

# LIE ALGEBRAS OF TYPES A, B, C, D, AND F

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Let  $\Phi$  be an arbitrary field of characteristic 0. Lie algebras of types A, B, C, and D over  $\Phi$  have been described in terms of associative algebras over  $\Phi$  [5; 6; 10]<sup>(2)</sup>. Using these results, Jacobson showed in [8] that these algebras are derivation algebras of central simple Jordan algebras over  $\Phi$ . By showing that any automorphism of the derivation algebra of a Jordan algebra is induced by an automorphism of the Jordan algebra, we give a direct proof that Lie algebras of types A, B, C, D, and F are derivation algebras of central simple Jordan algebras of degree  $n \geq 3$ . The methods will be similar to those in [7] and [11].

1. Let  $\Omega$  be an algebraically closed field of characteristic 0. The simple Jordan algebras over  $\Omega$  are divided into classes A, B, C, D, and E, [4]. The degrees and dimensions of the algebras in these classes are respectively: A;  $n$ ,  $n^2$ : B;  $n$ ,  $n(n+1)/2$ : C;  $n$ ,  $2n^2 - n$ : D;  $2$ ,  $\geq 3$ : E;  $3$ ,  $27$ .

For simple Jordan algebras over  $\Omega$  of degree at least three we may write  $\mathfrak{J} = \sum \Omega e_i + \sum \mathfrak{A}_{jk}$ ,  $i=1, \dots, n = \text{degree of } \mathfrak{J}$ ,  $j < k \leq n$ . The elements of the subspaces  $\mathfrak{A}_{jk}$  may be taken to be in a simple alternative algebra  $\mathfrak{B}$  over  $\Omega$ . The complete multiplication table of  $\mathfrak{J}$  in terms of this decomposition is given in [1, §18]. The properties that we will use most are:  $e_i^2 = e_i$ ,  $e_i e_j = 0$ ,  $2e_i a_{ij} = a_{ij}$ ,  $a_{ij}^2 \in \Omega(e_i + e_j)$ ,  $\mathfrak{A}_{ij} \mathfrak{A}_{jk} \subseteq \mathfrak{A}_{ik}$ ,  $e_i \mathfrak{A}_{jk} = 0$ , and  $\mathfrak{A}_{ij} \mathfrak{A}_{kh} = 0$ ,  $1 \leq i < j < k < h \leq n$  and  $a_{ij} \in \mathfrak{A}_{ij}$ . If  $x \in \mathfrak{J}$ , then  $x = \sum \alpha_i e_i + \sum a_{jk}$ ,  $\alpha_i \in \Omega$ , and  $a_{jk} \in \mathfrak{A}_{jk}$ . The reduced trace of  $x$ ,  $\text{Sp } x$ , is defined as  $\text{Sp } x = \sum \alpha_i$ . The bilinear form  $\text{Sp } xy$  is known to be nondegenerate. If we let  $\mathfrak{J}_0 = \{x; x \in \mathfrak{J}, \text{Sp } x = 0\}$ , then the restriction of  $\text{Sp } xy$  to  $\mathfrak{J}_0 \times \mathfrak{J}_0$  is also nondegenerate. Since  $\text{Sp } xy$  is nondegenerate on  $\mathfrak{J}(\mathfrak{J}_0)$  we may define the adjoint  $A^*$  of a linear transformation  $A$  on  $\mathfrak{J}(\mathfrak{J}_0)$  by

$$\text{Sp } (A^* x) y = \text{Sp } x (A y), \quad \text{for all } x, y \in \mathfrak{J}(\mathfrak{J}_0).$$

Let  $\mathfrak{J}$  be a Jordan algebra over a field  $\Phi$  of characteristic 0. We shall use the notation  $R(x)$ ,  $x \in \mathfrak{J}$  to stand for the linear transformation  $R(x)y = yx$ , for all  $y \in \mathfrak{J}$ . The linear transformations  $\sum [R(x_i), R(y_i)]$  are derivations of  $\mathfrak{J}$ . If  $\mathfrak{J}$  is semi-simple, then all derivations of  $\mathfrak{J}$  have this form. Let  $\mathfrak{D}(\mathfrak{J})$  denote the derivation algebra of  $\mathfrak{J}$ . The subspace  $\mathfrak{J}_0$  is invariant with respect to  $\mathfrak{J}$  and we shall use  $\mathfrak{D}(\mathfrak{J}_0)$  to denote the restriction of  $\mathfrak{D}(\mathfrak{J})$  to  $\mathfrak{J}_0$ . In [3] and [8] the derivation algebras of the simple Jordan algebras are computed.

