ON THE ELEMENTARY IDEALS OF LINK MODULES

R. H. CROWELL(1) AND D. STRAUSS

1. **Introduction.** Let H be a free abelian multiplicative group of rank $m \ge 1$, and let Z(H) be the integral group ring of H. The augmentation ideal of H, which we denote by I(H), is the kernel of the ring homomorphism $\varepsilon: Z(H) \to Z$ defined by setting $\varepsilon(h) = 1$ for all $h \in H$. In this paper we shall use the expressions H-module and H-morphism as abbreviations for Z(H)-module and Z(H)-morphism, respectively. One H-module of interest will be the ideal I(H).

An exact sequence of H-morphisms

$$(1) 0 \to B \to A \to I(H) \to 0$$

will be called a *link module sequence* relative to a specified basis (t_1, \ldots, t_m) of H if it satisfies the algebraic conditions given at the beginning of §3. However, the motivation for the definition lies in knot theory. Consider a tame oriented link $L \subseteq S^3$ with m components embedded in an oriented 3-sphere. If m=1, then L is a knot. Let $G = \pi_1(S^3 - L)$, denote the commutator subgroup by G', and set $H = G/G' = H_1(S^3 - L)$. Then H is free abelian of rank m with a natural basis dual to L. The exact sequence $1 \to G' \to G \to H \to 1$ determines, as described in [2] and [3], an exact module sequence (1), which can be shown to be a link module sequence relative to the natural basis in H. The module B is the commutator quotient group G'/G'' written additively and with the action of H defined by conjugation in G. The module A is the "Alexander module" and has a relation matrix equal to the Alexander matrix of any finite presentation of G.

In the sequence (1) let $E_1(A)$ be the 1st elementary ideal, and Δ_1 the Alexander polynomial of the module A (the definitions are given in §2). Then,

$$\Delta_1=\gcd{(E_1(A))},$$

i.e., the ideal (Δ_1) is the smallest principal ideal containing $E_1(A)$. Let $E_0(B)$ be the 0th ideal of the module B. It is a standard result of knot theory that if m=1, then

$$E_0(B)=(\Delta_1).$$

This equation was also known to be true for m=2, see [2, p. 297]. Milnor recently conjectured the following result, which we prove in this paper.

(1.1) THEOREM. For any link module sequence (1) and any integer $m \ge 2$,

$$E_0(B) = \Delta_1 I(H)^k$$
, where $k = (m-2)(m-3)/2$.

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As we shall see, the ideal I(H) is generated by the set of relatively prime elements $t_1 - 1, \ldots, t_m - 1$. It is therefore a simple corollary of (1.1) that

$$\Delta_1 = \gcd(E_0(B)).$$

Compare Blanchfield's statement of this result [1, p. 340]. Thus, the Alexander polynomial of A is the 0th polynomial (or elementary divisor) of B.

Among the tools used in proving Milnor's conjecture, we note Proposition (4.1), which is a general algebraic theorem on multilinear mappings, and, as far as we know, is new. This paper builds on the results in [2] and uses essentially the same terminology.

2. Finitely presented modules. Let R be a commutative ring with 1. If X and Y are free R-modules and if $\partial: X \to Y$ is an R-morphism, then by the *matrix* of ∂ relative to bases (a_i) of X and (b_j) of Y we shall mean the matrix (m_{ij}) of ring elements defined by

$$\partial(a_i) = \sum_i m_{ij}b_j.$$

A presentation of an R-module A, abbreviated by $c_i \xrightarrow{\partial_2} b_j \xrightarrow{\partial_1} A$, consists of an exact sequence

$$X_2 \xrightarrow{\partial_2} X_1 \xrightarrow{\partial_1} A \longrightarrow 0$$

of R-morphisms in which X_1 and X_2 are free modules with distinguished bases (b_j) and (c_i) , respectively. The matrix of ∂_2 relative to the bases (c_i) and (b_j) is the matrix of the presentation, and is also called a relation matrix for A. The presentation is finite if both bases (c_i) and (b_j) are finite, and A is finitely presented if it has a finite presentation. A finite presentation in which (c_i) and (b_j) contain respectively n and r elements defines an $n \times r$ matrix and is called an $n \times r$ presentation.

Consider an arbitrary $n \times r$ matrix M with entries in the ring R. For every nonnegative integer k, we define the kth elementary ideal of M, denoted by $E_k(M)$, to be the ideal of R generated by all $(r-k)\times(r-k)$ minor determinants of M. In addition, we set $E_k(M)=0$ if n < r-k, and $E_k(M)=R$ if $r-k \le 0$. It can be proved that if M and M' are any two finite relation matrices of the same R-module, then $E_k(M)=E_k(M')$ for all $k=0,1,2,\ldots$ As a result, we define the kth elementary ideal $E_k(A)$ of a finitely presented R-module A to be the kth elementary ideal of any finite relation matrix for A.

If R is a ufd and if A is finitely presented, then the kth elementary divisor Δ_k of any $n \times r$ relation matrix is the gcd of all $(r-k) \times (r-k)$ minor determinants, with the proviso that $\Delta_k = 0$ if n < r - k and that $\Delta_k = 1$ if $r - k \le 0$. It is straightforward to verify that the ideal (Δ_k) is the intersection of all principal ideals of R which contain $E_k(A)$. Thus the elementary divisors are also invariants of A. If R is the integral

group ring of the free abelian multiplicative group H with basis (t_1, \ldots, t_m) , then Δ_k is called the kth polynomial of A, and Δ_1 is the Alexander polynomial.

3. Link module sequences. Let H be a free abelian multiplicative group of rank $m \ge 1$. An exact sequence of H-morphisms

$$0 \longrightarrow B \xrightarrow{\psi} A \xrightarrow{\phi} I(H) \to 0$$

is a *link module sequence* relative to a basis (t_1, \ldots, t_m) of H if the module A has an $n \times (n+1)$ presentation $c_i \xrightarrow{\partial_2} b_j \xrightarrow{\partial_1} A$ such that $m \le n+1$ and

$$\phi \partial_1(b_j) = t_j - 1$$
, if $1 \le j \le m$,
= 0, if $m+1 \le j \le n+1$.

