

# COMMUTATORS MODULO THE CENTER IN A PROPERLY INFINITE VON NEUMANN ALGEBRA<sup>(1)</sup>

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**1. Introduction.** An element  $C$  in a von Neumann algebra  $\mathcal{A}$  is said to be a commutator in  $\mathcal{A}$  if there are elements  $A$  and  $B$  in  $\mathcal{A}$  such that  $C = AB - BA$ . For finite homogeneous discrete algebras and for properly infinite factor algebras the set of commutators has been completely described [1]–[5], [10]. In each of these special cases any element  $C$  is a commutator modulo a central element depending on  $C$ . In this paper we show that given any element  $C$  in a properly infinite von Neumann algebra  $\mathcal{A}$  there is an element  $C_0$  in the center of  $\mathcal{A}$  depending on  $C$  such that  $C - C_0$  is a commutator in  $\mathcal{A}$ . The element  $C_0$  is an arbitrary element in the intersection  $\mathcal{K}_C$  of the center with the uniform closure of the convex hull of  $\{U^*CU \mid U \text{ unitary in } \mathcal{A}\}$  [6, III, §5]. We then present a few facts about those elements  $C$  such that  $0 \in \mathcal{K}_C$  or what is the same as far as determining commutators is concerned about those elements  $C$  such that  $0 \in \mathcal{K}_{S^{-1}CS}$  for some invertible  $S$  in  $\mathcal{A}$ .

**2. Commutators.** Let  $\mathcal{A}$  be a  $C^*$ -algebra with identity and let  $I$  be a closed two-sided ideal in  $\mathcal{A}$ . The image of the element  $A \in \mathcal{A}$  in the factor algebra  $\mathcal{A}(I) = \mathcal{A}/I$  under the canonical homomorphism of  $\mathcal{A}$  onto  $\mathcal{A}/I$  will be denoted by  $A(I)$ . If  $\zeta$  is a maximal ideal of the center of  $\mathcal{A}$ , the smallest closed two-sided ideal in  $\mathcal{A}$  containing  $\zeta$  is denoted by  $[\zeta]$ . For simplicity we write  $A([\zeta])$  as  $A(\zeta)$ . The set of maximal (respectively, primitive) ideals of  $\mathcal{A}$  with the hull-kernel topology is called the strong structure space (respectively, structure space) of  $\mathcal{A}$ . If  $\mathcal{A}$  is a von Neumann algebra, then the strong structure space  $M(\mathcal{A})$  of  $\mathcal{A}$  is homeomorphic with the spectrum of the center  $\mathcal{Z}$  of  $\mathcal{A}$  under the map  $M \rightarrow M \cap \mathcal{Z}$  [13]. This means  $M(\mathcal{A})$  is extremely disconnected.

**PROPOSITION 1.** *Let  $\mathcal{A}$  be a properly infinite von Neumann algebra and let  $A$  be a fixed element of  $\mathcal{A}$ . The function  $M \rightarrow \|A(M)\|$  of the strong structure space  $M(\mathcal{A})$  of  $\mathcal{A}$  into the real numbers is continuous.*

**Proof.** For every  $\alpha \geq 0$  we know that the set  $X = \{M \in M(\mathcal{A}) \mid \|A(M)\| \leq \alpha\}$  is closed. If  $I = \bigcap X$ , then  $\|A(I)\| \leq \alpha$  [8, Lemma 1.9] and so  $\|A(M)\| \leq \alpha$  for every  $M \in M(\mathcal{A})$  containing  $I$ . Thus  $X = \{M \in M(\mathcal{A}) \mid I \subset M\}$ .

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Conversely, let  $\alpha > 0$ ; we show that

$$Y = \{M \in M(\mathcal{A}) \mid \|A(M)\| \geq \alpha\}$$

is closed in  $M(\mathcal{A})$ . Let  $J$  be the strong radical of  $\mathcal{A}$  and let  $\mathcal{P}$  be the structure space of  $\mathcal{A}(J)$ . The set

$$Y' = \{K \in \mathcal{P} \mid \|A(J)(K)\| \geq \alpha\}$$

is compact (but not necessarily closed) in  $\mathcal{P}$  [16, 4.9.18]. If  $\mathcal{P}'$  is the structure space of  $\mathcal{A}$ , then  $M \rightarrow M(J)$  defines a homeomorphism of  $\{M \in \mathcal{P}' \mid M \supset J\} = h(J)$  onto  $\mathcal{P}$  [16, 2.6.6]. But if  $M \in \mathcal{P}'$ , then the intersection of  $M$  with the center of  $\mathcal{A}$  is a maximal ideal. So  $M \in h(J)$  implies  $M$  is of the form  $J + [\zeta]$  for some maximal ideal  $\zeta$  of the center. It is then clear that  $h(J)$  is the set of maximal ideals of  $\mathcal{A}$  [10, Proposition 2.3]. Furthermore, the topology of  $h(J)$  and  $M(\mathcal{A})$  coincide. This proves that  $Y$  is compact in  $M(\mathcal{A})$  since it is the inverse image of  $Y'$  under the homeomorphism  $M \rightarrow M(J)$  of  $M(\mathcal{A})$  onto  $\mathcal{P}$ . Because  $M(\mathcal{A})$  is homeomorphic to the spectrum of the center which is Hausdorff, every compact set of  $M(\mathcal{A})$  is closed. Thus  $Y$  is a closed subset of  $M(\mathcal{A})$ . Q.E.D.

REMARK. If  $\mathcal{A}$  is not properly infinite, Proposition 1 is certainly not true.

Let  $H$  be a Hilbert space and let  $A$  be a bounded linear operator on  $H$ . Let  $F$  be a projection on  $H$ . Define the numerical gauge  $\eta_A(F)$  to be

$$\eta_A(F) = \text{lub} \{\|Ax - (Ax, x)x\| \mid x \text{ is a unit vector in } F(H)\}.$$

Let  $\mathcal{W}_A(F)$  be the closure of the convex set

$$\{(Ax, x) \mid x \text{ a unit vector in } F(H)\}.$$

For every  $\alpha \in \mathcal{W}_A(F)$  we have that

$$(1) \quad \|(A - \alpha)F\| \leq 65\eta_A(F).$$

This can be obtained by a simple reworking of Lemma 2.3 [2].

