

Microbial transformation of the sesquiterpenoid (–)-maalioxide by *Mucor plumbeus*

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Abstract

Microbial transformation of the sesquiterpenoid (–)-maalioxide by the fungus *Mucor plumbeus* gave three metabolites, 9 β -hydroxymaalioxide, 1 β -hydroxymaalioxide and 7 β -hydroxymaalioxide. 9 β -Hydroxymaalioxide and its structure was established on the basis of its spectroscopic properties and chemical reactions.

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1. Introduction

The conversion of readily available natural products into more useful substances by incubation with biological systems has attracted much attention as this approach allows the ready functionalisation of unactivated carbon atoms. For instance, microbial hydroxylation of progesterone is an important step in the industrial production of the steroid hormone cortisol (Peterson et al., 1952). A number of transformations of terpenoids have been directed at the production of compounds with enhanced biological activity, e.g., formation of insecticidal derivatives of cadinanes by incubation with the deuteromycete *Beauveria bassiana* (Buchanan et al., 2000) or the microbial hydroxylation of patchoulol as a route to fragrant compounds (Suhara et al., 1981). (–)-Maalioxide (**1**) is a natural product which was first isolated from the liverwort *Plagiochila acanthophylla* subsp. *japonica* (Matsuo et al., 1974) and which possesses a pleasant

odour (Hashimoto et al., 2004). In an effort to improve its odour, **1** has been hydroxylated by both chemical and biological methods. Refluxing with *m*-chloroperbenzoic acid in chloroform afforded 2 α -hydroxymaalioxide, 7 β -hydroxymaalioxide (**2**) and 8 α -hydroxymaalioxide (Tori et al., 1990). Hydroxylation with *Aspergillus cellulosa* gave 1 β -hydroxymaalioxide (**3**) while *Aspergillus niger* produced **2** as well as the 1 β ,9 β - and 1 β ,12-dihydroxy derivatives (Hashimoto et al., 2004). We now report the results of our study of the incubation of **1** with the fungus *Mucor plumbeus*.

2. Results and discussion

(–)-Maalioxide (**1**) was available from a previous study of the chemistry of the liverwort *Lophozia ventricosa* (Huneck et al., 1984) and its structure was confirmed by X-ray crystallographic analysis. Following incubation with *M. plumbeus* for 5 days, the organic-soluble material was chromatographed to afford the known compounds 1 β -hydroxymaalioxide (**3**) and 7 β -hydroxymaalioxide (**2**) which were identified by comparison of their physical properties with the literature data (Hashimoto et al., 2004; Tori

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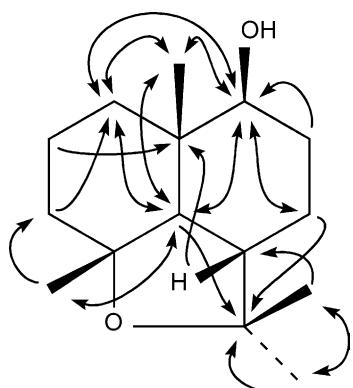
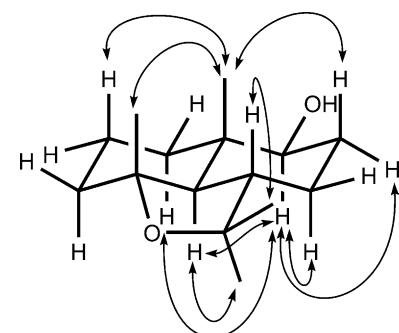
(L.J. Harrison).

