

# A FACTORIZATION THEORY FOR FUNCTIONS

$$\sum_{i=1}^n a_i e^{\alpha_i x} *$$

BY

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Introduction. When, in the expression

$$a_0 e^{\alpha_0 x} + \cdots + a_n e^{\alpha_n x},$$

we allow  $n$  to assume all positive integral values, and the  $a$ 's and  $\alpha$ 's all constant values, we obtain a class of functions which is closed with respect to multiplication; that is, the product of any two functions of the class is also in the class. There arises thus the problem of determining all possible representations of a given function of the class as a product of functions of the class. This problem is solved in the present paper.

To secure a simple statement of results, we subject our functions to some adjustments. Let the terms in each function be so arranged that  $\alpha_i$  comes before  $\alpha_j$  if the real part of  $\alpha_i$  is less than that of  $\alpha_j$ , or if the real parts are equal but the coefficient of  $(-1)^{1/2}$  in  $\alpha_i$  is less than that in  $\alpha_j$ .<sup>†</sup> With this arrangement, it is evident that the first term in a product of several functions is the product of the first terms of those functions. Thus we do not specialize our problem if we limit ourselves to functions with first term unity ( $a_0=1$ ,  $\alpha_0=0$ ), resolving such functions into factors<sup>‡</sup> with first term unity. We shall make this limitation, and shall furthermore admit into our work only functions with more than one term, that is, functions distinct from unity.<sup>§</sup>

Our first theorem states that *if*

$$(1) \qquad 1 + a_1 e^{\alpha_1 x} + \cdots + a_n e^{\alpha_n x}$$

*is divisible by*

$$1 + b_1 e^{\beta_1 x} + \cdots + b_r e^{\beta_r x},$$

*with no  $b$  equal to zero, then every  $\beta$  is a linear combination of  $\alpha_1, \cdots, \alpha_n$  with rational coefficients.*

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† We assume, of course, that the  $\alpha$ 's in a function are distinct from one another.

‡ If  $P = P_1 \cdots P_m$ , with each  $P_i$  a function of our class,  $P$  will be said to be *divisible* by each  $P_i$ , and each  $P_i$  will be called a *factor* of  $P$ .

§ One might ask whether unity has factors (of first term unity) which are distinct from unity. That it has none follows from the fact that the last term of the product of several functions is the product of the last terms of those functions.

We shall say that the function (1) is *simple* if there exists a number  $\lambda$  of which every  $\alpha$  is an integral multiple. It is easy to see that every simple function has an infinite number of factors. In short, as no  $\alpha$  has a negative real part, we may suppose  $\lambda$  to be such that every  $\alpha$  is a *positive* integral multiple of  $\lambda$ . For every positive integer  $r$ , the simple function is a polynomial in  $e^{\lambda x/r}$  of degree at least  $r$ . It therefore has at least  $r$  factors of the form  $1 + ce^{\lambda x/r}$ . It is a consequence of the theorem stated above that every factorization of a simple function is found in this way.

There exist, in abundance, functions (1) which are not divisible by functions (1) other than themselves. We shall call such functions *irreducible*.

We may now state our theorem of factorization.

**THEOREM.** *Every function*

$$1 + a_1 e^{\alpha_1 x} + \cdots + a_n e^{\alpha_n x},$$

*distinct from unity, can be expressed in one and in only one way as a product*

$$(S_1 S_2 \cdots S_s)(I_1 I_2 \cdots I_i),$$

*in which  $S_1, \cdots, S_s$  are simple functions such that the coefficients of  $x^*$  in any one of them have irrational ratios to the coefficients of  $x$  in any other, and in which  $I_1, \cdots, I_i$  are irreducible functions.*

Most of our work centers about the proof that a resolution exists. Because a function may have an infinite number of factors, this resolution cannot be accomplished by the process of repeated factorization used in the proofs of most factorization theorems. The uniqueness is easy to establish.

**1. Exponents of factors.** We understand, in everything which follows, that the terms in our functions are ordered in the manner explained in the introduction. Of course, the real part of every coefficient of  $x$  will be greater than or equal to zero, and, when the real part is zero, the coefficient of  $(-1)^{1/2}$  will be positive.

**THEOREM.** *If  $1 + \sum_{i=1}^n a_i e^{\alpha_i x}$  is divisible by  $1 + \sum_{i=1}^r b_i e^{\beta_i x}$ , with no  $b$  zero, then every  $\beta$  is a linear combination of  $\alpha_1, \cdots, \alpha_n$  with rational coefficients.*

Let

$$(2) \quad \begin{aligned} &1 + a_1 e^{\alpha_1 x} + \cdots + a_n e^{\alpha_n x} \\ &= (1 + b_1 e^{\beta_1 x} + \cdots + b_r e^{\beta_r x}) (1 + c_1 e^{\gamma_1 x} + \cdots + c_s e^{\gamma_s x}), \end{aligned}$$

with no  $b$  or  $c$  equal to zero. Suppose that there exists a  $\beta$ , say  $\beta_i$ , which is not linear in the  $\alpha$ 's with rational coefficients.