This definition is less restrictive than the one given in [2]. The reason is simply that these conditions are sufficient to enable us to prove Theorem (1.1).

In the remainder of this section we show how to construct a finite presentation of the module B in the sequence (2), given the special $n \times (n+1)$ presentation $c_i \xrightarrow{\partial_2} b_j \xrightarrow{\partial_1} A$. It is known [4, p. 189] that there exists an exact sequence of H-morphisms

$$Y_3 \xrightarrow{\alpha_3} Y_2 \xrightarrow{\alpha_2} Y_1 \xrightarrow{\alpha_1} I(H) \longrightarrow 0,$$

in which Y_1 , Y_2 , and Y_3 are free with bases

$$(e_j), \qquad 1 \leq j \leq m,$$

$$(e_{ij}), \qquad 1 \leq i < j \leq m,$$

$$(e_{ijk}), \qquad 1 \leq i < j < k \leq m,$$

respectively, and for which

$$\alpha_1(e_j) = t_j - 1,$$

$$\alpha_2(e_{ij}) = (t_i - 1)e_j - (t_j - 1)e_i,$$

$$\alpha_3(e_{ijk}) = (t_i - 1)e_{jk} - (t_j - 1)e_{ik} + (t_k - 1)e_{ij}.$$

If m=1, then $Y_2=Y_3=0$; and if m=2, then $Y_3=0$. The existence of the epimorphism α_1 shows that I(H) is generated by the elements t_1-1, \ldots, t_m-1 .

The presentation $c_i \xrightarrow{\partial_2} b_j \xrightarrow{\partial_1} A$ consists of an exact sequence

$$X_2 \xrightarrow{\partial_2} X_1 \xrightarrow{\partial_1} A \longrightarrow 0$$

in which X_1 and X_2 are free with bases (b_i) and (c_i) , respectively. Since

$$\alpha_1(e_j) = t_j - 1 = \phi \partial_1(b_j), \qquad 1 \leq j \leq m,$$

we can identify e_j and b_j for $1 \le j \le m$. Setting W equal to the free submodule of X_1

with basis $(b_{m+1}, \ldots, b_{n+1})$, we obtain the following commutative diagram, in which π_1 is the projection onto the first summand,

$$X_{1} = Y_{1} \oplus W \xrightarrow{\partial_{1}} A$$

$$\downarrow^{\pi_{1}} \qquad \downarrow^{\phi}$$

$$Y_{1} \xrightarrow{\alpha_{1}} I(H)$$

It is straightforward to verify that there exists a unique morphism β_1 such that $\psi\beta_1 = \partial_1(\alpha_2 \oplus 1_W)$, as shown in Figure 1. The morphism $1_W \colon W \to W$ is the identity. Moreover, β_1 is an epimorphism. One may also check that

Image
$$(\partial_2) \subseteq \text{Image } (\alpha_2 \oplus 1_W),$$

and, since X_2 is projective, there exists a (generally not unique) morphism β such that $\partial_2 = (\alpha_2 \oplus 1_W)\beta$. Finally, therefore, we obtain the mapping diagram shown in Figure 1, in which $\beta_2 | Y_3 = \alpha_3$ and $\beta_2 | X_2 = \beta$. It is not difficult to show that the top row is exact, and this row therefore gives a finite presentation of the module B.

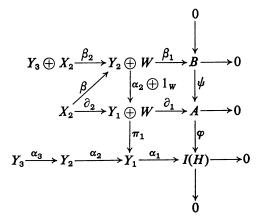


FIGURE 1

- 4. Multilinear mappings. The relation between the elementary ideals $E_1(A)$ and $E_0(B)$ is found by studying the matrices of the various morphisms of free modules shown in Figure 1. Before doing this, however, we prove the following general algebraic result. For any set A and positive integer n, denote by A^n the cartesian product of A with itself n times.
- (4.1) PROPOSITION. Let R be a commutative ring without zero divisors, A an R-module, and $f, g: A^n \to R$ two multilinear mappings such that $g(a_1, \ldots, a_n) = 0$ whenever $f(a_1, \ldots, a_n) = 0$. If there exist elements $r, s \in R$ and $b_1, \ldots, b_n \in A$ such that

$$rf(b_1,\ldots,b_n)=sg(b_1,\ldots,b_n)\neq 0,$$

then rf = sg.

Proof. By induction on n. Let n=1, and assume that

$$rf(b) = sg(b) \neq 0.$$

Consider an arbitrary element $a \in A$. Then,

$$f(f(a)b-f(b)a) = f(a)f(b)-f(b)f(a) = 0.$$

Hence,

$$0 = g(f(a)b - f(b)a) = f(a)g(b) - f(b)g(a),$$

from which we obtain f(a)g(b)=f(b)g(a) and, thence, sf(a)g(b)=sf(b)g(a). It follows from (4) that rf(a)f(b)=sf(a)g(b). Hence, rf(a)f(b)=sf(b)g(a). Since R has no zero divisors, it also follows from (4) that $f(b)\neq 0$. We conclude that rf(a)=sg(a).

Next, we assume that n > 1 and that $rf(b_1, ..., b_n) = sg(b_1, ..., b_n) \neq 0$. Let $a_1, ..., a_n$ be arbitrary elements of A. It follows by induction that

(5)
$$rf(a_1, b_2, \ldots, b_n) = sg(a_1, b_2, \ldots, b_n),$$

(6)
$$rf(b_1, a_2, \ldots, a_n) = sg(b_1, a_2, \ldots, a_n).$$

Case 1. $g(a_1, b_2, ..., b_n) \neq 0$. We know that $s \neq 0$ and, consequently, that $sg(a_1, b_2, ..., b_n) \neq 0$. Hence, from (5), using induction, we obtain $rf(a_1, ..., a_n) = sg(a_1, ..., a_n)$.

Case 2. $g(a_1, b_2, \ldots, b_n) = 0$. By induction we have

(7)
$$rf(a_1+b_1,b_2,\ldots,b_n) = sg(a_1+b_1,b_2,\ldots,b_n).$$

But

$$g(a_1+b_1, b_2, \ldots, b_n) = g(a_1, b_2, \ldots, b_n) + g(b_1, \ldots, b_n)$$

= $g(b_1, \ldots, b_n) \neq 0$.