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(<sup>2</sup>) Numbers in brackets refer to references at the end of the paper.

Over an algebraically closed field of characteristic 0 they are:  $\mathfrak{J}$  of class A and degree  $n$ ,  $\mathfrak{D}(\mathfrak{J}) = A_n$ ;  $\mathfrak{J}$  of class B, and degree  $n$ ,  $\mathfrak{D}(\mathfrak{J}) = B_p$  for  $n = 2p + 1$  and  $\mathfrak{D}(\mathfrak{J}) = D_p$  for  $n = 2p$ ;  $\mathfrak{J}$  of class C and degree  $n$ ,  $\mathfrak{D}(\mathfrak{J}) = C_p$  where  $n = 2p$ ;  $\mathfrak{J}$  of class D,  $\mathfrak{D}(\mathfrak{J}) = B_p$  or  $D_p$ ;  $\mathfrak{J}$  of class E,  $\mathfrak{D}(\mathfrak{J}) = F_4$ .

If  $\mathfrak{J}$  is simple over  $\Omega$  of degree at least three, the subspace  $\mathfrak{S}$  generated by  $e_1 - e_2$  and  $1_{12}$ , 1 the identity of  $\mathfrak{B}$ , is irreducible with respect to the derivations  $[R(e_1), R(1_{12})]$  and  $[R(e_2), R(1_{12})]$ . Thus some subspace  $\mathfrak{M}$  of  $\mathfrak{J}_0$  which is invariant with respect to  $\mathfrak{D}(\mathfrak{J}_0)$  must contain  $\mathfrak{S}$ . If  $\mathfrak{M}$  contains  $\mathfrak{S}$ , then it will contain  $[R(e_1), R(a_{1j})](e_1 - e_2) = a_{1j}/4$ ,  $a_{1j} \in \mathfrak{A}_{1j}$ . Thus  $\mathfrak{M}$  also contains  $\mathfrak{A}_{1j}$ ,  $j = 2, \dots, n$ . Similarly  $\mathfrak{M}$  contains  $\mathfrak{A}_{2j}$ . Using the derivation  $[R(e_j), R(a_{ij})]$ , we have that  $e_1 - e_j$  is in  $\mathfrak{M}$ . Continuing in this manner, it may be seen that  $\mathfrak{M} = \mathfrak{J}_0$  and  $\mathfrak{J}_0$  is an irreducible representation space for  $\mathfrak{D}(\mathfrak{J}_0)$ . With slight changes in the proof the same is true for algebras of degree two.

Since  $\mathfrak{J}_0$  is an irreducible representation space for  $\mathfrak{D}(\mathfrak{J}_0)$ , by Schur's Lemma it follows that any linear transformation which commutes with every element of  $\mathfrak{D}(\mathfrak{J}_0)$  is of the form  $\sigma I$ ,  $\sigma \in \Omega$  and  $I$  the identity transformation of  $\mathfrak{J}_0$ . As in [11] it can be seen that if  $S$  is an automorphism of  $\mathfrak{J}$ , then  $S\mathfrak{J}_0 = \mathfrak{J}_0$  and if  $\mathfrak{J}$  is a simple Jordan algebra over  $\Phi$  and  $\Sigma$  an extension of  $\Phi$ , then  $\mathfrak{J}_{0\Sigma} = (\mathfrak{J}_\Sigma)_0$ .

2. If  $\mathfrak{J}$  is a Lie algebra over an arbitrary algebraically closed field of characteristic 0, then it is known that the irreducible representations of  $L$  are determined by the highest weight of the representation [2; 12]. In this section we shall determine the number of nonsimilar representations of Lie algebras as derivation algebras of Jordan algebras. For the Lie algebra  $F_4$  this has been done in [11].

A. For a Lie algebra  $A_n$ ,  $n \geq 2$ , of class A the degree of the irreducible representation as  $\mathfrak{D}(\mathfrak{J}_0)$  is  $n^2 - 1$ . If  $\Lambda = m_1\lambda_1 + m_2\lambda_2 + \dots + m_n\lambda_n$  is a weight of a representation of  $A_n$ , then  $m_1 + m_2 + \dots + m_n = 0$ . If the form  $\Lambda$  is a weight, then so are  $\Lambda - (\lambda_i - \lambda_j)$ ,  $\dots$ ,  $\Lambda - (m_i - m_j)(\lambda_i - \lambda_j)$ . The quantities  $m_i - m_j$  are integers and the  $m$ 's are rational numbers, [2, p. 68]. The last form in the above string implies that if  $\Lambda$  is a weight, then so is any form obtained from  $\Lambda$  by a permutation of the  $m$ 's. If  $\Lambda$  is the highest weight of an irreducible representation of  $A_n$ , then  $m_1 \geq m_2 \geq \dots \geq m_n$ .