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\* That is, the  $\alpha$ 's.

We shall call a set of numbers  $m_1, \dots, m_p$  *independent* if there does not exist a relation  $\sum q_i m_i = 0$ , with the  $q$ 's rational, and not all zero.

Let  $m_1, \dots, m_p$  be an independent set of numbers such that every  $\alpha$  is a linear combination of the  $m$ 's with rational coefficients. We shall use the symbol  $m_0$  to represent the  $\beta_j$  considered above. Then  $m_0, m_1, \dots, m_p$  are independent.

We can certainly adjoin new  $m$ 's to those we already have so as to form an independent set

$$m_0, m_1, \dots, m_p, \dots, m_t$$

such that every  $\alpha, \beta$  and  $\gamma$  is linear in the numbers of this set with rational coefficients.

Every  $\beta$  has a *unique* representation of the form  $\sum_{i=0}^t q_i m_i$ , with rational  $q$ 's. We select those  $\beta$ 's for which  $q_0$  is a maximum, say  $u_0$ , of those selected we pick out such for which  $q_1$  is a maximum, say  $u_1$ , and continue in this fashion for all the  $q$ 's, obtaining a certain  $\beta$ , call it  $B$ , with a representation  $\sum u_i m_i$ . Because  $\beta_j = m_0$ , we have  $u_0 \geq 1$ .

We now adjoin to the  $\gamma$ 's a  $\gamma_0 = 0$ , and call the term unity, in the second factor of the right member of (2),  $e^{\gamma_0 x}$ . Of course,  $\gamma_0$  is linear in the  $m$ 's with zero coefficients. Similarly, we adjoin a  $\beta_0$  to the  $\beta$ 's.

We choose from among the  $\gamma$ 's a  $C = \sum v_i m_i$  with the  $v$ 's determined successively as maxima. Because  $\gamma_0 = 0$ , we have  $v_0 \geq 0$ .

The multiplication of the factors in the second member of (2) yields a term in  $e^{(B+C)x}$ . From the manner in which  $B$  and  $C$  are determined, we see that  $B+C$  cannot equal any other  $\beta+\gamma$ . Hence the term in  $e^{(B+C)x}$  does not cancel out and  $B+C$  must be an  $\alpha$ .

But as the expression for  $B+C$  in the  $m$ 's involves  $m_0$  with a coefficient at least unity, and as the  $\alpha$ 's depend only on  $m_1, \dots, m_p$ , the equality of  $B+C$  with an  $\alpha$  would imply that the  $m$ 's are not independent. This proves that the  $\beta$ 's are linear in the  $\alpha$ 's with rational coefficients.

2. *Selection of basis.* We are going to prove the existence of an independent set of numbers  $\mu_1, \dots, \mu_p$ , such that every  $\alpha$  is a linear combination of the  $\mu$ 's with *positive* rational coefficients.

Each  $\alpha$  has either a positive real part, or a zero real part and a positive coefficient for  $(-1)^{1/2}$ . Thus, if  $\delta$  is a sufficiently small positive quantity, the product of each  $\alpha$  by  $e^{-\delta(-1)^{1/2}}$  will have a positive real part. We choose such a  $\delta$ , and let  $A_i = e^{-\delta(-1)^{1/2}} \alpha_i$  ( $i = 1, \dots, n$ ).

Let  $m_1, \dots, m_p$  be any independent set of numbers in terms of which the  $A$ 's can be expressed linearly with rational coefficients. Suppose that

$$(3) \quad A_i = q_{i1}m_1 + \dots + q_{ip}m_p \quad (i = 1, \dots, n),$$

all  $q$ 's being rational. We shall determine an independent set of numbers  $M_1, \dots, M_p$  such that the  $m$ 's are linear in the  $M$ 's with rational coefficients, and such that the coefficients in the expressions of the  $A$ 's, in terms of the  $M$ 's, found from (3), are all positive.

We associate with each  $m_i$  ( $i=1, \dots, p$ ),  $p$  rational numbers  $t_{ij}$  ( $j=1, \dots, p$ ), choosing for each  $t_{ij}$  a rational number close to the real part of  $m_i$  (how close the approximation should be will be made clear below), and taking care that the determinant  $|t_{ij}|$  does not vanish. We determine  $M$ 's through the equations

$$(4) \quad m_i = t_{i1}M_1 + \dots + t_{ip}M_p \quad (i = 1, \dots, p).$$

The coefficient of  $M_j$  in the expression for  $A_i$  in terms of the  $M$ 's is

$$q_{i1}t_{1j} + q_{i2}t_{2j} + \dots + q_{ip}t_{pj}.$$

If  $t_{ij}$  is very close to the real part of  $m_i$ , this coefficient will be, according to (3), very close to the real part of  $A_i$ , and will therefore be positive.