Consequently, we may apply induction to (7) and conclude that

$$rf(a_1+b_1, a_2, \ldots, a_n) = sg(a_1+b_1, a_2, \ldots, a_n).$$

Using multilinearity and equation (6), we then obtain

$$rf(a_1,\ldots,a_n)=sg(a_1,\ldots,a_n).$$

This completes the proof.

The essential method of relating the ideals $E_0(B)$ and $E_1(A)$ is an application of Proposition (4.1) and is described in §6. The next section contains in part rather tedious, but unfortunately necessary, details. We recommend reading the statements of the results and skipping the proofs.

5. The resolution of I(H). We refer here to the exact sequence (3). The group ring Z(H) of the free abelian group H is an integral domain, and we may consider

its field F of fractions. If $\gamma: X \to X'$ is any H-morphism, we define the rank and nullity of γ to be the rank and nullity respectively of the linear transformation

$$1 \otimes \gamma : F \otimes_H X \to F \otimes_H X'$$
.

If X is a free module of rank n, then

$$n = \text{nullity } (\gamma) + \text{rank } (\gamma).$$

If both X and X' are free and if γ is represented by an $n \times n'$ matrix, then the rank of γ is equal to the rank of this matrix, i.e., to the number of independent rows (or columns). Finally, if $X \xrightarrow{\gamma} X' \xrightarrow{\gamma'} X''$ is an exact sequence of H-morphisms, then the induced sequence $F \otimes_H X \to F \otimes_H X' \to F \otimes_H X''$ is also exact [5, p. 170], and so the rank of γ is equal to the nullity of γ' .

For each of the two integers i=2 and 3, we denote by $N_i(m)$ the matrix of the morphism α_i in the sequence (3) relative to the given bases of Y_i and Y_{i-1} . The notation ${}_{i}C_k$ has been used throughout the paper for the combinatorial number

$$\binom{j}{k} = \frac{j!}{k!(j-k)!}.$$

(5.1) The rank of $N_2(m)$ is equal to m-1, and the rank of $N_3(m)$ is equal to m-1.

Proof. We may regard the morphism α_1 as a mapping $\alpha_1: Y_1 \to Z(H)$ between free modules. As such, it obviously has rank 1 and nullity m-1. Since $Y_2 \xrightarrow{\alpha_2} Y_1 \xrightarrow{\alpha_1} Z(H)$ is exact,

rank
$$(\alpha_2)$$
 = nullity (α_1) = $m-1$.

Hence,

nullity
$$(\alpha_2) = {}_{m}C_2 - \text{rank}(\alpha_2) = {}_{m}C_2 - (m-1) = {}_{m-1}C_2$$
.

Since $Y_3 \xrightarrow{\alpha_3} Y_2 \xrightarrow{\alpha_2} Y_1$ is exact,

rank
$$(\alpha_3)$$
 = nullity $(\alpha_2) = {}_{m-1}C_2$.

This completes the proof, since rank $N_2(m) = \text{rank } (\alpha_2)$ and rank $N_3(m) = \text{rank } (\alpha_3)$. As noted above, the augmentation ideal I(H) is generated by the set of relatively prime elements $t_1 - 1, \ldots, t_m - 1$. For convenience, we shall adopt the abbreviation

$$s_i = t_i - 1, \qquad 1 \leq j \leq m.$$

Then,

$$\alpha_1(e_j) = s_j,$$

$$\alpha_2(e_{ij}) = s_i e_j - s_j e_i,$$

$$\alpha_3(e_{ijk}) = s_i e_{jk} - s_j e_{ik} + s_k e_{ij}.$$

The columns of the matrix $N_2(m)$ are indexed by the integers j with $1 \le j \le m$. The rows of $N_2(m)$, and likewise the columns of $N_3(m)$, are indexed by pairs ij of integers

with $1 \le i < j \le m$. The rows of $N_3(m)$ are indexed by triples ijk of integers with $1 \le i < j < k \le m$.

A form of the matrix $N_2(m)$, particularly useful for arguments by induction on m, is obtained if the rows and columns are arranged as shown in Figure 2. Moreover, this form provides a simple alternative proof that rank $N_2(m) = m - 1$. It is easy to verify that any row corresponding to a pair not containing m is a linear combination, over the quotient field F, of the first m-1 rows.

			1	2	3		m-1	m
	pairs containing m	1 <i>m</i>	$-s_m$	0	0			s_1
		2 <i>m</i>	0	$-s_m$	0			s_2
		3 <i>m</i>	0	0	$-s_m$			s_3
$N_2(m) =$:				· ·		
	m-1, m						$-s_m$	S_{m-1}
	pairs not con- taining m			$N_2(m$	–1)			0

FIGURE 2

(5.2) Consider any product $u = s_1^{q_1} s_2^{q_2} \cdots s_m^{q_m}$, where $0 < q_1 + \cdots + q_m \le m - 1$. If $q_i \ge 1$, then $\pm u$ is equal to a minor determinant of $N_2(m)$ which does not contain column i.

Proof. By induction on m. For m=2, we have $N_2(2)=(-s_2, s_1)$, and the proposition is obviously true. So we assume that m>2 and that the statement holds for m-1. Next, without loss of generality, we may suppose that i=m. This fact is possibly not quite so obvious as it may first seem. The morphism α_2 has been defined relative to an ordering of the generators s_1, \ldots, s_m . A reordering has the effect of permuting rows and columns of $N_2(m)$ and of changing the sign of some of the rows. Having disposed of this point and assuming that i=m, we consider two cases. First, if $q_1 + \cdots + q_{m-1} = 0$, then $u = s_m^{q_m}$ and $\pm u$ is equal to the determinant of a principal minor of the upper lefthand block of the matrix in Figure 2. This minor clearly does not involve column m. Second, suppose that $q_1 + \cdots + q_{m-1} > 0$. Then, since $q_m \ge 1$, we have $0 < q_1 + \cdots + q_{m-1} \le m - 2$. If we set $u' = s_1^{q_1} s_2^{q_2} \cdots s_{m-1}^{q_{m-1}}$, then, by induction, $\pm u'$ is equal to a minor determinant of $N_2(m-1)$, which may be regarded as lying in the lower lefthand block of the matrix in Figure 2. It involves $q_1 + \cdots + q_{m-1}$ columns, leaving at least q_m of the first m-1 columns uninvolved.