Let  $\Lambda$  be the highest weight of an irreducible representation of  $A_n$  of degree  $n^2 - 1$ . We will show that  $\Lambda = \lambda_1 - \lambda_n$  and thus all irreducible representations of  $A_n$  are similar. First we consider  $\Lambda$  with  $m_1 \geq 1$  which implies  $m_1 - m_n \geq 2$ . The weight  $\Lambda' = \Lambda - (\lambda_1 - \lambda_n)$  is distinct from  $\Lambda$ . If  $m_1 - 1 = m_2 = \dots = m_{n-1} = m_n = 1$ , then  $\Lambda = \lambda_1 - \lambda_n$ . If  $\Lambda \neq \lambda_1 - \lambda_n$ , then  $\Lambda$  or  $\Lambda'$  must have at least three distinct  $m$ 's and the other two. Counting the weights obtained from  $\Lambda$  and  $\Lambda'$  we must have at least  $n^2$  weights which is impossible for an irreducible representation of degree  $n^2 - 1$  [12, p. 76]. For  $m_1 < 1$  the possible highest weights and the degrees of the corresponding irreducible representations are given in [2, pp. 68-69]. The degrees are  $C_{n,i} \neq n^2 - 1$ .

Since there is a representation of degree  $n^2-1$  its highest weight is  $\lambda_1-\lambda_n$ .

B. The Lie algebra  $B_p$ ,  $n=2p+1>5$ , of class B has two irreducible representations as a derivation algebra of Jordan algebras. These have degree  $n$  and  $2p^2+3p$ . If  $\Lambda=m_1\lambda_1+\cdots+m_p\lambda_p$  is a weight of an irreducible representation of  $B_p$ , then so are the forms:  $\Lambda-\lambda_i, \cdots, \Lambda-2m_i\lambda_i; \Lambda-(\lambda_i+\lambda_j), \cdots, \Lambda-(m_i+m_j)(\lambda_i+\lambda_j); \Lambda-(\lambda_i-\lambda_j), \cdots, \Lambda-(m_i-m_j)(\lambda_i-\lambda_j)$ . In particular, if  $\Lambda$  is a weight, so is  $-\Lambda$  and any form obtained from  $\Lambda$  by a permutation of the  $m$ 's.

If  $\Lambda$  is a highest weight of an irreducible representation, then  $m_1 \geq m_2 \geq \cdots \geq m_p \geq 0$ . First let  $m_1 > 1$  and  $m_p \neq 0$ . The  $2^p$  forms  $\pm m_1\lambda_1 \pm m_2\lambda_2 \pm \cdots \pm m_p\lambda_p$  are weights. If all the  $m_i$  are not equal, using permutations, we get at least  $p2^p$  distinct weights. If all  $m_i$  are equal, a similar set of weights generated from  $\Lambda-\lambda_1$  will give at least  $p2^p$  distinct weights. For  $p \neq 3$ ,  $p2^p > 2p^2+3p$ . For  $p=3$  it is easy to enumerate more than 27 distinct weights. Thus there is no representation of  $B_p$  as  $\mathfrak{D}(\mathfrak{J}_0)$  where the highest weight satisfies  $m_1 > 1$  and  $m_p \neq 0$ . Next we consider the case  $m_1 = m_p = 1$ . From the first string of weights listed above we see that the forms  $\sum s_i \lambda_i$ ,  $s_i = \pm 1, 0$ , are  $3^p$  distinct weights. For  $p \neq 3$ ,  $3^p > 2p^2+3p$  which is impossible. The degree of the irreducible representation corresponding to  $\Lambda = \lambda_1 + \lambda_2 + \lambda_3$  is given in Cartan [2, p. 87]. This degree is 35 which is greater than 27. Let  $m_p = 0$  and  $m_1 > 1$ . If  $\Lambda \neq 2\lambda_1$ , we may obtain from  $\Lambda$  the weights  $\pm 2\lambda_1 \pm \lambda_2$  and  $\pm \lambda_1 \pm \lambda_2$ . Taking the permutations of these weights we get  $6p(p-1)$  distinct weights which is impossible. We shall see that  $2\lambda_1$  is the highest weight of the representation of degree  $2p^2+3p$  since all other weights will be eliminated. Finally, for  $m_1 < 1$ , or  $m_1 = 1$  and  $m_p = 0$  the possible highest weights are listed in [2]. In Cartan's notation  $\Pi_1$  corresponds to an irreducible representation of degree  $2^p \neq 2p+1, 2p^2+3p$ ;  $\Pi_2$  is the highest weight of the representation of degree  $2p+1$ ;  $\Pi_3$  is the highest weight of the adjoint representation of degree  $2p^2+p+1$ . The system of weights for  $\Pi_i$ ,  $i \geq 4$ , contains the system of weights for  $\Pi_4$  which clearly has more than  $2p^2+3p$  distinct weights.