The  $M$ 's are independent. For, let a relation

$$(5) \quad Q_1M_1 + \dots + Q_pM_p = 0$$

hold, with the  $Q$ 's rational and not all 0. Because  $|t_{ij}| \neq 0$ , the equations

$$t_{1j}q_1 + \dots + t_{pj}q_p = Q_j \quad (j = 1, \dots, p)$$

give a set of rational values, not all 0, for  $q_1, \dots, q_p$ . Hence (5) implies an impossible relation  $\sum q_i m_i = 0$ .

If now we put  $\mu_i = e^{\delta(-1)^{1/2}} M_i$  ( $i=1, \dots, p$ ), we have an independent set of quantities  $\mu_1, \dots, \mu_p$  of which every  $\alpha_i = e^{\delta(-1)^{1/2}} A_i$  is a linear combination with *positive* rational coefficients.

In what follows, we shall use only the fact that the coefficients just secured are *non-negative*.

3. **Expressions for  $\beta$ 's and  $\gamma$ 's.** Of course, every  $\gamma$ , as well as every  $\beta$ , in (2), is linear in the  $\alpha$ 's with rational coefficients. We say that, in the expression for each  $\beta$  and  $\gamma$  in terms of the  $\mu$ 's found in § 2, the coefficients are all non-negative. Let the contrary be assumed, and to fix our ideas, suppose that some  $\beta$  involves a  $\mu$  with a negative coefficient. As we have perfect freedom in assigning subscripts to the  $\mu$ 's, we assume that some  $\beta$  involves  $\mu_1$  with a negative coefficient.

Of all  $\beta$ 's, we select those for which the coefficient of  $\mu_1$  is a minimum, of those selected we take such for which the coefficient of  $\mu_2$  is a minimum, and continue in this fashion until  $\mu_p$  is determined as a minimum. We find in this way a definite  $\beta$ , call it  $B$ , with a negative coefficient for  $\mu_1$ .

We now adjoin to the  $\gamma$ 's a  $\gamma_0 = 0$ , and regard the term unity in the second factor of the second member of (2) as being  $e^{\gamma_0 x}$ . We find, as above, a  $\gamma$ , call it  $C$ , with coefficients determined successively as minima. The coefficient of  $\mu_1$  in  $C$  is not positive, for  $\gamma_0 = 0$ .

On multiplying together the factors in the second member of (2), we find a term in  $e^{(B+C)x}$ , which cannot be cancelled. Hence  $B+C$  must be an  $\alpha$ . This is impossible, because the coefficient of  $\mu_1$  in  $B+C$  is negative. Our statement is proved.

More generally, let the first term of (2) be represented by  $P$ , and suppose that

$$(6) \quad P = P_1 \cdots P_m,$$

where each subscripted  $P$  is, like  $P$  itself, a function of the form (1). When  $\mu$ 's are chosen as in § 2, each coefficient of  $x$  in each  $P_i$  is linear in the  $\mu$ 's with non-negative rational coefficients.

4. **The identities.** As we may replace the  $\mu$ 's by any submultiples of themselves, we may assume that the coefficients of  $x$  in the first member of (6) are linear in the  $\mu$ 's with non-negative *integral* coefficients. We make this assumption.

We now associate with each  $e^{\mu_i x}$  a variable  $y_i$ . We express each exponential in (6) as a product of non-negative rational powers of the exponentials  $e^{\mu_i x}$ , and replace each  $e^{\mu_i x}$  by  $y_i$ .

Equation (6) becomes a relation in the  $y$ 's which holds when each  $y_i$  is replaced by  $e^{\mu_i x}$ . We say that this relation in the  $y$ 's is an identity in the  $y$ 's.\*

If it were not, there would exist a sum of rational powers of the  $y$ 's, not identically zero, which would vanish when each  $y_i$  is replaced by  $e^{\mu_i x}$ . But, because the  $\mu$ 's are independent, any two of the products of powers of the  $y$ 's would yield terms of the form  $he^{kx}$  ( $h$  and  $k$  constants), with distinct  $k$ 's. As a sum

$$h_1 e^{k_1 x} + \cdots + h_q e^{k_q x}$$

cannot vanish for every  $x$  if the  $k$ 's are distinct from one another and the  $h$ 's are not all zero, our statement that the relation in the  $y$ 's is an identity is proved.

5. **The polynomial problem.** We may replace each  $y_i$  by a positive integral power of itself in such a way that the sums of rational powers of

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\* If more than one fractional power of a  $y_i$  appears in the relation, the exponents should be reduced to a common denominator, and the various powers of the  $y_i$  regarded as integral powers of a single fractional power of the  $y_i$ .

the  $y$ 's obtained from the  $P_i$ 's of (6) go over into polynomials in the  $y$ 's. The relation in the  $y$ 's thus found is, of course, an identity.