By adjoining q_m of these columns, together with the rows in which their nonzero entries occur, to the minor with determinant $\pm u'$, we obtain a minor of $N_2(m)$ with determinant $\pm u$. As required, it does not involve column m.

We adopt the convention that the 0×0 null matrix has determinant 1 and is a minor of every matrix.

(5.3) If the product $u = \pm s_1^{q_1} \cdots s_m^{q_m}$ with $q_1 + \cdots + q_m \le m-1$ is equal to the determinant of a minor U of the matrix $N_2(m)$ and if v is a factor of u, then $\pm v$ is equal to the determinant of a subminor of U.

Proof. The statement is obviously true for m=2; so we assume that m>2. Let $v=s_1^{r_1}\cdots s_m^{r_m}$, where $r_1\leq q_1,\ldots,r_m\leq q_m$. The minor U contains at most m-1 columns of $N_2(m)$, and, without loss of generality, we may suppose that column m is not involved. Clearly $\pm s_m^{q_m}$ is equal to the determinant of a subminor U' of U which lies in the upper lefthand block of Figure 2. The factor $\pm s_1^{q_1}\cdots s_{m-1}^{q_{m-1}}$ is the determinant of a subminor U' which is complementary to U' in U and is a minor of $N_2(m-1)$. Since the rank of $N_2(m-1)$ is m-2, it follows that $q_1+\ldots+q_{m-1}\leq m-2$. Obviously, $\pm s_m^{r_m}$ is equal to the determinant of a subminor V' of U', and, by induction, $\pm s_1^{r_1}\cdots s_{m-1}^{r_{m-1}}$ is the determinant of a subminor V'' of U''. The subminor of U containing the rows and columns of both V' and V'' has determinant equal to $\pm v$.

The remainder of this section is devoted to proving Proposition (5.6), which is essentially the analogue of (5.2) for the matrix $N_3(m)$. We recall that $N_3(m)$ is the ${}_{m}C_3 \times {}_{m}C_2$ matrix of the morphism α_3 and that its rank is equal to ${}_{m-1}C_2$. A convenient arrangement of its rows and columns is shown in Figure 3.

		pairs without <i>m</i> 12	pairs with m 1m, 2m m-1, m
	12 <i>m</i>	$s_m = 0$	
	triples	$0 s_m$	
$N_3(m) =$	with <i>m</i>	· ·	$N_2(m-1)$
	m-2, m-1, m	S_m	
	triples without m	$N_3(m-1)$	0

FIGURE 3

Let Σ be an arbitrary $_{m-1}C_2 \times _m C_2$ minor of $N_3(m)$ having independent rows. (We may alternatively think of Σ as a choice of $_{m-1}C_2$ independent rows of $N_3(m)$.)

Denote by $N(\Sigma)$ the set of all $_{m-1}C_2 \times _{m-1}C_2$ minors of Σ , and by $N(\hat{m})$ the set of all $(m-1)\times (m-1)$ minors of $N_2(m)$ which do not involve column m. There exists a 1-1 correspondence

$$\tau_{\Sigma} \colon \mathbf{N}(\Sigma) \to \mathbf{N}(\hat{m})$$

defined as follows: Each minor $S \in \mathbb{N}(\Sigma)$ involves $_{m-1}C_2$ of the columns of $N_3(m)$ and leaves $_mC_2-_{m-1}C_2=m-1$ columns uninvolved. We define $\tau_{\Sigma}(S)$ to be the minor of $N_2(m)$ which omits column m and involves the m-1 rows corresponding to those m-1 columns of $N_3(m)$ not involved in S.

(5.4) There exist nonzero elements r and s in Z(H), dependent on Σ , such that, for every $S \in \mathbf{N}(\Sigma)$,

$$r \det(S) = \pm s \det \tau_{\Sigma}(S).$$

This lemma is derived from our general theorem on multilinear mappings, i.e., Proposition (4.1). We omit the proof at the moment, since in §6 a somewhat more general result is proved from which (5.4) follows as a corollary.

We introduce the next lemma with the apologetic comment that it turns out to be useful in the proof of Proposition (5.6). Incidentally, if $u = s_1^{q_1} \cdots s_m^{q_m}$ is any product of nonnegative integral powers of the primes s_1, \ldots, s_m in Z(H), then by the *order* of u we mean the sum $q_1 + \cdots + q_m$.

- (5.5) For any integer $m \ge 3$, consider a product $u = s_1^{q_1} \cdots s_{m+1}^{q_{m+1}}$, where $q_1 + \cdots + q_{m+1} \le {}_m C_2$ and, in addition,
 - (i) q_{m+1} is maximal, i.e., $q_1, \ldots, q_m \leq q_{m+1}$,
 - (ii) either q_1, \ldots, q_m are all even or q_m is odd.

If $u' = s_1^{q_1} \cdots s_m^{q_m}$ and if $q_1 + \cdots + q_m >_{m-1} C_2$, then we can write $u' = u_1 u_2$, where u_1 is a product of order $_{m-1} C_2$ and $u_2 | u_1 s_m$.