C. The Lie algebra  $C_p$  with  $n=2p \geq 4$  of class C has an irreducible representation as  $\mathfrak{D}(\mathfrak{J}_0)$  of degree  $2p^2-p-1$ . If  $\Lambda=m_1\lambda_1+\cdots+m_p\lambda_p$  is a weight of a representation of  $C_p$ , the forms  $\Lambda-2\lambda_i, \cdots, \Lambda-2m_i\lambda_i; \Lambda-(\lambda_i+\lambda_j), \cdots, \Lambda-(m_i+m_j)(\lambda_i+\lambda_j); \Lambda-(\lambda_i-\lambda_j), \cdots, \Lambda-(m_i-m_j)(\lambda_i-\lambda_j)$  are also weights and the  $m_i$  are integers.

If  $m_1 > 1$ , use of transformations of the form  $\Lambda' = \Lambda - m_j(\lambda_i + \lambda_j)$  shows that the system of weights includes  $m_1\lambda_1$  or  $m_1\lambda_1 + m_2'\lambda_2$ . For  $\Lambda' = m_1\lambda_1 + m_2'\lambda_2$ , changes of sign and permutations give  $2p(p-1)$  distinct weights and permutations of  $\Lambda' - (\lambda_1 + \lambda_2)$  give at least  $p$  more which is greater than  $2p^2-p-1$ . From  $\Lambda' = m_1\lambda_1$  we obtain  $(m_1-1)\lambda_1 + \lambda_2$ . Again changing signs and permuting the coefficients we find more than  $2p^2-p-1$  distinct weights. For  $m_1 = 1$  we know  $\Pi_1$  is the highest weight of the representation of degree  $2p$ . It is clear

that the weights  $\Pi_i$ ,  $i=3, \dots, p$ , may be eliminated. Thus  $\Pi_2=\lambda_1+\lambda_2$  is the highest weight for a representation of  $C_p$  as  $\mathfrak{D}(\mathfrak{F}_0)$ .

D. The Lie algebra  $D_p$ ,  $n=2p \geq 6$ , has representations as  $\mathfrak{D}(\mathfrak{F}_0)$  of degree  $2p$  and  $2p^2+p-1$ . It will be convenient to make the restriction  $p>4$ . If  $\Lambda=m_1\lambda_1+\dots+m_p\lambda_p$  is a weight of an irreducible representation of  $D_p$ , we may obtain from  $\Lambda$  the weights  $\Lambda-(\lambda_i+\lambda_j)$ ,  $\dots$ ,  $\Lambda-(m_i+m_j)(\lambda_i+\lambda_j)$ ;  $\Lambda-(\lambda_i-\lambda_j)$ ,  $\dots$ ,  $\Lambda-(m_i-m_j)(\lambda_i-\lambda_j)$ . The rational numbers  $m_i$  are either all integers or all fractions with denominator 2 and odd numerator.

Let  $\Lambda$  be a highest weight of an irreducible representation. We consider several cases. Let  $\Lambda$  be such that  $m_p=0$  and  $m_3 \neq 0$ . Permutations of the coefficients of  $\Lambda$ ,  $\Lambda-(m_1+m_2)(\lambda_1+\lambda_2)$ , and  $\Lambda-m_3(\lambda_3+\lambda_p)$  yield at least  $p$ ,  $p(p-1)(p-2)/2$ , and  $p(p-1)$  distinct weights. This is more than  $2p^2+p-1$  weights, for  $p>4$ . If the  $m_i$  are all integers and  $m_p \neq 0$ , the transformation  $\Lambda \rightarrow \Lambda - |m_p|(\lambda_{p-1}-\lambda_p)$  will give us a weight in the group just considered. Now consider those weights with  $m_1>1$  and all  $m_i$  fractions. The system of weights obtainable in this case clearly contains a weight with  $m_1 \geq m'_2 \geq m'_3 = \dots = m'_{p-1} = |m'_p| = 1/2$  and it is easy to see that this will generate more than  $2p^2+p-1$  distinct weights. The weights  $\Pi_1$  and  $\Pi_2$ ,  $m_i=1/2$ , for class D are the highest weights of the spin representations of  $D_p$  of degree  $2^{p-1} \neq 2p^2+p-1$ . Finally if  $m_3=0$  and  $m_1 \neq 0$ ,  $\Lambda=2\lambda_1$ ,  $\lambda_1+\lambda_2$ ,  $\lambda_1$ , or  $\Lambda$  can be transformed into a weight of the first form we considered. The weight  $\lambda_1+\lambda_2$  is the highest weight of the adjoint representation of  $D_p$  of degree  $2p^2-3p+2$ . Thus the only possible highest weights are  $\lambda_1$  and  $2\lambda_1$ . The weight  $\lambda_1$  belongs to the representation of degree  $2p$  and  $2\lambda_1$  is the highest weight of the representation of degree  $2p^2+p-1$ .