Let  $\mathcal{A}$  be a properly infinite von Neumann algebra with no  $\sigma$ -finite type III direct summands; then for each projection  $F$  in  $\mathcal{A}$  and each element  $A$  in  $\mathcal{A}$  define  $\nu_A(F)$  to be

$$(2) \quad \nu_A(F) = \text{lub} \{\|AE - EAE\| \mid E \in (J), E \leq F\}$$

where  $(J)$  is the set of projections in the strong radical  $J$  of  $\mathcal{A}$ . For every irreducible representation  $\phi$  of  $\mathcal{A}$  on a Hilbert space such that  $\phi(J) \neq (0)$  we have that

$$(3) \quad \eta_{\phi(A)}(\phi(F)) \leq \nu_A(F)$$

[10, Proposition 3.1]. Define  $\nu(A)$  to be

$$\nu(A) = \text{glb} \{\nu_A(F) \mid 1 - F \in (J)\}.$$

Let  $\mathcal{A}$  now be the product of  $\sigma$ -finite type III algebras; let

$$\nu(A) = \text{lub} \{ \|AE - EAE\| \mid E \text{ a projection in } \mathcal{A} \}$$

for each  $A \in \mathcal{A}$ . If  $A$  is in the complement in  $\mathcal{A}$  of the set of all elements of  $\mathcal{A}$  equal to scalar (zero included) multiples of the identity modulo some maximal ideal of  $\mathcal{A}$ , then there is a  $\nu > 0$  such that  $\nu(AP) \geq \nu$  for every nonzero central projection  $P$  since there is a projection  $E$  in  $\mathcal{A}$  with  $E \sim 1 - E \sim 1$  such that  $EA^*(1 - E)AE \geq \alpha E$  for some scalar  $\alpha > 0$  [10, Theorem 3.7]. Also it is easy to see from Proposition 3.1 [10] that

$$(4) \quad \eta_{\phi(A)}(1) \leq \nu(A)$$

for every irreducible representation of  $\mathcal{A}$ .

LEMMA 2. Let  $\mathcal{A}$  be a properly infinite von Neumann algebra such that

- (i) either  $\mathcal{A}$  has no  $\sigma$ -finite type III direct summands or
- (ii)  $\mathcal{A}$  is a product of  $\sigma$ -finite type III algebras.

Let  $\{P_n\}$  be a set of mutually orthogonal central projections of  $\mathcal{A}$  of sum  $P$ . Then  $\nu(AP) = \text{lub}_n \nu(AP_n)$  for every  $A \in \mathcal{A}$ .

**Proof.** Suppose  $\mathcal{A}$  satisfies condition (i). Let  $(J)$  be the set of projections in the strong radical of  $\mathcal{A}$ . Then given  $\varepsilon > 0$  there is a projection  $F$  with  $1 - F \in (J)$  such that

$$\nu(AP) + \varepsilon \geq \text{lub} \{ \|(1 - E)APE\| \mid E \leq F, E \in (J) \}.$$

But

$$\|(1 - E)AEP_n\| \leq \|(1 - E)APE\|$$

for every  $P_n$ . So  $\nu(AP_n) \leq \nu(AP) + \varepsilon$  for every  $n$ . Since  $\varepsilon > 0$  is arbitrary, we have that  $\text{lub}_n \nu(AP_n) \leq \nu(AP)$ . Conversely, given that  $\varepsilon > 0$  there is for each  $P_n$  a projection  $F_n$  with  $1 - F_n \in (J)$  such that

$$\text{lub} \{ \|(1 - E)AP_nE\| \mid E \leq F_n, E \in (J) \} \leq \nu(AP_n) + \varepsilon.$$

Setting  $F = \sum F_n P_n$ , we have that  $P - F \in (J)$  [10, Corollary, Proposition 2.2] and that

$$\|(1 - E)APE\| = \text{lub}_n \|(1 - E)AP_nE\| \leq \text{lub}_n \nu(AP_n) + \varepsilon$$

for every  $E$  in  $(J)$  with  $E \leq F$ . Thus  $\nu(AP) \leq \text{lub}_n \nu(AP_n) + \varepsilon$ . Since  $\varepsilon > 0$  is arbitrary, we have that  $\nu(AP) \leq \text{lub}_n \nu(AP_n)$ . This completes the proof for case (i).

The proof for case (ii) is similar.

LEMMA 3. Let  $\mathcal{A}$  be a properly infinite von Neumann algebra with no  $\sigma$ -finite type III direct summands. Let  $G$  be a projection in  $\mathcal{A}$  such that the orthogonal complement  $1 - G$  of  $G$  is in the set of projections  $(J)$  of the strong radical of  $\mathcal{A}$ . Let  $(J')$  be the strong radical of the reduced algebra  $G\mathcal{A}G$ . For any element  $A$  in  $\mathcal{A}$  we have that

$$\nu'(GAG) = \text{glb} \{ \text{lub} \{ \|(1 - E)GAGE\| \mid E \leq F, E \in (J') \} \mid G - F \in (J') \}$$

is equal to  $\nu(A)$ .

**Proof.** First we show that  $(J') = \{E \in (J) \mid E \leq G\}$ . Suppose  $E \notin (J')$  for some  $E \in (J)$  majorized by  $G$ . There is a nonzero projection  $P$  in the center of  $G\mathcal{A}G$  such that  $PE \sim P$  [10, §2]. But  $P = QG$  for some nonzero  $Q$  in the center of  $\mathcal{A}$  [6, I, §2, Corollary, Proposition 2]. Then  $QE \sim QG \sim Q$  since  $G \sim 1$  [cf. 10, §2]. So  $E \notin (J)$ . This is a contradiction. Hence  $\{E \in (J) \mid E \leq G\} \subset (J')$ . Conversely, suppose  $E \in (J')$ . If  $Q$  is a central projection in  $\mathcal{A}$  with  $EQ \sim Q$ , then  $EQ \sim Q \sim QG$ . This implies  $Q = 0$ . So  $(J') \subset \{E \in (J) \mid E \leq G\}$ .

Now let  $\varepsilon > 0$  be given. There is by relation (2) a projection  $F$  in  $\mathcal{A}$  with  $1 - F \in (J)$  such that  $\nu_A(F) \leq \nu(A) + \varepsilon$ . Let  $G'$  be the least upper bound of  $1 - G$  and  $1 - F$ . Then  $G' \in (J)$  and  $1 - G' \leq F$  and  $1 - G' \leq G$ . We see that

$$G - (1 - G') = G' - (1 - G) \in (J')$$

by the first paragraph. So

$$\begin{aligned} \nu'(GAG) &\leq \text{lub} \{ \|(1 - E)GAGE\| \mid E \in (J'), E \leq 1 - G' \} \\ &= \text{lub} \{ \|G(1 - E)AE\| \mid E \in (J), E \leq 1 - G' \} \\ &\leq \text{lub} \{ \|(1 - E)AE\| \mid E \in (J), E \leq F \} \leq \nu(A) + \varepsilon. \end{aligned}$$

Since  $\varepsilon > 0$  is arbitrary, we have that  $\nu'(GAG) \leq \nu(A)$ .

