

# MANIFOLDS OF DIFFERENCE POLYNOMIALS

BY

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## INTRODUCTION

1. It is the purpose of this paper to develop in some detail the structure of the manifolds determined by systems of difference polynomials. Our results will necessarily be confined to the case of polynomials in an abstract field, since a suitable existence theorem for analytic difference equations is not available. The ideal theory, developed by J. F. Ritt and H. W. Raudenbush<sup>(1)</sup> for abstract systems of difference polynomials, is therefore fundamental in our work.

2. In Part I of our paper we describe a theoretical method for elimination of unknowns in systems of algebraic difference equations. We employ this method to prove analogues for difference fields of fundamental theorems of algebra on field extensions. With the aid of these results we show in Theorem III that the number of arbitrary<sup>(2)</sup> unknowns in a prime difference ideal is constant for all possible choices of sets of arbitrary unknowns.

3. Part II is concerned with the manifold of a single algebraically irreducible difference polynomial in an abstract field. A factorization process for polynomials in analytic fields was developed by J. F. Ritt<sup>(3)</sup> in determining the maximum number of irreducible manifolds, not held by polynomials of zero order, in the decomposition of the manifold of a first order difference polynomial. In Theorem IV we show that, when the Ritt factorization process is applied to a polynomial  $A$  in an abstract field, each of the polynomial sequences it produces actually determines a prime ideal held by  $A$  but not by any polynomial of lower order than  $A$ . Furthermore, all such prime ideals are obtained in this way. This constitutes a form of existence theorem for difference polynomials in abstract fields, and is fundamental in the further development of the theory.

The irreducible manifolds of  $A$  determined by the factorization process we call the general solution of  $A$ . We shall see that, if  $A$  is of first order, all solutions are included in the general solution. This result confirms, in a gen-

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<sup>(1)</sup> J. F. Ritt and H. W. Raudenbush, Jr., *Ideal theory and algebraic difference equations*, Trans. Amer. Math. Soc. vol. 46 (1939) pp. 445-452. This paper will be designated below by R.

<sup>(2)</sup> We use this term in the same sense in which it is employed in the theory of algebraic differential equations. A formal definition is given in §13.

<sup>(3)</sup> J. F. Ritt, *Algebraic difference equations*, Bull. Amer. Math. Soc. vol. 40 (1934) pp. 303-308.

eral way, the heuristic statements of Boole<sup>(4)</sup> concerning first order difference polynomials. However, a simple example will be given to show that polynomials of higher order may have solutions not included in the general solution and therefore constituting essential singular manifolds similar to those familiar in the theory of algebraic differential equations. The structure of these manifolds awaits exploration.

Finally Part II presents certain detailed information concerning the basic sets of the general solution and provides a constructive method for determining whether or not a given polynomial holds the general solution. Examples are given illustrating the possible complexity of structure of the general solution.

4. In Part III we return to consideration of more general systems in abstract fields. Theorem IX is a general result on the nature of the basic sets of reflexive prime ideals. In combination with the results of Part I this theorem leads to a complete description of the dimensionality of such systems.

We return to the elimination problem and construct a form of resolvent system for prime difference ideals. The unknowns of the ideal are determined uniquely in terms of the solutions of the resolvent system. Peculiarly, the uniqueness does not imply that the unknowns of the ideal may be determined from the resolvent unknown by means of linear equations. Rather, we have, in general, a system of zero order equations, not necessarily of first degree, in combination with difference polynomials of higher order. Systems of this sort, having unique solutions, we term quasi-linear<sup>(5)</sup>.

5. We take our notation and nomenclature from R and from the paper *Complete difference ideals*<sup>(6)</sup>, with additions explained in the context. We follow the latter paper in distinguishing as reflexive those difference ideals which contain a polynomial  $A$  if they contain its transform, and dropping this requirement from the definition of difference ideal. We shall not employ a functional notation such as  $y(x)$ ,  $y(x+1)$  to denote an unknown and its transforms. Unknowns will be denoted by lower case letters and polynomials by upper case letters. Subscripts, when other meanings are not specifically assigned to them, indicate a transform of order equal to the subscript.

It will always be assumed that there is an underlying difference field which contains the coefficients of the polynomials under discussion. We consider only fields of characteristic zero.

We shall make constant use of the theory of systems of algebraic equations in the form presented by J. F. Ritt in chap. IV of his book *Differential equations from the algebraic standpoint*<sup>(7)</sup>. In particular we require the theorem stated in §45 of that work with the obvious adaptations necessary for applica-

(4) Boole, *Calculus of finite differences*, 3d ed., 1880, chap. X, particularly article 21.

(5) This terminology was suggested by J. F. Ritt.

(6) J. F. Ritt, *Amer. J. Math.* vol. 63 (1941) p. 681.

(7) *Amer. Math. Soc. Colloquium Publications*, vol. 14. (Designated hereafter by A.D.E.)

tion to abstract fields<sup>(8)</sup>. We conclude, from this theorem and its proof, that if  $A_1, \dots, A_n$  is an ascending set of algebraic polynomials which possesses a regular solution, but which is not the basic set of a prime ideal, an equation,

$$I_1^{\mu_1} I_2^{\mu_2} \cdots I_{k-1}^{\mu_{k-1}} [TA_k - G_1 G_2 \cdots G_r] = L_1 A_1 + L_2 A_2 + \cdots + L_{k-1} A_{k-1},$$

holds for some  $k \leq n$ . The  $G_i$  are here polynomials reduced with respect to  $A_1, \dots, A_k$ ,  $T$  a polynomial of class lower than  $A_k$ , and reduced with respect to  $A_1, \dots, A_{k-1}$ , the  $L_i$  polynomials of class not exceeding  $A_k$ , and the  $\mu_i$  integers.

The reader should note that many common terms, such as "polynomial," "ascending set," "reduced," are required both in their algebraic sense, as they are employed in chap. IV of A.D.E., and in the sense of the theory of difference polynomials. Wherever necessary to avoid confusion we have used the adjective "algebraic" to distinguish the former of the two meanings. It should also be noted that a given field, polynomial, or system of polynomials is sometimes spoken of in terms of its purely algebraic properties, sometimes in terms of these properties and the transforming operation. We have not thought it desirable to employ separate symbols for the same entity in each of these connotations.

#### PART I. EXTENSIONS OF DIFFERENCE FIELDS

6. We shall say that an element  $t$  is *transformally algebraic*<sup>(9)</sup> over a difference field  $\mathcal{F}$  if  $t$  annuls a nonzero difference polynomial with coefficients in  $\mathcal{F}$ . If  $t$  is not transformally algebraic we say it is *transformally transcendental*. We prove the following lemma:

*Let an extension  $\mathcal{K}$  of a field  $\mathcal{F}$  be formed by adjoining to  $\mathcal{F}$  a set of elements  $a_i$ , each of which is transformally algebraic over  $\mathcal{F}$ . Let  $t$  be transformally algebraic over  $\mathcal{K}$ . Then  $t$  is transformally algebraic over  $\mathcal{F}$ .*

There exists a nonzero polynomial  $B$  in an unknown  $z$  with coefficients in  $\mathcal{K}$ , which is annulled when  $z$  is replaced by  $t$ . The coefficients of  $B$  are rational combinations with coefficients in  $\mathcal{F}$  of the transforms of a finite number of elements of the set  $a_i$ . We represent these elements by  $b_1, b_2, \dots, b_s$ . If we replace each  $b_i$  in  $B$  by  $y_i$  we obtain a polynomial  $B'$  in  $z$  with coefficients which

<sup>(8)</sup> The solutions defined in this theorem become, in the abstract case, algebraic functions of  $u_1, u_2, \dots, u_q$ , or what is the same thing, elements of an algebraic extension of  $\mathcal{F}(u_1, \dots, u_q)$ , where  $\mathcal{F}$  is the coefficient field. The necessity proof may be adopted without essential alteration. In the proof of sufficiency (§48 of A.D.E.) we do not, of course, form solutions for the particular values of the  $u_i$ . We merely observe that the polynomial  $G_1 H_1$  of A.D.E. becomes, after substitution of the solutions, a zero element in an extension of  $\mathcal{F}(u_1, \dots, u_q)$ . Then either  $G_1$  or  $H_1$  must be a zero element, and the proof continues as in A.D.E.

<sup>(9)</sup> We parallel here a terminology suggested by E. R. Kolchin for differential fields. The old term "algebraically transcendental" does not permit of distinction between difference and differential fields. See Kolchin, *Extensions of differential fields*, II, Ann. of Math. (2) vol. 45 (1944).

are rational combinations of the  $y_i$  and their transforms. Let  $B'$  be multiplied by the least common denominator of its coefficients. There results a polynomial  $C$  in unknowns  $y_1, y_2, \dots, y_s; z$ , whose coefficients are in  $\mathcal{F}$ .

Let  $\Lambda$  be the prime reflexive difference ideal consisting of all difference polynomials in the unknowns  $y_1, y_2, \dots, y_s; z$ , with coefficients in  $\mathcal{F}$ , which are annulled when each  $y_i$  is replaced by  $b_i$ , and  $z$  is replaced by  $t$ . Evidently the polynomial  $C$  is in  $\Lambda$ . Since the  $b_i$  are transformally algebraic over  $\mathcal{F}$ ,  $\Lambda$  contains a nonzero polynomial in each  $y_i$  separately. Our lemma will follow immediately if we can show that  $\Lambda$  contains a nonzero polynomial in  $z$  alone.

7. For this purpose we shall select a sequence of finite systems of polynomials of  $\Lambda$ , to be called cycles of  $\Lambda$ , which we proceed to describe. In forming the cycles we shall deal at any one time with a finite number of the transforms  $y_{ij}$  of the  $y_i$ , and  $z_i$  of  $z$ . We shall treat these transforms as variables in the sense of algebra and adopt various conventions for ordering them as we proceed. We now describe the construction of the first cycle.

We shall be concerned only with  $y_{ij}$  at this stage, and we shall order them so that  $y_{ij}$  precedes  $y_{kl}$  if  $i < k$ , or if  $i = k$  and  $j < l$ . We know that  $\Lambda$  contains nonzero polynomials in the  $y_{1j}$  only. The first polynomial  $A_1^{(1)}$  of the first cycle is chosen to be a polynomial of lowest rank<sup>(10)</sup> among all such polynomials.

$\Lambda$  is held by a polynomial in the  $y_{2j}$  alone, and therefore reduced, in the algebraic sense, with respect to the first polynomial of the cycle. Of all polynomials in the  $y_{1j}$  and  $y_{2j}$ , effectively involving  $y_{20}$  or some transform of  $y_{20}$ , reduced with respect to the first polynomial of the cycle, and involving no transform of  $y_{10}$  higher than those occurring in the first polynomial of the cycle, we choose one which is algebraically lowest for the second polynomial  $A_2^{(1)}$  of the cycle. We note that the initial of  $A_2^{(1)}$  is lower than  $A_2^{(1)}$ , and reduced with respect to  $A_1^{(1)}$ , and so does not hold  $\Lambda$ . Observing now that  $\Lambda$  is held by a polynomial in  $y_3$  alone, we select a lowest polynomial  $A_3^{(1)}$  of  $\Lambda$  which is algebraically reduced with respect to the ascending set  $A_1^{(1)}, A_2^{(1)}$ , effectively involves transforms of  $y_3$ , but of no  $y_i$  with  $i > 3$ , and does not involve any transform of  $y_1$  or  $y_2$  higher than those occurring in  $A_1^{(1)}, A_2^{(1)}$ . The initial of  $A_3^{(1)}$  is not in  $\Lambda$ .

We see that we may continue this process and obtain the entire first cycle  $A_1^{(1)}, A_2^{(1)}, \dots, A_s^{(1)}$ . These polynomials, considered algebraically, form an ascending set. They hold  $\Lambda$ , but their initials are not in  $\Lambda$ .

We shall now form some additional cycles involving  $y_{ij}$  only. During the formation of these cycles a new ordering is to be ascribed to the  $y_{ij}$  when they are considered as algebraic variables. Those  $y_{ij}$  which are effectively present, or whose transforms are effectively present, in the first cycle will retain the ordering they had in the formation of that cycle. The second cycle will introduce the next higher transform of each  $y_i$ ,  $i = 1, 2, \dots, s$ , and these will

<sup>(10)</sup> In the sense of A.D.E. for the ordering we have just assigned.

follow the already ordered unknowns, and have among themselves the order of their first subscripts. The third cycle will introduce the next higher transform of each  $y_i$  than occurred in the second cycle, and these will be ordered so as to follow the unknowns of the first two cycles and have among themselves the order of their first subscripts. Continuing, we may order the  $y_{ij}$  occurring in an arbitrarily large number of cycles. In the following section of this proof, we shall mean, by the rank or class of an algebraic polynomial, that rank or class determined by the ordering just described.

8. We specify that the  $m$ th polynomial,  $A_m^{(k)}$ , of the  $k$ th cycle must have the following properties:

- (a) It must hold  $\Lambda$ .
- (b) Its initial, in the sense of algebra, must not hold  $\Lambda$ .
- (c) If  $k \neq 1$ , it must effectively involve the next higher transform of  $y_m$  than the highest present in  $A_m^{(k-1)}$ .
- (d) It must involve no transform of any  $y_i$ , except the one specified in (c), which is not present, or some transform of which is not present, in preceding polynomials of the cycles (that is, in polynomials  $A_p^{(r)}$  with  $r < k$  or  $r = k$  and  $p < m$ ).
- (e) It must be reduced algebraically with respect to all preceding polynomials of the cycles.
- (f) Among all polynomials with properties (a) through (e) it must be one which is lowest in the algebraic sense.

The polynomials of the first cycle satisfy these conditions. We shall show that polynomials with properties (a) through (e) exist assuming that the polynomials of the first  $k-1$  cycles and, if  $m > 1$ , the first  $m-1$  polynomials of the  $k$ th cycle have been obtained. Then we may select among the polynomials satisfying the other conditions one which is lowest, so that (f) is also satisfied. It will follow by induction that we may obtain polynomials  $A_b^{(a)}$ ,  $b = 1, 2, \dots, s$ , for any  $a$ , and so obtain an arbitrarily large number of cycles.

We observe that the polynomials  $A_1^{(1)}, A_2^{(1)}, \dots, A_s^{(1)}; A_1^{(2)}, A_2^{(2)}, \dots, A_s^{(2)}; \dots; A_1^{(k)}, A_2^{(k)}, \dots, A_{m-1}^{(k)}$  form an ascending algebraic set. We form the remainder  $R$  of the first transform of  $A_m^{(k-1)}$  with respect to this set. We say that  $R$  satisfies conditions (a) through (e).

It is obvious that (a) and (e) are satisfied. We prove (b) and (c). Let  $v$  be the order of  $A_m^{(1)}$  in  $y_m$ . Then  $y_{mv}$ ,  $w = v + k - 1$ , is the transform of  $y_m$  which must be effectively present in  $A_m^{(k)}$  according to (c). Now  $R$  satisfies the equation:

$$(1) \quad R = \prod_{a,b} (I_b^{(a)})^{\mu_{a,b}} (A_m^{(k-1)})_1 + \sum_{a,b} K_b^{(a)} A_b^{(a)},$$

where the  $\mu_{a,b}$  are integers, the  $K_b^{(a)}$  difference polynomials, and  $I_b^{(a)}$  is the algebraic initial of  $A_b^{(a)}$ . The indices ranges over all values occurring in polynomials which precede  $A_m^{(k)}$  in the cycles; and in  $(A_m^{(k-1)})_1$  the subscript 1

denotes transforming. Let  $n$  be the highest power of  $y_{mw}$  in  $(A_m^{(k-1)})_1$ . Then (1) shows that the coefficient of  $y_{mw}^n$  in  $R$  is  $I$ ,

$$(2) \quad I = \prod_{a,b} (I_b^{(a)})^{\mu_{a,b}} (I_m^{(k-1)})_1 + \sum_{a,b} L_b^{(a)} A_b^{(a)},$$

where the  $L_b^{(a)}$  are difference polynomials, and the subscript 1 denotes transforming.  $I$  does not hold  $\Lambda$  since initials of already constructed polynomials in the cycles do not. Then  $I$  is a fortiori not zero and is the actual initial of  $R$ . Thus (b) and (c) are satisfied. (d) follows from equation (1) when it is remembered that the  $K_b^{(a)}$  need involve no  $y_{ij}$  not present in the previously constructed polynomials of the cycles and  $(A_m^{(k-1)})_1$ . This completes the proof that an arbitrarily large number of cycles can be constructed.

9. There exists a nonzero polynomial in  $\Lambda$ , namely the  $C$  described in §6, which effectively involves  $z$ . Among all such polynomials let  $D$  be one which is lowest when considered as a difference polynomial in  $z$ , and whose initial is not in  $\Lambda$ .

Let  $t$  be an integer such that there occur in the first  $t-1$  cycles constructed in §8 higher transforms of each  $y_i$  than the highest present in  $D$ . We shall retain only these cycles of §8, and construct higher cycles in which  $z$  appears effectively. We shall number these the  $t$ th,  $(t+1)$ th cycle, and so on, and shall continue to use the notation  $A_m^{(k)}$  for the  $m$ th polynomial of the  $k$ th cycle in the new sense. Each cycle following the  $(t-1)$ th will contain  $s+1$  polynomials.

The first  $s$  polynomials of the  $t$ th cycle are to be the  $s$  polynomials of the  $t$ th cycle of §8 without change. We shall now construct the remaining polynomial of this cycle. Let  $D$  be of order  $r$  in  $z$ . We introduce a new ordering of the unknowns. The  $y_{ij}$  present thus far in the cycles are to retain their original ordering among themselves.  $z, z_1, \dots, z_{r-1}$  are to precede all these  $y_{ij}$ , while  $z_r$  is to follow them.

With this ordering let  $S$  be the remainder of  $D$  with respect to the algebraic ascending set consisting of the cycles available at this point.  $S$  can be obtained from an expression similar to the right-hand member of (1) with  $D$  replacing  $(A_m^{(k-1)})_1$ . If  $D$  is of degree  $p$  in  $z_r$ , we see that the coefficient  $J$  of  $z_r^p$  in  $S$  is given by an expression similar to the right-hand member of (2) with the initial of  $D$  (which is the same in either the sense of algebra or the theory of difference polynomials) replacing that of  $(A_m^{(k-1)})_1$ . It follows that  $J$  is not in  $\Lambda$ , and a fortiori is not zero. Thus  $J$  is the initial of  $S$ , and  $S$  is of the same rank as  $D$  when considered as a difference polynomial in  $z$ .