et al., 1990). The major product, however, was the new compound 9 β -hydroxymaali oxide (**4**), $C_{15}H_{26}O_2$ (*m/z* 238.1930). The 1H and ^{13}C NMR spectra of **4** (see Table 1) showed the presence of one oxygenated methine [δ_H 3.40 (1H, *dd*, *J* = 4.0 and 10.1 Hz); δ_C 81.0 (*d*)], two fully substituted oxygenated carbons [δ_C 78.2 (*s*) and 82.9 (*s*)], and four tertiary methyl groups [δ_H 1.31 (3H, *s*), 1.16 (3H, *s*), 1.03 (3H, *s*), 0.90 (3H, *s*), δ_C 30.8 (*q*), 25.8 (*q*), 22.9 (*q*) and 13.0 (*q*) as well as one fully substituted carbon [δ_C 38.4 (*s*)], two methines [δ_C 56.5 (*d*) and 42.7 (*d*)] and five methylenes [δ_C 40.3 (*t*), 39.0 (*t*), 30.2 (*t*), 27.0 (*t*), and 22.0 (*t*)]. The compound was thus bicarbocyclic with an additional ether ring. Collins' oxidation of **4** gave a ketone (**5**) [δ_C 214.3 (*s*)] which suggested that **4** was a hydroxy derivative of maali oxide as did comparison of the 1H and ^{13}C NMR spectra of **4** with those of **1**. A complete structure elucidation was carried out with the help of 2D NMR techniques and the important HMBC correlations are shown in Fig. 1. These established that the compound was 9 β hydroxymaali oxide (**4**).

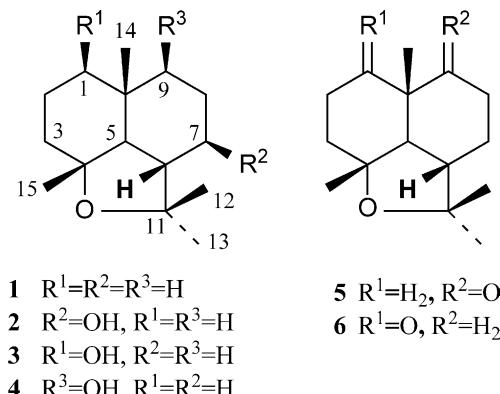
Table 1

1H (500 MHz), HMBC and ^{13}C (125 MHz) NMR data for (–)-9 β -hydroxymaali oxide (**4**) in $CDCl_3$ (*J* in Hz in parentheses)

Position	δ_H	HMBC		δ_C
		2J	3J	
1 α	0.98 <i>dt</i> (3.3, 13.4)	C-2, 10	C-5, 9, 14	40.3
1 β	1.78 <i>m</i>	C-2, 10	C-5, 9, 14	
2 α	1.38 <i>dt</i> (13.4, 3.2)	C-1, 3	C-10	22.0
2 β	1.66 <i>br d</i> (13.8)	C-1, 3	C-10	
3 α	1.06 <i>m</i>	C-2, 4	C-1, 5, 15	27.0
3 β	1.73 <i>m</i>	C-2, 4	C-5	
4	—			78.2
5	1.14 <i>d</i> (13.4)	C-6	C-1, 9, 11, 14, 15	56.5
6	1.83 <i>m</i>	C-5, 7	C-12, 13	42.7
7 α	1.54 <i>m</i>	C-8	C-9	30.2
7 β	1.77 <i>m</i>	C-8	C-5, 9, 11	
8 α	1.46 <i>m</i>	C-9		39.0
8 β	1.79 <i>m</i>	C-9	C-10	
9	3.40 <i>dd</i> (10.1, 4.0)	C-10	C-1, 5, 7, 14	81.0
10	—			38.4
11	—			82.9
12	1.31 <i>s</i>	C-11	C-6, 13	30.8
13	1.03 <i>s</i>	C-11	C-6, 12	25.8
14	0.90 <i>s</i>	C-10	C-1, 5, 9	13.0
15	1.16 <i>s</i>	C-4	C-3, 5	22.9

Fig. 1. Selected HMBC correlations of **4**.Fig. 2. Selected NOESY correlations of **4**.

hydroxymaali oxide (**4**). The secondary hydroxyl group was obviously equatorial (β) from the coupling constants of H-9 and this was supported by the results of a NOESY spectrum (Fig. 2) which also aided in the complete assignment of the 1H and ^{13}C NMR spectra.



Oxidation of **3** gave the previously unreported 1-oxomaali oxide (**6**). None of the maali oxide derivatives produced in this study had odours which were noticeably different from that of maali oxide itself.