Conversely, let  $\varepsilon > 0$  be given; there is a projection  $F \in G\mathcal{A}G$  with  $G - F \in (J')$  and

$$\text{lub} \{ \|(G - E)AE\| \mid E \leq F, E \in (J') \} \leq \nu'(GAG) + \varepsilon.$$

The domain support  $G'$  of  $(1 - G)A$  is a projection in  $(J)$  since  $G' < 1 - G$  [6, III, §1, Proposition 2]; similarly, the domain support  $G''$  of  $G'F$  is a projection in  $(J)$  majorized by  $F$ . So  $1 - (F - G'') = (1 - G) + (G - F) + G''$  is a projection in  $(J)$ . Then

$$\nu(A) \leq \text{lub} \{ \|(1 - E)AE\| \mid E \leq F - G'', E \in (J) \}.$$

But

$$GAGE = AE - (1 - G)AE = AE - (1 - G)AG'FE = AE - (1 - G)AG'FG''E = AE.$$

So we see that

$$\begin{aligned} \nu(A) &\leq \text{lub} \{ \|(1 - E)GAGE\| \mid E \leq F - G'', E \in (J') \} \\ &\leq \text{lub} \{ \|(1 - E)GAGE\| \mid E \leq F, E \in (J') \} \leq \nu'(GAG) + \varepsilon. \end{aligned}$$

Since  $\varepsilon > 0$  is arbitrary, we have that  $\nu(A) \leq \nu'(GAG)$ . Q.E.D.

**THEOREM 4.** *Let  $C$  be an element in a properly infinite von Neumann algebra  $\mathcal{A}$  and let  $C_0$  be an element in the intersection  $\mathcal{K}_C$  of the center of  $\mathcal{A}$  with the uniform closure of the convex hull of  $\{U^*CU \mid U \text{ unitary in } \mathcal{A}\}$ . Then  $C - C_0$  is a commutator in  $\mathcal{A}$ .*

**Proof.** We first make a preliminary reduction. There is a maximal central projection  $P$  in  $\mathcal{A}$  such that  $(C - C_0)P$  is in the strong radical  $J$  of  $\mathcal{A}$  [10, Corollary,

Proposition 2.2]. However, the structure of the strong radical of  $\mathcal{A}$  allows us to conclude that  $(C - C_0)P$  is in the strong radical of  $\mathcal{A}P$  [10, §2]. Since  $(C - C_0)P$  is a commutator in  $\mathcal{A}P$ , it is necessary to prove that  $(C - C_0)(1 - P)$  is a commutator in  $\mathcal{A}(1 - P)$ . It is easy to see that the uniform closure of the convex hull of  $\{U^*(C - C_0)U \mid U \text{ unitary in } \mathcal{A}(1 - P)\}$  contains 0. So without loss of generality we may assume that  $C$  is an element in  $\mathcal{A}$  such that  $0 \in \mathcal{K}_C$  and such that  $CP \in J$  for some central projection  $P$  in  $\mathcal{A}$  implies that  $P = 0$ .

Now there is a central projection  $Q$  in  $\mathcal{A}$  such that

- (i)  $\mathcal{A}Q$  has no  $\sigma$ -finite type III direct summands and
- (ii)  $\mathcal{A}(1 - Q)$  is the product of  $\sigma$ -finite type III algebras.

It is clearly necessary to prove only that  $CQ$  and  $C(1 - Q)$  are commutators in  $\mathcal{A}Q$  and  $\mathcal{A}(1 - Q)$  respectively. Here  $0 \in \mathcal{K}_{CQ}$  and  $0 \in \mathcal{K}_{C(1 - Q)}$  is also true when these sets are formed relative to  $\mathcal{A}Q$  and  $\mathcal{A}(1 - Q)$  respectively. In the ensuing paragraphs we shall assume that either  $\mathcal{A}$  satisfies condition (i) or condition (ii).

Let  $\|C\| = \alpha$ . We construct by induction a sequence  $\{P_n\}$  of mutually orthogonal central projections of sum 1 such that for each nonzero central projection  $P$  majorized by  $P_n$  the number  $\nu(CP)$  lies in the real interval  $[2^{-n}\alpha, 2^{-n+1}\alpha]$ . The induction hypothesis may be stated as follows: let  $P_0 = 0$ ; then  $P_n$  is the largest central projection majorized by  $1 - \sum \{P_k \mid 0 \leq k \leq n-1\}$  such that  $\nu(CP) \in I_n$  for every nonzero central projection  $P$  majorized by  $P_n$ . Suppose we have constructed  $P_1, P_2, \dots, P_n$ . We find  $P_{n+1}$ . We may assume  $R = 1 - \sum \{P_k \mid 0 \leq k \leq n\}$  is nonzero. There is a maximal ideal  $M$  in the strong structure space  $M(\mathcal{A})$  of  $\mathcal{A}$  such that  $CR(M)$  is not in the center of  $\mathcal{A}(M)$ . Indeed, suppose  $CR(M)$  is in the center of  $\mathcal{A}(M)$  for every  $M \in M(\mathcal{A})$ . Given  $\varepsilon > 0$  there are unitary operators  $U_1, U_2, \dots, U_m$  in  $\mathcal{A}$  and positive scalars  $\alpha_1, \alpha_2, \dots, \alpha_m$  of sum 1 such that  $\|\sum \alpha_i U_i^* C U_i\| < \varepsilon$  since  $0 \in \mathcal{K}_C$ . Thus

$$\|CR(M)\| = \left\| \left( \sum \alpha_i U_i^* C U_i \right) (M) \right\| < \varepsilon$$

for every  $M \in M(\mathcal{A})$ . Because  $\varepsilon > 0$  is arbitrary, we have that  $CR(M) = 0$  for every  $M \in M(\mathcal{A})$ . This means that  $CR$  is in the strong radical of  $\mathcal{A}$ . This is contrary to the choice of  $C$ . Hence, we must conclude that  $CR(M)$  is not in the center of  $\mathcal{A}(M)$  for at least one  $M$  in  $M(\mathcal{A})$ . Then there is a projection  $E$  in  $\mathcal{A}$  such that  $\|(1 - E)CRE(M)\| \neq 0$ . By the continuity of  $M' \rightarrow \|(1 - E)CRE(M')\|$  on  $M(\mathcal{A})$  (Proposition 1), there is an open and closed neighborhood  $X$  of  $M$  in  $M(\mathcal{A})$  such that for every  $M' \in X$  the element  $CR(M')$  is not in the center of  $\mathcal{A}(M')$ . Let  $Q$  be the nonzero central projection of  $\mathcal{A}$  which determines  $X$  by the relation  $X = \{M' \in M(\mathcal{A}) \mid Q \notin M'\}$  [13]. Then  $Q$  is majorized by  $R$  and  $CQ(M')$  is not in the center of  $\mathcal{A}Q(M')$  for every  $M'$  in the strong structure space  $M(\mathcal{A}Q)$  of the algebra  $\mathcal{A}Q$ . The latter is true because  $M' \rightarrow M'Q$  defines a homeomorphism of

$$\{M' \in M(\mathcal{A}) \mid Q \notin M'\} \text{ onto } M(\mathcal{A}Q) \text{ [16, Theorem 2.6.6].}$$

