODS) and CIG Si-10 column (1.5 cm i.d. × 20 cm). HPLC analyses were performed on a TRIROTAR-III HPLC system. HPLC conditions: column, YMC packed column A-212 (6 mm i.d. × 150 mm); solvent, 40% AcCN; detection, 205 nm. Plant material: *B. falcatum* L. was cultivated in Nagano Prefecture (Japan), and roots were collected in October 1988.

Extraction and isolation. The dried root of *B. falcatum* (9 kg) was extracted with MeOH (36 l) at room temp. The MeOH extract (1.4 kg) was partitioned between BuOH and H₂O. The BuOH extract (645 g) was dissolved in MeOH and then added dropwise to Et₂O. The ppt. (99 g) of the saponin mixt. was sepd from the soln by filtration. Sepn of the ppt. by silica gel CC (EtOAc-EtOH-H₂O, 10:8:1) furnished 3 saponin frs; fr. A (7.0 g), B (47.3 g) and C (6.5 g). Fr. A was subjected to reversed-phase CC with H₂O-MeOH (3:8) to give 4 further frs; fr. A-1, A-2, A-3 and A-4. Rechromatography of fr. A-1-A-4 on silica gel with EtOAc-EtOH-H₂O (25:2:1) and EtOAc-Me₂CO-H₂O (25:2:1) afforded **3** (75 mg), **4** (131 mg), **5** (13 mg), **6** (76 mg), **7** (29 mg), **8** (148 mg), **9** (15 mg) and **10** (141 mg). Further purification of fr. C by combination of reversed- and normal-phase silica gel CC gave **1** (ca 400 mg) and **2** (ca 500 mg), and fr. B gave **11** (40 mg) and **12** (18 mg), together with saikosaponins a, c and d.

6''-O-Malonylsaikosaponin a (1). Amorphous powder, $[\alpha]_D^{26} + 42^\circ$ (MeOH; c 0.1). IR $\nu_{\text{max}}^{\text{KBr}}$ cm⁻¹: 3416, 2944, 1730, 1592, 1386, 974, 906. ¹H NMR (CD₃OD): δ 0.70, 0.92, 0.95, 0.98, 1.04, 1.09 (each 3H, s, -CH₃), 1.28 (3H, d, J = 6.4 Hz, Fuc H-6), 1.90 (1H, br s, H-9), 3.04 (1H, d, J = 7.3 Hz, H-28), 3.84 (1H, d, J = 2.5 Hz, Fuc H-4), 3.90 (1H, d, J = 7.3 Hz, H-28), 4.17 (1H, dd, J = 9.8, 6.1 Hz, H-16), 4.25 (1H, dd, J = 12.0, 6.1 Hz, Glc H-6), 4.40 (1H, d, J = 7.2 Hz, Fuc H-1), 4.47 (1H, dd, J = 12.0, 2.0 Hz, Glc H-6), 4.56 (1H, d, J = 7.7 Hz, Glc H-1), 5.38 (1H, dd, J = 10.5, 3.0 Hz, H-12), 5.95 (1H, d, J = 10.5 Hz, H-11). ¹³C NMR (CD₃OD): δ 12.8 (q, C-24), 17.1 (q, Fuc C-6), 18.3 (t, C-6), 19.0 (q, C-25), 20.3 (q, C-26), 21.3 (q, C-27), 24.1 (q, C-30), 26.1 (t, C-22), 26.2 (t, C-2), 32.2 (t, C-7), 32.3 (s, C-20), 34.0 (q, C-29), 35.3 (t, C-21), 36.1 (t, C-15), 37.2 (s, C-10), 38.6 (t, C-19), 39.3 (t, C-1), 43.0 (s, C-8), 44.1 (s, C-4), 46.6 (s, C-14), 47.6 (s, C-17), 48.2 (d, C-5), 53.1 (d, C-18), 54.0 (d, C-9), 65.0 (t, C-23), 65.1 (t, Glc C-6), 65.4 (d, C-16), 71.4 (d, Fuc C-5), 71.6 (d, Glc C-4), 71.9 (d, Fuc C-2), 72.4 (d, Fuc C-4), 73.4 (t, C-28), 75.3 (d, Glc C-5), 75.4 (d, Glc C-2), 77.6 (d, Glc C-3), 83.2 (d, C-3), 85.0 (d, Fuc C-3), 85.7 (s, C-13), 105.4 (d, Fuc C-1), 105.7 (d, Glc C-1), 130.7 (d, C-12), 134.2 (d, C-11), 171.5 (s, Malonyl C=O). FABMS m/z : 905 [M + K]⁺, 889 [M + Na]⁺, 861, 455. HRFABMS m/z : 905.4316 [M + K]⁺ (calc. for C₄₅H₇₀O₁₆K 905.4301).