We have now a method for obtaining every representation of  $P$  as a product  $P_1 \cdots P_m$ . First we find an independent set of  $\mu$ 's in terms of which the coefficients of  $x$  in  $P$  can be expressed linearly, with non-negative integral coefficients. We then replace each  $e^{\mu_i x}$  in  $P$  by a variable  $y_i$ , so that  $P$  becomes associated with a polynomial  $Q(y_1, \cdots, y_p)$ . We replace the  $y$ 's, in all possible ways, by positive integral powers of themselves, obtaining a family of polynomials  $Q(y_1^{t_1}, \cdots, y_p^{t_p})$ . To each resolution of each of the latter polynomials into factors with first term unity, there corresponds a factorization of  $P$ .<sup>\*</sup> All factorizations of  $P$  are found in this way.

In our study of  $Q(y_1, \cdots, y_p)$  and of the polynomials derived from it, we may limit ourselves to the case in which  $Q$  is irreducible. For, if  $Q$  is reducible, the factorizations of every polynomial obtained from it by replacing the  $y$ 's by powers of themselves can be obtained by resolving  $Q$  into its irreducible factors, replacing the  $y$ 's by powers of themselves in those factors, and factoring the polynomials thus obtained.

Our problem thus becomes: *Given an irreducible polynomial  $Q(y_1, \cdots, y_p)$ , to determine for which positive integers  $t_1, \cdots, t_p$  the polynomial  $Q(y_1^{t_1}, \cdots, y_p^{t_p})$  is reducible.*

6. **Primary polynomials.** Let  $Q(y_1, \cdots, y_p)$  be a polynomial in  $y_1, \cdots, y_p$ , more definitely, a sum of products of non-negative integral powers of  $y_1, \cdots, y_p$ , with constant coefficients *distinct from zero*. It is understood that each  $y_i$  figures in some term with an exponent greater than zero.

If the highest common factor of all the exponents of  $y_i$  in  $Q$  is unity, we shall say that  $Q$  is *primary* in  $y_i$ . If  $Q$  is primary in each of its variables, we shall say, simply, that  $Q$  is *primary*.

There exists one and only one set of positive integers  $t_1, \cdots, t_p$  such that  $Q$  can be written in the form  $Q'(y_1^{t_1}, \cdots, y_p^{t_p})$ , with  $Q'(y_1, \cdots, y_p)$  primary. In short,  $t_i$  can and must be taken as the highest common factor of the exponents of  $y_i$  in  $Q$ .

Let  $Q(y_1, \cdots, y_p)$  be an irreducible polynomial whose first term is unity. Let  $t_1, \cdots, t_p$  be any positive integers. It is evident that every factor of

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\* The question arises as to whether the coefficients of  $x$  obtained, when each  $y_i$  is replaced in the factors of  $Q(y_1^{t_1}, \cdots, y_p^{t_p})$  by  $e^{\mu_i x}$ , have positive real parts or zero real parts and positive coefficients for  $(-1)^{1/2}$ . That the answer is affirmative follows from the facts that unity is a term of each function obtained, and that the first term of a product is the product of the first terms.

$Q(y_1^{t_1}, \dots, y_p^{t_p})$  has a term independent of the  $y$ 's. Suppose then that

$$Q(y_1^{t_1}, \dots, y_p^{t_p}) = Q_1 Q_2 \cdots Q_m$$

with each  $Q_i$  an irreducible polynomial\* in  $y_1, \dots, y_p$  with first term unity.

We associate with each  $i$  ( $i=1, \dots, p$ ), a primitive  $t_i$ th root of unity,  $\epsilon_i$ . The polynomial  $Q(y_1^{t_1}, \dots, y_p^{t_p})$  undergoes no change when each  $y_i$  is replaced by  $\epsilon_i^{a_i} y_i$ , the  $a$ 's being any integers. Hence, for such a substitution, the  $Q_i$ 's go over into constant factors times one another. As each  $Q_i$  has unity for a term, the constant factors are unity, so that the  $Q_i$ 's are interchanged among themselves.

We say that, given any  $Q_i$ , there is a substitution of the type described above which converts  $Q_1$  into  $Q_i$ . For, suppose that  $Q_1$  is converted only into  $j < m$  of the functions, say  $Q_1, \dots, Q_j$ . Then the substitutions interchange  $Q_1, \dots, Q_j$  among themselves. Hence the product  $Q_1 \cdots Q_j$  is invariant under all of the substitutions.† This means that  $Q_1 \cdots Q_j$  is a rational integral function of  $y_1^{t_1}, \dots, y_p^{t_p}$ , and hence that  $Q(y_1, \dots, y_p)$  is reducible. Thus  $Q_1$  goes over into every  $Q_i$ .

Hence, if  $Q_1$  is primary in certain variables, every  $Q_i$  will be primary in those variables.

Similarly, if  $Q$  is primary in certain variables, every  $Q_i$  will be primary in those variables.