Thus we have proved:

**THEOREM 1.** *Over an algebraically closed field of characteristic 0, all irreducible representations of the Lie algebras of class  $A_n$ ,  $n \geq 2$ ,  $B_p$ ,  $p \geq 3$ ,  $C_p$ ,  $p \geq 2$ ,  $D_p$ ,  $p \geq 5$ , and  $F_4$  as  $\mathfrak{D}(\mathfrak{F}_0)$  of the same degree are similar.*

3. This section will be devoted to the proof of the following theorem.

**THEOREM 2.** *If  $D \rightarrow \tilde{D}$  is an automorphism of  $\mathfrak{D}(\mathfrak{F})$ ,  $\mathfrak{F}$  a simple Jordan algebra of degree at least 3 over an algebraically closed field  $\Omega$  of characteristic 0, and  $\mathfrak{D}(\mathfrak{F})$  is not the Lie algebra  $D_4$ , then there is a unique automorphism  $S$  of  $\mathfrak{F}$  such that  $\tilde{D} = SDS^{-1}$ .*

Using Theorem 1, we begin as in [7] and [11] by defining a linear transformation  $S$  on  $\mathfrak{F}$  such that  $\tilde{D} = SDS^{-1}$ ,  $S^*S = I$  the identity transformation on  $\mathfrak{F}$ ,  $Se = e$  the identity of  $\mathfrak{F}$ ,  $\text{Sp}(Sx)(Sy) = \text{Sp } xy$ , and  $\text{Sp } Sx = \text{Sp } x$ ,  $x, y \in \mathfrak{F}$ .

We consider Jordan algebras of degree  $n \geq 3$ . All subscripts shall be understood to have the values  $1, \dots, n$ ,  $g, i, j, k, p$ , and  $q$  will be used as distinct subscripts, and  $x_{uv}$ ,  $a_{iuv}$ , etc. will be used as elements of  $\mathfrak{A}_{uv}$ ,  $u < v$  and  $u, v = g, i, j, k, p, q$ . The derivation  $D = [R(x_{ij}), R(x_{ik})]$  acts as follows:  $De_i$

$=De_g = Da_{pq} = 0$ ,  $Da_{pj} \in \mathfrak{A}_{pk}$ ,  $Da_{pk} \in \mathfrak{A}_{pj}$ ,  $Da_{ij} \in \mathfrak{A}_{ik}$ ,  $Da_{ik} \in \mathfrak{A}_{ij}$ ,  $De_j$ ,  $De_k \in \mathfrak{A}_{jk}$ , and  $Da_{jk} \in \Omega e_i + \Omega e_j + \Omega e_k$ .

Let  $f_i = Se_i$ . Since  $S$  is nonsingular we may write

$$f_i^2 = \sum \alpha_{ih} f_h + \sum S a_{ist}, \quad s < t \text{ and } \alpha_{ih} \in \Omega.$$