The absolute configuration of synthetic maali oxide was deduced by Büchi et al. (1959) but this compound was only later shown to be (+)-maali oxide (Narayanan et al., 1964). The CD spectra of **5** and **6** showed negative and positive Cotton effects, respectively, at 304 nm, as expected for the (*4R,5R,6S,10S*)-ketones. This also established the absolute configurations of the original alcohols **3** and **4** and confirmed the absolute configuration of (–)-maali oxide (**1**).

3. Experimental

3.1. General experimental details

Mp uncorr.; 1H and ^{13}C NMR: Bruker DPX300, Bruker AMX500 or Bruker DRX500 in $CDCl_3$; MS: Finnigan TSQ-7000 LC/triple quadrupole MS; IR: Bio-Rad Excalibur Series FTS 3000; Optical Rotation: Perkin–Elmer 241 Polarimeter. X-ray diffraction: Bruker AXS SMART

APEX CCD X-ray Diffractometer; CD: UV/Visible Spectropolarimeter Jasco J-810; CC was carried out on normal phase silica gel 60 (40–63 µm) and HPLC on a Lichrosorb 10 DIOL column (250 × 4.60 mm) with RI detection.

3.2. Fermentation conditions

M. plumbeus (IMI 116688) was obtained from the United Kingdom National Culture Collection (UKNCC). The spores were inoculated on PDA and grown in shake culture in a medium comprising (l⁻¹) (Arantes et al., 1999a,b): glucose (30 g), potassium dihydrogen phosphate (2 g), magnesium sulfate (2 g), ammonium tartrate (2 g), yeast extract (1 g), calcium chloride (0.1 g), sodium chloride (1 g), ferrous ammonium sulfate (0.1 g) and a trace elements solution (2 cm³). The latter contained (l⁻¹): zinc sulfate (1 g), ferrous sulfate (1 g), cobalt nitrate (1 g), ammonium molybdate (1 g), copper sulfate (0.1 g) and manganese sulfate (0.1 g). The culture was grown in 250 cm³ conical flasks each containing 100 cm³ of medium for 24 h at 25 °C prior to the addition of the substrate.

3.3. Incubation of (−)-maalioxide with *M. plumbeus*

(−)-Maalioxide (**1**) (458 mg) was dissolved in ethanol (24 cm³) and evenly distributed over 30 flasks containing *M. plumbeus* spores. Fermentation was continued for another 5 days, after which the mycelium was filtered off and the broth was extracted with EtOAc. The solvent was evaporated under reduced pressure to give a residue. The mycelium was extracted with MeOH and the residue obtained after solvent removal was defatted by CC on Sephadex LH-20 using MeOH–CH₂Cl₂ (1:1) as eluant. The combined broth and mycelium extracts (in total 703 mg) were chromatographed on silica gel (gradient elution, 0–100% EtOAc–hexane) to afford unreacted starting material (167 mg) and two other fractions (Frs. 2 and 3) which were further purified by HPLC. Fr. 2 (DIOL, 20% acetone–hexane) gave 1β-hydroxymaalioxide (**3**) (20.5 mg) and 7β-hydroxymaalioxide (**2**) (4.6 mg) whilst Fr. 3 afforded 9β-hydroxymaalioxide (**4**) (DIOL, 25% acetone–hexane) (25.0 mg).

3.3.1. (−)-Maalioxide (**1**)

The starting material was isolated from the liverwort *L. ventricosa* (Huneck et al., 1984). Colourless crystals, mp 64–65 °C {lit. mp 66 °C (Matsuo et al., 1974)}; [α]_D²⁰ − 30.9 (EtOH; c 7.1) {lit. [α]_D²⁰ − 34.5 (Matsuo et al., 1974)}.

3.3.2. 9β-Hydroxymaalioxide (**4**)

Colorless gum; [α]_D²⁰ − 0.9 (EtOH; c 2.5); FT-IR $\nu_{\text{max}}^{\text{CHCl}_3}$ 3414.0 (−OH), 2976.8, 2937.8, 2866.1, 1382.8, 1214.6 (C–O–C) cm^{−1}; ¹H and ¹³C NMR shifts are listed in Table 1; HREI-MS 238.1930 (C₁₅H₂₆O₂ calcd. as 238.1926); EI-MS *m/z* (rel. int.) 238.3 [M]⁺ (6), 223.2 (100), 205.2 (50), 195.2 (29), 187.2 (33), 179.2 (98), 162.2 (59), 147.2 (73), 136.2 (42), 123.1 (52), 107.2 (42).