Then by 3.1 and 3.7 [10] there is a  $\nu > 0$  such that  $\nu(CQ') \geq \nu$  for every nonzero central projection  $Q'$  majorized by  $Q$ . It is clearly immaterial whether  $\nu(CQ')$  is evaluated in  $\mathcal{A}Q$  or in  $\mathcal{A}$ . Let  $m$  be the smallest integer for which there is a nonzero central projection  $Q$  majorized by  $R$  such that  $\nu(CQ') \geq 2^{-m}\alpha$  for every nonzero central projection  $Q'$  majorized by  $Q$ . We then have that  $\nu(CQ') \in I_m$  for every such  $Q'$ . In fact by the choice of  $m$  the projection  $Q$  is easily seen to be the least upper bound of a set  $\{Q_i\}$  of nonzero mutually orthogonal central projections which satisfy  $2^{-m}\alpha \leq \nu(CQ_i) < 2^{-m+1}\alpha$ . By Lemma 2 we may conclude that  $\nu(CQ) \leq 2^{-m+1}\alpha$ . So for every nonzero central projection  $Q'$  majorized by  $Q$  we have that  $\nu(CQ') \leq \nu(CQ) \leq 2^{-m+1}\alpha$ . Now if  $n+1 < m$  set  $P_{n+1}$  equal to zero. If  $m \leq n+1$ , then  $m = n+1$ . Indeed suppose that  $m < n+1$ ; the projection  $P_m + Q \neq P_m$ , and for any nonzero central projection  $Q'$  majorized by  $P_m + Q$  we have that

$$\nu(CQ') = \text{lub} \{\nu(CP_m Q'), \nu(CQ Q')\} \in I_m.$$

This contradicts the definition of  $P_m$ . Therefore  $m = n+1$ . Now we argue as follows. Let  $\{Q_n\}$  be a maximal set of nonzero mutually orthogonal central projections majorized by  $R$  such that  $\nu(CQ') \in I_{n+1}$  for every nonzero central projection  $Q'$  majorized by some  $Q_i$ . Let  $P_{n+1} = \sum Q_i$ . It is clear that  $P_{n+1} \leq R$ . Because  $\nu(CQ) = \text{lub}_i \nu(CQ Q_i)$  for any nonzero central projection  $Q$  majorized by  $P_{n+1}$  (Lemma 2) and since at least one projection  $Q Q_i$  is nonzero, we have that  $\nu(CQ) \geq 2^{-(n+1)}\alpha$ . On the other hand  $Q \leq R$  and so by the induction hypothesis there is a set  $\{R_i\}$  of nonzero mutually orthogonal central projections of sum  $Q$  such that  $\nu(CR_i) < 2^{-n}\alpha$  for each  $R_i$ . Thus  $\nu(CQ) \leq 2^{-n}\alpha$  (Lemma 2). This proves that  $\nu(CQ) \in I_{n+1}$ . It is clear that  $P_{n+1}$  is the largest central projection majorized by  $R$  such that  $\nu(CQ) \in I_{n+1}$  for every nonzero central projection majorized by  $P_{n+1}$ .

Suppose that the sequence  $\{P_n\}$  with the required properties has been constructed by induction. We show that  $\sum P_n = 1$ . If  $R = 1 - \sum P_n$ , then for each  $n = 1, 2, \dots$  we may conclude that  $\nu(CR) \leq 2^{-n}\alpha$  by performing the construction of the previous paragraph. This means that  $\nu(CR) = 0$ . The results of the previous paragraph show that  $R = 0$  by our choice of  $C$ . Hence  $\sum P_n = 1$ .

Let  $Z$  be the spectrum of the center of  $\mathcal{A}$  and let  $X_n = \{\zeta \in Z \mid P_n \notin \zeta\}$  for  $n = 1, 2, \dots$ . Now suppose that  $\mathcal{A}$  has no  $\sigma$ -finite type III direct summands. For each  $n = 1, 2, \dots$  there is a projection  $F_n$  in  $\mathcal{A}P_n$  with  $P_n - F_n \in J$  such that  $\nu_{CP_n}(F_n) \leq 2\nu(CP_n)$  by definition. For each  $\zeta \in Z$  there is an irreducible representation  $\psi_\zeta = \psi$  of  $\mathcal{A}$  on a Hilbert space whose kernel is the smallest closed two-sided ideal  $[\zeta]$  of  $\mathcal{A}$  which contains  $\zeta$  [11, Theorem 4.7]. Then  $\psi$  does not annihilate  $J$ . Indeed  $J$  contains a projection  $E$  of central support 1, cf. [10, §2]. The two-valued continuous function  $\zeta' \rightarrow \|E(\zeta')\|$  [9, Lemma 10] on  $Z$  assumes the value 0 on an open and closed set given by  $\{\zeta' \in Z \mid R \notin \zeta'\}$  where  $R$  is a central projection. Then  $\|(E(1-R) - E)(\zeta')\| = 0$  for every  $\zeta' \in Z$ . Since  $\bigcap \{[\zeta'] \mid \zeta' \in Z\} = (0)$  cf. [9, §4, remarks preceding Lemma 9], we have that  $E(1-R) = E$ . But this means that  $R = 0$  since the central support of  $E$  is 1. This proves that  $E(\zeta') \neq 0$  for every  $\zeta' \in Z$ .