Proof. For each $i=1,\ldots,m$, set

$$r_i = \begin{cases} q_i/2, & \text{if } q_i \text{ is even,} \\ (q_i+1)/2, & \text{if } q_i \text{ is odd.} \end{cases}$$

Case 1. All the integers q_1, \ldots, q_m are even. Since $q_1 + \cdots + q_m >_{m-1} C_2 \ge 1$, at least one of q_1, \ldots, q_m is positive, from which it follows that $q_{m+1} \ge 2$. Hence,

$$r_1 + \cdots + r_m = \frac{1}{2}(q_1 + \cdots + q_m) \leq \frac{1}{2}({}_mC_2 - 2).$$

It is straightforward to check that $\frac{1}{2} {}_{m}C_{2} - 1 \le {}_{m-1}C_{2}$ if and only if $0 \le m^{2} - 5m + 8 = (m-2)(m-3) + 2$. Since the last expression is always positive, we conclude that

$$r_1+\cdots+r_m\leq {}_{m-1}C_2.$$

Now choose integers p_1, \ldots, p_m such that $r_i \le p_i \le q_i$ and $p_1 + \cdots + p_m = {}_{m-1}C_2$. Clearly $q_i - p_i \ge 0$, and we set

$$u_1 = S_1^{p_1} \cdots S_m^{p_m}, u_2 = S_1^{q_1 - p_1} \cdots S_m^{q_m - p_m}.$$

The order of u_1 is $_{m-1}C_2$. Moreover, $q_i - p_i \le r_i \le p_i$. Hence, $u_2 | u_1$, and this completes the proof for Case 1.

Case 2. The integer q_m is odd, and either $q_{m+1} \ge 2$ or $m \ge 4$. In this case we shall show that $r_1 + \cdots + r_m - 1 \le {}_{m-1}C_2$. To begin with,

$$r_1 + \cdots + r_m \le \frac{q_1 + \cdots + q_m + m}{2} = \frac{1}{2} (q_1 + \cdots + q_m) + \frac{m}{2}$$

If $q_{m+1} \ge 2$, then $q_1 + \cdots + q_m \le {}_m C_2 - 2$. If $q_{m+1} < 2$, then $m \ge 4$ and $q_i < 2$ for each $i = 1, \ldots, m$, which implies that $q_1 + \cdots + q_m \le m$. But $m \le {}_m C_2 - 2$ if and only if $0 \le (m-4)(m+1)$, and the latter inequality holds if $m \ge 4$. Thus, either way, we have

$$q_1 + \cdots + q_m \leq {}_mC_2 - 2.$$

Hence,

$$r_1 + \cdots + r_m \le \frac{1}{2} ({}_m C_2 - 2) + m/2,$$

 $r_1 + \cdots + r_m - 1 \le \frac{1}{2} {}_m C_2 - 2 + m/2.$

It is routine to check that $\frac{1}{2} {}_{m}C_{2} - 2 + m/2 \le {}_{m-1}C_{2}$ if and only if $(m-4)(m-3) \ge 0$, and the latter inequality holds for every integer m. So

$$r_1 + \cdots + r_m - 1 \leq m - 1 \leq$$

Choose integers p_1, \ldots, p_m such that $r_i \le p_i \le q_i$, for $i = 1, \ldots, m-1$, while $r_m - 1 \le p_m \le q_m$, and $p_1 + \cdots + p_m = {}_{m-1}C_2$. Set

$$u_1 = s_1^{p_1} \cdots s_m^{p_m}, \qquad u_2 = s_1^{q_1 - p_1} \cdots s_m^{q_m - p_m}.$$

For each $i=1,\ldots,m-1$, we have $q_i-p_i \le r_i \le p_i$, whereas $q_m-p_m \le r_m \le p_m+1$. It follows that $u_2|u_1s_m$, and the proof of Case 2 is completed.

Case 3. q_m is odd, $q_{m+1} < 2$, and m=3. In this case, $q_4 = q_3 = 1$. Since $q_1 + q_2 + q_3 >_{3-1}C_2 = 1$, we know that $q_1 + q_2 \ge 1$. On the other hand, $q_1 + \cdots + q_4 \le {}_3C_2 = 3$; hence $q_1 + q_2 \le 1$. It follows that one of q_1 and q_2 is 0 and the other is 1. Suppose that $q_1 = 0$ and $q_2 = 1$. Then $u = s_2 s_3 s_4$ and $u' = s_2 s_3$. If we set $u_1 = s_2$ and $u_2 = s_3$, then u_1 has order $1 = {}_{3-1}C_2$ and $u_2|u_1s_3$. The argument is the same if $q_1 = 1$ and $q_2 = 0$. So this completes the proof of Case 3, and also, of Lemma (5.5).

As an aid in reading the proof of Proposition (5.6), the matrix $N_3(m+1)$ is illustrated in Figure 4. It is, of course, entirely analogous to $N_3(m)$ as shown in Figure 3.

(5.6) PROPOSITION. If $u = s_1^{q_1} \cdots s_{m+1}^{q_{m+1}}$ is any product in which $q_1 + \cdots + q_{m+1} \le {}_m C_2$, then $\pm u$ is equal to a minor determinant of $N_3(m+1)$.

Proof. The proof, which is by induction on m, begins with m=2. In this case $N_3(m+1)=N_3(3)$ is the 1×3 matrix

		pai 12	rs without $m+1$	pairs with $m+1$ 1, $m+1$ m , $m+1$
	12, m+1	S_{m+1}	0	
	triples	0	S_{m+1}	
37 (. 1)	with $m+1$			$N_2(m)$
$N_3(m+1) =$			•	
	m-1, m, m+1		S_{m+1}	1
	triples without $m+1$		$N_3(m)$	0

FIGURE 4

and (5.6) is obviously true. We henceforth assume that $m \ge 3$ and make the inductive assumption that the statement of the proposition holds for $2, \ldots, m-1$.

We next establish two important observations. The first is that, if a product $w = s_1^{q_1} \cdots s_m^{q_m}$ satisfies $q_1 + \cdots + q_m \le_{m-1} C_2$ and if $q_m \ge m-2$, then $\pm w$ is equal to the determinant of a minor of $N_3(m)$ which does not involve any column of the form im. This is certainly true for m=3, as can be seen from (8). So we shall assume that $m \ge 4$. Since $_{m-1}C_2 - (m-2) =_{m-2}C_2$, we have $q_1 + \cdots + q_{m-1} \le_{m-2}C_2$. The inductive assumption implies that (5.6) holds with m replaced by m-2. We conclude that $\pm s_1^{q_1} \cdots s_{m-1}^{q_{m-1}}$ is equal to the determinant of a minor of $N_3(m-1)$, which may be regarded as lying in the lower lefthand block of the matrix in Figure 3. By adjoining q_m of the first $_{m-1}C_2$ columns of this matrix, together with the rows in which their nonzero entries s_m occur, we can clearly produce a minor of $N_3(m)$ with determinant $\pm w$ which does not involve any column im.