3.3.3. Oxidation of 9β-hydroxymaalioxide (**4**)

9β-Hydroxymaalioxide (**4**) (8.0 mg) was oxidized with Collins reagent (Ratcliffe, 1976) to give (−)-9-oxomaalioxide (**5**) (7.9 mg) as colourless crystals; mp 113.5–115.8 °C (from hexane); [α]_D²⁰ − 16.7 (EtOH; c 0.79); CD (Δε): −21.5 × 10^{−3} (304 nm, c 1.69, CH₂Cl₂); FT-IR $\nu_{\text{max}}^{\text{CCl}_4}$ 2974.2, 2938.9, 2868.2, 1711.2 (C=O), 1380.2, 1263.7, 1141.1, 958.7 cm^{−1}; ¹H NMR (500 MHz) δ 2.58 (1H, *ddd*, *J* = 16.5, 13.5 and 6.5 Hz, H-8β), 2.34 (1H, *ddd*, *J* = 16.3, 5.6 and 1.9 Hz, H-8α), 2.08 (1H, *ddd*, *J* = 11.6, 6.0 and 1.4 Hz, H-7α), 1.96 (1H, *ddd*, *J* = 15.7, 11.6 and 4.2 Hz, H-1α), 1.82 (1H, *dd*, *J* = 13.0 and 5.5 Hz, H-6), 1.74 (3H, *m*, H-7β, 2β, 3α), 1.62 (1H, *d*, *J* = 13.5 Hz, H-5), 1.40 (1H, *m*, H-2α), 1.38 (3H, *s*, H₃-12), 1.35 (3H, *s*, H₃-15), 1.34 (1H, *dt*, *J* = 3.3 and 16.2 Hz, H-3β), 1.13 (3H, *s*, H₃-13), 1.11 (1H, *m*, H-1β), 1.05 (3H, *s*, H₃-14); ¹³C NMR (125 MHz) δ 214.3 (C-9), 82.7 (C-11), 77.2 (C-4), 58.1 (C-5), 47.1 (C-10), 43.2 (C-6), 40.3 (C-1), 36.1 (C-8), 34.7 (C-7), 30.8 (C-12), 26.7 (C-3), 25.8 (C-13), 22.4 (C-15), 21.7 (C-2), 17.1 (C-14); HREI-MS 236.1766 (C₁₅H₂₄O₂ calcd. as 236.1770); EI-MS *m/z* (rel. int.) 236.1 [M]⁺ (7), 221.2 (69), 203.1 (16), 193.0 (23), 179.2 (100), 161.2 (62), 136.1 (67), 121.1 (51), 107.1 (39).