and in particular  $E(\zeta) \neq 0$ . So  $\psi$  does not annihilate  $J$ . Thus relations (1) and (3) imply that

$$(5) \quad \|(\psi(CP_n) - \beta)\psi(F_n)\| \leq 65\eta_{\psi(CP_n)}(\psi(F_n)) \leq 65\nu_{CP_n}(F_n) \leq 130\nu(CP_n)$$

whenever  $\beta \in \mathcal{W}_{\psi(CP_n)}(\psi(F_n)) = \mathcal{W}$  and  $\zeta \in X_n$ . We show that  $0 \in \mathcal{W}$ . Indeed, given  $\varepsilon > 0$ , there is a set  $U_1, U_2, \dots, U_m$  of unitary elements in  $\mathcal{A}$  and positive scalars  $\alpha_1, \alpha_2, \dots, \alpha_m$  of sum 1 such that  $\|\sum \alpha_i U_i^* C U_i\| < \varepsilon$ . If  $G_i$  is the range projection of  $U_i^*(P_n - F_n)$  for  $i = 1, 2, \dots, m$ , then  $G_i$  is a projection in  $J$  and  $G = \text{lub } G_i$  is a projection in  $J$ . Thus the projection  $P_n - G$  is equivalent to  $P_n$ . Let  $x$  be a unit vector in the subspace determined by  $\psi(P_n - G)$ . For each  $U_i$  we have that  $\psi(U_i)x = y_i$  is in the orthogonal complement of the subspace determined by  $\psi(P_n - F_n)$  and thus  $\psi(F_n)y_i = y_i$ . Therefore

$$\sum \alpha_i (\psi(CP_n)y_i, y_i) \in \mathcal{W}$$

since  $\mathcal{W}$  is convex. But we have that

$$\left| \sum (\alpha_i \psi(CP_n)y_i, y_i) \right| \leq \|\psi\| \left\| \sum \alpha_i U_i^* C U_i \right\| \|x\|^2 < \varepsilon.$$

Because  $\mathcal{W}$  is closed and because  $\varepsilon > 0$  is arbitrary, we see that  $0 \in \mathcal{W}$ . The relation (5) now becomes

$$\|CP_n F_n(\zeta)\| = \|CF_n(\zeta)\| = \|\psi_\zeta(CP_n)\psi_\zeta(F_n)\| \leq 130\nu(CP_n),$$

for every  $\zeta \in X_n$ . The orthogonal complement of the projection  $F = \sum F_n$  is in  $J$  since  $P_n(1 - F) \in J$  for every  $n = 1, 2, \dots$  [10, Proposition 2.2]. For every nonzero central projection  $P$  majorized by  $P_n$  we have that

$$\|CFP\| = \text{lub} \{ \|CF(\zeta)\| \mid P \notin \zeta, \zeta \in Z \} \leq 130\nu(CP_n) \leq 260\nu(CP).$$

So for any central projection  $P$  we have that

$$(6) \quad \begin{aligned} \|CFP\| &= \text{lub} \{ \|CFPP_n\| \mid n = 1, 2, \dots \} \\ &\leq \text{lub} \{ 260\nu(CPP_n) \mid n = 1, 2, \dots \} \\ &\leq 260\nu(CP) \end{aligned}$$

by Lemma 2.

Now for an algebra which is the product of  $\sigma$ -finite type III algebras, we may show that  $\|CP\| \leq 260\nu(CP)$  for any central projection  $P$ . The proof is entirely similar to that just given except that relation (4) replaces relations (1) and (3).

Now let us suppose that  $\mathcal{A}$  has no  $\sigma$ -finite type III direct summands. Let  $D = FCF$  and let  $\mathcal{B}$  be the von Neumann algebra  $F\mathcal{A}F$ . By setting  $Q_n = P_n F$  we obtain a sequence  $\{Q_n\}$  of mutually orthogonal central projections in  $\mathcal{B}$  of sum  $F$  such that  $\nu(DQ) \in I_n$  for any nonzero central projection  $Q$  in  $\mathcal{B}$  majorized by  $Q_n$ . Here  $\nu(DQ)$  is evaluated in  $\mathcal{B}$  and Lemma 3 is employed. By relation (6) we see that

$\{\|2^n DQ_n\|\}$  is a bounded sequence and hence  $B = \sum 2^n DQ_n$  defines an element of  $\mathcal{B}$  such that  $\nu(BQ) \geq \alpha$  for every nonzero central projection  $Q$  in  $\mathcal{B}$ . Indeed,

$$\begin{aligned}\nu(BQ) &= \text{lub } \{\nu(BQ_n) \mid n = 1, 2, \dots\} \\ &= \text{lub } \{2^n \nu(DQ_n) \mid n = 1, 2, \dots\} \geq \alpha\end{aligned}$$

since at least one projection  $Q_n$  is nonzero. There is an invertible element  $S$  in  $\mathcal{B}$  and a projection  $G$  in  $\mathcal{B}$  with  $F \sim G \sim F - G$  such that  $U^*S^{-1}BSU = 0$  and  $V^*S^{-1}BSV$  is a commutator in  $\mathcal{B}$ . Here  $U$  and  $V$  are partial isometries in  $B$  such that  $U^*U = V^*V = F$ ,  $UU^* = G$  and  $VV^* = F - G$  [10, Theorem 3.6]. By multiplying both  $U^*S^{-1}BSU$  and  $V^*S^{-1}BSV$  by the central element  $\sum 2^{-n}Q_n$  we see that  $U^*S^{-1}DSU = 0$  and  $V^*S^{-1}DSV$  is a commutator in  $\mathcal{B}$ . Now let  $T = S + (1 - F)$  in  $\mathcal{A}$ ; the element  $T$  is invertible with inverse  $T^{-1} = S^{-1} + (1 - F)$  where  $S^{-1}$  still denotes the inverse of  $S$  in  $\mathcal{B}$ . Let  $W$  be a partial isometry in  $\mathcal{A}$  with domain support 1 and range support  $F$ . Then  $V_1 = VW$  is a partial isometric operator of domain support 1 and range support  $F - G$ . Then it is easy to see that  $V_1^*T^{-1}CTV_1 = W^*V^*S^{-1}DSVW$  is a commutator in  $\mathcal{A}$ . We have that  $1 \sim F \sim G \prec G + (1 - F)$ . Thus there is a partial isometry  $U_1$  in  $\mathcal{A}$  with domain support 1 and range support  $G + (1 - F)$ . Then

$$U_1^*T^{-1}CTU_1 = U_1^*T^{-1}CT(1 - F)U_1 + U_1^*(1 - F)T^{-1}CTGU_1$$

is an element of the strong radical of  $\mathcal{A}$  and therefore, is a commutator in  $\mathcal{A}$  [10, Theorem 2.5]. We have proved that there is an isomorphism of  $\mathcal{A}$  onto the algebra  $\mathcal{A}_2$  of  $2 \times 2$  matrices over  $\mathcal{A}$  which carries  $T^{-1}CT$  into the matrix  $(B_{ij})$  where  $B_{11}$  and  $B_{22}$  are commutators in  $\mathcal{A}$ . But this matrix is a commutator in the algebra  $\mathcal{A}_2$ . Indeed, let  $B_{11} = S_{11}T_{11} - T_{11}S_{11}$  and  $B_{22} = S_{22}T_{22} - T_{22}S_{22}$  for  $S_{11}$ ,  $S_{22}$ ,  $T_{11}$ ,  $T_{22}$  in  $\mathcal{A}$ . We may assume that  $S_{11}$  and  $S_{22}$  have disjoint spectra since  $B_{11} = (S_{11} + \beta)T_{11} - T_{11}(S_{11} + \beta)$  for a scalar  $\beta$ . There is an operator  $T_{12}$  and an operator  $T_{21}$  in  $\mathcal{A}$  such that  $S_{11}T_{12} - T_{12}S_{22} = B_{12}$  and  $S_{22}T_{21} - T_{21}S_{11} = B_{21}$  [12]. Setting  $S_{21} = S_{12} = 0$ , we find by direct calculation that  $(S_{ij})(T_{ij}) - (T_{ij})(S_{ij}) = (B_{ij})$  in  $\mathcal{A}_2$ . This proves  $(B_{ij})$  is a commutator in  $\mathcal{A}_2$  and  $T^{-1}CT$  is a commutator in  $\mathcal{A}$ .