We now construct an arbitrarily large number of additional cycles of  $s+1$  polynomials each. The  $m$ th polynomial,  $A_m^{(k)}$ , of the  $k$ th cycle must satisfy conditions (a) through (f) of §8, with the understanding that we are to write  $y_{s+1}$  for  $z$ . This understanding is also to be observed in the ordering to be assigned to the unknowns when considered as algebraic variables, and this ordering is then to be carried out precisely as described in §8. We may now

prove that an arbitrarily large number of additional cycles can be constructed by following word for word the proof of §8.

10. We consider the ascending set

$$(3) \quad A_1^{(1)}, A_2^{(1)}, \dots, A_s^{(1)}; A_1^{(2)}, \dots, A_b^{(a)}.$$

No polynomial  $P$  in the prime ideal  $\Lambda$  is reduced with respect to that ascending set (3) for which  $A_b^{(a)}$  and  $P$  are of the same class when considered as algebraic polynomials with the ordering of the unknowns which arises when sufficient cycles have been constructed to contain higher transforms of each  $y_i$  and  $z$  than occur in  $P$ . For let  $P$  be reduced with respect to that ascending set. We write  $R_1$  for  $P$ ,  $R_2$  for the initial of  $P$  in the algebraic sense,  $R_3$  for the initial of  $R_2$ , and so on. Let  $T$  be the  $R_i$  of smallest subscript such that  $R_{i+1}$  does not hold  $\Lambda$ .

Suppose first that  $T$  is of greater order in  $z$  than the polynomial  $D$  of the preceding paragraph. Since the class of  $T$ , considered algebraically, exceeds that of  $D$ ,  $T$  satisfies the conditions (a) through (e) at that stage in the formation of the cycles where the class of  $T$  equals the class of the transform whose presence is required by condition (c). Now the assumption about  $P$  shows that  $T$  is algebraically lower than the polynomial of the same class in the cycles. But this contradicts the fact that the polynomials of the cycles fulfill condition (f).

We next suppose that  $T$  is not of greater order than  $D$  in  $z$ , in which case it must be of lower degree in the highest transform of  $z$  which it contains, or of lower order than  $D$  in  $z$ . It then follows from the definition of  $D$  that  $T$  is either free of  $z$  or that its initial, when it is considered as a difference polynomial in  $z$ , holds  $\Lambda$ . In the latter case let  $T_1$  represent the initial of  $T$ . If  $T_1$  contains  $z$  we let  $T_2$  be its initial when considered as a difference polynomial in  $z$ . Continuing, we eventually obtain a polynomial  $T'$  in  $\Lambda$  free of  $z$ . Let  $S_1$  be the initial of  $T'$  considered as an algebraic polynomial,  $S_2$  the initial of  $S_1$  and so on. We let  $S$  be the  $S_i$  of least subscript such that  $S_{i+1}$  does not hold  $\Lambda$ . If  $T$  is free of  $z$  we let  $S$  be  $T$ . Now  $S$  satisfies conditions (a) through (e) at that stage in the formation of the cycles where the class of  $S$  equals the class of the transform whose presence is required by (c). Reasoning as above we again obtain a contradiction. This proves the statement concerning the ascending set (3) made at the head of this section.

11. We shall show that the ascending set (3) is the basic set of a prime system<sup>(11)</sup>. The product of the initials of the polynomials of (3) does not hold  $\Lambda$ . We consider a solution of  $\Lambda$  not annulling this product. This solution is a regular solution of (3) in the sense required by the theorem of §45 of

<sup>(11)</sup> Following the convenient usage of Chapter IV of A.D.E. we employ this term to denote a prime ideal of algebraic polynomials in a finite number of unknowns, while the term "prime ideal," unless we specifically state otherwise, means prime difference ideal.

A.D.E. It is a consequence of that theorem, and of the existence of a regular solution, that if (3) is not the basic set of a prime system there is an equation

$$(4) \quad (I_{A_1^{(1)}})^{\mu_{1,1}} \cdots (I_{A_b^{(a)}})^{\mu_{a,b}} [TA_b^{(a)} - G_1 G_2 \cdots G_k] = \sum L_i^{(i)} A_i^{(i)},$$

where the  $\mu_{i,j}$  are integers, the  $L_j^{(i)}$  and  $T$  difference polynomials, and  $I_{A_j^{(i)}}$  is the initial of  $A_j^{(i)}$ . The sum on the right-hand side extends over all polynomials  $A_b^{(a)}$  preceding  $A_j^{(i)}$  in (3), and each  $G_i$  is a polynomial of the class of  $A_b^{(a)}$ , which involves no unknowns not occurring in (3), and is reduced with respect to (3). Then no  $G_i$  can hold  $\Lambda$ , and consequently their product cannot. This contradicts equation (4), and it must follow that every set (3) is the basic set of a prime system.

We see that the unconditioned unknowns<sup>(12)</sup> in any such prime system are the transforms of  $z$  of lower order than  $D$  in  $z$  and the transforms of each  $y_i$  which are of lower order than  $A_i^{(1)}$  in  $y_i$ . Each new cycle, after the cycle containing  $D$ , introduces an additional transform of  $z$ . If we choose enough cycles we obtain the basic set of a prime system  $\Psi$  in which the transforms of  $z$  outnumber the unconditioned unknowns. Then  $\Psi$  is held by a nonzero polynomial  $V$  in the  $z_i$  alone. Then  $PV$ , where  $P$  is some product of powers of initials of the  $A_j^{(i)}$ , is a linear combination of the  $A_j^{(i)}$  and therefore holds  $\Lambda$ . Then  $V$  holds  $\Lambda$ . This proves the lemma.

12. We shall say that an element  $t$  of an extension  $\mathcal{H}$  of a difference field  $\mathcal{F}$  is *transformally dependent* on a set  $M$  of elements of  $\mathcal{H}$  with respect to  $\mathcal{F}$  if  $t$  annuls a nonzero difference polynomial with coefficients in the field obtained by adjoining to  $\mathcal{F}$  the elements of  $M$ . In other words,  $t$  depends on  $M$  if it is transformally algebraic over  $\mathcal{F}(M)$ . A set of elements  $M$  in an extension of  $\mathcal{F}$  will be said to depend on a set  $N$  in the same extension of  $\mathcal{F}$  if each element of  $M$  is transformally dependent on  $N$  with respect to  $\mathcal{F}$ .

Two further definitions will be of use. Sets  $M$  and  $N$  in an extension of  $\mathcal{F}$  will be said to be *equivalent* if each depends transformally on the other relative to  $\mathcal{F}$ . A set will be called *reducible* or *irreducible* according to whether it does or does not depend on any proper subset of itself.

With the aid of the lemma of §6, and the definitions just given, we may now transfer word for word the methods used by H. W. Raudenbush<sup>(13)</sup> for differential fields to prove the following analogue of the theorem of Steinitz:

**THEOREM I.** *Every set contains an equivalent irreducible subset. In particular an extension  $\mathcal{H}$  of a difference field  $\mathcal{F}$  which is not a transformally algebraic ex-*

<sup>(12)</sup> We again follow a convention of A.D.E. and reserve the term "unconditioned unknowns" for algebraic systems, and the term "arbitrary unknowns," which will be defined formally in §13, for systems of difference (or differential) equations. The formal definitions are identical, mutatis mutandis.

<sup>(13)</sup> H. W. Raudenbush, *Differential fields and ideals of differential forms*, Ann. of Math. (2) vol. 34 (1933) p. 513.



*tension of  $\mathcal{F}$  may be obtained by the adjunction of an irreducible set followed by a transformally algebraic extension.*

Again following Raudenbush we may prove the theorem<sup>(14)</sup>:

**THEOREM II.** *If an extension  $\mathcal{K}$  of the field  $\mathcal{F}$  is equivalent to two irreducible subsets,  $M$  and  $N$ , then  $M$  and  $N$  are of the same potency.*

Using Theorem II we see that we may define the *degree of transformal transcendency* of an extension  $\mathcal{K}$  of a difference field  $\mathcal{F}$  as the potency of an equivalent irreducible subset of  $\mathcal{K}$ .

**13. Invariance of the number of arbitrary unknowns.** We consider any perfect difference ideal  $\Lambda$  in the ring of polynomials in the unknowns  $u_1, u_2, \dots, u_q; y_1, y_2, \dots, y_p$ , whose transforms will be denoted by a second subscript. The  $u_i$  will be said to constitute a set of arbitrary unknowns of  $\Lambda$  if

- (a)  $\Lambda$  is held by no nonzero difference polynomial in the  $u_i$  alone,
- (b) for each  $k$ ,  $1 \leq k \leq p$ , there exists a nonzero difference polynomial in  $y_k$  and  $u_1, u_2, \dots, u_q$  which holds  $\Lambda$ .

It may, of course, be possible to select several different sets of arbitrary unknowns for any one ideal. For prime ideals, however, we have the following theorem.

**THEOREM III.** *All sets of arbitrary unknowns of a reflexive prime difference ideal contain the same number of unknowns.*

Let  $\Sigma$  be a reflexive prime difference ideal in the unknowns  $u_1, u_2, \dots, u_q; y_1, y_2, \dots, y_p$ , the  $u_i$  constituting a set of arbitrary unknowns. Let  $\mathcal{F}$  be the coefficient field of  $\Sigma$ .

It is shown in R that the quotient-field  $\mathcal{K}$  of the remainder classes of  $\Sigma$  contains a general point solution of  $\Sigma$ <sup>(15)</sup>. Let  $u_i = \alpha_i$ ,  $i = 1, \dots, q$ ;  $y_i = \beta_i$ ,  $i = 1, \dots, p$  be the values of the unknowns in this general point solution. Then  $\mathcal{K}$  is formed by adjoining the  $\alpha_i$  and  $\beta_i$  to  $\mathcal{F}$ .

The  $\alpha_i$  annul no difference polynomial with coefficients in  $\mathcal{F}$ , for otherwise  $\Sigma$  would contain a polynomial in the  $u_i$  alone. Thus the  $\alpha_i$  constitute an irreducible set. Since  $\Sigma$  contains, for each  $j$ , a nonzero polynomial in  $y_j$  and the  $u_i$  only, it follows that each  $\beta_j$  is transformally dependent on the  $\alpha_i$ . Finally, we note that each element of  $\mathcal{K}$  is, in a trivial way, transformally algebraic over the field obtained by adjoining the  $\alpha_i$  and  $\beta_i$  to  $\mathcal{F}$ , and therefore, by the

<sup>(14)</sup> We shall be concerned particularly with the case in which at least one of the sets mentioned in the theorem is known to be finite. A simpler proof is possible when this occurs. See van der Waerden, *Moderne Algebra*, vol. I, pp. 104–109 and 210–212, Frederick Ungar Publishing Co., New York.

<sup>(15)</sup> By the general point, or general point solution, of a reflexive prime ideal with coefficients in a field  $\mathcal{F}$ , we shall mean any solution, however obtained, lying in an extension of  $\mathcal{F}$  and annulling no polynomial with coefficients in  $\mathcal{F}$  which is not in the ideal.

lemma stated in §6, transformally algebraic over the field resulting when the  $\alpha_i$  are adjoined to  $\mathcal{F}$ . It follows that the  $\alpha_i$  constitute an equivalent irreducible subset of  $\mathcal{K}$  relative to  $\mathcal{F}$ . The number  $q$  of the  $\alpha_i$  is the degree of transformal transcendency of  $\mathcal{K}$  relative to  $\mathcal{F}$ .

It follows that the number of unknowns in a set of arbitrary unknowns of  $\Sigma$  is a constant, namely the degree of transformal transcendency relative to  $\mathcal{F}$  of the quotient-field of the remainder classes of  $\Sigma$ . Thus Theorem III is proved.

## PART II. POLYNOMIALS IN ONE UNKNOWN

14. Let  $\mathcal{F}$  be an abstract difference field, and  $A$  a difference polynomial in the unknown  $y$  with coefficients in  $\mathcal{F}$ . It is assumed that  $A$  is algebraically irreducible in  $\mathcal{F}$ , and that it effectively involves  $y_0$ . Let  $n$  be the order of  $A$  in  $y$ , and  $r$  its degree in  $y_n$ . Let  $A_i$  denote the  $i$ th transform of  $A$ .

In order to study the separation of the manifold of  $A$  into irreducible manifolds we shall construct sets of elements which annul  $A$  and its transforms. We adjoin to  $\mathcal{F}$  the transcendental elements  $\alpha_i$ ,  $i=0, \dots, n-1$ , to form the field  $\mathcal{F}_1$ .  $\mathcal{F}_1$  is not a difference field since the transforms of the  $\alpha_i$  are not defined. The elements we shall construct will be algebraic over  $\mathcal{F}_1$ , and shall be referred to as the *algebraic solutions* of  $A$ . They do not necessarily correspond to any solutions of  $A$  in a difference field.

We start the formation of the algebraic solutions by letting  $y_i = \alpha_i$ ,  $i=0, \dots, n-1$ . Upon substituting these values into  $A$  we obtain a polynomial in  $y_n$  with coefficients in  $\mathcal{F}_1$ , and irreducible in that field. This polynomial will be annulled by an element in a suitable algebraic extension of  $\mathcal{F}_1$ . We select such an element as the value of  $y_n$  in the algebraic solution.

To continue the algebraic solution to higher transforms of  $y$  we must first show that no polynomial in  $y_1, \dots, y_n$  is annulled by the portion of the solution so far obtained. Let  $B$  be any such polynomial. We form the resultant  $R$  of  $A$  and  $B$  considered as polynomials in  $y_n$ .  $A$  and  $B$  can have no factors in common so that  $R$  cannot be identically zero.  $R$  is annulled by all common solutions of  $A$  and  $B$ . Since  $R$  does not involve  $y_n$  it certainly cannot vanish when the beginning of an algebraic solution, which has already been determined, is substituted into it. It follows that  $B$  also does not vanish. This proves the statement.

In particular, the initial of  $A_1$  and its discriminant as a polynomial in  $y_{n+1}$  are not annulled by this solution, so that  $A_1$  becomes, on substituting for  $y_0, \dots, y_n$  their values in the algebraic solution, a polynomial of degree  $r$  in  $y_{n+1}$ , which has no repeated factor. We continue the algebraic solution by letting  $y_{n+1}$  be an element in an algebraic extension of  $\mathcal{F}_1$  which annuls this polynomial.

The process we have used may be continued to provide solutions for every  $A_i$ . To prove this we assume that solutions have been found in this way for

$A, \dots, A_k$ . We make the additional inductive hypothesis that the solutions so far obtained annul no polynomial in  $y_k, \dots, y_{n+k-1}$ . Our inductive hypotheses are known to be satisfied for  $A$  itself.

We show first that  $A_k$  has no factor free of  $y_k$ . Suppose it has such a factor  $T$ , and let  $y_{l+k}$  be a transform of  $y$  which appears effectively in  $T$ . We consider the coefficients,  $S_i$ , of the powers of  $y$  in  $A$ . The  $S_i$ , as polynomials in  $y_l$ , have a resultant system which includes a nonvanishing polynomial  $D$ ; for they have no common factor.  $D$  is a linear combination of the  $S_i$ . The  $k$ th transform of  $D$  is then a linear combination of the coefficients of  $y_k$  in  $A_k$ , and is free of  $y_{l+k}$ . It follows that these coefficients can have no factor involving  $y_{l+k}$ . This contradicts the fact that  $y_{l+k}$  appears in  $T$ , and proves our statement.

Let  $B$  be any polynomial in  $y_{k+1}, \dots, y_{n+k}$ . Then  $B$  and  $A_k$  are relatively prime. The resultant  $R$  of  $B$  and  $A_k$ , considered as polynomials in  $y_{n+k}$ , is a nonzero polynomial in  $y_k, \dots, y_{n+k-1}$ , which holds all common solutions of  $B$  and  $A_k$ . We know that  $R$  is not annulled by the solutions assumed in our inductive hypothesis. It follows immediately that  $B$  does not vanish for these solutions. This proves the latter part of the hypothesis. The initial of  $A_{k+1}$ , and its discriminant when it is considered as a polynomial in  $y_{n+k+1}$ , cannot be annulled by the solutions so far determined. It follows that by substituting any of these solutions into  $A_{k+1}$  we obtain a polynomial of degree  $r$  in  $y_{n+k+1}$  which has no repeated factors. We continue the algebraic solutions by letting  $y_{n+k+1}$  be an element in an algebraic extension of  $\mathfrak{F}_1$  which annuls this polynomial. This completes the construction of the algebraic solutions.

Let  $C$  be a polynomial of order  $s \geq n$  which vanishes for all algebraic solutions of  $A$ . We substitute the values of  $y_i$ ,  $0 \leq i < s$ , in some algebraic solution, into  $C$  and  $A_{s-n} \cdot C$  must become a multiple of  $A_{s-n}$  after the substitution, for otherwise we could find a value of  $y_s$  which, together with the previously determined values of  $y_0, y_1, \dots, y_{s-1}$ , annuls  $A_{s-n}$  but does not annul  $C$ . We note that  $C$  must be of degree at least  $r$  in  $y_s$  if the coefficients of powers of  $y_s$  in  $C$  do not all vanish for every algebraic solution.

15. We now consider the system  $A, A', A'', \dots, A^{(k)}, \dots$ , where  $A^{(k)}$  is obtained by taking the algebraic remainder with respect to  $A, \dots, A^{(k-1)}$  of  $A_k$ , using the ordering  $y, y_1, \dots, y_{n+k}$ , of the unknowns. Evidently every  $A^{(k)}$  and every transform of the  $A^{(k)}$  will be annulled by the algebraic solutions.

Let  $I_m$  represent the coefficient of  $y_{n+m}^r$  in  $A^{(m)}$ . Let  $J_m$  represent the  $m$ th transform of the coefficient of  $y_n^r$  in  $A$ . We have already seen that no  $J_m$  is annulled by any algebraic solution.  $I_0 = J_0$  is therefore not annulled by such solutions. Since

$$I_m = I_0^{\mu_0} I_1^{\mu_1} \cdots I_{m-1}^{\mu_{m-1}} J_m - S_0 A - S_1 A' - \cdots - S_{m-1} A^{(m-1)},$$

where the  $\mu_i$  are integers and the  $S_i$  difference polynomials, we see inductively

that no  $I_m$  vanishes for any algebraic solution, and, in particular, that none is zero. Then  $I_m$  is the initial of  $A^{(m)}$ . Consideration of the successive transforms of the preceding equation shows that no transform of any  $I_m$  is annulled by the algebraic solutions.