The second observation is less trivial than it first appears. Namely, if Proposition (5.6) holds for the natural ordering $1 < 2 < \cdots < m+1$, then it also holds for any ordering of the indices. To show this, we suggest considering the effect on the matrix $N_3(m)$ of interchanging the order of i and i+1. Ignoring permutations of rows and columns, we find that a given entry changes sign if and only if both i and i+1 occur in its row label and either i or i+1, but not both, occur in its column label. Thus, the total sign change can be accomplished by changing the sign of every row containing both i and i+1 in its label and then changing the sign of the one column containing both i and i+1.

Using the inductive assumption, we now prove (5.6). As a result of the second observation above, it may be supposed that the indices are ordered so that q_{m+1} is maximal, and so that q_m is odd unless q_1, \ldots, q_m are all even. It may also be assumed that $q_{m+1} \ge 1$, since otherwise u=1. We set $u'=s_1^{q_1} \cdots s_m^{q_m}$.

Case 1. $q_1 + \cdots + q_m \le_{m-1} C_2$. Then, by induction, $\pm u'$ is equal to the determinant of a minor of $N_3(m)$, which we may regard as lying in the lower lefthand block

of Figure 4. Since $q_1 + \cdots + q_m + q_{m+1} \le {}_m C_2$, there are at least q_{m+1} of the first ${}_m C_2$ columns of $N_3(m+1)$ which do not involve the columns of this minor. By adjoining q_{m+1} of these columns, together with the rows in which their nonzero entries s_{m+1} occur, we construct a minor of $N_3(m+1)$ with determinant $\pm u$.

Case 2. $q_1 + \cdots + q_m >_{m-1} C_2$. Using Lemma (5.5), we write $u' = u_1 u_2$, where u_1 is a product of order $_{m-1} C_2$ and $u_2 | u_1 s_m$. Since

order
$$(u') \leq {}_{m}C_{2} - q_{m+1} \leq {}_{m}C_{2} - 1$$
,

we have

order
$$(u_2) \leq {}_{m}C_2 - 1 - {}_{m-1}C_2 = m-2.$$

Let $u_1 s_m = u_2 v$. Then,

order
$$(u_2)$$
 + order $(v) = {}_{m-1}C_2 + 1$,

and it is easy to check that $_{m-1}C_2+1 \ge m-1$ if and only if $(m-3)(m-2) \ge 0$. Hence,

order
$$(u_2)$$
 + order $(v) \ge m-1$.

It follows that there exists a nontrivial factor v' of v such that order $(u_2v')=m-1$. Moreover, since v' is nontrivial and since either u_2 or v has s_m as a factor, we may select v' so that the product u_2v' contains the factor s_m . We set

$$w = (v/v')s_m^{m-2} = (u_1s_m/u_2v')s_m^{m-2}.$$

Clearly

order
$$(w) = \text{order } (u_1) = {}_{m-1}C_2.$$

Since $s_m^{m-2}|w$, it is a consequence of the first observation above that there exists a minor S of $N_3(m)$ such that $\det(S) = \pm w$ and which does not involve any column of the form im. Let Σ be the $_{m-1}C_2 \times _m C_2$ minor of $N_3(m)$ of which S is a subminor. From the definition of the correspondence τ_{Σ} , it follows that $\tau_{\Sigma}(S)$ is the diagonal minor of $N_2(m)$ which forms the upper lefthand block in Figure 2. Hence,

$$\det \tau_{\Sigma}(S) = \pm s_m^{m-1}.$$

By Lemma (5.4), there exist nonzero elements r and s in Z(H) (dependent on Σ) such that $r \det(S) = \pm s \det \tau_{\Sigma}(S)$, that is,

$$rw = \pm ss_m^{m-1}.$$

Since order $(u_2v')=m-1$ and since u_2v' contains the factor s_m , it is a corollary of Lemma (5.2) that there exists a minor U of $N_2(m)$ with $\det(U)=\pm u_2v'$ and which does not involve column m. Let V be the minor of $N_3(m)$ such that $\tau_{\Sigma}(V)=U$. Then,

$$r \det(V) = \pm s \det(U) = \pm s u_2 v'$$
.

Hence, from (9) and the definition of w, we obtain

$$\det(V) = \pm (w/s_m^{m-1})u_2v' = \pm (u_1s_ms_m^{m-2}/u_2v's_m^{m-1})u_2v' = \pm u_1.$$

Next, using Lemma (5.3), we choose a subminor U' of U with the property that $\det(U') = \pm u_2$.

The remainder of the proof of Case 2 is quite simple. The minor V lies in the lower lefthand block of Figure 4, while U lies in the upper righthand block. Since $U=\tau_{\Sigma}(V)$, the definition of τ_{Σ} implies that any column of V and row of U, when extended to $N_3(m+1)$, must intersect in the entry 0. Moreover, since $q_1+\cdots+q_m\geq_{m-1}C_2$, we know that $q_{m+1}\leq m-1$. Hence, we may choose q_{m+1} additional columns (i.e., not involved in V) from the first ${}_mC_2$ columns of $N_3(m+1)$ to finally produce a minor Q with the structure shown in Figure 5. The determinant of Q will be evaluated using the general Laplace expansion [6, p. 112]. For this purpose, we divide Q horizontally into two minors Q_1 and Q_2 , where Q_1 consists of the top six blocks and Q_2 consists of the bottom three. Then, any ${}_{m-1}C_2\times_{m-1}C_2$ subminor of Q_2 either is V, or contains a column

	S_{m+1}		
	S_{m+1}		
	•	0	*
Q =			
	S _{m+1}		
	0	0	U'
	**	V	0

FIGURE 5

of zeros, or has a cofactor with a column of zeros. We conclude that

$$\det(Q) = \pm \det(V) s_{m+1}^{q_{m+1}} \det(U')$$
$$= \pm u_1 u_2 s_{m+1}^{q_{m+1}} = \pm u.$$

This completes the proof of Case 2, and also of Proposition (5.6).