Now if  $\mathcal{A}$  is the product of  $\sigma$ -finite type III algebras, the preceding paragraph allows us to conclude that there is an invertible  $S$  in  $\mathcal{A}$  such that  $S^{-1}CS$  may be identified with the  $2 \times 2$  matrix  $(B_{ij})$  over  $\mathcal{A}$  with  $B_{11} = 0$  and  $B_{22}$  a commutator in  $\mathcal{A}$ . So  $(B_{ij})$  is a commutator in the  $2 \times 2$  matrices over  $\mathcal{A}$  and  $S^{-1}CS$  is a commutator in  $\mathcal{A}$ . Q.E.D.

**3. Elements  $C$  with  $0 \in \mathcal{K}_C$ .** The construction of Theorem 4 actually depended upon choosing a central element  $C_0$  corresponding to a given element  $C$  in a properly infinite von Neumann algebra  $\mathcal{A}$  such that  $ES^{-1}(C - C_0)SE = 0$  for some invertible  $S$  in  $\mathcal{A}$  and some projection  $E$  in  $\mathcal{A}$  equivalent to 1. The next proposition clarifies this choice.



**PROPOSITION 5.** *If  $C$  is an element in a properly infinite von Neumann algebra  $\mathcal{A}$  such that  $ECE=0$  for some projection  $E$  in  $\mathcal{A}$  equivalent to 1, then 0 is an element of the intersection  $\mathcal{K}_C$  of the center of  $\mathcal{A}$  with the uniform closure of the convex hull of the set  $\{U^*CU \mid U \text{ unitary in } \mathcal{A}\}$ .*

**Proof.** There are projections  $E'$  and  $E''$  such that  $E' \sim E'' \sim E$  and  $E' + E'' = E$  [6, III, §8, Theorem 1, Corollary 2]. Then  $1 \sim E' \leq E' + (1-E) \leq 1$  implies that  $E' + (1-E) \sim 1$ . So there is no loss of generality in supposing that  $E \sim 1-E \sim 1$ . The operator  $U = E - (1-E)$  is unitary in  $\mathcal{A}$  and

$$2^{-1}(C + U^*CU) = (1-E)C(1-E).$$

Now let  $E_1, E_2, \dots, E_n$  be orthogonal projections of sum  $E$  such that  $E_1 \sim \dots \sim E_n \sim E$ . There are unitary operators  $U_1, U_2, \dots, U_n$  in  $\mathcal{A}$  such that  $(1-E)U_j$  has domain support  $E_j$  for  $j=1, 2, \dots, n$  since  $E_j \sim 1-E$  and  $1-E_j \sim E$  for  $j=1, 2, \dots, n$ . So we have that

$$\begin{aligned} & \left\| \sum \{n^{-1}U_j^*(1-E)C(1-E)U_j x \mid j=1, 2, \dots, n\} \right\|^2 \\ & \leq n^{-2} \|C\|^2 \sum \|E_j x\|^2 \leq n^{-2} \|C\|^2 \|x\|^2 \end{aligned}$$

for every  $x$  in the Hilbert space. Thus

$$\left\| \sum n^{-1}U_j^*(1-E)C(1-E)U_j \right\| \leq n^{-1} \|C\|.$$

This means that 0 is an element of the uniform closure of the convex hull of  $\{V^*CV \mid V \text{ unitary in } \mathcal{A}\}$  because  $n$  is arbitrary. Hence  $0 \in \mathcal{K}_C$ . Q.E.D.

Let  $\mathcal{A}$  be a properly infinite von Neumann algebra. If we could prove that  $0 \in \bigcup \{\mathcal{K}_{S^{-1}CS} \mid S \text{ invertible in } \mathcal{A}\}$  for every commutator  $C$  in  $\mathcal{A}$ , then we would have a complete characterization of the set commutators. This characterization is certainly valid for factor algebras. Indeed  $C$  is a commutator in the properly infinite factor algebra  $\mathcal{A}$  if and only if  $\mathcal{A}$  is not a nonzero scalar multiple of the identity modulo the unique maximal ideal  $M$  of  $\mathcal{A}$ . If  $C \in M$ , then  $0 \in \mathcal{K}_C$  [10, Proposition 2.4]. If  $C$  is not a scalar multiple of the identity modulo  $M$ , then the canonical form of Brown and Pearcy [2] in conjunction with the preceding proposition shows  $0 \in \mathcal{K}_{S^{-1}CS}$  for some invertible  $S$  in  $\mathcal{A}$ . The characterization though is at odds with a conjecture that the set of commutators in  $\mathcal{A}$  is the complement ( $F'$ ) in  $\mathcal{A}$  of the set of all elements equal to a nonzero scalar multiple of the identity modulo some maximal ideal of  $\mathcal{A}$  [4]. In fact let  $\{P_n\}$  be a sequence of nonzero mutually orthogonal central projections of sum 1. (This presupposes that  $\mathcal{A}$  has a sufficiently large center.) Then let  $E_n$  be a projection in  $\mathcal{A}P_n$  such that  $E_n \sim P_n \sim P_n - E_n$  ( $n=1, 2, \dots$ ). Let  $C = \sum (n^{-1}P_n + n^{-2}E_n)$ . We have that

$$\bigcup_n \{M \in M(\mathcal{A}) \mid P_n \notin M\}$$

is dense in the strong structure space  $M(\mathcal{A})$  of  $\mathcal{A}$  by the remarks at the beginning of §2. Then  $C(M) = n^{-1} \cdot 1(M) + n^{-2}E_n(M)$  for every  $M$  with  $P_n \notin M$  and clearly

$C(M)$  is not a scalar (zero included) multiple of the identity. But  $S^{-1}CS(M)$  is not a scalar multiple of the identity for every  $M$  with  $P_n \notin M$ . If for example  $0 \in \mathcal{K}_S^{-1}CS$ , then by the proof of Theorem 4 we would be able to find an invertible  $T$  and a projection  $E$  equivalent to 1 with  $ET^{-1}CTE=0$ . Thus

$$\|n^{-1}EP_n\| = n^{-2}\|ET^{-1}E_nTE\| \leq n^{-2}\|T^{-1}\|\|T\|$$

for each  $n=1, 2, \dots$ . This is obviously impossible.