It may be that every set  $A, \dots, A^{(k)}$ , considered as an ascending set of algebraic polynomials in the field  $\mathcal{Y}$ , is the basic set of a prime system. If this is not so, consider the shortest such set which is not. The existence of algebraic solutions assures that there will be a relation

$$(1) I_0^{\mu_0} I_1^{\mu_1} \cdots I_k^{\mu_k} (TA^{(k)} - G_1 \cdots G_p) - S_0 A - S_1 A^{(1)} - \cdots - S_{k-1} A^{(k-1)} = 0$$

where the  $\mu_i$  are integers, and the  $S_i$ ,  $G_i$ , and  $T$  polynomials.  $T$  and each  $G_i$  are reduced with respect to  $A, A', \dots, A^{(k-1)}$ , and  $T$  is free of  $y_{n+k}$ .

The polynomials vanishing for algebraic solutions of  $A, A', \dots, A^{(k-1)}$  form a prime system  $\psi$  which is not held by  $T$ . Then some linear combination of  $T$  and polynomials of  $\psi$  is a polynomial  $L$  in  $y_0, \dots, y_{n-1}$  only. This is an application of the principle that the dimensionality of a prime algebraic ideal is greater than that of any of its proper extensions. No transform of  $L$  vanishes for any algebraic solution. Every polynomial of  $\psi$  has zero remainder with respect to  $A, A', \dots, A^{(k-1)}$  so that all its transforms vanish for all algebraic solutions. Then no transform of  $T$  is annulled by any algebraic solution. Similarly, no transform of an initial of any  $G_i$  is annulled by the algebraic solutions.

The degrees  $r_i$  of the  $G_i$  in  $y_{n+k}$  total  $r$ . Let the elements of an algebraic solution be substituted for  $y_0, \dots, y_{n+k-1}$  in  $A^{(k)}$  and the  $G_i$ . Then  $G_1 \cdots G_p$  becomes a multiple of  $A^{(k)}$ . Since no  $G_i$  is annulled by these substitutions, it follows that the polynomials resulting from the  $G_i$  have no repeated factors or factors in common. Thus any extension of the beginning of an algebraic solution to a solution of  $A^{(k)}$  will annul precisely one  $G_i$ , and there will exist algebraic solutions annulling any  $G_i$ .

Every algebraic solution of  $A, \dots, A^{(k-1)}$  may thus be extended to an algebraic solution which annuls any  $G_i$ . Upon substituting the elements of such a solution into  $A^{(k+1)}$  the latter becomes a polynomial of degree  $r$  in  $y_{n+k+1}$  which has no repeated factors. We use a second subscript to denote transforms of the  $G_i$ . Then the transform of equation (1) shows that the  $G_{j1}, j=1, \dots, p$ , become polynomials of degree  $r_j$  which have no repeated or common factors. Thus some extension of the algebraic solution to a solution of  $A^{(k+1)}$  can be found which annuls  $G_{m1}$ , for any given  $m$ , and, of course, annuls no other  $G_{j1}$ . In particular, there will be solutions annulling any  $G_i$  and its transform. In the remainder of this proof we shall be concerned only with solutions of this type.

We proceed in this manner to select algebraic solutions of  $A$  which annul sets of the form  $A, \dots, A^{(k-1)}; G_i, \dots, G_{im}, \dots$ . By a process of taking remainders we construct from these sets systems of the type  $A, \dots, A^{(k-1)};$

$G_i^{(0)}, \dots, G_i^{(m)}, \dots$  which have the property that, when interrupted at any point, the beginning of the sequence constitutes an ascending set of algebraic polynomials in the field  $\mathcal{Y}$ . Of course these systems are annulled by the appropriate algebraic solutions, while initials and transforms of initials of the polynomials of the systems are not. If we replace  $y, y_1, \dots, y_t, t = k + m + n$  in  $G_i^{(m+1)}$  by elements of an algebraic solution annulling the corresponding system,  $G_i^{(m+1)}$  becomes a polynomial of degree  $r_i$  in  $y_{t+1}$  which has no repeated factor.

It may be that all the ascending sets obtained as in the last paragraph are basic sets of prime systems. If not, new factorization equations similar to (1) may be obtained. These may be treated in the same manner. The number of factorizations obtainable is limited by the degree of  $A$  in  $y_n$ . We see that we obtain  $s$  sequences,  $1 \leq s \leq r$ , which we may represent by  $B_{i0}, \dots, B_{ik}, \dots, i = 1, \dots, s$ , which have the property that the finite sets obtained by discarding all polynomials beyond any given point in the sequence are all basic sets of prime systems. We shall refer to these sequences as the *basic sequences* of  $A$ . Each  $B_{i0}$  is of course  $A$ .

16. We let  $\Lambda_{kj}$  be the prime system of which  $B_{k0}, \dots, B_{kj}$  is a basic set and form the union, for fixed  $k$ , of the systems  $\Lambda_{kj}$ . We represent this union by  $\Lambda_k$ .  $\Lambda_k$  is evidently a prime algebraic ideal in the unknowns  $y_{ij}$ .

We shall see that each  $\Lambda_k$  is a reflexive prime difference ideal. The polynomials of  $\Lambda_k$  are exactly those which are annulled by the algebraic solutions of  $B_{k0}, \dots$ . The initials and transforms of initials of the  $B_{kj}$  are therefore not in  $\Lambda_k$ . On the other hand, the transforms of the  $B_{kj}$  themselves are in  $\Lambda_k$ . If  $R$  is any polynomial in  $\Lambda_k$ , some product  $P$  of powers of the initials of the  $B_{kj}$  exists such that  $PR$  is a linear combination of the  $B_{kj}$ . Then the product of the transform of  $P$  by the transform of  $R$  is a linear combination of transforms of the  $B_{kj}$  and is therefore in  $\Lambda_k$ . Since the transform of  $P$  is not in the ideal  $\Lambda_k$ , the transform of  $R$  is in this ideal.

Let  $S_1$ , the transform of  $S$ , hold  $\Lambda_k$ . Then  $S$  is of order  $n + h, h \geq 0$ . We shall show that  $S$  holds the prime system  $\Psi$  with basic set  $B_{k0}, B_{k1}, \dots, B_{kh}$ , and is therefore in  $\Lambda_k$ . If not there exists a polynomial  $U$  in  $y_0, \dots, y_{n-1}$ , such that

$$U = MS + \sum N_i D_i,$$

where the  $D_i$  are in  $\Psi$ , and therefore in  $\Lambda_k$ . Using the subscript 1 to denote transforming we have

$$U_1 = M_1 S_1 + \sum N_{i1} D_{i1}.$$

$S_1$  and the  $D_{i1}$  are in  $\Lambda_k$ . Then  $U_1$  is in  $\Lambda_k$  and vanishes for all algebraic solutions which annul its basic sequence. This is impossible since  $U_1$  involves only  $y_1, \dots, y_n$ . Therefore  $S$  is in  $\Lambda_k$ .

These facts complete the proof that each  $\Lambda_k$  is a reflexive prime difference ideal. Evidently no  $\Lambda_k$  holds any other for each is annulled by algebraic solutions annulling no other.

17. Let  $\Lambda$  be any essential prime ideal in the decomposition of  $\{A\}$  which is not held by a polynomial of order lower than  $A$ . Then  $\Lambda$  is held by the first polynomial  $B_{k0}=A$  of the basic sequence of each  $\Lambda_k$ , but not by its initial. Let us suppose that  $\Lambda$  is held by the first  $m$  polynomials  $B_{h0}, B_{h1}, \dots, B_{hm}$  of some basic sequence of  $A$ , but not by the initial of any  $B_{hi}, i \leq m$ . We shall show that  $\Lambda$  is held by the first  $m+1$  polynomials  $B_{h0}, B_{h1}, \dots, B_{h,m+1}$  of some basic sequence but not their initials <sup>(16)</sup>.

Let  $\Psi$  be the prime system with basic set  $B_{h0}, \dots, B_{hm}$ . The polynomials of  $\Psi$  hold  $\Lambda$  for they have zero remainder with respect to this basic set. If  $D$  is any polynomial involving no transform of  $y$  higher than those in  $B_{hm}$  and if  $D$  is not in  $\Psi$ , then  $D$  does not hold  $\Lambda$ . For some linear combination of  $D$  and polynomials of  $\Psi$  is a polynomial in  $y_0, \dots, y_{m-1}$  only and cannot hold  $\Lambda$ .

Let  $k$  be such that  $B_{hk}$  but not  $B_{h,k-1}$  is of the same degree as  $B_{hm}$  in their respective highest transforms of  $y$  (or let  $k=0$  if  $B_{h0}$  and  $B_{hm}$  are of the same degree). Let  $C$  be the remainder with respect to  $B_{h0}, \dots, B_{hm}$  of the  $(m+1-k)$ th transform of  $B_{hk}$ . Then  $C$  holds  $\Lambda$ . Either  $C$  is the next polynomial of a basic sequence, or there is a factorization equation similar to (1) with  $C$  playing the role of  $A^{(k)}$ . Then at least one of the polynomials corresponding to the  $G_i$  of equation (1) holds  $\Lambda$ . In either case there is, perhaps after a change of notation, a basic set  $B_{h0}, \dots, B_{h,m+1}$  such that  $B_{h,m+1}$  holds  $\Lambda$ . The initial of  $B_{h,m+1}$  is reduced with respect to  $B_{h0}, \dots, B_{hm}$ . It is therefore not in  $\Psi$ , and so, by the remark of the preceding paragraph, cannot hold  $\Lambda$ . Thus our statement is proved.

We see by induction that  $\Lambda$  is held by all polynomials of some basic sequence  $B_{h0}, B_{h1}, \dots$ , but not by their initials. Then  $\Lambda$  must be held by  $\Lambda_h$ . Now  $\Lambda$  can contain no polynomial not in  $\Lambda_h$ . For if  $S$  is any polynomial not in  $\Lambda_h$  there is, as we have seen, a linear combination of  $S$  and polynomials of  $\Lambda_h$  which is of order  $n-1$ . But  $\Lambda$  is held by no polynomial of this order. It follows that the  $\Lambda_k$  are essential prime ideals in the decomposition of  $\{A\}$  and are the only such ideals not held by a polynomial of order less than  $n$ ; indeed, our last remark shows that there are not even ideals containing some  $\Lambda_i$  as a proper subideal and not held by a polynomial lower than  $A$ . This completes the proof of the following theorem.

**THEOREM IV.** *Let  $A$  be an algebraically irreducible difference polynomial in a difference field  $\mathcal{F}$ , which is of order  $n$  and degree  $r$  in  $y_n$  and effectively involves  $y_0$ .*

<sup>(16)</sup> There may be several ideals  $\Lambda_k$  whose basic sequences begin with  $B_{h0}, \dots, B_{hm}$ , and therefore several possible values for the subscript  $h$ . As we proceed from  $m$  to  $m+1$  the set of allowable values of the subscripts may diminish. We assume that the notation is then changed, if necessary, so as to assign to  $h$  a value permissible for  $m+1$  (and therefore certainly for  $m$ ).

*There exist at least one and at most  $r$  essential prime ideals in the decomposition of  $\{A\}$  which are not held by any polynomial of order less than  $n$ . These ideals are the reflexive prime ideals determined by the basic sequences constructed above.*

We may speak of an algebraic solution of  $A$  which annuls all the polynomials of a basic sequence of  $A$  as an algebraic solution of that basic sequence. Let  $\mathcal{G}^{(17)}$  represent the field obtained by adjoining to  $\mathcal{F}$  an algebraic solution of the basic sequence of the prime ideal  $\Lambda_k$  as defined in Theorem IV.  $\mathcal{G}$  consists of all rational combinations, with coefficients in  $\mathcal{F}$ , of the elements of the algebraic solution. The quotient field  $\mathcal{K}$  of the remainder classes of  $\Lambda_k$  consists of all rational combinations, with coefficients in  $\mathcal{F}$ , of the remainder classes corresponding to  $y_0, y_1, \dots$ . These remainder classes satisfy the same algebraic relations as the elements of an algebraic solution; for both annul all polynomials of  $\Lambda_k$  and no others. Then  $\mathcal{G}$  must be algebraically isomorphic with  $\mathcal{K}$ . Then we can also introduce an isomorphic differencing operation into  $\mathcal{G}$ . The transform of the element corresponding to  $y_i$  in an algebraic solution will be the element corresponding to  $y_{i+1}$ . Thus  $\mathcal{G}$  becomes a difference field and the algebraic solution of the basic sequence becomes a general point solution of  $\Lambda_k$ .

The field  $\mathcal{G}$  is generated by transcendental adjunctions to  $\mathcal{F}$  of elements corresponding to  $y, y_1, \dots, y_{n-1}$ , followed by algebraic adjunctions. It follows that its degree of transcendence over  $\mathcal{F}$ , in the sense of algebra, is  $n$ .

**18. Polynomials in several unknowns.** Theorem IV may be extended to the case of a polynomial  $A$  in a dependent unknown  $y$  and arbitrary unknowns  $u_1, u_2, \dots, u_q$ .

We first consider  $A$  as a polynomial in the field  $\mathcal{F}(u_1, u_2, \dots, u_q)$  and construct, by means of Theorem IV, the prime ideals  $\Sigma_i$  holding the essential irreducible manifolds of  $A$ . Those polynomials of  $\Sigma_i$  whose coefficients are integral in the  $u_i$  obviously constitute a reflexive prime difference ideal  $\Lambda_i$  in the ring  $\mathcal{F}[u_i, \dots, u_q, y]$ . Each  $\Lambda_i$  is held by  $A$ , and no two  $\Lambda_i$  are identical.

Let  $\Lambda$  be any reflexive prime difference ideal of the ring  $\mathcal{F}[u_1, \dots, u_q, y]$  which is held by  $A$ . We denote by  $\Sigma$  the set of polynomials in  $y$ , with coefficients in  $\mathcal{F}(u_1, \dots, u_q)$ , which, when multiplied by some suitable integral expression in the  $u_i$  become polynomials of  $\Lambda$ . Evidently  $\Sigma$  is a reflexive ideal. Furthermore it is a prime ideal. For let  $MN$  hold  $\Sigma$ . There exists a polynomial  $U$  in the  $u_i$  such that  $MNU$  thought of as a polynomial in the  $u_i$  and  $y$  holds  $\Lambda$ . Then either  $MU$  or  $NU$  holds  $\Lambda$ , and consequently either  $M$  or  $N$  must be in  $\Sigma$ . If  $\Sigma$  includes unity  $\Lambda$  is held by a polynomial in the  $u_i$  alone. Otherwise, as we have seen in the proof of Theorem IV, either  $\Sigma$  is identical with some  $\Sigma_i$ , and consequently  $\Lambda$  with some  $\Lambda_i$ , or  $\Sigma$ , and therefore  $\Lambda$ , are held by some polynomial of lower order than  $A$  in  $y$ .

<sup>(17)</sup>  $\mathcal{G}$  is not a difference field.

For the basic sequence of each  $\Lambda_i$  we may use polynomials in  $\Lambda_i$  which are appropriate multiples of polynomials of a basic sequence of  $\Sigma_i$ . We may now state the following generalized form of Theorem IV.

**THEOREM IV'.** *Let  $A$  be an algebraically irreducible difference polynomial in the unknowns  $u_1, u_2, \dots, u_q; y$ , with coefficients in a difference field  $\mathcal{F}$ , which is of order  $n$  in  $y$  and degree  $r$  in  $y_n$ , and effectively involves  $y_0$ . There exist at least one and at most  $r$  essential prime ideals in the decomposition of  $\{A\}$  which are not held by any polynomial of order less than  $n$  in  $y$ . These ideals are the reflexive prime ideals corresponding to the basic sequences of  $A$ .*

To construct algebraic solutions of the polynomial  $A$  we adjoin  $q$  elements  $v_1, v_2, \dots, v_q$  to  $\mathcal{F}$ . The extension is to be a transformally transcendental one so that no algebraic relations exist among the transforms  $v_{ij}$  of the  $v_i$ . We denote the extended difference field by  $\mathcal{F}(v_i)$ . We shall henceforth frequently have occasion to make such transformally transcendental extensions of a field  $\mathcal{F}$  where the number of adjunctions,  $q$  in this case, equals the number of arbitrary unknowns of some prime ideal. The symbol  $\mathcal{F}(v_i)$  will be used to indicate adjunctions of this sort.

We form any algebraic solution  $y_i = \alpha_i, i = 0, 1, \dots$ , over  $\mathcal{F}(v_i)$  of the polynomial  $B$  obtained from  $A$  by substituting  $v_i$  for  $u_i, i = 1, 2, \dots, q$ . Then  $u_{ij} = v_{ij}, i = 1, \dots, q, j = 0, 1, \dots, y_k = \alpha_k, k = 0, 1, \dots$ , shall constitute, by definition, an algebraic solution of  $A$ . We see that an algebraic solution of  $A$  will annul  $A$  and its transforms, but no polynomial of lower order than  $A$  in  $y$ , or free of  $y$ . Let  $C$  be a polynomial of order  $s$  in  $y$  which vanishes for all algebraic solutions. Then, on replacing the  $u_{ij}$  by  $v_{ij}$ , we obtain a polynomial  $C'$  which vanishes for all algebraic solutions of  $B$ . By the final remark of §14, and obvious considerations on the relations of algebraic solutions of  $C$  to those of  $C'$ , we see that  $C$  must be of degree at least as great as  $A$  in their respective highest transforms of  $y$ , if the coefficients of powers of  $y_s$  in  $C$  do not all vanish for every algebraic solution of  $A$ .

We now select certain algebraic solutions which annul basic sequences of  $A$ . Among the reflexive prime ideals in  $\mathcal{F}(v_i)$  determined by the essential irreducible manifolds of  $B$ , there are one or more ideals  $\Phi_k$  not held by any polynomial of lower order than  $B$ . Each  $\Phi_k$  can be obtained from  $\Sigma_k$  of Theorem IV' by replacing the  $u_i$  in the polynomials of the latter with  $v_i$ , and each  $\Sigma_k$  gives rise in this way to some  $\Phi_k$ . Then  $u_{ij} = v_{ij}, y_i = \beta_i$  may be chosen as an algebraic solution of a basic sequence of  $\Lambda_k$  of Theorem IV'. It annuls the polynomials of  $\Lambda_k$  and no others. As in the case of polynomials in one unknown, we may set up an algebraic isomorphism between the quotient-field  $G$  of the remainder classes of  $\Lambda_k$  and the field resulting from the adjunction to  $\mathcal{F}$  of an algebraic solution of a basic sequence. We may then define a transforming operation for the elements of the algebraic solution, which thus becomes a general point solution of  $\Lambda_k$ . We note that  $G$  is of algebraic degree of



transcendence  $n$  over  $\mathcal{F}(v_i)$ .

**19. The effective order of difference polynomials.** We shall now generalize our considerations still further so as to include polynomials in  $u_1, \dots, u_q; y$ , which are free of  $y_0$  and not necessarily transforms of polynomials involving  $y_0$ . We do not obtain an extension of Theorem IV to this case, but we shall prove results which are needed in Part III.