6''-O-Malonylsaikosaponin d (2). Amorphous powder, $[\alpha]_D^{25} + 29.6^\circ$ (MeOH; c 0.1). IR $\nu_{\text{max}}^{\text{KBr}}$ cm⁻¹: 3416, 2948, 1730, 1596, 1384, 910, 890. ¹H NMR (CD₃OD): δ 0.70, 0.92, 0.94, 0.95, 1.04 (each 3H, s, -CH₃), 1.27 (3H, d, J = 6.4 Hz, Fuc H-6), 1.30 (3H, s, -CH₃), 1.97 (1H, br s, H-9), 3.11 (1H, d, J = 7.2 Hz, H-28), 3.45 (1H, d, J = 7.2 Hz, H-28), 3.84 (3H, d, J = 2.3 Hz, Fuc H-4), 3.90



(1H, *d*, *J* = 4.8 Hz, H-16), 4.22 (1H, *dd*, *J* = 11.8, 6.4 Hz, Glc H-6), 4.40 (1H, *d*, *J* = 7.3 Hz, Fuc H-1), 4.46 (1H, *dd*, *J* = 11.8, 1.8 Hz, Glc H-6), 4.56 (1H, *d*, *J* = 7.7 Hz, Glc H-1), 5.36 (1H, *dd*, *J* = 10.5, 3.0 Hz, H-12), 5.94

(1H, *d*, *J* = 10.5 Hz, H-11). ¹³C NMR (CD₃OD): δ 12.8 (*q*, C-24), 17.0 (*q*, Fuc C-6), 18.2 (*t*, C-6), 18.5 (*q*, C-27), 19.0 (*q*, C-25), 19.8 (*q*, C-26), 24.6 (*q*, C-30), 26.1 (*t*, C-2), 31.6 (*t*, C-22), 32.1 (*t*, C-7), 32.5 (*s*, C-20), 33.9 (*q*, C-29), 35.5

(*t*, C-15), 37.1 (*t*, C-21), 37.4 (*s*, C-10), 39.2 (*t*, C-1), 39.2 (*t*, C-19), 42.6 (*s*, C-8), 44.1 (*s*, C-14), 44.3 (*s*, C-4), 46.1 (*s*, C-17), 48.2 (*d*, C-5), 52.1 (*d*, C-18), 53.9 (*d*, C-9), 65.0 (*t*, C-23), 65.0 (*t*, Glc C-6), 71.4 (*d*, Fuc C-5), 71.5 (*d*, Fuc C-2), 71.9 (*d*, Glc C-4), 72.4 (*d*, Fuc C-4), 75.3 (*d*, Glc C-5), 75.4 (*d*, Glc C-2), 77.5 (*d*, C-16), 78.0 (*d*, Glc C-3), 78.5 (*t*, C-28), 83.4 (*d*, C-3), 85.3 (*d*, Fuc C-3), 86.7 (*s*, C-13), 105.3 (*d*, Fuc C-1), 105.6 (*d*, Glc C-1), 131.4 (*d*, C-12), 134.0 (*d*, C-11), 171.1 (*s*, Malonyl C=O). FABMS *m/z*: 905 [M + K]⁺, 889 [M + Na]⁺, 862, 455. HRFABMS *m/z*: 905.4268 [M + K]⁺ (calc. for C₄₅H₇₀O₁₆K 905.4301).