**7. The first lemma. LEMMA.** *Let  $Q(y_1, \dots, y_p)$  be a primary, irreducible polynomial, of degree  $\delta$ , consisting of more than two terms and with unity for its term of lowest degree. Suppose that, for certain positive integers  $t_1, \dots, t_p$ , the irreducible factors of  $Q(y_1^{t_1}, \dots, y_p^{t_p})$  are primary. Then there exist a polynomial  $T(y_1, \dots, y_p)$  and positive integers  $\tau_1, \dots, \tau_p$  which have the following properties:*

(a)  *$T(y_1, \dots, y_p)$  is primary and irreducible, with unity for its term of lowest degree.*

(b) *The degree of  $T(y_1, \dots, y_p)$ , in each variable, does not exceed the corresponding degree of  $Q(y_1, \dots, y_p)$ .*

(c) *For every  $i$ ,  $\tau_i/t_i \geq \delta^{-p}$ .*

(d) *The irreducible factors of  $T(y_1^{\tau_1}, \dots, y_p^{\tau_p})$  are primary and consist of more than two terms.*

(e) *The polynomials  $T(y_1, y_2^{\tau_2}, \dots, y_p^{\tau_p})$ ,  $T(y_1^{\tau_1}, y_2, y_3^{\tau_3}, \dots, y_p^{\tau_p})$ ,  $\dots$ ,  $T(y_1^{\tau_1}, y_2^{\tau_2}, \dots, y_{p-1}^{\tau_{p-1}}, y_p)$  are all irreducible.*

\* The term "polynomial" is being used here in its usual sense, rather than in the sense explained at the head of this section. It will be seen, however, that each  $Q_i$  involves every  $y$ , so that each  $Q_i$  is also a polynomial in  $y_1, \dots, y_p$  in the stricter sense.

† This is true even when  $Q_1, \dots, Q_j$  are not distinct.

For simplicity of notation, we shall take the case of  $p=3$ ; it will be seen that the proof is general.

We write  $x, y, z$  instead of  $y_1, y_2, y_3$ , and  $p, q, r$  instead of  $t_1, t_2, t_3$ . We shall show the existence of a  $T(x, y, z)$  and of integers  $\pi, \chi, \rho$  which have the qualities claimed for  $T, \tau_1$ , etc. in the statement of our lemma.

Let

$$(7) \quad Q(x, y^q, z^r) = Q_1 \cdots Q_m$$

with each  $Q_i$  an irreducible polynomial having unity for a term.

Every  $Q_i$  is obtained from  $Q_1$  by replacing  $y$  by  $y$  times a  $q$ th root of unity and  $z$  by  $z$  times an  $r$ th root of unity.

Certainly  $Q_1$  is primary in  $x$ . It may or may not be primary in  $y$  and in  $z$ . Let

$$Q_1 = R(x, y^{q_1}, z^{r_1}),$$

with  $R(x, y, z)$  primary. Certainly  $R(x, y, z)$  is irreducible.

Let the degree of  $Q(x, y, z)$  in  $x$  be  $a$ . We say that  $q/q_1 \leq a$  and  $r/r_1 \leq a$ . First  $m$ , the number of  $Q_i$ 's, does not exceed  $a$ , because every  $Q_i$  contains  $x$ . Certainly  $q$  is divisible by  $q_1$ . Let  $k = q/q_1$ , and let  $\epsilon$  be a primitive  $k$ th root of unity. Because  $R(x, y, z)$  is primary, the  $k$  polynomials  $R(x, \epsilon^i y^{q_1}, z^{r_1})$ ,  $i = 1, \dots, k$ , are all distinct. But as each  $\epsilon^i$  is a  $q_1$ th power of a  $q$ th root of unity, each of these polynomials is some  $Q_i$ . Hence  $k \leq m$ , so that  $q/q_1 \leq a$ . Similarly,  $r/r_1 \leq a$ .

Let  $b$  and  $c$  be the respective degrees of  $Q(x, y, z)$  in  $y$  and  $z$ , and  $a_1, b_1, c_1$  the respective degrees of  $R(x, y, z)$  in  $x, y, z$ . We have, by (7),  $a = ma_1$ , so that  $a_1 \leq a$ . Now, as  $mb_1q_1 = bq$ , and as  $q \leq mq_1$  (proved above), we have  $b_1 \leq b$ . Similarly,  $c_1 \leq c$ .

Let  $p_1$  be written instead of  $p$ . Consider the polynomial  $R(x^{p_1}, y, z^{r_1})$ . Let

$$R(x^{p_1}, y, z^{r_1}) = R_1 \cdots R_{m'},$$

with each  $R_i$  an irreducible polynomial having unity for a term.

Certainly  $R_1$  is primary in  $y$ . It may not be primary in  $x$  and in  $z$ . Let

$$R_1 = S(x^{p_1}, y, z^{r_1})$$

with  $S(x, y, z)$  primary. Of course,  $S(x, y, z)$  is irreducible. We show as above that  $p_1/p_2 \leq b_1$ ,  $r_1/r_2 \leq b_1$ , and that  $a_2, b_2, c_2$ , the degrees of  $S(x, y, z)$  in  $x, y, z$ , are respectively not greater than  $a_1, b_1, c_1$ .