Let  $A$  be a polynomial in the unknown  $y$  and unknowns  $u_i$ , with coefficients in a difference field  $\mathcal{F}$ . Let the order of  $A$  in  $y$  be  $n+k$ , and let  $y_k$ , but no transform of  $y$  of order less than  $k$ , appear effectively in  $A$ . We shall define the *effective order of  $A$  in  $y$*  as  $n$ , the difference between the orders of the highest and lowest transforms of  $y$  effectively present. We denote by  $A^+$  the polynomial obtained from  $A$  by the substitution  $z=y_k$ . We shall show that by means of this equation we can obtain a solution of  $A^+$  from any solution of  $A$  and, conversely, a solution of  $A$  from any solution of  $A^+$ .

The first half of our statement is obvious. To prove the second it suffices to show that the equation  $w_1-c=0$  can always be solved for  $w$  when  $c$  is any element of a difference field. This is equivalent to stating that the perfect ideal  $\{w_1-c\}$  does not contain unity. Suppose on the contrary that unity is in this ideal. Then there exists a finite number of transforms of  $w_1-c$  from which unity can be obtained in a finite number of steps by the processes of linear combination and shuffling. Throughout all steps of this process we take the transforms of the polynomials involved. Then we still obtain unity by a process involving only the first and higher transforms of  $w$ . We may therefore substitute  $v_i$  for  $w_{i+1}$ ,  $i=0, 1, 2, \dots$ , throughout. Then unity will have been obtained by means of linear combinations and shufflings from  $v-c$ . But this is impossible since  $v=c$  annuls the ideal  $\{v-c\}$ . This proves our statement concerning  $\{w_1-c\}$  and shows that the equation  $w_1-c=0$  may always be solved.

By solution of successive equations of the form  $w_1-c=0$  we may solve  $y_k-z=0$  and therefore obtain a solution of  $A$  from a solution of  $A^+$ . Obviously, in any given extension of  $\mathcal{F}$  there can exist at most one solution of  $A$  so obtained from any one solution of  $A^+$ . We now assume that  $A$  is algebraically irreducible. Let  $B$  hold  $A$ , and let the substitution  $z=y_k$  carry  $B_k$  into  $B_k^+$ . Then  $B_k^+$  holds  $A^+$  so that  $B_k^+$  must be of effective order at least  $n^{(18)}$ . Then  $B$  must be of effective order at least as great as that of  $A$ .

Among the reflexive prime ideals in the resolution of  $\{A\}$  there must, from what we have just seen, be one or more not held by polynomials of effective order less than  $n$ . Let  $\Lambda$  be one such ideal. On substituting  $z=y_k$  into those polynomials of  $\Lambda$  free of  $y, \dots, y_{k-1}$  we obtain an ideal  $\Lambda^+$  held

<sup>(18)</sup> This is an immediate consequence of results obtained in the course of proving Theorems IV and IV'. For if  $B_k^+$  holds  $A^+$  it must vanish for some algebraic solution of  $A^+$ , and we have seen that no algebraic solution of  $A^+$  can annul a polynomial in fewer than  $n$  successive transforms of  $z$ .

by  $A^+$  but by no polynomial of order less than  $n$ . It is easy to see that  $\Lambda^+$  is a prime reflexive ideal of order  $n$  so that it must be held by one of the ideals  $\Lambda_h^+$  obtained from  $A^+$  by the procedure of Theorem IV'. Then  $\Lambda^+$  and  $\Lambda_h^+$  must be identical. Two distinct ideals  $\Lambda_1, \Lambda_2$  of  $A$  give rise to distinct ideals  $\Lambda_1^+, \Lambda_2^+$ . For let  $S$  be in  $\Lambda_1$  and not in  $\Lambda_2$ . Then  $S_k$  is not in  $\Lambda_2$  and  $S_k^+$  is in  $\Lambda_1^+$  but not in  $\Lambda_2^+$ .

Conversely, let  $\Lambda^+$  be a reflexive prime ideal of order  $n$  obtained from  $A^+$  as in Theorem IV'. Let  $\Sigma$  be the system of polynomials resulting from  $\Lambda^+$  by the substitution of  $y_k = z$ , and let  $\Lambda = \{\Sigma\}$ . Then  $\Lambda$  is prime. For let  $BC$  hold  $\Lambda$ . Then  $B_k^+ C_k^+$  <sup>(19)</sup> holds  $\Lambda^+$ . Then either  $B_k^+$  or  $C_k^+$  holds  $\Lambda^+$  and either  $B$  or  $C$  is in  $\Lambda$ .  $\Lambda$  contains no polynomial of effective order less than  $n$ . For if it contained such a polynomial  $C$ ,  $C_k^+$  would be a polynomial of  $\Lambda^+$  of effective order less than  $n$ .  $\Lambda$  is an essential ideal in the decomposition of  $\{A\}$ . For otherwise it contains a proper subideal  $\Lambda'$ , which is an essential ideal in  $\{A\}$ . The intersection of  $\Lambda'$  and  $\Sigma$  must be a proper subset  $\Sigma'$  of  $\Sigma$ .  $\Sigma'$  is an ideal which becomes, on replacing  $z$  by  $y_k$ , a subideal  $\Lambda'^+$  of  $\Lambda^+$ . But the manifold of  $\Lambda'^+$  must be identical with that of  $\Lambda^+$ , since otherwise  $\{\Lambda'^+\}$ , which contains  $A^+$ , would hold but be distinct from  $\Lambda^+$ . It is now easy to see that  $\Lambda$  and  $\Lambda'$  have identical manifolds. Then, since they are both perfect, they are identical, which contradicts the definition of  $\Lambda'$ . Finally, we consider two distinct ideals,  $\Lambda_1^+, \Lambda_2^+$ , if such exist, obtained from  $A^+$  by the procedure of Theorem IV'. The ideals  $\Lambda_1$  and  $\Lambda_2$  obtained as above from  $\Lambda_1^+$  and  $\Lambda_2^+$  are distinct. For  $\Lambda_1^+$  can be obtained from  $\Lambda_1$  and  $\Lambda_2^+$  from  $\Lambda_2$  by the method of the preceding paragraph. Then if  $\Lambda_1$  and  $\Lambda_2$  are identical so are  $\Lambda_1^+$  and  $\Lambda_2^+$ .  $\Lambda_1$  cannot hold  $\Lambda_2$ ; for then  $\Lambda_1^+$  would hold  $\Lambda_2^+$  which is impossible by Theorem IV'.

20. Consider any reflexive prime ideal  $\Lambda$  in the unknowns  $u_1, \dots, u_q; y$ , the  $u_i$  arbitrary. We may define the order and effective order of  $\Lambda$  in  $y$  as respectively the lowest of the orders and the effective orders in  $y$  of polynomials of  $\Lambda$ . The preceding section shows that, if  $A$  is of effective order  $n$ , there is a one-to-one correspondence between reflexive prime ideals of effective order  $n$  in the decomposition of  $\{A\}$  and reflexive prime ideals of order  $n$  in the decomposition of  $\{A^+\}$  <sup>(20)</sup>.

We shall now show that the effective order of a prime ideal  $\Lambda$ , as above, is

<sup>(19)</sup> Throughout this discussion symbols with a superscript  $+$  will be used to represent the result obtained by the substitution  $z = y_k$ .  $BC$  can be obtained from polynomials of  $\Sigma$  by shufflings and linear combinations. Taking the  $k$ th transforms of all polynomials involved in this process, and substituting  $z = y_k$ , we prove our result above.

<sup>(20)</sup> It should be noted that the basic sets of the ideals obtained from  $\{A\}$  need not begin with  $A$  nor with a polynomial whose transform is  $A$ . In the footnote to §45, for example, we shall consider the prime ideal  $\Sigma$  with basic set  $y^2 - k, y_1 - k$ , where  $k$  is an element such that  $k_1 = k^2$ . Let  $A = y_1 - k$ . Then  $A^+ = z - k$  determines a single prime ideal of order zero. Then there is but one ideal of effective order zero held by  $y_1 - k$ . Evidently it must be  $\Sigma$ .  $y^2 - k$  is the first polynomial of its basic set, and also of the ascending set  $y^2 - k, y_1 + k$  which is also the basic set of a prime ideal.

equal to the effective order of the first polynomial in its basic set. Then it will follow that the order and effective order of  $\Lambda$  are determined by the order and effective order of this polynomial. Let  $A$ , of order  $n+k$  and effective order  $n$ , be the first polynomial in the basic set of  $\Lambda$ . We make the usual substitution  $z=y_k$  carrying  $A$  into  $A^+$ . Those polynomials of  $\Lambda$  free of  $y, \dots, y_{k-1}$  become, after this substitution, a prime reflexive ideal  $\Lambda^+$ .  $A^+$  is the first polynomial in a basic set of  $\Lambda^+$ , and is of order and effective order  $n$ . Theorem IV' shows that  $\Lambda^+$  is of effective order  $n$ . Then the effective order of  $\Lambda$  must be  $n$ . For if  $\Lambda$  contained a polynomial  $C$  of effective order  $s < n$ ,  $C_k^+$  would be a polynomial of  $\Lambda^+$  of effective order  $s$ . Our statement is proved.

We shall now study the quotient field of the remainder classes of  $\Lambda$ . We introduce the field  $\mathcal{F}(v_i)$  as in §15. We say that the quotient field of the remainder classes of  $\Lambda$  is isomorphic to an extension  $\mathcal{G}$  of  $\mathcal{F}(v_i)$  which is of algebraic degree of transcendence over  $\mathcal{F}(v_i)$  equal to the order  $n+k$  of  $\Lambda$ . Furthermore,  $\mathcal{G}$  contains a subfield  $\mathcal{H}$  of degree of transcendence over  $\mathcal{F}(v_i)$  equal to the effective order  $n$  of  $\Lambda$  and containing elements corresponding to all but a finite number of transforms of  $y$ . No subfield of  $\mathcal{G}$ , or of any extension of  $\mathcal{F}(v_i)$  containing a general point of  $\Lambda$ , of lower degree of transcendence has this property.

The proof is by induction on the difference between the order and effective order of  $\Lambda$ . When this difference is zero, the existence of  $\mathcal{G}$  follows from the remarks made after Theorem IV', and  $\mathcal{H}$  may be taken to be  $\mathcal{G}$  itself. No subfield of degree of transcendence over  $\mathcal{F}(v_i)$  less than the degree of transcendence  $n$  of  $\mathcal{H}$  contains elements corresponding to all but a finite number of transforms of  $y$ . For then there would be an algebraic equation among fewer than  $n$  elements corresponding to successive transforms of  $y$ . Substituting  $u_{ij}$  for  $v_{ij}$  and transforms of  $y$  for the corresponding elements of  $\mathcal{G}$ , and multiplying by a polynomial in the  $u_{ij}$  to remove denominators, one would obtain a difference equation of effective order less than  $n$  in  $y$  which is annulled by a general point of  $\Lambda$ . This contradicts the fact that  $\Lambda$  is of effective order  $n$ .

We now assume the truth of our statements when the order and effective order differ by less than  $k$  and proceed to prove them for order  $n+k$  and effective order  $n$ . We substitute  $w$  for  $y_1$  in all polynomials of  $\Lambda$  free of  $y_0$ . The resulting polynomials form a reflexive prime ideal  $\Lambda'$  of order  $n+k-1$  and effective order  $n$ . An extension  $\mathcal{G}'$  of  $\mathcal{F}(v_i)$  contains a general point of  $\Lambda'$ , has degree of transcendence  $n+k-1$  over  $\mathcal{F}(v_i)$  and contains a subfield  $\mathcal{H}'$  of order of transcendence  $n$  over  $\mathcal{F}(v_i)$  and containing elements corresponding to all but a finite number of transforms of  $w$ .

Let  $\alpha$  be the element corresponding to  $w$  in  $\mathcal{G}'$ , and consider the equation  $y_1 = \alpha$ . We have seen that this equation has a solution  $\beta$  in an extension  $\mathcal{G}$  of  $\mathcal{G}'$ . It is easy to see that  $\beta$  annuls all polynomials of  $\Lambda$ , for its transform,  $\alpha$ , annuls their transforms when substituted for  $y_1$ . It annuls no polynomial not in  $\Lambda$  since  $\alpha$  cannot annul the transform of such a polynomial. Then  $\beta$  is a

general point of  $\Lambda$ .

$G$  may be constructed by identifying certain elements of the quotient-field of the remainder classes of  $\{y_1 - \alpha\}^{(21)}$  with elements of  $G'$ . The other elements are all rational combinations of the remainder class  $\beta$  corresponding to  $y$ , and its transforms. But these transforms are simply transforms of  $\alpha$  and are already included in  $G'$ . Thus  $G$  results from  $G'$  by the adjunction in the sense of algebra of a single element  $\beta$ . Since  $G'$  is of degree of transcendence  $n+k-1$  over  $\mathcal{F}(v_i)$ ,  $G$  is of degree of transcendence  $n+k-1$  or  $n+k$ . But the former is impossible since it would imply that  $\Lambda$  is held by a polynomial of order  $n+k-1$ . Thus  $G$  is of degree of transcendence  $n+k$  over  $\mathcal{F}(v_i)$  and no field of lower degree of transcendence contains a general point of  $\Lambda$ . For the subfield  $\mathcal{K}$  of  $G$ , we may use the subfield of  $G'$  which contains all but a finite number of the elements corresponding to transforms of  $y$ .  $\mathcal{K}$  is of order of transcendence  $n$  over  $\mathcal{F}(v_i)$ . No subfield of  $G$ , or of any extension of  $\mathcal{F}(v_i)$  containing a general point of  $\Lambda$ , which is of degree of transcendence less than  $n$  over  $\mathcal{F}(v_i)$ , contains elements corresponding to all but a finite number of transforms of  $y$ ; for, as in the case of  $k=0$ , the existence of such a subfield would imply that  $\Lambda$  is held by a polynomial of effective order in  $y$  less than  $n$ .

We may summarize our results as follows: *Let  $\Lambda$  be a reflexive prime difference ideal in  $y$  and arbitrary unknowns  $u_i$ . The order  $n+k$  and effective order  $n$  of  $\Lambda$  in  $y$  are equal respectively to the order and effective order in  $y$  of the first polynomial in its basic set. A general point of  $\Lambda$  lies in a field  $G$  obtained from  $\mathcal{F}(v_i)$  by  $n+k$  transcendental adjunctions followed by algebraic adjunctions, and not in any field obtained by fewer transcendental adjunctions.  $G$  has a subfield  $\mathcal{K}$  containing all but a finite number of the transforms of  $y$  and of degree of transcendence  $n$  over  $\mathcal{F}(v_i)$ . No field of lower degree of transcendence has this property.*

**21. Singular solutions.** We have seen that an algebraically irreducible difference polynomial  $A$  in the unknown  $y$  has one or more essential manifolds not held by polynomials of lower effective order than  $A$ . Such a manifold we call an (essential) *ordinary manifold* of  $A$ , while all other essential manifolds will be known as *essential singular manifolds* of  $A$ . The totality of solutions of the ordinary manifolds constitutes the *general solution* of  $A$ .

Let  $A$ , for example, be the polynomial  $yy_2 + y_1$ . Then  $yA_1 - A = y_1(yy_3 - 1)$ . Evidently  $yy_3 - 1$  vanishes for all solutions of  $A$  except  $y=0$ , which constitutes a singular manifold. We shall see that first order difference polynomials have no singular manifolds. We prove, in fact, the following theorem.

**THEOREM V.** *An algebraically irreducible difference polynomial in one unknown and of effective order one has no essential singular manifolds.*

**22.** Let  $A$  be an algebraically irreducible difference polynomial in the unknown  $y$  and of effective order one. Let  $\mathcal{F}$  be the coefficient field of  $A$ .

<sup>(21)</sup> We require the fact that  $\{y_1 - \alpha\}$  is a prime ideal. This follows, by the correspondence of §19, from the fact that  $\{z - \alpha\}$  is prime. It may also easily be proved directly.

Let  $\Sigma$  be a reflexive prime ideal of effective order zero which  $A$  holds. We shall show that the solutions of  $\Sigma$  are contained in some ordinary manifold of  $A$ .

We may assume that  $\Sigma$  and  $A$  are of order zero and one respectively. For, if not, we can make them so by a transformation of the form  $w = y_i$ .

Let  $y = u$  be a general point of  $\Sigma$ . Upon making the substitution  $y = z + u$ ,  $A$  becomes a polynomial  $A^+$  in  $z$  and  $z_1$  which vanishes when we put both  $z$  and  $z_1$  equal to zero, but not when we put  $z$  or  $z_1$  alone equal to zero. It follows that  $A^+$  can be annulled formally by substituting for  $z_1$  a series  $z_1'$  in positive rational powers of  $z$  which is not identically zero.

The exponents occurring in  $z_1'$  have a common denominator  $k$ . The coefficients of the expansion lie in a field obtained from  $\mathcal{F}(u)$  by a finite number of algebraic adjunctions. Now Theorem IV shows that, given a finite algebraic extension  $\mathcal{G}'$  of a difference field  $\mathcal{G}$ , there exists an extension  $\mathcal{G}''$  of  $\mathcal{G}'$  to which the transforming operation of  $\mathcal{G}$  may be extended so that  $\mathcal{G}''$  is a difference field containing  $\mathcal{G}$ . For  $\mathcal{G}'$  may be obtained from  $\mathcal{G}$ , by adjoining a root of a single irreducible algebraic polynomial  $B$ . If we adjoin to  $\mathcal{G}$  a general point of one of the prime ideals  $\Delta_k$  obtained from  $B$  as in Theorem IV, we obtain the difference field  $\mathcal{G}''$ . Then there exists a difference field  $\mathcal{F}_1$  which is an extension of  $\mathcal{F}(u)$  and contains all the coefficients of the series  $z_1'$ .

23. We now construct a formal series from  $z_1'$  by the following procedure. First we replace each coefficient by its transform in  $\mathcal{F}_1$ . Next we replace  $z$  by  $z_1$  throughout the series. We call the resulting power series  $z_2''$ . There exists a formal power series in positive rational powers of  $z$  whose  $k$ th power is  $z_1'$ . We replace  $z_1^{1/k}$  by this series.  $z_2''$  then becomes a formal power series  $z_2'$  in positive rational powers of  $z$  with coefficients in a difference field  $\mathcal{F}_2$  and exponents with common denominator  $k^2$ .  $\mathcal{F}_2$  is an extension of  $\mathcal{F}_1$ .