4''-O-Acetylsaikosaponin *d* (9). Amorphous powder, $[\alpha]_D^{27} + 42.6^\circ$ (MeOH; *c* 0.1). IR $\nu_{\max}^{\text{KBr}}$ cm⁻¹: 3416, 2948, 1740, 1248, 908, 890. ¹H NMR (CD₃OD): δ 0.71, 0.93, 0.95, 0.96, 1.05 (each 3H, *s*, -CH₃), 1.27 (3H, *d*, *J* = 6.4 Hz, Fuc H-6), 1.30 (3H, *s*, -CH₃), 2.09 (3H, *s*, -OAc), 3.11 (1H, *d*, *J* = 7.4 Hz, H-28), 3.45 (1H, *d*, *J* = 7.4 Hz, H-28), 3.91 (1H, *d*, *J* = 4.8 Hz, H-16), 4.39 (1H, *d*, *J* = 7.2 Hz, Fuc H-1), 4.59 (1H, *d*, *J* = 7.6 Hz, Glc H-1), 5.37 (1H, *dd*, *J* = 10.4, 2.4 Hz, H-12), 5.94 (1H, *d*, *J* = 10.4 Hz, H-11). ¹³C NMR: Table 1. FABMS *m/z*: 845 [M + Na]⁺, 822 [M]⁺, 455. HRFABMS *m/z*: 823.48690 [M + H]⁺ (calc. for C₄₄H₇₁O₁₄ 823.48438).

Alkaline hydrolysis of 9. Compound 9 (1 mg) was dissolved in 0.2% NaOH-MeOH (0.5 ml) and kept at room temp. for 1 hr. The reaction mixt. yielded saikosaponin *d*, which was identified with authentic sample on TLC, HPLC and FABMS.

Hydroxysaikosaponin *a* (11). Amorphous powder, $[\alpha]_D^{28} + 4.4^\circ$ (MeOH; *c* 0.1). IR $\nu_{\max}^{\text{KBr}}$ cm⁻¹: 3404, 2944, 1658, 1462, 1454, 1384, 1366, 1162, 1076, 902. ¹H NMR (CD₃OD): δ 0.74, 0.91, 0.94, 1.08, 1.13 (each 3H, *s*, -CH₃), 1.26 (3H, *d*, *J* = 6.4 Hz, Fuc H-6), 1.35 (3H, *s*, -CH₃), 1.64 (1H, *d*, *J* = 8.8 Hz, H-9), 2.22 (1H, *dd*, *J* = 13.6, 4.4 Hz, H-18), 3.27 (1H, *d*, *J* = 10.6 Hz, H-28), 3.81 (1H, *d*, *J* = 10.6 Hz, H-28), 3.84 (1H, *dd*, *J* = 11.8, 1.8 Hz, Glc H-6), 3.85 (1H, *d*, *J* = 2.0 Hz, Fuc H-4), 4.17 (1H, *dd*, *J* = 8.8, 3.6 Hz, H-11), 4.27 (1H, *dd*, *J* = 11.6, 4.8 Hz, H-16), 4.38 (1H, *d*, *J* = 7.6 Hz, Fuc H-1), 4.54 (1H, *d*, *J* = 7.6 Hz, Glc H-1), 5.21 (1H, *d*, *J* = 3.6 Hz, H-12). ¹³C NMR: Table 2. FABMS *m/z*: 797 [M - H]⁻. HRFABMS *m/z*: 797.46828 [M - H]⁻ (calc. for C₄₂H₆₉O₁₄ 797.46873).