Let  $q_2$  be written in place of  $q_1$ . We are going to prove that  $S(x, y^{q_2}, z^{r_2})$  is irreducible.



We recall that  $Q_1 = R(x, y^{q_1}, z^{r_1})$  is irreducible. Suppose that  $S(x, y^{q_1}, z^{r_1})$  is reducible. Then  $R_1(x, y^{q_1}, z)$ , which equals  $S(x^{p_1}, y^{q_1}, z^{r_1})$ , can be factored into the form

$$A(x^{p_1}, y, z)B(x^{p_1}, y, z),$$

with  $A(x, y, z)$  and  $B(x, y, z)$  non-constant rational integral functions.

Let  $k = p_1/p_2$  and let  $\epsilon$  be a primitive  $k$ th root of unity. Because  $S(x, y, z)$  is primary, the  $k$  polynomials  $S(\epsilon^i x^{p_1}, y^{q_1}, z^{r_1})$ ,  $i = 1, \dots, k$ , are distinct. But as each  $\epsilon^i$  is a  $p_2$ th power of a  $p_1$ th root of unity, each of the  $k$  polynomials is obtained from  $R_1(x, y^{q_1}, z)$  by replacing  $x$  by  $x$  times a  $p_1$ th root of unity. Hence each of the polynomials is of the form  $R_i(x, y^{q_1}, z)$ .

Thus, the product of the  $k$  functions  $A(\epsilon^i x^{p_1}, y, z)$ ,  $i = 1, \dots, k$ , is a factor of  $R(x^{p_1}, y^{q_1}, z^{r_1})$ , which function equals  $Q_1(x^{p_1}, y, z)$ . But the product is rational in  $x^{p_1}, y$  and  $z$ . Thus  $Q_1(x, y, z)$  must be reducible. This proves that  $S(x, y^{q_1}, z^{r_1})$  is irreducible.

Now, let

$$S(x^{p_1}, y^{q_1}, z) = S_1 \cdots S_m,$$

with each  $S_i$  an irreducible polynomial having unity for a term. Let

$$S_1 = T(x^\pi, y^x, z),$$

with  $T(x, y, z)$  primary (and irreducible). We prove as above that the degree of  $T(x, y, z)$  in each variable is not greater than the corresponding degree of  $S(x, y, z)$ , and that  $p_2/\pi \leq c_2$ ,  $q_2/x \leq c_2$ .

Let  $\rho$  stand for  $r_2$ . It can be shown, as above, that  $T(x, y^x, z^\rho)$  and  $T(x^\pi, y, z^\rho)$  are irreducible (Item (e)).

We wish to show that the irreducible factors of  $T(x^\pi, y^x, z^\rho)$  are primary. That function is a factor of  $S(x^{p_1}, y^{q_1}, z^{r_1})$  which is a factor of  $R(x^{p_1}, y^{q_1}, z^{r_1})$ , a factor of  $Q(x^p, y^q, z^r)$ . As the irreducible factors of the latter function are primary, those of  $T(x^\pi, y^x, z^\rho)$  are also.

We shall show that each irreducible factor of  $T(x^\pi, y^x, z^\rho)$  contains more than two terms. Let

$$T(x^\pi, y^x, z^\rho) = T_1 \cdots T_t,$$

each  $T_i$  being irreducible, with unity for its term of lowest degree. Suppose that  $T_1$  has just two terms, and let

$$T_1 = 1 + cx^\alpha y^\beta z^\gamma.$$

Because  $T_1$  is an irreducible factor of  $Q(x^p, y^q, z^r)$ , the other irreducible factors of  $Q(x^p, y^q, z^r)$  are found by multiplying the variables in  $T_1$  by roots of unity. Hence  $Q(x^p, y^q, z^r)$  is a polynomial in the product  $x^\alpha y^\beta z^\gamma$ . Thus the

exponents of  $x$ ,  $y$  and  $z$  in each term of  $Q(x, y, z)$  are respectively proportional to  $\alpha/p$ ,  $\beta/q$ ,  $\gamma/r$ .

Let  $A$  be the highest common factor of all the exponents of  $x$  which appear in  $Q(x, y, z)$ , and let  $B$  and  $C$  be the highest common factors for  $y$  and  $z$  respectively. Then  $A, B, C$  are proportional to  $\alpha/p, \beta/q, \gamma/r$ , so that  $Q(x, y, z)$  is a polynomial in the product  $x^A y^B z^C$ . Then  $Q(x, y, z)$ , which has more than two terms, is reducible, for any polynomial in one variable, of more than two terms, is reducible. This absurdity shows that  $T_1$  has more than two terms.

The ratios  $\pi/p, \chi/q, \rho/r$  are each at least equal to  $1/ab_1c_2 \geq 1/abc$ , and hence are at least equal to  $\delta^{-3}$ .

The proof of the lemma is completed.