To this equation we apply the derivation  $SDS^{-1}$  and obtain

$$2f_i SDS e_i = 0 = \sum \alpha_{ih} SDS e_h + \sum S D a_{ist}.$$

This implies, because of the linear independence of the subspaces involved, that (i)  $\alpha_{ij} De_j + \alpha_{ik} e_k = 0$ , (ii)  $Da_{ipj} = Da_{ipk} = 0$ , (iii)  $Da_{iij} = Da_{iik} = 0$ , and (iv)  $Da_{ijk} = 0$ . If  $x_{ij} = 1_{ij}$  and  $x_{ik} = 1_{ik}$ , 1 the identity of  $\mathfrak{B}$ , then from (i) we have  $\alpha_{ij} = \alpha_{ik}$ . In the same manner (ii) implies that  $a_{ipj} = a_{ipk} = 0$ . From [9, Lemma 1, p. 31] we see that  $Da_{iij} = (x_{ik} x_{ij}) a_{iij}$  and  $Da_{iik} = -(x_{ij} x_{ik}) a_{iik}$ . The same choice of  $x_{ij}$  and  $x_{ik}$  shows that (iii) implies  $a_{iij} = a_{iik} = 0$ . The element  $Da_{ijk}$  is in the subspace  $\Omega e_i + \Omega e_j + \Omega e_k$ . From (iv) we know that the component of  $Da_{ijk}$  in  $\Omega e_k$  is 0. Suppose  $a_{ijk} \neq 0$ , then we may choose  $x_{ij}$  so that the product  $a_{ijk} x_{ij} \in \mathfrak{A}_{ik}$  is different from 0, otherwise  $B$  would not be simple. Furthermore, since  $\Omega e_i + \Omega e_k + \mathfrak{A}_{ik}$  is a simple Jordan algebra, we may choose  $x_{ik}$  such that  $(a_{ijk} x_{ij}) x_{ik} \neq 0$ . Since  $(a_{ijk} x_{ij}) x_{ik} \in \Omega(e_i + e_k)$ , we have a contradiction and  $a_{ijk} = 0$ . If this is done for each pair  $j, k$  we get all  $S a_{ijk} = 0$  and  $\alpha_{ip} = \alpha_{iq}$ . Thus  $f_i^2$  may be written as

$$f_i^2 = \alpha_i f_i + \beta_i e$$

since  $\sum f_h = e$ .  $\text{Sp } f_i^2 = \text{Sp } e_i^2 = \text{Sp } e_i = 1$ ,  $\text{Sp } f_i = \text{Sp } e_i = 1$ , and  $\text{Sp } e = n$ , thus

$$(1) \quad f_i^2 = (1 - n\beta_i) f_i + \beta_i e.$$

To equation (1) we apply the derivation  $S[R(e_i), R(a_{ig})]S^{-1}$  and obtain

$$(2) \quad 2f_i S a_{ig} = (1 - n\beta_i) S a_{ig}.$$

Applying the same derivation to (2) and to (2) with  $i$  and  $g$  interchanged we have

$$(3) \quad 2\theta f_i (f_g - f_i) + (S a_{ig})^2 = \theta(1 - n\beta_i)(f_g - f_i)$$

and

$$(3') \quad 2\theta f_g (f_g - f_i) - (S a_{ig})^2 = \theta(1 - n\beta_g)(f_g - f_i)$$

where  $a_{ig}^2 = \theta(e_i + e_g)$ . The quantity  $a_{ig}$  may be chosen so that  $\theta \neq 0$ . Now we add (3) and (3'). Since  $e$ ,  $f_i$ , and  $f_g$  are linearly independent we may equate the coefficients of  $e$  on each side of the equation and obtain  $\beta_i = \beta_j$ . Thus (1) and (2) may be written as

$$(4) \quad f_i^2 = (1 - n\beta)f_i + \beta e$$

and

$$(5) \quad 2f_i Sa_{ig} = (1 - n\beta)Sa_{ig}.$$

For  $n \geq 4$ , let  $f_i f_g = \sum \alpha_{igh} f_h + \sum Sa_{igt}$ . If we apply the derivations  $S[R(x_{ij}), R(x_{ik})]S^{-1}$  and  $S[R(x_{gj}), R(x_{gk})]S^{-1}$  to  $f_i f_g$ , then in a manner similar to that for  $f_i^2$  we obtain  $f_i f_g = Sa_{igig} + \sum \alpha_{igh} f_h$ ,  $\alpha_{igp} = \alpha_{igq}$ . Now, use of the derivation  $S[R(e_i), R(x_{ig})]S^{-1}$  gives  $\alpha_{igi} = \alpha_{igq}$  and  $Sa_{igig} = 0$ . Thus, the product  $f_i f_g$  may be written as  $f_i f_g = \gamma_{ig}(f_i + f_g) + \delta_{ig}e$ . For  $n=3$ , the equation  $(f_i + f_g)^2 = (e - f_k)^2$  gives the same result. Since  $\text{Sp } f_i f_g = \text{Sp } e_i e_g = 0$ ,  $2\gamma_{ig} + n\delta_{ig} = 0$  and  $f_i f_g = -n\delta_{ig}(f_i + f_g)/2 + \delta_{ig}e$ .