6. **Proof of Theorem (1.1).** We return to Figure 1 and consideration of the matrices of morphisms of free modules shown there. All matrices will be chosen relative to the bases discussed in §3. Let M be the matrix of the original $n \times (n+1)$ presentation of the module A. Thus, $M = \text{matrix}(\partial_2)$, and we write $M = (M_1 \quad M_2)$, where M_1 consists of the first m columns and M_2 of the last n+1-m. Then matrix (β) is an $n \times ({}_m C_2 + n + 1 - m)$ matrix of the form ($M' \quad M_2$). Set

$$N = \begin{pmatrix} N_2 & 0 \\ 0 & I \end{pmatrix},$$

where $N_2 = N_2(m)$ is the matrix of α_2 and I is the $(n+1-m) \times (n+1-m)$ identity matrix. Since $(\alpha_2 \oplus 1_w)\beta = \partial_2$, it follows that

$$(M' \quad M_2)N = (M'N_2 \quad M_2) = (M_1 \quad M_2) = M.$$

The matrix of β_2 , which we denote by P, is the $(n + {}_mC_3) \times ({}_mC_2 + n + 1 - m)$ matrix

$$P = \begin{pmatrix} M' & M_2 \\ N_3 & 0 \end{pmatrix},$$

where $N_3 = N_3(m)$ is the matrix of α_3 . Since $\alpha_2 \alpha_3 = 0$, we have $N_3 N_2 = 0$, and so

$$PN = \begin{pmatrix} M'N_2 & M_2 \\ N_3N_2 & 0 \end{pmatrix} = \begin{pmatrix} M_1 & M_2 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} M \\ 0 \end{pmatrix}.$$

In order to compute the elementary ideal $E_0(B)$, we consider the determinants of all square minors of P which involve all ${}_{m}C_2+n+1-m$ columns of P. Since

$$_{m}C_{2}+n+1-m = _{m}C_{2}-(m-1)+n = _{m-1}C_{2}+n$$

and since, as was shown in (5.1), the rank of N_3 is $_{m-1}C_2$, it follows that any such minor will have a nonzero determinant only if it involves precisely $_{m-1}C_2$ independent rows of N_3 .

Thus, we again consider maximal independent sets of rows of N_3 . Let q be an arbitrary integer such that $q \ge m-1$. In the application to the present situation of the construction which follows, we shall let q = n. For the proof of Lemma (5.4), we shall take q = m-1. Consider the free Z(H)-module

$$D = (Z(H))^{mC_2 + q - (m-1)},$$

whose elements will be considered as tuples, or row matrices, with entries in Z(H). Let Σ be any $_{m-1}C_2 \times (_mC_2 + q - (m-1))$ minor of the matrix

$$\frac{ | {}_{m}C_{2} | q - (m-1)}{ N_{3} | 0}$$

having independent rows, which we denote by $\sigma_1, \ldots, \sigma_{m-1}C_2$. For each Σ , we define two multilinear mappings $f, g: D^q \to Z(H)$ as follows: For any $d_1, \ldots, d_q \in D$, the matrix

$$T = egin{pmatrix} d_1 \ dots \ d_q \ \Sigma \end{pmatrix}$$

has $q +_{m-1}C_2$ rows and ${}_{m}C_2 + q - (m-1)$ columns and is therefore square. We set $f(d_1, \ldots, d_q) = \det(T)$. Let I be the $(q - (m-1)) \times (q - (m-1))$ identity matrix, and set

$$N = \begin{pmatrix} N_2 & 0 \\ 0 & I \end{pmatrix}.$$

The product TN is defined, and, since $N_3N_2=0$, we have $\sigma_i N=0$. Hence,

$$TN = \begin{pmatrix} \frac{d_1 N}{\vdots} \\ \frac{d_q N}{\sigma_1 N} \\ \vdots \\ \sigma_{-1}, G_2 N \end{pmatrix} = \begin{pmatrix} \frac{d_1 N}{\vdots} \\ \frac{d_q N}{0} \\ \vdots \\ 0 \end{pmatrix}$$

The matrix TN has m+(q-(m-1))=q+1 columns, the first m of which correspond to the columns of N_2 . We define $g(d_1, \ldots, d_q)$ to be the determinant of the minor of TN obtained by deleting the mth column and the last $_{m-1}C_2$ rows, which are zero.

(6.1) If
$$f(d_1, \ldots, d_n) = 0$$
, then $g(d_1, \ldots, d_n) = 0$.

Proof. Since det (T)=0, there exist elements $u_1, \ldots, u_q, v_1, \ldots, v_{m-1}C_2$ in Z(H) which are not all zero and for which

$$0 = u_1 d_1 + \cdots + u_q d_q + v_1 \sigma_1 + \cdots + v_{m-1} c_2 \sigma_{m-1} c_2.$$

Since $\sigma_1, \ldots, \sigma_{m-1}C_2$ are independent, we know that u_1, \ldots, u_q are not all zero. Multiplying by N and remembering that $\sigma_i N = 0$, we obtain $0 = u_1 d_1 N + \cdots + u_q d_q N$. Hence, rank (TN) < q, and this implies that $g(d_1, \ldots, d_q) = 0$.

(6.2) There exist elements
$$d_1, \ldots, d_q \in D$$
 such that $g(d_1, \ldots, d_q) \neq 0$.

Proof. The independent set $\{\sigma_1, \ldots, \sigma_{m-1}c_2\}$ of rows of Σ can be extended to an independent set $\{d_1, \ldots, d_q, \sigma_1, \ldots, \sigma_{m-1}c_2\}$ by embedding D in the vector space $F \otimes_H D$, where F is the field of fractions of Z(H). With these rows, the matrix T is nonsingular. Let N_2' be the matrix obtained by deleting the mth column of N_2 . It is a consequence of Lemma (5.2) that rank $(N_2') = m-1$. If

$$N' = \begin{pmatrix} N_2' & 0 \\ 0 & I \end{pmatrix},$$

then obviously rank (N')=(m-1)+q-(m-1)=q. Since T is nonsingular, it follows that rank (TN')=q, which implies that $g(d_1,\ldots,d_q)\neq 0$.