It might be well to remark that there is no canonical matrix form with 0 on the diagonal in the sense of Brown and Pearcy [2] for operators of class  $(F')$ .

**LEMMA 6.** *Let  $C$  be an element in a von Neumann algebra  $\mathcal{A}$ . Let  $D_1$  and  $D_2$  be elements in  $\mathcal{K}_C$  and let  $A$  be a central element of  $\mathcal{A}$  with  $0 \leq A \leq 1$ . Then  $AD_1 + (1-A)D_2 \in \mathcal{K}_C$ .*

**Proof.** First let  $A$  be a projection in the center of  $\mathcal{A}$ . There are unitary operators  $U_1, U_2, \dots, U_n$  (respectively  $V_1, V_2, \dots, V_m$ ) and positive scalars  $\alpha_1, \alpha_2, \dots, \alpha_n$  (respectively  $\beta_1, \beta_2, \dots, \beta_m$ ) of sum 1 such that

$$\left\| \sum \alpha_i U_i^* C U_i - D_1 \right\| < \varepsilon \quad (\text{respectively, } \left\| \sum \beta_j V_j^* C V_j - D_2 \right\| < \varepsilon).$$

Here  $\varepsilon > 0$  is a preassigned constant. Then  $U'_i = U_i A + (1-A)$  ( $1 \leq i \leq n$ ) and  $V'_j = V_j (1-A) + A$  ( $1 \leq j \leq m$ ) are unitary in  $\mathcal{A}$  with the property

$$\left\| \sum \alpha_i \beta_j V_j'^* U_i'^* C U_i' V_j' - (D_1 A + D_2 (1-A)) \right\| < \varepsilon.$$

Since  $\mathcal{K}_C$  is closed, we have that  $AD_1 + (1-A)D_2 \in \mathcal{K}_C$ .

Suppose that the restriction that  $A$  is a projection is removed. Let  $Z$  be the spectrum of the center of  $\mathcal{A}$  and let  $D^\wedge(\zeta)$  denote the Gelfand transform of the central element  $D$  evaluated at  $\zeta \in Z$ . Since  $\mathcal{K}_C$  is convex, the set

$$\mathcal{K}_\zeta = \{D^\wedge(\zeta) \mid D \in \mathcal{K}_C\}$$

is convex and so

$$A^\wedge(\zeta)D_1^\wedge(\zeta) + (1-A)^\wedge(\zeta)D_2^\wedge(\zeta) \in \mathcal{K}_\zeta$$

for every  $\zeta \in Z$ . Thus there is for each  $\varepsilon > 0$  a finite set  $P_1, P_2, \dots, P_n$  of orthogonal central projections of sum 1 and corresponding elements  $B_1, B_2, \dots, B_n$  in  $\mathcal{K}_C$  such that

$$\|(AD_1 + (1-A)D_2 - B_j)P_j\| < \varepsilon$$

for  $j=1, 2, \dots, n$ . Since  $\sum B_j P_j \in \mathcal{K}_C$  by the first paragraph and since  $\varepsilon > 0$  is arbitrary, we see that  $AD_1 + (1-A)D_2$  is in the closed set  $\mathcal{K}_C$ . Q.E.D.

The next proposition corresponds to a result of C. R. Putnam [14]. We use many of his calculations cf. [15, 1.5.1].

**PROPOSITION 7.** *Let  $A, B, C$  be elements in a properly infinite von Neumann algebra  $\mathcal{A}$ . If  $A$  is seminormal (i.e. if either  $\pm(AA^* - A^*A)$  is positive) and if  $C = AB - BA$ , then  $0 \in \mathcal{K}_S^{-1}CS$  for some invertible  $S$  in  $\mathcal{A}$ .*

**Proof.** There is a projection  $P$  in the center of  $\mathcal{A}$  such that  $CP$  is in the strong radical of  $\mathcal{A}P$  and

$$\{M \in M(\mathcal{A}) \mid C(1-P)(M) \neq 0\}$$

is dense in the subset  $\{M \in M(\mathcal{A}) \mid 1-P \notin M\}$  of the strong structure space  $M(\mathcal{A})$  of  $\mathcal{A}$ . Since  $\mathcal{K}_C = \mathcal{K}_{CP} + \mathcal{K}_{C(1-P)} = \mathcal{K}_{C(1-P)}$  due to the fact that  $\mathcal{K}_{CP} = \{0\}$  [10, Proposition 2.4] and since  $\{M \in M(\mathcal{A}) \mid 1-P \notin M\}$  is identified with the strong structure space of  $\mathcal{A}(1-P)$ , we may assume that  $\{M \in M(\mathcal{A}) \mid C(M) \neq 0\}$  is dense in  $M(\mathcal{A})$ .

As we have previously argued we may assume that either  $\mathcal{A}$  has no  $\sigma$ -finite type III direct summands or that  $\mathcal{A}$  is the product of  $\sigma$ -finite type III algebras.

Now for each nonzero real scalar  $\alpha$  and each unitary element  $U$  in the center  $\mathcal{Z}$  of  $\mathcal{A}$  let

$$F(\alpha, U) = |\alpha|^{1/2}UA + |\alpha|^{-1/2}B^*.$$

Then

$$\operatorname{sgn}(\alpha)(FF^* - F^*F) = D(\alpha) + \operatorname{sgn}(\alpha)S(U)$$

where

$$D(\alpha) = \alpha(AA^* - A^*A) - \alpha^{-1}(BB^* - B^*B)$$

and  $S(U) = UC + U^*C^*$ . The set  $\{|D| = (D^*D)^{1/2} \mid D \in \mathcal{K}_C\}$  is monotonely decreasing in  $\mathbb{Z}$ . Indeed, let  $D_1$  and  $D_2$  be elements of  $\mathcal{K}_C$ ; there is a central projection  $P$  such that  $|D_1|P \leq |D_2|P$  and  $|D_2|(1-P) \leq |D_1|(1-P)$ . But  $D_1P + D_2(1-P) \in \mathcal{K}_C$  and  $|D_1P + D_2(1-P)| = |D_1|P + |D_2|(1-P)$  is majorized by both  $|D_1|$  and  $|D_2|$ . This proves that  $\{|D| \mid D \in \mathcal{K}_C\}$  is monotonely decreasing. Let  $D_0$  be the greatest lower bound of this set [6, Appendix II]. Suppose  $D_1$  is a positive central element which majorizes  $D(\alpha)$  for some  $\alpha$ . We show that  $D_0 \leq D_1$ . If not, there is an  $\varepsilon > 0$  and a nonzero central projection  $P$  such that  $D_0P \geq (D_1 + \varepsilon)P$ . By reducing to  $\mathcal{A}P$  we may assume that  $P=1$ . Let  $U_1, U_2, \dots, U_n$  be unitary elements in  $\mathcal{Z}$  and let  $\alpha_1, \alpha_2, \dots, \alpha_n$  be positive scalars of sum 1; then for any central element  $R$  in the sphere of radius  $2^{-1}\varepsilon$  about 0 we have that

$$\left| \left( \sum \alpha_i U_i \right) D_1 + R \right|^2 \leq \left( \sum \alpha_i D_1 + |R| \right)^2 = (D_1 + |R|)^2 \leq (D_1 + 2^{-1}\varepsilon)^2.$$