3.3.4. Oxidation of 1β-hydroxymaalioxide (**3**)

1β-Hydroxymaalioxide (**3**) (12.3 mg) was oxidized as above to give (−)-1-oxomaalioxide (**6**) (10.1 mg) as a colourless gum; [α]_D²⁰ − 35.7 (EtOH; c 0.94); CD (Δε): +4.86 × 10^{−3} (304 nm, c 0.76, CH₂Cl₂); FT-IR $\nu_{\text{max}}^{\text{CCl}_4}$ cm^{−1}: 2974.3, 2940.0, 2868.9, 1714.2 (C=O), 1459.3, 1379.3, 1265.2, 1179.8, 942.2; ¹H NMR (500 MHz) δ 2.61 (1H, *dt*, *J* = 6.0 and 13.9 Hz, H-2β), 2.30 (1H, *ddd*, *J* = 16.2, 11.6 and 4.2 Hz, H-3α), 2.25 (1H, *ddd*, *J* = 14.3, 4.6 and 2.8 Hz, H-2α), 1.98 (1H, *dddd*, *J* = 12.9, 6.0, 4.6 and 2.3 Hz, H-7β), 1.84 (1H, *dt*, *J* = 8.8 and 3.2 Hz, H-6), 1.77 (2H, *m*, H-8α, 9β), 1.63 (2H, *m*, H-8β, 3β), 1.57 (1H, *d*, *J* = 13.9 Hz, H-5), 1.49 (1H, *m*, H-7α), 1.39 (3H, *s*, H₃-12), 1.36 (1H, *m*, H-9α), 1.25 (3H, *s*, H₃-15), 1.12 (3H, *s*, H₃-13), 1.04 (3H, *s*, H₃-14); ¹³C NMR (75 MHz) δ 215.0 (C-1), 80.7 (C-11), 78.1 (C-4), 59.3 (C-5), 48.1 (C-10), 42.3 (C-6), 39.8 (C-3), 37.3 (C-9), 32.3 (C-2), 30.9 (C-12), 27.0 (C-7), 26.0 (C-13), 23.4 (C-15), 20.6 (C-8), 17.1 (C-14); HREI-MS 236.1772 (C₁₅H₂₄O₂ calcd. as 236.1770); EI-MS *m/z* (rel. int.) 236.1 [M]⁺ (54), 221.2 (100), 208.2 (50), 203.1 (41), 193.2 (86), 180.2 (83), 165.1 (95), 136.1 (84), 121.1 (67), 107.1 (69).

3.4. Crystallographic data for (−)-maalioxide (**1**)

C₁₅H₂₆O, *M*_r = 222.36, orthorhombic, space group *P*2₁2₁2₁, *a* = 9.4934(6) Å, *b* = 11.7412(6) Å, *c* = 12.1048(7) Å, $\alpha = \beta = \gamma = 90^\circ$, *V* = 1349.25(13) Å³, *Z* = 4, density (calculated) = 1.095 Mg/m³, *F*(000) = 496, λ = 0.71073 Å, μ = 0.066 mm^{−1}. Data were collected using a crystal of size ca. 0.60 × 0.60 × 0.40 mm³ on a Bruker AXS SMART APEX CCD X-ray diffractometer. A total of 9647 reflections were collected for $2.42^\circ < \theta < 27.50^\circ$ and $-12 < h < 10$, $-10 < k < 15$ and $-15 < l < 15$. There were 3086 independent reflections used in the refinement. SADABS (Sheldrick, 2004)

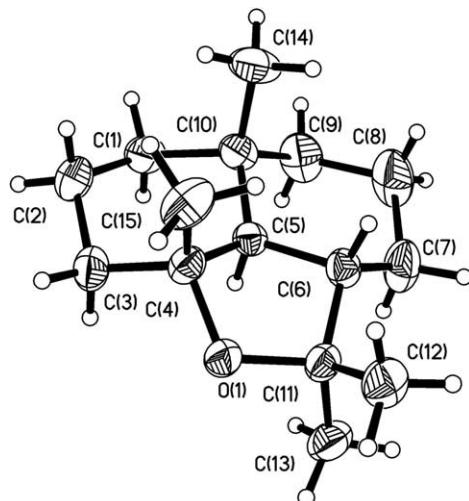


Fig. 3. ORTEP drawing of **1**, showing the atomic numbering scheme. Displacement ellipsoids are drawn at the 50% probability level and H atoms are shown as small spheres of arbitrary radii at calculated positions.

was used for absorption corrections. The final R indices were $R_1 = 0.0440$, $wR_2 = 0.1108$ and R indices (all data) $R_1 = 0.0463$, $wR_2 = 0.1133$. The goodness-of-fit on F^2 was 1.054. Tables of atomic co-ordinates, bond lengths and angles, anisotropic displacement parameters and hydrogen atom co-ordinates are deposited with the Cambridge Crystallographic Data Centre.¹ The ORTEP drawing of the crystal structure is shown in Fig. 3.

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¹ CCDC 240736 contains the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/conts/retrieving.html, or from the CCDC, 12 Union Road, Cambridge CB2 1EZ, UK (fax: +44 1223 336 033; e-mail: deposit@ccdc.cam.ac.uk).