Since  $\sum f_h = e$ ,  $f_i = f_i(\sum f_h) = f_i^2 + \sum' f_i f_h$  or

$$f_i = (1 - n\beta)f_i + \beta e + (\sum' \delta_{ih})e - n(\sum' \delta_{ih})(f_i + f_h)/2.$$

Comparing coefficients of  $f_j$  we have  $0 = \beta + \sum' \delta_{ih} - n\delta_{ij}/2$ , which implies that all  $\delta_{ij}$  are equal and  $\delta_{ij} = 2\beta/(2-n)$ . Thus

$$(6) \quad (n-2)f_i f_g = n\beta(f_i + f_g) - 2\beta e.$$

For any  $a_{gk} \in \mathfrak{A}_{gk}$  the derivation  $S[R(e_g), R(a_{gk})]S^{-1}$  applied to (6) yields

$$(7) \quad (n-2)f_i Sa_{gk} = n\beta Sa_{gk}.$$

For arbitrary  $a_{ig} \in \mathfrak{A}_{ig}$  and  $a_{ij} \in \mathfrak{A}_{ij}$  the derivations  $S[R(e_i), R(a_{ig})]S^{-1}$  and  $S[R(e_i), R(a_{ij})]S^{-1}$  applied to (7) give

$$(8) \quad (n-2)(Sa_{ig})(Sa_{gk}) = (n-2-n^2\beta)Sa_{ik},$$

where  $a_{ik} = a_{ig}a_{gk}$ , and

$$(9) \quad (Sa_{ij})(Sa_{gk}) = 0.$$

The relations (3) through (9) allow us to compute both sides of  $(f_i Sa_{ig}) \cdot (Sa_{ig})^2 = (f_i (Sa_{ig})^2) Sa_{ig}$ . If  $a_{ig}$  is chosen so that  $a_{ig}^2 \neq 0$ , then this straightforward computation will give a cubic equation for  $\beta$ . The roots of this equation, the only possible values for  $\beta$ , are  $\beta=0$ ,  $(n-2)/n^2$ , and  $2(n-2)/n^2$ . For  $\beta = (n-2)/n^2$  we have;  $f_i^2 = (2nf_i + (n-2)e)/n^2$ ,  $f_i Sa_{ig} = Sa_{ig}/n$ ,  $f_i f_g = (nf_i + nf_g - 2e)/n^2$ ,  $f_i Sa_{gk} = Sa_{gk}/n$ ,  $(Sa_{ig})(Sa_{gk}) = (Sa_{ij})(Sa_{gk}) = 0$ , and  $(Sa_{ig})^2 = 4\theta e/n$ . Since every element of  $\mathfrak{F}$  can be written in the form  $\sum \alpha_h f_h + \sum Sa_{ht}$  it is easy to see that every element of  $\mathfrak{F}$  satisfies a quadratic equation when  $\beta = (n-2)/n^2$ . This is impossible for a Jordan algebra of degree greater than 2. Hence,  $\beta=0$  or  $2(n-2)/n^2$ .

$Sx$  was defined as  $\gamma e + \sigma^{-1/2} S_1 x_0$  for  $x = \gamma e + x_0$ . Let  $S'x = \gamma e - \sigma^{-1/2} S_1 x_0$ . Then  $S'$  has all the properties we have derived for  $S$ .  $\text{Sp } x = \text{Sp } (Sx) = n\gamma$  and  $Sx + S'x = 2\gamma e$  imply  $Sx + S'x = 2(\text{Sp } x)e/n$ . Calling  $S'e_i = f_i'$ , we have  $f_i' = (2/n)e - f_i$  and

$$\begin{aligned}(f'_i)^2 &= \beta'e + (1 - n\beta')f'_i = (-\beta' + 2/n)e + (-1 + n\beta')f_i \\ &= ((2/n)e - f_i)^2 = (\beta + 4/n^2)e + (1 - n\beta - 4/n)f_i.\end{aligned}$$

Comparing coefficients we get  $\beta' = (2n-4)/n^2 - \beta$ . Thus if  $\beta = (2n-4)/n^2$ ,  $\beta' = 0$ . Therefore (by replacing  $S$  by  $S'$  if necessary) we may assume that  $\beta = 0$ .

By placing  $\beta = 0$  in (3)–(9) we see that  $S$  is an automorphism of  $\mathfrak{J}$ .