We obtain  $z_3''$  from  $z_2'$  by replacing each coefficient of the series by its transform and then replacing  $z$  by  $z_1$ . We now form  $z_3'$  from  $z_3''$  by replacing  $z_1^{1/k^2}$  by an appropriate expansion in powers of  $z$ . This expansion must be so chosen that its  $k$ th power is the series substituted for  $z_1^{1/k}$  in the preceding step.

We continue in this way to construct series  $z_i'$ ,  $i = 1, 2, \dots$ , in powers of  $z$ , and  $z_i''$ ,  $i = 2, 3, \dots$ , in powers of  $z_1$ . The coefficients of  $z_i'$  lie in a difference field  $\mathcal{F}_i$ . At each step we require a power series in  $z$  which when raised formally to the power  $k^r$ , for some integer  $r$ , is the series  $z_1'$ . We must choose this series so that its  $k$ th power is the corresponding series used in the preceding step.

24. Let  $y_i' = z_i' + u_i$ ,  $i = 1, 2, \dots$ ,  $y_0' = u + z$ ; and let  $y_i'' = z_i'' + u_i$ ,  $i = 2, 3, \dots$ ,  $y_1'' = u_1 + z_1$ . Let  $C$  be any difference polynomial with coefficients in  $\mathcal{F}$ . The result of substituting  $y_i'$  for  $y_i$  in  $C$  and its transform  $C_1$  are formal power series  $C'$  and  $C_1'$ . Let  $C_1''$  result from  $C_1$  by the substitution of  $y_i''$  for  $y_i$ ,  $i = 1, 2, \dots$ . Evidently  $C_1''$  results from  $C'$  by replacing  $z$  by  $z_1$  and each coefficient of the expansion by its transform in a field  $\mathcal{F}_i$  which contains it.

$C'_1$  may now be obtained from  $C''_1$  by replacing  $z^s_i$ ,  $s=1/k^r$  where  $r$  is a sufficiently large integer, by the power series in  $z$  substituted for  $z^s_i$  during the construction of the  $z'_i$  and  $z''_i$ . We see that if  $C'$  vanishes identically so does  $C'_1$ . The converse is also true. For if  $C'$  is not identically zero neither is  $C''_1$ . Then  $C'_1$  begins with a nonzero term obtained from the first term of  $C''_1$ , which cannot be cancelled by any other term.

25. It follows that the set of polynomials which are formally annulled when each  $y_i$  is replaced by  $y'_i$  forms a reflexive difference ideal  $\Lambda$ .

It is obvious that  $\Lambda$  is prime, and that  $A$  holds  $\Lambda$ . A polynomial  $D$  of zero order cannot hold  $\Lambda$ . For it may be written as a product of linear factors in an algebraic extension of  $\mathcal{Y}$ , none of which is annulled by the substitution  $y_0=y'_0=u+Z$ . It follows that  $\Lambda$  is one of the prime reflexive ideals obtained from the basic sequences of  $A$ .

Every polynomial of  $\Lambda$  must be annulled by the  $y'_i$ . Now on substituting the  $y'_i$  into a polynomial  $C$  a term of zero degree in  $z$  is obtained which is equal to the result of substituting  $u_i$  for  $y_i$  in  $C$ . Since this term vanishes for every polynomial of  $\Lambda$ ,  $\Lambda$  is annulled by the substitution  $y=u$ . Then  $\Lambda$  holds  $\Sigma$ . This proves the theorem.

26. **Constructive methods.** The procedure of Theorem IV enables us to construct all polynomials, of order not exceeding a given integer  $k$ , of the basic sequences of an algebraically irreducible difference polynomial  $A$  in an unknown  $y$ , and of equal order and effective order. Reference to the proof of Theorem IV will, in fact, show that in order to determine successively the polynomials of the basic sequences the operations which must be carried out are: first, formation of the remainder of a polynomial with respect to an ascending set; second, determination of the polynomials  $G_i$  whenever a factorization (1) is possible. The first of these steps can obviously be carried out by an actual construction. The second can be carried out, if the coefficient field is analytic, by the methods of §§50 and 55 of A.D.E. In the general case the treatment of §50 of A.D.E. must be replaced by the more general method to be found in van der Waerden's *Moderne Algebra*, vol. 1, p. 130.

We have no means of deciding, in general, at what point in the construction of the basic sequences all factorizations have occurred. We cannot, therefore, complete with present techniques the problem of determining constructively the ordinary manifolds of a given difference polynomial. We may nevertheless solve the following problem:

*Given an algebraically irreducible difference polynomial  $A$  in the unknown  $y$  and of equal order and effective order, it is desired to determine whether a given polynomial  $B$  is annulled by the general solution of  $A$ .*

We know from the proof of Theorem IV that  $B$  will be annulled by an ordinary manifold of  $A$  if, and only if, it has zero remainder with respect to that portion of the corresponding basic sequence consisting of polynomials of order not greater than that of  $B$ . We may determine all ascending sets

$B_{i0}, \dots, B_{ik}$  which are beginnings of basic sequences of  $A$ , such that the order of  $B_{ik}$  is that of  $B$ . Then for  $B$  to be annulled by the general solution of  $A$  it is necessary and sufficient that it have zero remainder with respect to each such ascending set.

**27. Nature of the basic sets.** We have studied the resolution of a polynomial  $A$  with the aid of its basic sequences. It is desirable to relate these sequences to the basic sets of the ideals connected with  $A$ . For this purpose we prove the following theorems:

**28. THEOREM VI.** *Let  $A$  be an algebraically irreducible difference polynomial in the unknowns  $u_1, u_2, \dots, u_q; y$ . Then a basic set of the ideal  $[A]$  is  $A$ .*

Let  $A$  be of order  $n$  and degree  $r$  in  $y_n$ . Any polynomial in  $[A]$  must vanish for all algebraic solutions. It will be sufficient to prove that a polynomial reduced with respect to  $A$  (as a difference polynomial) cannot vanish for all algebraic solutions. This is obviously true of polynomials of order  $n$  or less. We shall assume it to be true of polynomials of order less than  $n+k$  and prove that it holds for polynomials of that order. Let  $R$  be any such polynomial. From the inductive hypothesis we see that there are algebraic solutions which do not annul the initial of  $R$ . But since  $R$  must be of degree less than  $r$  in  $y_{n+k}$  the concluding remark of §14 shows that there is an algebraic solution for which  $R$  does not vanish. This proves the theorem. We have actually proved the following slightly stronger result:

$A$  is the basic set of the polynomials, powers of which are linear combinations of it and its transforms. Indeed, all polynomials of this ideal are annulled by every algebraic solution, and so cannot be reduced with respect to  $A$ .

**29. THEOREM VII.** *Let  $A$  be an algebraically irreducible polynomial in the unknowns  $u_1, u_2, \dots, u_q; y$ . Let  $B_0, B_1, B_2, \dots$  be a basic sequence of  $A$  determining a prime ideal  $\Lambda$ . Denote by  $C_1, \dots, C_k$  the set consisting of those  $B_i, i > 0$ , which are of lower degree than  $B_{i-1}$  in their respective highest transforms. Then  $C_0 = A, C_1, \dots, C_k$  is a basic set of  $\Lambda$ .*

Evidently the  $C_i$  constitute an ascending set whose members and their transforms vanish for all algebraic solutions of  $B_0, B_1, \dots$ . Let  $R$  be reduced with respect to  $C_0, C_1, \dots, C_k$ . Then  $R$  is also reduced in the algebraic sense with respect to  $B_0, B_1, \dots, B_i$ , where  $B_i$  is of the same order as  $R$ . Consequently  $R$  is not in the prime system of which  $B_0, \dots, B_i$  is a basic set. It follows that  $R$  is not in  $\Lambda$ . Then  $C_0, \dots, C_k$  constitute a basic set of  $\Lambda$ , for no polynomial reduced with respect to this set holds  $\Lambda$ .

**30. Examples of difference manifolds.** We shall conclude this section of our paper by giving some examples of difference polynomials with complicated manifolds having lengthy basic sets. Such examples are necessary to show that the theory developed above is nontrivial.

**31.** We prove the following theorem.

**THEOREM VIII.** *Let  $F$  be a difference polynomial of zero order and degree  $n > 1$  which is algebraically irreducible in the field  $\mathcal{F}$ . Let each element of  $\mathcal{F}$  be equal to its transform. Let  $k$  be the largest integer such that  $\omega_1, \omega_2, \dots, \omega_n$  constitutes some enumeration of the roots of  $F$ , and there exist automorphisms of  $\mathcal{F}(\omega_1, \dots, \omega_n)$  such that  $\mathcal{F}$  is fixed and*

$$\begin{aligned}\omega_1 &\rightarrow \omega_2, \omega_2 \rightarrow \omega_3, \dots, \omega_{k-1} \rightarrow \omega_k, \omega_k \rightarrow \omega_{k+1}, \\ \omega_1 &\rightarrow \omega_2, \omega_2 \rightarrow \omega_3, \dots, \omega_{k-1} \rightarrow \omega_k, \omega_k \rightarrow \omega_m,\end{aligned}$$

and  $\omega_{k+1} \neq \omega_m$ . Then:

- (1) *There are  $n$  prime ideals in the decomposition of  $\{F\}$ .*
- (2) *The basic set of each of these ideals contains a polynomial of first order and a polynomial linear in its highest transform.*
- (3) *At least two of the ideals have polynomials of order  $k$  in their basic sets.*
- (4) *None of the ideals has a polynomial of order exceeding  $k$  in its basic set.*

Any automorphism of the field  $\mathcal{F}(\omega_1, \dots, \omega_n)$  which leaves  $\mathcal{F}$  fixed may be considered to define a transforming operation. The  $\omega_i$ , with their transforms defined by any such transforming operation, constitute a solution of  $F$ . Conversely any solution of  $F$  defines an automorphism of a subfield of  $\mathcal{F}(\omega_1, \omega_2, \dots, \omega_n)$ . We extend this to an automorphism of  $\mathcal{F}(\omega_1, \omega_2, \dots, \omega_n)$  by the specification that such  $\omega_i$  as are not included in the subfield are to transform into themselves.

We observe that every solution of  $F$  is equal to one of its transforms of order  $n$  or less, and consequently to its transform of order  $n!$ . It follows that any irreducible manifold in the manifold of  $F$  has a basic set which terminates in a linear polynomial. Otherwise  $y_{n!} - y$ , which must hold the manifold, would be reduced with respect to its basic set. There must be  $n$  prime ideals in the decomposition of  $\{F\}$  since the degrees in their highest transforms of the last polynomials in the basic sets must total  $n$ .

It is evident that  $F_1 - F$  is divisible by  $y_1 - y$  so that, in forming the basic sequences of  $F$ , a factorization (1) will occur after a single transform has been taken. It follows that every irreducible manifold of  $F$  has a first order polynomial in its basic set. One such manifold is, of course, held by  $y_1 - y$ , and includes those solutions for which the transforming operation is the identical automorphism. This completes the proof of statements (1) and (2).

32. The first  $k$  polynomials of some basic sequence of  $F$  will be annulled by a solution for which  $y_i = \omega_{i+1}$ ,  $i = 0, 1, \dots, k-1$ . Let  $B_0, \dots, B_{k-1}, \dots$  be the polynomials of this sequence. Any other basic sequence annulled by an algebraic solution with this beginning must also begin with  $B_0, \dots, B_{k-1}$ . Let  $C$  be the transform of  $B_{k-1}$ . Then  $C$  must be annulled by both the solutions  $y_i = \omega_{i+1}$ ,  $i = 0, \dots, k$ , and  $y_i = \omega_{i+1}$ ,  $i = 0, \dots, k-1$ ,  $y_k = \omega_m$ . Then  $C$  must be of greater than first degree in  $y_k$ . Consequently  $B_{k-1}$  is not linear in  $y_{k-1}$ , and there must be a factorization (1) involving polynomials of order at



least  $k$ .

33. Conversely, let us assume that a polynomial of order  $k$  or greater, in a basic sequence of  $F$ , is nonlinear in its highest transform. We could then obtain a beginning of an algebraic solution  $\omega_{i_1}, \omega_{i_2}, \dots, \omega_{i_r}, r > k$ , which could be extended in two or more ways to algebraic solutions of a basic sequence. Each of these algebraic solutions would then become, when transforms are properly defined, a solution defining an automorphism of  $\mathcal{F}(\omega_1, \dots, \omega_n)$ . Then there would be two such automorphisms and in each we would have  $\omega_{i_1} \rightarrow \omega_{i_2}, \omega_{i_2} \rightarrow \omega_{i_3}, \dots, \omega_{i_{r-1}} \rightarrow \omega_{i_r}, r > k$ , contrary to the hypothesis of our theorem. We conclude that all polynomials of order  $k$  or greater in the basic sequences must be linear in their highest transforms. Then the basic sets of the irreducible manifolds of  $F$  will not contain polynomials of order exceeding  $k$ . We have shown that at least two such basic sets contain polynomials of order at least  $k$ . This proves the theorem.

34. Consider, as an example, the polynomial  $y^4 - 2y^2 - 1$  whose roots are  $\pm(1 \pm 2^{1/2})^{1/2}$ . We shall work in the field  $R$  of rational numbers. On subtracting this polynomial from its transform we obtained the factors  $y_1 - y, y_1 + y$  and  $y_1^2 + y^2 - 2$ . The former correspond to the identical automorphism and the automorphism  $+(1 + 2^{1/2})^{1/2} \rightarrow -(1 + 2^{1/2})^{1/2}$ . We shall show that the latter factor is not reducible without further transforming. From the original equation we see that  $y^2 - 2 = 1/y^2$  and substituting this we obtain  $y_1^2 = -1/y^2$ ,  $y_1 = \pm i/y$ ,  $y_1 y = \pm i$ . Thus if  $y_1$  were in the field  $R((1 + 2^{1/2})^{1/2})$  this field would contain  $i$ . Evidently it contains only real numbers. It follows that we must use the next transform to obtain a factorization of  $y_1^2 + y^2 - 2$ . Subtracting this polynomial from its transform we find the factors  $y_2 - y, y_2 + y$ . These correspond to the automorphisms:

$$\begin{aligned}(1 + 2^{1/2})^{1/2} &\rightarrow (1 - 2^{1/2})^{1/2} \rightarrow (1 + 2^{1/2})^{1/2}, \\ (1 + 2^{1/2})^{1/2} &\rightarrow (1 - 2^{1/2})^{1/2} \rightarrow -(1 + 2^{1/2})^{1/2}.\end{aligned}$$

The various basic sets are obtained by following the arrows in the diagram.

$$\begin{array}{ccccc} & & y_1 - y & & y_2 - y \\ & \nearrow & & \nearrow & \\ y^4 - 2y^2 - 1 & \rightarrow & y_1^2 + y^2 - 2 & \rightarrow & \\ & \searrow & & \searrow & \\ & & y_1 + y & & y_2 + y \end{array}$$

35. It is desirable to obtain examples of this sort for genuine difference equations of order greater than zero. To do this we let  $P$  be the homogeneous difference polynomial of order 1 obtained by substituting  $y_1/y$  for  $y$  in  $F$  and multiplying by  $y^n$ .

In any of the difference fields  $\mathcal{F}(\omega_1, \dots, \omega_n)$ ,  $P$  separates into linear factors and the solutions annulling these factors are isomorphic to algebraic solutions of the form  $y_1 = \omega_i y, y_2 = \omega_j y_1, \dots$ . All these solutions may be

considered as solutions of  $P$  over the field  $\mathcal{F}$ .

Conversely, if  $y$  is any solution of  $P$  as a polynomial in  $\mathcal{F}$ ,  $y_1/y = \omega_i$  for some  $i$ , so that the solution will define some  $\omega_i$  and its transforms and be identical with a solution in one of the fields  $\mathcal{F}(\omega_1, \dots, \omega_n)$ . Evidently the algebraic solution must be of the form  $y_1 = \omega_i y$ ,  $y_2 = \omega_j y_1 = \omega_j \omega_i y$  and  $\omega_i, \omega_j, \dots$  must constitute a succession of transforms of  $\omega_i$ . The algebraic solutions of  $P$  are therefore merely the algebraic solutions of  $F$  multiplied by the appropriate transform of  $y$ .

We may now apply the reasoning of Theorem VIII to derive analogous statements concerning  $P^{(22)}$ . The polynomial  $y_1 - y$  is replaced by  $y_2/y_1 - y_1/y$  or  $y_2 y - y_1^2$  which is annulled by all solutions for which the transforming operation in  $\mathcal{F}(\omega_1, \dots, \omega_n)$  is the identical automorphism.

$y_{(n+1)!}/y_{n!} - y_1/y$  or  $y_{(n+1)!}y - y_{n!}y_1$  vanishes for all solutions and plays the same role as  $y_{n!} - y$  in Theorem VIII. The details of the proof may be left to the reader.

36. As a final example we shall consider the polynomial  $Q = 1 + yP + y_1^p$ , where  $p$  is any odd prime and the coefficient field is the field  $R$  of rational numbers.  $Q_1 - Q = y_2^p - y^p$  is divisible by  $y_2 - y$  so that a factorization occurs at this point. We shall show that the factors of second order are not all linear.

Let  $\omega$  be a  $p$ th root of unity,  $\omega \neq 1$ . We may define a transforming operation in  $R(\omega)$  by the automorphism  $\omega \rightarrow \omega^i$ , for any  $i = 1, 2, \dots, p-1$ . In any of the difference fields  $R(\omega)$ ,  $y_2^p - y^p$  separates into linear factors from which we can determine algebraic solutions, isomorphic to solutions, of  $Q$  in this field. Such solutions have the form  $y$ ,  $y_1 = (-1 - y^p)^{1/p}$ ,  $y_2 = \omega y$ ,  $y_3 = \omega^i y_1 = \omega^i (-1 - y^p)^{1/p}$ ,  $\dots$ .

Now when  $Q$  is considered as a polynomial in  $R$  its basic sequences, except the one including  $y_2 - y$ , must also have the algebraic solutions  $y_1 = (-1 - y^p)^{1/p}$ ,  $y_2 = \omega y$ , for some  $p$ th root  $\omega$  of unity. For given  $\omega$  there can exist but one beginning  $Q, S$  of a basic sequence which is annulled by this algebraic solution. For the same  $\omega$  this solution, together with  $y_3 = \omega^i y_1 = \omega^i (-1 - y^p)^{1/p}$ , for each  $i$  from 1 to  $p-1$ , is an algebraic solution of the beginning of a basic sequence of  $Q$  as a polynomial in some difference field obtained from  $R(\omega)$ . From each such algebraic solution we obtain a solution of  $Q$  in an extension of  $R$ , and no two such solutions are isomorphic. Since they annul no polynomial of zero order, each of these solutions must be a general point of a prime ideal determined by a basic sequence of  $Q$ . Evidently each annuls  $Q, S$ , and to each must correspond a distinct algebraic solution of a continuation of  $Q, S$ , to a set of three polynomials. There are then at least  $p-1$  such extensions, so that  $S$  is of degree  $p-1$ . Evidently  $S$  and  $y_2 - y$  are the only polynomials obtained at the first factorization.