Hydroxysaikosaponin *c* (12). Amorphous powder, $[\alpha]_D^{26} - 30.8^\circ$ (MeOH; *c* 0.1). IR $\nu_{\max}^{\text{KBr}}$ cm⁻¹: 3396, 2944, 1644, 1454, 1388, 1368, 1166, 1036, 984, 920. ¹H NMR (CD₃OD): δ 0.88, 0.91, 0.94, 1.08, 1.08, 1.10 (each 3H, *s*, -CH₃), 1.27 (3H, *d*, *J* = 6.4 Hz, Rha H-6), 1.33 (3H, *s*, -CH₃), 1.60 (1H, *d*, *J* = 8.8 Hz, H-9), 2.23 (1H, *dd*, *J* = 13.6, 4.4 Hz, H-18), 3.23 (1H, *d*, *J* = 9.8 Hz, H-28), 3.63 (1H, *dd*, *J* = 9.4, 3.4 Hz, Rha H-2), 3.68 (1H, *dd*, *J* = 12.0, 5.6 Hz, Glc' H-6), 3.81 (1H, *d*, *J* = 9.8 Hz, H-28), 3.93 (1H, *dd*, *J* = 9.6, 6.0 Hz, Rha H-5), 4.07 (1H, *brd*, *J* = 11.2 Hz, Glc H-6), 4.17 (1H, *dd*, *J* = 8.8, 3.6 Hz, H-11), 4.27 (1H, *dd*, *J* = 11.4, 5.0 Hz, H-16), 4.34 (1H, *d*, *J* = 8.0 Hz, Glc' H-1), 4.36 (1H, *d*, *J* = 8.0 Hz, Glc H-1), 4.89 (1H, *d*, *J* = 1.6 Hz, Rha H-1), 5.22 (1H, *d*, *J* = 3.6 Hz, H-12). ¹³C NMR: Table 2. FABMS *m/z*: 943 [M - H]⁻. HRFABMS *m/z*: 943.52534 [M - H]⁻ (calc. for C₄₈H₇₉O₁₈ 943.52664).

Acid treatment of 11. Compound 11 (5 mg) was dissolved in 10% AcOH (50 ml) and kept at room temp. for 1 hr. The reaction mixt. yielded saikosaponin *a*, which was identified with authentic sample on TLC, HPLC and FABMS.

Compounds 11–13 derived from saikosaponins *a*, *c* or *d*. Each compound (50 mg) was dissolved in 10% AcOH (500 ml) and kept at room temp. for 1 hr. The reaction mixt. yielded 11, 12 or 13, respectively. Compounds 11 and 12 were in good agreement with authentic samples on TLC, HPLC, FABMS, ¹H and ¹³C NMR.

Hydroxysaikosaponin *d* (13). Amorphous powder, $[\alpha]_D^{33} - 0.5^\circ$ (MeOH; *c* 0.1). IR $\nu_{\max}^{\text{KBr}}$ cm⁻¹: 3404, 2940, 1644, 1442, 1384, 1160, 1068, 998, 904. ¹H NMR (CD₃OD): δ 0.74, 0.88, 0.93, 1.00, 1.13 (each 3H, *s*, -CH₃), 1.26 (3H, *d*, *J* = 6.0 Hz, Fuc H-6), 1.52 (3H, *s*, -CH₃), 1.74 (1H, *d*, *J* = 8.4 Hz, H-9), 2.05 (1H, *dd*, *J* = 13.6, 4.0 Hz, H-18), 3.08 (1H, *d*, *J* = 11.2 Hz, H-28), 3.26 (1H, *d*, *J* = 11.2 Hz, H-28), 3.84 (1H, *dd*, *J* = 12.4, 2.0 Hz, Glc H-6), 3.86 (1H, *d*, *J* = 2.4 Hz, Fuc H-4), 3.99 (1H, *brs*, H-16), 4.17 (1H, *dd*, *J* = 9.2, 3.2 Hz, H-11), 4.39 (1H, *d*, *J* = 7.6 Hz, Fuc H-1), 4.54 (1H, *d*, *J* = 7.6 Hz, Glc H-1), 5.23 (1H, *d*, *J* = 3.6 Hz, H-12). ¹³C NMR: Table 2. FABMS *m/z*: 797 [M - H]⁻. HRFABMS *m/z*: 797.46922 [M - H]⁻ (calc. for C₄₂H₆₉O₁₄ 797.46873).

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