To see that  $S$  is unique, let  $R$  be an automorphism of  $\mathfrak{J}$  such that  $D \rightarrow \tilde{D} = SDS^{-1} = RDR^{-1}$ . In §1 we saw that  $R\mathfrak{J}_0 = \mathfrak{J}_0$ . Thus on  $\mathfrak{J}_0$ ,  $R^{-1}S$  commutes with all  $D$ . Hence  $R^{-1}S = \sigma I$ ,  $\sigma \neq 0$  in  $\Omega$ , on  $\mathfrak{J}_0$ ; that is,  $S = \sigma R$  on  $\mathfrak{J}_0$ . Choose  $a_{12} \in \mathfrak{A}_{12}$ ,  $a_{13} \in \mathfrak{A}_{13}$  such that  $a_{12}a_{13} = a_{23} \neq 0$  in  $\mathfrak{A}_{23}$ .  $Sa_{12} = \sigma Ra_{12}$ ,  $Sa_{13} = \sigma Ra_{13}$ , and  $Sa_{23} = (Sa_{12})(Sa_{13}) = \sigma^2(Ra_{12})(Ra_{13}) = \sigma^2 Ra_{23}$ . Hence  $\sigma = 1$ . Since  $Re = Se$ ,  $R = S$  on  $\mathfrak{J}$ .

4. In this section we assume merely that the base field  $\Phi$  is of characteristic 0. A Lie algebra  $\mathfrak{L}$  is said to be of *type* A, B, C, D, or F if  $\mathfrak{L}_\Omega$  is the Lie algebra A, B, C, D, or  $F_4$  over  $\Omega$  where  $\Omega$  is the algebraic closure of  $\Phi$ . Our determination of the Lie algebras of types A, B, C, D, and F is given in terms of the central simple Jordan algebras over  $\Phi$  of degree at least three. The restriction to Jordan algebras of degree at least three is made because the automorphism of the preceding section is not unique for algebras of degree two.

In [7] Jacobson characterizes Lie algebras of type  $G$  as the derivation algebras of Cayley algebras over  $\Phi$ . The first three theorems in this section are restatements of analogous theorems for algebras of type  $G$ . The proofs of these theorems shall be omitted here. The statements made in §1 together with known results about Jordan algebras allow us to use Jacobson's proofs.

**THEOREM 3.** *Let  $\mathfrak{J}_1$  and  $\mathfrak{J}_2$  be central simple Jordan algebras of degree at least three over a field  $\Phi$  of characteristic 0 such that  $\mathfrak{D}(\mathfrak{J}_1) \cong \mathfrak{D}(\mathfrak{J}_2)$  where  $\mathfrak{D}(\mathfrak{J}_1)$  is not of type  $D_4$ . Then there exists a unique isomorphism  $S$  between  $\mathfrak{J}_1$  and  $\mathfrak{J}_2$  such that the given isomorphism between  $\mathfrak{D}(\mathfrak{J}_1)$  and  $\mathfrak{D}(\mathfrak{J}_2)$  has the form  $D \rightarrow E = SDS^{-1}$ .*

The requirement  $\mathfrak{D}(\mathfrak{J}_1) \cong \mathfrak{D}(\mathfrak{J}_2)$  ensures that  $J_1$  and  $\mathfrak{J}_2$  have the same split algebra and the proof in [7] goes through.

**THEOREM 4.** *If  $\mathfrak{J}$  is a central simple Jordan algebra of degree at least three over a field  $\Phi$  of characteristic 0 and  $\mathfrak{D}(\mathfrak{J})$  is not of type  $D_4$ , then the group of automorphisms of  $\mathfrak{D}(\mathfrak{J})$  is isomorphic to the group of automorphisms of  $\mathfrak{J}$ .*

**THEOREM 5.** *A necessary and sufficient condition that a Lie algebra  $\mathfrak{L}$  over a field  $\Phi$  of characteristic 0 be of type A, B, C, D, or F with the exception of type  $D_4$  is that  $\mathfrak{L} \cong \mathfrak{D}(\mathfrak{J})$ ,  $\mathfrak{J}$  a central simple Jordan algebra of degree at least three over  $\Phi$ .*

From [4] it can be seen that the Lie algebras of type  $A_l$  are the derivation

algebras of the Jordan algebras of class  $A_I$  and that the Lie algebras of type  $A_{II}$  are the derivation algebras of the Jordan algebras of class  $A_{II}$ .

**THEOREM 6.** *A Lie algebra  $\mathfrak{L}$  over a field  $\Phi$  of characteristic 0 is simple with multiplication center  $P$  and of type A, B, C, D, or F with the exception of type  $D_4$  over  $P$  if and only if  $\mathfrak{L} \cong \mathfrak{D}(\mathfrak{A})$  for some simple Jordan algebra  $\mathfrak{A}$  of degree at least three with center  $P$ .*

The proof of this theorem is similar to the proof of Theorem 5 of [11].

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