8. The second lemma. LEMMA. *Let  $Q(y_1, \dots, y_p)$  be a primary irreducible polynomial, consisting of more than two terms, and having unity for its term of lowest degree. There exist only a finite number of sets of positive integers  $t_1, \dots, t_p$  such that the irreducible factors of  $Q(y_1^{t_1}, \dots, y_p^{t_p})$  are primary.*

We use the polynomial  $T$  and the integers  $\tau_1, \dots, \tau_p$  whose existence was shown in § 7. Let

$$(8) \quad T(y_1^{t_1}, \dots, y_p^{t_p}) = T_1 \cdots T_t,$$

with each  $T_i$  a primary irreducible polynomial, of more than two terms, with unity for its first term.

Our first step will be to prove that

$$t = \tau_1 = \tau_2 = \dots = \tau_p,$$

$t$  being the number of factors in the second member of (8). Let  $\epsilon$  be a primitive  $\tau_1$ th root of unity. Then the  $\tau_1$  polynomials  $T_1(\epsilon^j y_1, y_2, \dots, y_p)$ ,  $j=1, \dots, \tau_1$ , are all distinct, and are among the polynomials  $T_i$ . The product of these polynomials is a polynomial in  $y_1^{\tau_1}, y_2, \dots, y_p$  which is a factor of the first member of (8). Hence, if  $\tau_1$  were less than  $t$ ,  $T(y_1, y_2^{\tau_2}, \dots, y_p^{\tau_p})$  would be reducible. Thus  $\tau_1=t$ . Similarly,  $\tau_2=t$ , etc.

It cannot be that there exist numbers  $\lambda_1, \dots, \lambda_p$  such that, in every term of  $T_1$ , the exponents of  $y_1, \dots, y_p$  are respectively proportional to  $\lambda_1, \dots, \lambda_p$ . As was shown in § 7, the existence of such  $\lambda$ 's would imply the reducibility of  $T_1$ .

Let us suppose, then, fixing our ideas, that

$$A y_1^{\alpha_1} y_2^{\alpha_2} \cdots y_p^{\alpha_p}, \quad B y_1^{\beta_1} y_2^{\beta_2} \cdots y_p^{\beta_p}$$

( $A$  and  $B$  constants), are two terms of  $T_1$  with  $\alpha_1$  and  $\alpha_2$  not proportional to  $\beta_1$  and  $\beta_2$ , that is, with  $\alpha_1\beta_2 - \beta_1\alpha_2 \neq 0$ .

As we are free to interchange the letters  $\alpha$  and  $\beta$ , we assume that  $\alpha_1\beta_2 - \beta_1\alpha_2 > 0$ . Then  $\beta_2 > 0$ .

There are  $t^2$  ways of multiplying  $y_1$  and  $y_2$  in  $T_1$  by  $t$ th roots of unity. As this group of  $t^2$  operations converts  $T_1$  into precisely  $t$  distinct polynomials, there must be  $t$  of the operations which leave  $T_1$  invariant.

Let

$$y_1' = \epsilon^u y_1, \quad y_2' = \epsilon^v y_2$$

be any of the  $t$  operations which leave  $T_1$  invariant. Then the pair of congruences

$$\begin{aligned} \alpha_1 u + \alpha_2 v &\equiv 0, \\ \beta_1 u + \beta_2 v &\equiv 0 \end{aligned} \pmod{t},$$

must have at least  $t$  solutions in common,  $u$  and  $v$  being, in each solution, non-negative integers less than  $t$ .

Any solution of the above congruences is also a solution of the congruences

$$\begin{aligned} (9) \quad & (\alpha_1\beta_2 - \beta_1\alpha_2)u \equiv 0, \\ (10) \quad & v\beta_2 \equiv -\beta_1 u \pmod{t}. \end{aligned}$$

Let  $h$  be the highest common factor of  $\alpha_1\beta_2 - \beta_1\alpha_2$  and  $t$ . Then (9) has precisely  $h$  solutions in  $u$ . Let  $k$  be the highest common factor of  $\beta_2$  and  $t$ . Then, for each  $u$  satisfying (9), the congruence (10) has at most  $k$  solutions in  $v$ .\*

Hence

$$hk \geq t,$$

so that either  $h \geq t^{1/2}$  or  $k \geq t^{1/2}$ .

Suppose first that  $h \geq t^{1/2}$ . Then  $\alpha_1\beta_2 - \beta_1\alpha_2$  is at least  $t^{1/2}$ , so that either  $\alpha_1$  or  $\beta_2$  is at least  $t^{1/4}$ .

Suppose that  $\alpha_1 \geq t^{1/4}$ . Then the degree of  $T_1$  is at least  $t^{1/4}$ . Let  $a$  be the degree of  $T(y_1, \dots, y_p)$  in  $y_1$ . Then, by (8),

$$at \geq t \cdot t^{1/4}.$$

We know that  $a$  does not exceed the degree of  $Q$  in  $y_1$ . Hence  $a \leq \delta$ , where  $\delta$  is the degree of  $Q$ . Then  $t \leq \delta^4$ , so that, by the lemma of § 7,  $t_1, \dots, t_p$  are each not greater than  $\delta^{p+4}$ .