We can compute the third polynomials in the basic sequences beginning

(22) Of course  $k$  becomes  $k+1$  in (3) and (4) of Theorem VIII and polynomials of second order replace polynomials of the first order in (2).

with  $Q, S$ . For we have always  $(y_3/y_1)^p = (y_2/y)^p = 1$  so that  $y_3/y_1$  and  $y_2/y$  are both roots of unity, and for some  $k$  we must have  $y_3/y_1 = (y_2/y)^k$ . Then  $\prod (y_3 y^k - y_2^k y_1)$  holds the manifolds determined by  $Q, S$ . Evidently each irreducible manifold arising from  $Q, S$  is held by some polynomial  $y_3 y^k - y_2^k y_1$ , and has therefore a polynomial linear in  $y_3$  following  $S$  in its basic sequence. We conclude that there must be  $p-1$  such manifolds. The basic set of  $Q$  must then be<sup>(23)</sup>.

$$\begin{array}{l}
 Q \nearrow y_2^{p-1} + y y_2^{p-2} + \cdots + y^{p-1} \nearrow \begin{array}{l} y_3 y - y_2 y_1 \\ y_3 y^2 - y_2^2 y_1 \\ \text{---} \text{---} \text{---} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \text{---} \text{---} \text{---} \end{array} \\
 Q \searrow y_2 - y \searrow \begin{array}{l} y_3 y^{p-2} - y_2^{p-2} y_1 \\ y_3 y^{p-1} + y_1 (y y_2^{p-2} + y^2 y_2^{p-3} + \cdots + y^{p-1}) \end{array}
 \end{array}$$

All polynomials of the form  $1 + y^p + y_1^p + \cdots + y_n^p$  may be treated similarly.

### PART III. DIMENSIONALITY AND THE RESOLVENT

37. We shall consider systems of polynomials in unknowns  $u_1, \cdots, u_q; y_1, \cdots, y_p$ , where the  $u_i$  constitute a set of arbitrary unknowns. Transforms of the  $u_i$  and  $y_i$  will be denoted by a second subscript.

For the basic sets of such systems we shall also employ a double subscript notation, denoting by  $A_{i0}$  the polynomial introducing  $y_i$  and by  $A_{i1}, \cdots, A_{i,j}$  the other polynomials of the same class and successively higher orders. We shall refer to the  $A_{i0}$  as the *leaders* of the basic set. The following theorem holds:

38. THEOREM IX. *In order that the ascending set  $A_{10}, \cdots, A_{i-1,j}$   $A_{i0}, \cdots, A_{pk}$ , where the subscript  $i$  has any fixed value from 1 to  $p$ , be the basic set of a reflexive prime ideal with coefficients in the field  $\mathcal{F}$  it is necessary and sufficient that:*

(1)  $A_{10}, \cdots, A_{i-1,j}$  be the basic set of a reflexive prime ideal  $\Sigma$  in the unknowns  $u_1, \cdots, u_q; y_1, \cdots, y_{i-1}$  with coefficients in  $\mathcal{F}$ .

(2) When the general point of  $\Sigma$  is substituted for  $u_1, \cdots, u_q; y_1, \cdots, y_{i-1}$  in  $A_{i0}, \cdots, A_{pk}$  the latter become the basic set of a reflexive prime ideal in the unknowns  $y_i, \cdots, y_p$  with coefficients in the remainder class field of  $\Sigma$ .

We shall first prove necessity. Let  $\Lambda$  be a reflexive prime ideal with coefficients in a difference field  $\mathcal{F}$  and with basic set  $A_{10}, \cdots, A_{i-1,j}; A_{i0}, \cdots, A_{pk}$ . We denote by  $\Sigma$  the ideal consisting of those polynomials of  $\Lambda$  which involve only the  $u_j$  and  $y_1, \cdots, y_{i-1}$ . Evidently  $\Sigma$  is a reflexive prime ideal and has

<sup>(23)</sup> Since  $y_3 y^{p-1} - y_2^{p-1} y_1$  is not reduced with respect to  $S$ , it is replaced in the basic set by its remainder with respect to  $Q, S$ .

$A_{10}, \dots, A_{i-1,j}$  for its basic set.

Let  $\mathcal{F}'$  be the quotient field of the remainder classes of  $\Sigma$ . We denote by  $B'$  the result of substituting the general point of  $\Sigma$  for the  $u_i$  and  $y_1, \dots, y_{i-1}$  in any polynomial  $B$ .

The initials of  $A_{i0}, \dots, A_{pk}$  are not annulled by this substitution. Consequently the polynomials of  $A'_{i0}, \dots, A'_{pk}$  constitute an ascending set with coefficients in  $\mathcal{F}'$ . We represent by  $\Phi$  the reflexive difference ideal consisting of all polynomials in  $y_i, \dots, y_p$ , with coefficients in  $\mathcal{F}'$ , which are annulled by all regular solutions of this ascending set. We shall show that  $\Phi$  is a prime difference ideal.

We let  $U'V'$  hold  $\Phi$  and prove that either  $U'$  or  $V'$  holds  $\Phi$ . We may assume that  $U'$  and  $V'$  can actually be obtained from polynomials  $U$  and  $V$  with coefficients in  $\mathcal{F}$  by the appropriate substitution. This situation may, if necessary, be brought about by multiplying the given polynomials by suitable elements of  $\mathcal{F}'$  without affecting their inclusion in  $\Phi$ .

There exists a polynomial  $P'$ , which is a product of powers of initials and transforms of initials of  $A'_{i0}, \dots, A'_{pk}$ , and which is such that  $P'U'V' - L' = 0$ , where  $L'$  is a linear combination of  $A'_{i0}, \dots, A'_{pk}$  and their transforms with coefficients which are polynomials with coefficients in  $\mathcal{F}'$ . In this equation we substitute the  $u_j$  and  $y_1, \dots, y_{i-1}$  for the general point of  $\Sigma^{(24)}$ . We obtain  $PUV - L = T$ , where  $T$  is a rational expression in the  $u_i, y_1, \dots, y_p$  and their transforms whose numerator does, but whose denominator does not, hold  $\Sigma$ ,  $L$  is a linear combination of  $A_{i0}, \dots, A_{pk}$  and their transforms with rational coefficients whose denominators do not hold  $\Sigma$ , and  $P$  is a product of powers of initials and transforms of initials of  $A_{i0}, \dots, A_{pk}$ . It readily follows that either  $U$  or  $V$ , say  $U$ , holds  $\Lambda$ .

Any regular solution of  $A'_{i0}, \dots, A'_{pk}$ , in conjunction with the general point of  $\Sigma$ , is a solution of  $\Lambda$  and therefore annuls  $U$ . It follows that a regular solution of  $A'_{i0}, \dots, A'_{pk}$  annuls  $U'$ . Then  $U'$  is in  $\Phi$  and the latter must be a reflexive prime difference ideal.  $A'_{i0}, \dots, A'_{pk}$  is the basic set of  $\Phi$ . For let  $B'$  be reduced with respect to  $A'_{i0}, \dots, A'_{pk}$ . We assume as before that  $B'$  is derived from a polynomial  $B$  by a substitution of the general point of  $\Sigma$  for the  $u_{ij}$  and  $y_1, y_2, \dots, y_{i-1}$ . Let  $C$  be the remainder of  $B$  with respect to  $A_{10}, \dots, A_{i-1,j}$ . We see that if  $C=0$ ,  $B'=0$ . Otherwise  $C$  is reduced with respect to  $A_{10}, \dots, A_{pk}$ . The general point of  $\Lambda$  cannot then annul  $C$ . Then it does not annul  $B$ . It follows that  $B'$  cannot hold  $\Phi$ . This proves that  $A'_{i0}, \dots, A'_{pk}$  is a basic set of  $\Phi$ .

(24) This substitution is, of course, not unique, and we may even replace a given element of the general point in different ways in the same equation.  $T$  depends, of course, on the particular substitution used, but it is evident that it will always have the properties stated in the text. We note, for use in the next paragraph, that the polynomial  $C$  corresponding as above to a polynomial  $C'$  with coefficients in  $\mathcal{F}'$  may always be chosen so as to involve only the same power products of transforms of  $y_{i+1}, \dots, y_p$  as occur in  $C'$ .

The necessity of conditions (1) and (2) has now been completely verified.

39. We now consider an ascending set  $A_{10}, \dots, A_{pk}$  satisfying the given conditions. We shall prove that it is the basic set of a reflexive prime ideal. Let  $\Lambda$  be the ideal consisting of all polynomials which vanish for the solutions of  $A_{10}, \dots, A_{i-1,j}; A_{i0}, \dots, A_{pk}$  which are obtained by letting the arbitrary unknowns and  $y_1, \dots, y_{i-1}$  be elements of the general point of  $\Sigma$  used in condition (2) and  $y_i, \dots, y_p$  be elements of a general point of  $\Phi$ . Then  $\Lambda$  is reflexive. We shall show that it is also prime.

Let  $UV$  hold  $\Lambda$ . We substitute for the arbitrary unknowns and  $y_1, \dots, y_{i-1}$  of  $U$  and  $V$  a general point of  $\Sigma$  obtaining  $U'$  and  $V'$ . If either  $U'$  or  $V'$  is zero,  $U$  or  $V$  holds  $\Lambda$ . We assume this is not the case. Then  $U'V'$  is annulled by the general point of  $\Phi$  and is consequently in  $\Phi$ . Since  $\Phi$  is prime, either  $U'$  or  $V'$ , say  $U'$ , holds  $\Phi$ . Then  $U$  is in  $\Lambda$ . This proves the statement of the preceding paragraph.

Let  $R$  be reduced with respect to  $A_{10}, \dots, A_{i-1,j}; A_{i0}, \dots, A_{pk}$ . Then  $R'$ , the polynomial which results from substituting into  $R$  a general point of  $\Sigma$ , is not zero and is reduced with respect to  $A_{i0}, \dots, A_{pk}$ . Since  $\Phi$  is prime reflexive,  $R'$  does not hold  $\Phi$ . It follows that  $R$  does not hold  $\Lambda$  and that  $A_{10}, \dots, A_{i-1,j}; A_{i0}, \dots, A_{pk}$  is a basic set of  $\Lambda$ . This demonstrates the sufficiency of conditions (1) and (2) and completes the proof of Theorem IX.

40. As a simple example of the ideals we are discussing, consider the pair of polynomials  $y_1^2 - x, y_2^2 - (x+k)$  where  $k$  is any positive integer, in the field  $R(x)$  of rational functions of  $x$ , with the transform of  $x$  defined to be  $x+1$ .

$y_1^2 - x$  has a general solution consisting of one irreducible manifold. For if a factorization equation (1) of Part II could be obtained, there would exist an integer  $n$  such that  $(x+n)^{1/2}$  could be expressed rationally in terms of  $x^{1/2}, (x+1)^{1/2}, \dots, (x+n-1)^{1/2}$ . Any such rational expression returns to its original value as one traces a small circle about the point  $-n$  in the plane of the complex variable  $x$ , whereas  $(x+n)^{1/2}$  changes sign. It follows that the expressions cannot be equal, so that no factorization occurs.

The same proof indicates that  $y_2^2 - (x+k)$  will remain irreducible in the field obtained by adjoining to  $R$  the first  $k-1$  transforms of  $y_1$ . However, if we subtract from  $y_2^2 - (x+k)$  the  $k$ th transform of  $y_1^2 - x$  we get  $y_2^2 - y_{1k}^2 = (y_2 - y_{1k})(y_2 + y_{1k})$ . Evidently the system has two irreducible manifolds, one with basic set

$$y_1^2 - x, \quad y_2 - y_{1k},$$

and the other with basic set

$$y_1^2 - x, \quad y_2 + y_{1k}.$$

These basic sets obviously satisfy the conditions of Theorem IX.

The number of transforms required before factorization occurs is the

integer  $k$  which can be made arbitrarily large. We see that this number is not limited by the degree or order of the polynomials. For this reason our methods will not suffice in general even for the construction of the beginnings of basic sets of prime ideals involving several dependent unknowns.

**41. Order and effective order of a prime ideal.** We began the description of the dimensionality of a reflexive prime difference ideal in §1, where we proved that the number of arbitrary unknowns is a constant for the ideal. We shall now complete this description by defining the order and effective order of a reflexive prime ideal in any number of unknowns.

Let  $\Lambda$ , then, be a reflexive prime ideal in the unknowns  $u_1, \dots, u_q; y_1, \dots, y_p$ , the  $u_i$  arbitrary, with coefficients in a difference field  $\mathcal{F}$ . Let  $\mathcal{G}$  be the quotient field of the remainder classes of  $\Lambda$ . Then  $\mathcal{G}$  contains a general point of  $\Lambda$ . We shall show that  $\mathcal{G}$  is isomorphic to a field which is, in the algebraic sense, of finite degree of transcendence  $s$  over a difference field  $\mathcal{F}(v_i)$  obtained by adjoining successively to  $\mathcal{F}$  transformally transcendental elements  $v_i, i=1, 2, \dots, q$ . Then  $s$  is the order of  $\Lambda$ . Let  $r$  be the smallest integer such that there exists a subfield  $\mathcal{H}$  of  $\mathcal{G}$ , isomorphic to a field of degree of transcendence  $r$  over  $\mathcal{F}(v_i)$ , and containing all but a finite number of the elements of  $\mathcal{G}$  which are remainder classes of the  $y_{ij}$ . Then  $r$  is the effective order of  $\Lambda$ .

It is evident that  $s$  and  $r$ , if they exist, are independent of the ordering of the  $y_{ij}$  and, for  $p=1$ , coincide with the order and effective order as defined in Part II. Their values may vary with the choice of the set of arbitrary unknowns.

**42.** Before proceeding to the proof of the existence of  $s$  and  $r$ , and the determination of their values, we must construct, by means of Theorem IX, a set of ideals  $\Sigma_1, \dots, \Sigma_p$ . Let  $i=2$  in the statement of Theorem IX. Then the ideal  $\Sigma$  of that theorem is the ideal we shall now call  $\Sigma_1$ . It will consist of all polynomials of  $\Lambda$  which are free of  $y_2, \dots, y_p$ . To the corresponding ideal  $\Phi$  we again apply Theorem IX with  $i=2$ , and define  $\Sigma'$  and  $\Phi'$ .  $\Sigma'$  is the prime ideal consisting of all polynomials of  $\Phi$  in  $y_2$  only, while  $\Phi'$  is the ideal in  $y_3, \dots, y_p$  defined by the procedure of Theorem IX.  $\Sigma'$  is the ideal we shall designate as  $\Sigma_2$ . From  $\Phi'$ , by a further application of the same procedure, we obtain  $\Sigma_3$  and  $\Phi''$ . Eventually we get all the  $\Sigma_i$ .

We now construct a general point of  $\Lambda$ . Let  $u_i=v_i, i=1, 2, \dots, q$ ; and let  $y_1$  be given its value in a general point of  $\Sigma_1$  with  $u_i=v_i$ . Adjoining this solution to  $\mathcal{F}$  we obtain the field  $\mathcal{F}_1(v_i)$ .  $\Sigma_2, \dots, \Sigma_p$  may be constructed using this general point for  $\Sigma_1$ . To this field we adjoin a value of  $y_2$  which is a general point of  $\Sigma_2$ , forming  $\mathcal{F}_2(v_i)$ . We continue this procedure adjoining successively the general points of each  $\Sigma_i, i=3, 4, \dots, p$ , as values of  $y_3, \dots, y_p$ , and forming successively the fields  $\mathcal{F}_3(v_i), \mathcal{F}_4(v_i), \dots, \mathcal{F}_p(v_i)$ . The resulting solution is a general point of  $\Lambda$ , for we observe, by successive applications of Theorem IX, that  $\Lambda$  consists of all polynomials annulled by the indicated

solution of the  $\Sigma_i$ . The field  $\mathcal{F}_p(v_i)$  contains a general point of  $\Lambda$ . But every element of  $\mathcal{F}_p(v_i)$  is either in  $\mathcal{F}$  or is a rational combination, with coefficients in  $\mathcal{F}$ , of elements of the general point. Then  $\mathcal{F}_p(v_i)$  is isomorphic with  $\mathcal{G}$ , the quotient field of the remainder classes of  $\Lambda$ . Evidently  $\mathcal{F}_p(v_i)$  is of degree of transcendence over  $\mathcal{F}(v_i)$  equal to the sum of the orders of the  $\Sigma_i$ . But this quantity is  $s$ . Since the order of each  $\Sigma_i$  is equal to the order of the first polynomial in its basic set,  $s$  equals the sum of the orders of the leaders of a basic set of  $\Lambda$  in the unknown of highest class which they respectively involve.

43. The existence of a finite  $r$  follows from that of  $s$ , since  $r$  cannot exceed  $s$ .

To determine  $r$  we make the substitution  $z_i = y_{i,t_i}$ ,  $i = 1, 2, \dots, p$ , the  $t_i$  positive integers to be specified later. Those polynomials of  $\Lambda$  which can sustain this substitution become the polynomials of a reflexive prime ideal  $\Lambda^+$  in the  $z_i$ .  $\Lambda^+$  is of the same effective order as  $\Lambda$ . Let  $y_{ij} = \alpha_{ij}$  be the values of the general point solution of  $\Lambda$  constructed in the previous paragraph. Then  $z_{ij} = \alpha_{ik}$ ,  $k = j + t_i$ , will be the general point of  $\Lambda^+$ . We see that for large  $t_i$  this general point will lie in a field isomorphic to a subfield of the subfield  $\mathcal{H}$  used to define the effective order of  $\Lambda$ . In that case  $\Lambda$  will be of equal order and effective order.

Let ideals  $\Sigma_i^+$  be constructed from  $\Lambda^+$  as the  $\Sigma_i$  were constructed from  $\Lambda$ .  $\Sigma_1^+$  consists of all polynomials of  $\Lambda^+$  in  $z_1$  and the  $u_i$  only. Then  $\Sigma_1^+$  can be obtained from  $\Sigma_1$  by making the transformation  $z_1 = y_{1,t_1}$  wherever possible in the polynomials of  $\Sigma_1$ .  $z_{1j} = \alpha_{1,j+t_1}$  will be a general point of  $\Sigma_1^+$ .  $\Sigma_2^+$  consists of those polynomials of  $\Lambda$  in  $y_1, y_2$  and the  $u_i$  only, in which the substitutions  $z_1 = y_{1,t_1}$ ,  $z_2 = y_{2,t_2}$  and then the substitution  $\alpha_{1,j+t_1} = z_{1,j}$  have been made. In general  $\Sigma_k^+$  consists of those polynomials of  $\Lambda$  in which the substitutions

$$(1) \quad z_i = y_{i, t_i}, \quad i = 1, 2, \dots, k, \quad \alpha_{i,j+t_i} = z_{i,j}, \quad i = 1, 2, \dots, k-1,$$

can be and have been made. The order of  $\Lambda^+$  is the sum of the orders of the  $\Sigma_k^+$ .