It is a simple corollary of Lemmas (6.1) and (6.2) and of Proposition (4.1) that

(6.3) There exist nonzero elements r and s in Z(H) such that rf = sg.

We now prove Lemma (5.4). In the preceding discussion of the mappings $f, g: D^q \to Z(H)$, take q=m-1. Let S be an arbitrary $_{m-1}C_2 \times_{m-1}C_2$ subminor of Σ . We choose d_1, \ldots, d_{m-1} as follows: Each is an $_mC_2$ -tuple with one entry equal to 1 and the rest zeros. The nonzero entries occur in those columns ij of N_3 not involved in S, and there are exactly m-1 of these. If chosen properly, it is obvious that $f(d_1, \ldots, d_{m-1}) = \pm \det(S)$. Since q=m-1, we have $N=N_2$. The first

m-1 rows of the matrix $TN = TN_2$, which are the only nonzero rows, are precisely those rows of N_2 corresponding to columns of N_3 not involved in S. Hence,

$$g(d_1,\ldots,d_{m-1}) = \pm \det \tau_{\Sigma}(S),$$

and (5.4) follows as a consequence of (6.3).

For the proof of Theorem (1.1), we now apply (6.3), setting q = n. In order to find a relation between r and s, we substitute particular elements $d_1, \ldots, d_n \in D$ into the equation rf = sg. As in the preceding paragraph, each d_i is taken to be a unit vector with one entry equal to 1 and the rest equal to 0. The entries of d_i , or equivalently, the columns of T, are indexed first by the ${}_mC_2$ pairs jk with $1 \le j < k \le m$, and then by the integers l with $m+1 \le l \le m+1$. An entry of d_i will therefore be denoted by either $(d_i)_{ik}$ or $(d_i)_l$. The single nonzero entry of d_i is now defined by

$$(d_i)_{im} = 1,$$
 if $1 \le i \le m-1$,
 $(d_i)_{i+1} = 1,$ if $m \le i \le n$.

With this choice, $\pm f(d_1, \ldots, d_n) = \pm \det(T)$ is equal to the determinant of that minor of Σ whose columns jk satisfy j < k < m. That is, they are the first $_{m-1}C_2$ columns of the matrix $N_3(m)$ shown in Figure 3. Since the rank of $N_3(m-1)$ is $_{m-2}C_2$, at most $_{m-2}C_2$ of the rows of the bottom two blocks of Figure 3 are independent. Thus, at least $_{m-1}C_2 - _{m-2}C_2 = m-2$ among the rows $\sigma_1, \ldots, \sigma_{m-1}C_2$ of Σ occur in the upper portion of Figure 3. It follows that

$$f(d_1,\ldots,d_n)=\pm s_m^{m-2}u,$$

where u is a homogeneous polynomial in s_1, \ldots, s_m of order equal to

$$_{m-1}C_2-(m-2)=_{m-2}C_2=(m-2)(m-3)/2.$$

For this particular set of elements d_1, \ldots, d_n , the nonzero rows of TN are either the rows im in $N_2(m)$, as shown in Figure 2, or are unit vectors with nonzero entries among the coordinates $m+1, \ldots, n+1$. Thus, $\pm g(d_1, \ldots, d_n)$ is the determinant of a matrix of the form shown in Figure 6. Hence, $g(d_1, \ldots, d_n) = \pm s_m^{m-1}$. Since rf = sg, we obtain as a fraction

(10)
$$\frac{s}{r} = \pm \frac{s_m^{m-2} u}{s_m^{m-1}} = \pm \frac{u}{s_m}.$$

To get a proof of (1.1), take for d_1, \ldots, d_n the rows of the matrix $(M' \ M_2)$ described in the first paragraph of this section. In this case $f(d_1, \ldots, d_n)$ is one of the generators of $E_0(B)$. Moreover, T is a submatrix of P, and

$$TN = \binom{M}{0}$$
.

It follows from Proposition (3.1) in [2, p. 292] that $g(d_1, \ldots, d_n) = \pm \Delta_1 s_m$, where Δ_1 is the Alexander polynomial of the matrix M, and hence, of the module A.

	1	2		m-1	m+1	n+1
1 <i>m</i>	$-s_m$	0				
2 <i>m</i>	0	0 $-s_m$				
			· ·		()
m-1, m				$-s_m$		
			0			I

FIGURE 6

From the equation rf = sg and (10), we have

(11)
$$f(d_1,\ldots,d_n) = \pm \frac{u}{s_m} \Delta_1 s_m = \pm u \Delta_1.$$

A complete set of generators of $E_0(B)$ is obtained by taking all possible choices of Σ , i.e., all possible sets of $_{m-1}C_2$ independent rows of N_3 . Consider an arbitrary product $u=s_1^{q_1}\cdots s_m^{q_m}$ with $q_1+\cdots+q_m=_{m-2}C_2$. We contend that Σ can be chosen so that this u occurs in (11). If m=2 or 3, then necessarily u=1, so we may assume $m\geq 4$. Let $u'=s_1^{q_1}\cdots s_{m-1}^{q_{m-1}}$. Then $q_1+\cdots+q_{m-1}\leq_{m-2}C_2$ and, by Proposition (5.6), $\pm u'$ is equal to the determinant of a minor of $N_3(m-1)$, which we regard as lying in the lower lefthand block of Figure 3. By adjoining the remaining columns of the lefthand side of $N_3(m)$, i.e., columns ij which do not involve this minor and for which i < j < m, together with the rows in which their entries s_m occur, we construct a minor Q of N_3 . Since

$$_{m-1}C_2-(q_1+\cdots+q_{m-1})=(m-2)+_{m-2}C_2-(q_1+\cdots+q_{m-1})=m-2+q_m,$$

we know that det $(Q) = \pm u' s_m^{q_m + m - 2} = \pm u s_m^{m - 2}$, and this establishes our contention. It then follows from (11) that

$$E_0(B) = I(H)^k \Delta_1$$
, where $k = (m-2)(m-3)/2$,

and Theorem (1.1) is proved.

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 Dartmouth College,

HANOVER, NEW HAMPSHIRE