We find the same bound for  $t_1$  etc. when  $\beta_2 \geq t^{1/4}$ .

If  $k \geq t^{1/2}$ , then  $\beta_2$  must be at least  $t^{1/2} \geq t^{1/4}$ .

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\* Accurately, either no solutions or  $k$  solutions.

We have thus shown that none of the exponents  $t_1, \dots, t_p$  can exceed  $\delta p + 4$ . This proves our lemma.

9. **The factorization theorem.** We proceed now to establish the theorem of factorization for functions

$$P(x) = 1 + a_1 e^{a_1 x} + \dots + a_n e^{a_n x},$$

stated in the introduction.

Our first step is to take the polynomial  $Q(y_1, \dots, y_p)$  associated with  $P(x)$  in § 5, and to resolve it into irreducible factors with unity for term of lowest degree.

From the irreducible factors of  $Q$  which consist of two terms, we obtain the simple factors  $S$  of our expression for  $P(x)$ . Let each  $y_i$  be replaced, in these irreducible factors, by its  $e^{\mu_i x}$  of § 5. Each factor goes over into a simple function  $1 + a e^{a x}$ . We separate these simple functions into sets such that the  $\alpha$ 's of the functions of any one set have rational ratios to one another, but have irrational ratios to the  $\alpha$ 's of any other set. The product of the several functions of each set is a simple function. The simple functions obtained from the several sets form precisely such a set of simple factors  $S_1, \dots, S_s$  of  $P(x)$  as is mentioned in the introduction.

We now consider any irreducible factor of  $Q$ , say  $U(y_1, \dots, y_r)$ , consisting of more than two terms.\* Let

$$U(y_1, \dots, y_r) = V(y_1^{m_1}, \dots, y_r^{m_r}),$$

with  $V(y_1, \dots, y_r)$  primary. Of course,  $V(y_1, \dots, y_r)$  is irreducible. It gives a factor of  $P(x)$  when each  $y_i$  is replaced by  $e^{m_i \mu_i x}$ .

Of all the finite number of polynomials  $V(y_1^{t_1}, \dots, y_r^{t_r})$  whose irreducible factors are primary (§ 8), consider one which has a maximum number,  $q$ , of irreducible factors. Let the irreducible factors of the function considered be  $V_1, \dots, V_q$ . We say that each  $V_i$  gives an irreducible factor of  $P(x)$  when each  $y_j$  in it is replaced by  $e^{m_j \mu_j x / t_j}$ .

Suppose, for instance, that  $V_1$  does not give an irreducible factor of  $P(x)$ . Then there must be some  $V_1(y_1^{u_1}, \dots, y_r^{u_r})$  which is reducible. Thus,  $V(y_1^{t_1 u_1}, \dots, y_r^{t_r u_r})$  has more than  $q$  irreducible factors. We may replace each  $t_i u_i$  by a submultiple  $v_i$  of itself, if necessary, so as to get a polynomial  $V(y_1^{v_1}, \dots, y_r^{v_r})$  with *primary* irreducible factors, greater in number than  $q$ .†

\* Of course,  $U$  need not involve all of the  $p$  variables in  $Q$ . We are supposing that the  $r \leq p$  variables in  $U$  are relettered, if necessary, so as to have the designations  $y_1, \dots, y_r$ .

† The irreducible factors of  $V(y_1^{t_1 u_1}, \dots, y_r^{t_r u_r})$  are all obtained from one of them by multiplying the variables by roots of unity. Hence the highest common factor of the exponents of any  $y_i$  is the same for all of the irreducible factors. This highest common factor will therefore be a factor of the exponents of  $y_i$  in  $V(y_1^{t_1 u_1}, \dots, y_r^{t_r u_r})$ .

We have thus a contradiction of the assumption that  $q$  is a maximum.

When we multiply together the simple factors of  $P(x)$  which arise from the binomial factors of  $Q$ , and the irreducible factors of  $P(x)$  which come from the remaining factors of  $Q$ , we have precisely such an expression for  $P(x)$  as is described in the statement of our theorem.

It remains to prove the uniqueness of the resolution. It is easy to see that the uniqueness will follow if we can show that if  $P_1$  is a factor of  $P_2P_3$ , each  $P_i$  being an expression like (1), and if  $P_1$  has no factor in common with  $P_2$ , then  $P_1$  is a factor of  $P_3$ .

Let

$$(11) \quad P_2P_3 = P_1P_4.$$

There corresponds to (11) a relation among polynomials

$$Q_2Q_3 = Q_1Q_4$$

with  $Q_1$  relatively prime to  $Q_2$ . Then  $Q_3$  is divisible by  $Q_1$ , so that  $P_3$  is divisible by  $P_1$ . The question of uniqueness is thus settled.

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