44. Let  $s_1$  be the difference between the order and effective order of  $A_{10}$ . We choose a transform of  $A_{20}$  which is free of  $y_{1i}$ ,  $i < s_1$ . Let  $s_2$  be the difference between its order and effective order in  $y_2$ . We choose a transform of  $A_{30}$  free of  $y_{1i}$ ,  $i < s_1$ , and  $y_{2j}$ ,  $j < s_2$ , and let  $s_3$  be the difference between its order and effective order in  $y_3$ . Similarly we define  $s_4, s_5, \dots, s_p$ . Then the transformation  $w_i = y_{i,s_i}$  carries a transform of each  $A_{i0}$  into a polynomial  $A'_{i0}$  whose order and effective order are equal. We now let  $t_i = t + s_i$ , where  $t$  is chosen sufficiently large so that  $\Lambda^+$  is of equal order and effective order for the resulting  $t_i$ . The transformation  $z_i = y_{i,t_i} = w_{i,t}$  carries the  $t$ th transform of each  $A'_{i0}$  into a polynomial  $A_{i0}^+$  of equal order and effective order in  $z_k$ .

To compute the effective order of  $\Lambda$  we need merely find the order of  $\Lambda^+$ , and this is the sum of the orders of the  $\Sigma_i^+$ . Now each  $\Sigma_i^+$  is held by a polynomial  $A_{i0}^+$  whose order in  $z_i$  is the effective order of  $A_{i0}$  in  $y_i$ . Let us suppose

that there is a  $k \leq p$  such that  $\Sigma_k^+$  is held by a polynomial  $B^+$  of order less than the order of  $A_{k0}^+$ . If in  $B^+$  we replace  $z_k$  by  $y_{k,t_k}$  we obtain a polynomial  $B$  of  $\Sigma_k$  of effective order less than that of  $A_{k0}$  in  $y_k$ . But the first polynomial of the basic set of  $\Sigma_k$  is of effective order equal to that of  $A_{k0}$  in  $y_k$ . Then  $\Sigma_k$  is of this effective order. Thus  $B^+$  cannot exist. We see that every  $\Sigma_i^+$  is of order equal to the effective order of  $A_{i0}$  in  $y_i$ . The effective order  $r$  of  $\Lambda$  is the sum of these orders and is therefore the sum of the effective orders of the  $A_{i0}$  in the  $y_i$ .

These results enable us to describe the dimensionality of any reflexive prime difference ideal first by means of the number of arbitrary unknowns, a constant for the ideal as proved in Theorem III, and second by means of its order and effective order which have been defined above. For the order and effective order the following theorem holds:

**THEOREM X.** *The order and effective order of a reflexive prime ideal are equal to the sum of the orders and the sum of the effective orders respectively of the leaders of a basic set of the ideal in the unknowns which each leader respectively introduces.*

**45. Quasi-linear systems.** A nontrivial reflexive prime ideal  $\Sigma$  of difference polynomials in the unknowns  $y_1, \dots, y_p$  with coefficients in the field  $\mathfrak{F}$  shall be said to be quasi-linear if, in every extension of  $\mathfrak{F}$ , there exists at most one set of values of  $y_1, \dots, y_p$  which annuls the polynomials of  $\Sigma$ .

A quasi-linear system may not be held by linear zero-order polynomials in its unknowns. For example, let us adjoin to the field  $R$  of complex numbers, with the transform of each number defined to be equal to the number itself, an element  $k$  satisfying the equation  $y_1 - y^2 = 0$ . This may be accomplished in an abstract field by adjoining the general point of  $\{y_1 - y^2\}$  whose existence is assured by Theorem IV, or analytically by adjoining the function  $e^u$ , where  $u = 2^z$ .

Considering the abstract case, we know that the difference field  $R(k)$  is isomorphic, so far as the algebraic operations are concerned, with the field obtained by adjoining to  $R$  an algebraic solution of  $y_1 - y^2$ . Let  $y = \alpha$ , where  $\alpha$  is transcendental over  $R$ , be the first element of an algebraic solution. We solve successively for  $y_1, y_2, \dots$  from the equation  $y_1 - y^2 = 0$  and its transforms. On account of the linearity of these equations every  $y_i$  lies in the field  $R(\alpha)$ , where the adjunction is now made in the sense of algebra. Then  $R(k)$  is isomorphic in the sense of algebra to this field, and  $k$  corresponds to  $\alpha$  under this isomorphism. Since  $R(\alpha)$  does not contain an element whose cube is  $\alpha$ ,  $R(k)$  does not contain an element whose cube is  $k$ . We consider the equation  $y^3 - k = 0$ , which is algebraically irreducible in  $R(k)$ .

Taking the transform of this equation and using the relation  $k_1 = k^2$  we obtain  $y_1^3 - y^6 = 0$ .  $y_1^3 - y^6$  has the irreducible factor  $y_1 - y^2$ , so that  $y^3 - k$ ,  $y_1 - y^2$  is the basic set of a prime ideal  $\Sigma$ . We shall show that  $\Sigma$  is quasi-



linear<sup>(25)</sup>.

Theorem IV shows that  $\Sigma$  has solutions. Let  $y = \alpha$  be a solution of  $\Sigma$  in any extension  $R'$  of  $R(k)$ . Evidently  $\alpha_1 = \alpha^2$ . The only other solutions of  $y^3 - k = 0$  in  $R'$  or any extension of  $R'$  are  $\beta = \omega\alpha$ ,  $\gamma = \omega^2\alpha$ , where  $\omega$  is a complex cube root of unity. But  $\beta_1 = \omega\alpha_1 = \omega\alpha^2 \neq \beta^2$ ,  $\gamma_1 = \omega^2\alpha_1 = \omega^2\alpha^2 \neq \gamma^2$ . Then  $\beta$  and  $\gamma$  do not annul  $y_1 - y^2$ , and so do not annul  $\Sigma$ . Thus  $y = \alpha$  is the only solution of  $\Sigma$  in any extension of  $R'$ .

46. We shall prove the following theorem.

**THEOREM XI.** *Let  $\Sigma$  be a quasi-linear ideal in the unknowns  $y_1, \dots, y_n$  with coefficients in a field  $\mathcal{F}$ . Let  $A_{10}, A_{11}, \dots, A_{1j}; A_{20}, A_{21}, \dots, A_{2k}; \dots; A_{n0}, A_{n1}, \dots, A_{np}$  be a basic set of  $\Sigma$ , where each  $A_{i0}$  introduces  $y_i$ . Then each  $A_{i0}$  is of effective order zero in  $y_i$ .*

Assume that some  $A_{i0}$  is not of zero effective order in  $y_i$ . We adjoin to  $\mathcal{F}$  the general point of  $\Sigma$  forming a field  $\mathcal{G}$ . Let  $\mathcal{F}_1$  be the field consisting of those elements of  $\mathcal{G}$  which are algebraic over  $\mathcal{F}$ .

The set of all polynomials with coefficients in  $\mathcal{F}_1$  which vanish for the general point we have just considered forms a prime ideal  $\Sigma_1$ . Obviously, a polynomial with coefficients in  $\mathcal{F}$  is in  $\Sigma_1$  if and only if it is in  $\Sigma$ .  $\Sigma_1$  is quasi-linear, for its solutions are solutions of  $\Sigma$ . Some leader of the basic set of  $\Sigma_1$  is not of effective order zero. Otherwise  $\Sigma_1$  would have by Theorem X a general point solution in which all but a finite number of the  $y_{ij}$  are algebraic over  $\mathcal{F}_1$  and therefore over  $\mathcal{F}$ . This general point solution would also be a general point of  $\Sigma$ . For, otherwise, it would annul a polynomial with coefficients in  $\mathcal{F}$  which is not in  $\Sigma$ . But, by a previous remark, no such polynomial is in  $\Sigma_1$ . Our assumption about the  $A_{i0}$  shows that  $\Sigma$  cannot have a general point of this character.

We may assume that  $\Sigma_1$  is of equal order and effective order. For, if it is not, it may be replaced in all that follows by an ideal with this property obtained from it by a substitution of the form  $z_i = y_{i,l_i}$ .

Let  $C_{10}, C_{11}, \dots, C_{1r}; C_{20}, \dots, C_{n_s}$  be a basic set of  $\Sigma_1$ . We construct the prime algebraic ideals  $\Phi_k$  consisting of all polynomials of  $\Sigma_1$  of order not exceeding  $k$  in each  $y_i$ . We order the  $y_{ij}$  in  $\Phi_k$  so that  $y_{ij}$  precedes  $y_{mn}$  if  $i < m$  or if  $i = m$  and  $j < n$ . For each  $k$  we choose a basic set of  $\Phi_k$ . It is evident that, if  $k$  is sufficiently large, the polynomials of class  $t$  in the basic set of  $\Sigma_1$  can be formed from the polynomials introducing the  $y_{ij}$  in a basic set of  $\Phi_k$ , by the procedure used in Theorem VII for extracting a basic set from a basic se-

<sup>(25)</sup> We might have considered the simpler basic set  $y^2 - k, y_1 - k$  in the field obtained by adjoining  $k$  to the rational numbers only. This system is also easily seen to be quasi-linear. However,  $y^2 - k$  becomes reducible if inverse transforms of  $k$  are adjoined to the coefficient field, and one might be led to think that the absence of inverse transforms is an essential feature of the phenomenon. Our example in the text shows it is not, since this example evidently remains valid when inverse transforms of  $k$  are adjoined.

quence. In particular we may thus obtain the basic set  $C_{10}, \dots, C_{1r}; C_{20}, \dots, C_{ns}$  of  $\Sigma_1$ .

Let us consider an extension  $\mathcal{H}$  of the coefficient field  $\mathcal{F}_1$  such that  $\Sigma_1$  has a general point in an extension of  $\mathcal{H}$ , and with the following property: for any  $k$  the set  $\Psi_k$  of polynomials in  $\mathcal{H}$ , of order not exceeding  $k$  in each  $y_i$ , which are annulled by every general point of  $\Phi_k$  in an extension of  $\mathcal{H}$  constitutes a prime system having the same basic set as  $\Phi_k$ . A general point of the prime difference ideal  $\Sigma_1$  furnishes a general point of every  $\Phi_k$  and therefore annuls every  $\Psi_k$ .

We shall need the following observation: We may choose for a basic set of  $\Psi_k$  a basic set of  $\Phi_k$ . Let  $P$  be a product of powers of initials of the polynomials of this basic set. Then the transform of  $P$  is not annulled by a general point of  $\Sigma_1$  and so is not in  $\Psi_{k+1}$ .

Let  $\Psi$  be the union of the  $\Psi_k$ .  $\Psi$  is a difference ideal. For suppose  $S$  is in  $\Psi$ . Then  $S$  has zero remainder with respect to the basic set of some  $\Psi_k$ . Taking the transform of the equation which expresses this fact we see that the product of the transform  $S_1$  of  $S$  by a polynomial not in  $\Psi_{k+1}$  is a linear combination of polynomials of  $\Psi_{k+1}$ . Then  $S_1$  is in  $\Psi_{k+1}$  and therefore in  $\Psi$ .

$\Psi$  is annulled by the general point of  $\Sigma_1$  in an extension of  $\mathcal{H}$ . We shall see that  $\Psi$  is a prime reflexive ideal. It is prime in consequence of the fact that the  $\Psi_k$  are prime. To prove that  $\Psi$  is reflexive let  $S$  be a polynomial which does not hold  $\Psi$ . We shall assume that its transform  $S_1$  holds  $\Psi$  and obtain a contradiction.

Since  $S$  is in no  $\Psi_k$  there is a polynomial  $T$  which is a linear combination of  $S$  and the polynomials of some  $\Psi_k$  and is of lower order than  $C_{i0}$  in  $y_i$  for every  $i$  from 1 to  $n$ .  $T_1$ , the transform of  $T$ , must hold  $\Psi$  and is therefore annulled by every general point of  $\Phi_m$  for some  $m$ .

We may assume that  $m$  is sufficiently large so that the set  $C_{10}, \dots, C_{ns}$  may be extracted from a basic set of  $\Psi_m$ . Let  $D_{10}, \dots, D_{20}, \dots, D_{nt}$  be a basic set of  $\Psi_m$ , where  $D_{ij}$  introduces a transform of  $y_i$ . Evidently  $D_{i0} = C_{i0}$  and the unconditioned unknowns of  $\Psi_m$  are those  $y_{ij}$  with  $j$  less than the order of  $D_{i0}$  in  $y_i$ .

Let  $y_{1p}$  be the highest transform of  $y_1$  appearing in  $D_{10}$ . Then  $T_1$  involves no transform of  $y_1$  of order exceeding  $p$ .  $T_1$  is free of  $y_{10}$ , which appears effectively in  $D_{10}$ . It follows that the resultant  $R$  of  $T_1$  and  $D_{10}$  with respect to  $y_{1p}$  is a nonzero polynomial. The ascending set formed by selecting the polynomials of the form  $D_{1j}$  from the basic set of  $\Psi_m$  has a regular solution not annulling  $R$  or the coefficients of the power products of the  $y_{ij}$ ,  $i > 1$ , in  $D_{20}, \dots, D_{nt}$ . Then this solution does not annul  $T_1$ .

Let the transforms of  $y_1$  be replaced in  $T_1$  and  $D_{20}, \dots, D_{nt}$  by the values of this regular solution giving a nonzero polynomial  $T'_1$ , and an ascending set  $D'_{20}, \dots, D'_{nt}$  which is the basic set of a prime system.

$T'_1$  is free of  $y_{20}$ , which appears in  $D'_{20}$ , and it involves no  $y_{2k}$  higher than

those present in  $D'_{20}$ . Replacing  $D'_{20}$ , if necessary, by an irreducible factor we may repeat the preceding construction and obtain a regular solution of the ascending set formed by the polynomials  $D'_{2j}$  which does not annul  $T'_1$  or the coefficients of power products of the  $y_{ij}$ ,  $i > 2$ , in  $D'_{30}, \dots, D'_{nt}$ .

We continue this process for each  $D_{i0}$ . The resulting values of the  $y_i$  form a regular solution of  $D_{10}, \dots, D_{nt}$ , and therefore a solution of  $\Psi_m$ , which does not annul  $T_1$ . This contradicts our assumption, so that  $\Psi$  must be a reflexive ideal. Since the  $\Psi_i$  have the same relation to  $\Psi$  that the  $\Phi_i$  have to  $\Sigma_1$  we see that  $C_{10}, \dots, C_{ns}$  is a basic set of  $\Psi$ .

We shall now show that  $\mathcal{G}$  is an extension of  $\mathcal{F}_1$  satisfying the condition imposed on  $\mathcal{H}$  in the preceding paragraph. This will follow if we can show that an algebraically irreducible resolvent  $R_k$  of  $\Phi_k$  remains algebraically irreducible in  $\mathcal{G}^{(26)}$ . We shall show, indeed, that every polynomial irreducible in  $\mathcal{F}_1$  is irreducible in  $\mathcal{G}$ . Consider a polynomial  $S$ . Choose its initial for some ordering of the unknowns, then the initial of its initial, and so on, till an element of the coefficient field results. Without loss of generality we may assume that this element is  $+1$  and place a similar restriction on the factors of  $S$  in any field. Then the factorization is unique. If  $S$  is a polynomial in one unknown it separates into linear factors in some algebraic extension of  $\mathcal{F}_1$ . Then all possible factors of  $S$  satisfying our restriction on the initials are products of these linear factors and have coefficients algebraic over  $\mathcal{F}_1$ . Now every element of  $\mathcal{G}$  which is algebraic over  $\mathcal{F}_1$  is in  $\mathcal{F}_1$ . Consequently any factor of  $S$  with coefficients in  $\mathcal{G}$  has coefficients in  $\mathcal{F}_1$ , and if  $S$  is irreducible in  $\mathcal{F}_1$  it must be irreducible in  $\mathcal{G}$ . If  $S$  is a polynomial in several unknowns its factors may be found by modifying appropriate factors of a related polynomial in one unknown, with coefficients in the same field<sup>(27)</sup>. It follows again that  $S$  has identical factorizations in  $\mathcal{F}_1$  and  $\mathcal{G}$ , so that an irreducible  $S$  remains irreducible in  $\mathcal{G}$ . Our statement concerning  $\mathcal{G}$  is proved, and we see that  $C_{10}, \dots, C_{ns}$  is the basic set of a reflexive prime ideal  $\Psi$  with coefficients in  $\mathcal{G}$ , which is annulled by a general point of  $\Sigma_1$ .

Now  $\Sigma_1$  has a general point which lies in  $\mathcal{G}$  and is a solution of  $\Psi$ . It must be the only solution of  $\Psi$ , for otherwise  $\Sigma_1$  would not be quasi-linear. It

<sup>(26)</sup> For then  $R_k$ , and the linear equations determining the unknowns of  $\Phi_k$  in terms of the resolvent unknown  $w$ , form the basic set of a prime system  $T_k$  in  $\mathcal{G}$ . Let  $\Psi'_k$  be the set of polynomials in  $T_k$  free of  $w$ . A general point of  $\Phi_k$ , together with the corresponding value of  $w$ , furnishes a regular solution of the basic set of  $T_k$  and consequently annuls all polynomials of  $\Psi'_k$ . Conversely, suppose a polynomial  $B$  with coefficients in  $\mathcal{G}$  is annulled by every general point of  $\Phi_k$  in any extension of  $\mathcal{G}$ . Then  $B$  vanishes for a general point of  $T_k$ , constructed from a general point of  $\Phi_k$ , and is therefore in  $\Psi'_k$ . Then  $\Psi'_k$  is precisely the ideal  $\Psi_k$  we defined above. Both  $\Psi_k$  and  $\Phi_k$  are of the same degree, namely that of  $R_k$ . Then the basic set of  $\Phi_k$  is a basic set of  $\Psi_k$ . For, if not, the product of the degrees of the polynomials of a basic set of  $\Psi_k$  will be lower than the corresponding product for  $\Phi_k$ . But these products are the degrees of the ideals and must therefore be equal. Thus our statement is proved.

<sup>(27)</sup> See van der Waerden, *Moderne Algebra*, 1st ed., vol. 1, p. 129.

follows that the basic set of  $\Psi$  consists of linear zero-order polynomials. This contradicts the fact that  $C_{10}, \dots, C_{n_s}$  is a basic set of  $\Psi$ , and some  $C_{i_0}$  is not of zero order. Thus Theorem XI is established<sup>(28)</sup>.

47. Let  $\Sigma$  be a nontrivial prime ideal in the field  $\mathcal{F}$  with unknowns  $u_1, \dots, u_q; v_1, \dots, v_r; y_1, \dots, y_s$ , where the  $u_i$  form a set of arbitrary unknowns. We shall say that  $\Sigma$  is *quasi-linear in the unknowns*  $y_1, \dots, y_s$  if, for any values of  $u_1, \dots, u_q; v_1, \dots, v_r$ , not annulling some polynomial  $G$  in these unknowns which does not hold  $\Sigma$ , there exists at most one set of values of the  $y_i$  in any extension of  $\mathcal{F}$ , which annuls  $\Sigma$ .

We represent by  $\Lambda$  the reflexive prime ideal consisting of those polynomials of  $\Sigma$  which are free of the  $y_i$ .  $G$  is not annulled by the general point of  $\Lambda$ .

Using the ordering  $u_1, \dots, u_q; v_1, \dots, v_r; y_1, \dots, y_s$  we construct a basic set,  $A_{10}, \dots, A_{rk}; B_{10}, \dots, B_{sl}$ , of  $\Sigma$ . Here the  $A_{i_0}$  introduce the  $v_i$ , and the  $B_{i_0}$  introduce the  $y_i$ . We adjoin to  $\mathcal{F}$  a general point of  $\Lambda$ , or transcendently transcendental elements  $\omega_1, \omega_2, \dots, \omega_q$ , if no  $v_i$  exist. Let  $\mathcal{G}$  be the resulting field, and let each  $B_{ij}$  become  $B'_{ij}$  when the  $u_i$  and  $v_i$  it contains are replaced by appropriate elements of the general point of  $\Lambda$ , or by  $\omega_i$ .  $B'_{10}, \dots, B'_{sl}$  becomes the basic set of a reflexive prime ideal  $\Phi$  in  $\mathcal{G}$ . Evidently  $\Phi$  is quasi-linear, so that its basic set consists of linear polynomials of effective order zero. Since no initial of a  $B_{i_0}$  is annulled by a general point of  $\Lambda$ , or by the  $\omega_i$ , each  $B_{i_0}$  must be of zero effective order in  $y_i$ .

48. **The resolvent of a prime ideal.** We shall require the following lemma. Let  $\mathcal{F}$  be any difference field which contains an element  $c$  not equal to any of its transforms. Let  $G$  be a polynomial not identically zero, in unknowns  $y_1, \dots, y_n$ . There exist elements  $a_1, \dots, a_n$  of  $\mathcal{F}$  (dependent on  $G$ ) which do not annul  $G$  when substituted for the  $y_i$ .

Evidently it will be sufficient to consider only polynomials  $G$  in one unknown. We shall show that, if any polynomial  $G$ , in the unknown  $y$ , is annulled by all elements of  $\mathcal{F}$ , then a linear homogeneous polynomial is annulled by all elements of  $\mathcal{F}$ .

49. We write  $G = G_0 + G_1 + \dots + G_r$ , where each  $G_i$  is homogeneous and of total degree  $i$  in the transforms of  $y$ . Substituting  $ky$  for  $y$ , where  $k$  is any rational number, we obtain

$$(2) \quad G(ky) = G_0 + kG_1 + \dots + k^i G_i + \dots + k^r G_r.$$

$G(ky)$  vanishes for all  $y$  in  $\mathcal{F}$  and all rational values of  $k$ . It follows that each  $G_i$  must vanish for all  $y$  in  $\mathcal{F}$ . Some  $G_i$ , say  $G_e$ , is not identically zero.  $G_e$  is a

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<sup>(28)</sup> The hypothesis of Theorem XI is so stated as to exclude the possibility that  $\Sigma$  has arbitrary unknowns. It is not necessary, however, to include this condition in the hypothesis. If it is omitted the proof may be carried out with only verbal changes, and the contradiction will be obtained if either  $\Sigma$  has arbitrary unknowns, or some  $A_{i_0}$  is not of effective order zero.

homogeneous polynomial annulled by all  $y$  in  $\mathcal{F}$ .

50. Let  $H$  be a homogeneous polynomial of lowest possible total degree which is annulled by all  $y$  in  $\mathcal{F}$ . We substitute  $y+z$  for  $y$  in  $H$  and obtain

$$(3) \quad \begin{aligned} H(y+z) = & H + z \frac{\partial H}{\partial y} + z_1 \frac{\partial H}{\partial y_1} + \cdots + z_r \frac{\partial H}{\partial y_r} \\ & + \frac{1}{2} \left( z^2 \frac{\partial^2 H}{\partial y^2} + 2zz_1 \frac{\partial^2 H}{\partial y \partial y_1} + \cdots + z_r^2 \frac{\partial^2 H}{\partial y^2} \right) + \cdots \\ & + \frac{1}{k!} \left( z^k \frac{\partial^k H}{\partial y^k} + \cdots + z_r^k \frac{\partial^k H}{\partial y_r^k} \right). \end{aligned}$$

Here the derivative symbols are used in their obvious formal sense, and  $k$  is the degree and  $r$  the order of  $H$ .

Evidently  $H(y+z)$  vanishes for all  $y$  and  $z$  in  $\mathcal{F}$ . Some polynomial  $\partial H/\partial y_i$  is not identically zero. It does not vanish for all  $y$  in  $\mathcal{F}$  since it is homogeneous and of lower degree than  $H$ . We choose  $y$  in  $\mathcal{F}$  so that it does not annul all  $\partial H/\partial y_i$  and substitute this value of  $y$  in (3). The right-hand member of (3) becomes a difference polynomial in  $z$  which is annulled by all  $z$  in  $\mathcal{F}$  and which contains terms of first degree in  $z$  and its transforms.

Our proof above shows that the terms of first degree taken by themselves must constitute a polynomial which is annulled by all  $z$  in  $\mathcal{F}$ . This proves our statement that, if any polynomial has this property, then there is a homogeneous linear polynomial with the property.

51. It is well known that the solutions of a linear homogeneous difference equation of order  $n$  are linear combinations with constant coefficients of  $n$  independent solutions, where by "constant" is meant an element, not necessarily in  $\mathcal{F}$ , which is equal to its first transform. To complete the proof of the lemma we shall show that, for arbitrary  $n$ ,  $\mathcal{F}$  contains more than  $n$  elements linearly independent with respect to constants. Then not all elements of  $\mathcal{F}$  can annul any linear homogeneous difference polynomial.

We may choose  $1/c, 1/(c+1), 1/(c+2), \dots, 1/(c+n)$  as a set of  $n+1$  linearly independent elements. Suppose there exists a relation  $a_0/c + a_1/(c+1) + \cdots + a_n/(c+n) = 0$ , with the  $a_i$  constants which are not all zero. On multiplying the left-hand member by  $c(c+1) \cdots (c+n)$  we obtain a polynomial in  $c$  whose coefficients are linear homogeneous expressions in the  $a_i$  with integral coefficients. This polynomial must, for appropriate constant  $a_i$ , either be annulled by  $c$  or be identically zero. The former alternative is impossible since it would result in  $c$  being equal to one of its transforms. The latter requires that the  $a_i$  satisfy a system of linear homogeneous equations with integral coefficients. If such a system has nonzero solutions, it has nonzero solutions which are rational. For such solutions the function,  $a_0/x + a_1/(x+1) + \cdots + a_n/(x+n)$ , of the complex variable  $x$ , would be

identically zero; but this is impossible since this function has a pole at the point  $x = -j$ , where  $a_j$  is one of the  $a_i$  which is not equal to zero. We conclude that the elements  $1/c, 1/(c+1), \dots, 1/(c+n)$  are linearly independent with respect to constant coefficients. This establishes the lemma.

52. We are now prepared to construct a form of resolvent for prime ideals of difference polynomials. The resolvent unknown will be a linear combination of the unknowns of the ideal. These unknowns may, in general, be determined from the resolvent unknown by solving a quasi-linear system.

53. Let  $\Sigma$  be a nontrivial prime ideal in the unknowns  $u_1, \dots, u_q; y_1, \dots, y_p$  with the  $u_i$  arbitrary unknowns. We assume that either the coefficient field  $\mathcal{F}$  contains an element  $c$  which is not equal to any of its transforms, or that  $u_i$  exist.

We shall show that there exist polynomials  $\mu_1, \dots, \mu_p$  in the  $u_i$  alone with coefficients in  $\mathcal{F}$  (if there are no  $u_i$  the  $\mu_i$  are elements of  $\mathcal{F}$ ) and a nonzero polynomial  $G$ , free of the  $y_i$ , such that

(a) There exist no two solutions of  $\Sigma$  in any extension of  $\mathcal{F}$  with the same  $u_i$ ,

$$\begin{array}{ll} u_1, \dots, u_q; & y'_1, \dots, y'_p, \\ u_1, \dots, u_q; & y''_1, \dots, y''_p \end{array}$$

for which  $G$  does not vanish and in which, for some  $i$ ,  $y'_i$  is not identical with  $y''_i$ , or

(b) Such pairs of solutions exist and for each pair,  $\mu_1(y'_1 - y''_1) + \dots + \mu_p(y'_p - y''_p)$  is not zero.

We proceed, precisely as in §25 of A.D.E., to introduce new unknowns  $z_i, \lambda_i, i = 1, 2, \dots, p$ , and construct the perfect ideal  $\Omega$  in the  $u_i, y_i, z_i$  and  $\lambda_i$  which is obtained by the processes of shuffling and linear combination from

- (a) the polynomials of  $\Sigma$ ,
- (b) the polynomials obtained by replacing each  $y_i$  by  $z_i, i = 1, 2, \dots, p$ , in the polynomials of  $\Sigma$ , and
- (c) the polynomial  $\lambda_1(y_1 - z_1) + \lambda_2(y_2 - z_2) + \dots + \lambda_p(y_p - z_p)$ .

Let  $\Lambda$  be any reflexive prime ideal in the decomposition of  $\Omega$ . Suppose that some  $y_i - z_i$ , say  $y_1 - z_1$ , does not hold  $\Lambda$ . We shall prove that  $\Lambda$  is held by a nonzero polynomial in the  $u_i$  and  $\lambda_i$  alone.

Let  $\mathcal{G}$  be the quotient-field of the remainder classes of  $\Lambda$ . Let  $\mathcal{K}$  be the subfield of  $\mathcal{G}$  formed by adjoining to  $\mathcal{F}$  the elements of  $\mathcal{G}$  which are the remainder classes of the  $u_i$  and  $\lambda_2, \dots, \lambda_p$ . It will suffice to show that the remainder class  $T$  of  $\lambda_1$  is transformally algebraic over  $\mathcal{K}$ .

Conditions (a) and (b) above, and the definition of the  $u_i$ , show that the remainder classes of the  $y_i$  and  $z_i$  are transformally algebraic over  $\mathcal{K}$ . Let  $K$  be the field which results when these elements are adjoined to  $\mathcal{K}$ . Then it follows from Theorem I that we shall have our result if we prove that  $T$  is transformally algebraic over  $K$ .

We shall show, indeed, that  $T$  is an element of  $K$ . For the remainder class of  $y_1 - z_1$  is not zero by our assumption concerning  $\Lambda$ . It then follows from (c) that  $T$  is a rational combination of the remainder classes of the  $y_i$ ,  $z_i$ , and  $\lambda_2, \dots, \lambda_p$ . Thus the existence of the required nonzero difference polynomial is established.

54. We may again proceed as in §25 of A.D.E., using the lemma established above, or the method of §26 of A.D.E. if there are arbitrary unknowns, to replace the  $\lambda_i$  by  $\mu_i$ . In this way the proof of our statements may be completed.

55. We let  $Q = \mu_1 y_1 + \mu_2 y_2 + \dots + \mu_p y_p$ .

We introduce an unknown  $w$  and adjoin to  $\Sigma$  the polynomial  $w - Q$ . We denote by  $\Pi$  the ideal  $\{w - Q, \Sigma\}$ . We shall prove that  $\Pi$  is a reflexive prime ideal.

Those polynomials in  $\Pi$  which are free of  $w$  are in  $\Sigma$ . Let  $BC$  hold  $\Pi$ . Substituting  $Q$  for  $w$  in  $B$  and  $C$  we obtain  $B'$  and  $C'$  respectively.  $B'C'$  holds  $\Sigma$ . Then either  $B'$  or  $C'$ , say  $B'$ , holds  $\Sigma$ . Then  $B$  holds  $\Pi$ . The reflexivity of  $\Pi$  follows from its definition as a perfect ideal.

56.  $\Pi$  is held by a polynomial in  $w$  and the  $u_i$  alone. To see this we adjoin to  $\mathcal{F}$  the general point of  $\Pi$  forming a field  $\mathcal{G}$ . It is sufficient to adjoin those elements which correspond to the  $u_i$  and  $y_i$  only, since  $w$  is given by a rational combination of such elements. The elements of  $\mathcal{G}$  corresponding to the  $u_i$  and  $y_i$  annul all polynomials of  $\Sigma$  and no other polynomial in the  $y_i$  and  $u_i$  alone. It follows that they are isomorphic to the general point of  $\Sigma$ , so that  $\mathcal{G}$  is isomorphic to the field obtained by adjoining to  $\mathcal{F}$  the general point of  $\Sigma$ . Therefore  $\mathcal{G}$  may be constructed by adjoining to  $\mathcal{F}$  transcendentals corresponding to the  $u_{ij}$  to form  $\mathcal{F}(v_i)$ , and then making a finite number  $r$  of transcendental adjunctions followed by algebraic adjunctions to  $\mathcal{F}(v_i)$ . Consequently any  $r+1$  members of  $\mathcal{G}$  satisfy an algebraic equation with coefficients in  $\mathcal{F}(v_i)$ . In particular the elements corresponding to  $w$  and its first  $r$  transforms satisfy such an equation. Replacing elements of  $\mathcal{F}(v_i)$  by corresponding rational expressions in the  $u_{ij}$ , and multiplying the resulting equation by a suitable polynomial in the  $u_{ij}$ , we obtain a nonzero difference polynomial in  $w$  and the  $u_i$  of order not exceeding  $r$  in  $w$ , which is annulled by the general point of  $\Pi$ , and consequently holds  $\Pi$ . Since the field obtained by adjoining to  $\mathcal{F}$  a general point of  $\Pi$  is isomorphic to that obtained by adjoining a general point of  $\Sigma$ ,  $\Pi$  and  $\Sigma$  are of equal order and equal effective order.

57. The method of constructing  $\Pi$  shows that it is a quasi-linear system in the  $y_i$ . We list the unknowns of  $\Pi$  in the order  $u_1, \dots, u_q; w; y_1, \dots, y_p$ . The  $u_i$  constitute a set of arbitrary unknowns. We choose a basic set for  $\Pi$ ,  $A, A_1, \dots, A_k; A_{10}, \dots, A_{ps}$ , where  $A$  introduces  $w$ , and each  $A_{i0}$  introduces  $y_i$ . Evidently each  $A_{i0}$  is of zero effective order in  $y_i$ . It follows that the effective order of  $A$  is equal to that of  $\Sigma$ . When, in particular,  $\Sigma$  is of equal order and effective order,  $A$  is also, its order equals that of  $\Sigma$ , and each  $A_{i0}$

is of zero order.

Combining the results we have proved above we obtain:

**THEOREM XII.** *Let  $\Sigma$  be a reflexive prime ideal in the unknowns  $u_1, \dots, u_q; y_1, \dots, y_p$  with coefficients in a difference field  $\mathcal{F}$ . Let there exist  $u_i$ , or let  $\mathcal{F}$  contain an element  $c$  which is not equal to any of its transforms. There exists a linear combination  $w$  of the  $y_i$ , with coefficients which are polynomials in the  $u_i$  or elements of  $\mathcal{F}$  if there are no  $u_i$ , such that:*

1. *There exists a reflexive prime ideal  $\Pi$  in the  $u_i, w$ , and the  $y_i$ , which is quasi-linear in the  $y_i$ .*

2. *The solutions of  $\Sigma$  and the corresponding  $w$  constitute the totality of solutions of  $\Pi$ .*

3. *The  $u_i$  constitute a set of arbitrary unknowns for  $\Pi$ .*

4. *If the unknowns of  $\Pi$  are given the ordering  $u_1, u_2, \dots, u_q; w; y_1, y_2, \dots, y_p$ , then the first polynomial of a basic set of  $\Pi$  is of effective order in  $w$  equal to the effective order of the ideal  $\Sigma$ , the remaining leaders of the basic set of  $\Pi$ , introducing the  $y_i$ , are of zero effective order in the unknowns they introduce, and the sum of the orders of the leaders of the basic set of  $\Pi$  in the unknowns they introduce is the order of the ideal  $\Sigma$ .*

5. *If  $\Sigma$  is of equal order and effective order, the first polynomial in a basic set of  $\Pi$  with the ordering of the unknowns given in (4) above is of this order and this effective order, and the remaining leaders of the basic set are of zero order in the unknowns they introduce.*

58. As an example consider the prime ideal  $\Sigma$  in the field  $R$  of rational functions of  $x$  with rational coefficients, with basic set:  $y_1 - y^2, z^2 - y, z_1 - y$ .

The example discussed in footnote 25 indicates that the conditions of Theorem IX are satisfied, so that we are dealing with the basic set of a reflexive prime ideal. The equation  $z_1 = y$  indicates that  $z$  is uniquely determined by  $y$  in any extension of  $R$ .

For the resolvent we first choose  $w = y$ . Evidently  $y$  and  $z$  are uniquely determined by  $w$ . Furthermore,  $z^2 - y, z_1 - y$  will remain the basic set of a prime ideal in the field obtained by adjoining solutions for  $y$  and  $w$  to  $R$ , when  $y$  is replaced by its value in this solution. Consequently, the basic set of  $\Pi$  will be

$$w_1 - w^2, \quad y - w, \quad z^2 - w, \quad z_1 - w.$$

These relations are quasi-linear but not linear. On the other hand, let us choose as resolvent  $w = z$ . Then  $w^2 = w_1 = y$ . We have for the basic set of  $\Pi$ :  $w_1 - w^2, y - w^2, z - w$ . Here  $y$  and  $z$  are determined by linear equations in  $w$ .

Whether there exists for every prime ideal  $\Sigma$  some resolvent in terms of which the  $y_i$  may be determined by actually linear equations is an interesting problem which remains unsolved.

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