Hence  $(D^*Dx, x) \leq ((D_1 + 2^{-1}\varepsilon)^2x, x)$  for any  $D$  in the strong closure  $\mathcal{K}''$  of the convex hull of the set

$$\{UD_1 + R \mid U, R \text{ in } \mathcal{Z}, U \text{ unitary}, \|R\| \leq 2^{-1}\varepsilon\}$$

and for any  $x$  in the Hilbert space  $H$  of  $\mathcal{A}$ . On the other hand we see that  $(D^*Dx, x) \geq ((D_1 + \varepsilon)^2x, x)$  for any  $D$  in the strong closure  $\mathcal{K}'$  of  $\mathcal{K}_C$  and any  $x$  in  $H$  because  $R^*R \geq (D_1 + \varepsilon)^2$  for every  $R$  in  $\mathcal{K}_C$ . By the standard separation theorem there is a nonzero strongly continuous functional  $f$  on  $\mathcal{Z}$  such that

$$(7) \quad \operatorname{lub} \{\operatorname{Re} f(R) \mid R \in \mathcal{K}'\} \leq \operatorname{glb} \{\operatorname{Re} f(R) \mid R \in \mathcal{K}''\}.$$

Here  $\operatorname{Re} \beta$  denotes the real part of the complex number  $\beta$ . Indeed the element 0 is not in the strong closure of  $\mathcal{K}' - \mathcal{K}''$ . Since  $f$  is also weakly continuous on  $\mathcal{L}$  [6, I, §3, Theorem 1 (i)], there is a unitary  $U$  in  $\mathcal{L}$  and a nonzero vector  $x$  in  $H$  such that  $f(R) = (RUx, x)$  for every  $R \in \mathcal{L}$  [17] and [6, III, §1, Corollary, Theorem 4]. Now let  $F = F(\alpha, \operatorname{sgn}(\alpha)U)$ . We have that

$$(8) \quad \operatorname{sgn}(\alpha)(FF^* - F^*F) = D(\alpha) + S(U) \leq D_1 + S(U).$$

Let  $D$  be an arbitrary element in  $\mathcal{K}_{\operatorname{sgn}(\alpha)(FF^* - F^*F)} = \mathcal{K}$ . There is an element  $S$  in  $\mathcal{K}_{S(U)}$  such that  $D \leq D_1 + S$  [6, III, §5, Problem 2a]. We may find a  $T$  in  $\mathcal{K}_{U^*C - UC}$  such that

$$2^{-1}(S+T) \in \mathcal{K}_{UC} \quad \text{and} \quad 2^{-1}(S-T) \in \mathcal{K}_{U^*C}.$$

[6, III, §5, Problem 2a]. We then have that  $2^{-1}U^*(S+T)$  and  $2^{-1}U^*(S-T)^*$  are elements of  $\mathcal{K}_C$ . The latter is true because  $\mathcal{K}_C = \{R^* \mid R \in \mathcal{K}_C\}$ . From relation (7) we obtain that both  $\operatorname{Re}(2^{-1}(S+T)x, x)$  and  $\operatorname{Re}(2^{-1}(S-T)x, x)$  are majorized by  $\operatorname{glb}\{\operatorname{Re} f(R) \mid R \in \mathcal{K}''\}$ . Thus

$$(Sx, x) = \operatorname{Re}(Sx, x) \leq 2 \operatorname{glb}\{\operatorname{Re} f(R) \mid R \in \mathcal{K}''\}$$

since  $S$  is clearly selfadjoint. But  $-U^*D_1 - 2^{-1}\varepsilon U^*$  is an element of  $\mathcal{K}''$ . So

$$(9) \quad 2^{-1}(Sx, x) \leq \operatorname{Re}(U(-U^*D_1 - 2^{-1}\varepsilon U^*)x, x) = -((D_1 + 2^{-1}\varepsilon)x, x).$$

Therefore,

$$(Dx, x) \leq (D_1x, x) - \varepsilon(x, x) - 2(D_1x, x) \leq -\varepsilon(x, x)$$

by relation (8). Using reasoning similar to that which we used to prove that  $\{|R| \mid R \in \mathcal{K}_C\}$  is monotonely decreasing, we may prove that  $\mathcal{K}$  is monotonely increasing. Setting  $R_0 = \operatorname{lub} \mathcal{K}$ , we see that  $(R_0x, x) \leq -\varepsilon(x, x)$ . We show that this is impossible by showing  $(R_0x, x) \geq 0$ . Indeed, in proving this then we may certainly assume that  $F$  is invertible and that  $\alpha > 0$ . Because  $F$  is invertible, there is a unitary operator  $V$  in  $\mathcal{A}$  obtained from the polar decomposition of  $F$  [6, Appendix III] such that  $V^*FF^*V = F^*F$ . If  $R \in \mathcal{K}_{F^*F}$ , then there are unitary operators  $U_1, U_2, \dots, U_n$  in  $\mathcal{A}$  and positive scalars  $\alpha_1, \alpha_2, \dots, \alpha_n$  of sum 1 such that

$$\left\| \sum \alpha_i U_i^* F^* F U_i - R \right\| < \varepsilon'$$

for any preassigned constant  $\varepsilon' > 0$ . But this means that

$$\left\| \sum \alpha_i (V U_i)^* F F^* (V U_i) - R \right\| < \varepsilon'.$$

Because  $\varepsilon' > 0$  is arbitrary we have that  $R \in \mathcal{K}_{F^*F}$ . By symmetry it is then clear that  $\mathcal{K}_{F^*F} = \mathcal{K}_{F^*F}$ . Now for any  $\varepsilon' > 0$  there is an element  $R_1$  in  $\mathcal{K}_{F^*F}$  such that

$$(R_1x, x) \geq (R_2x, x) - \varepsilon'(x, x)$$

where  $R_2$  is the least upper bound of the monotonely increasing set  $\mathcal{K}_{F^*F}$ . But

there is an element  $R \in \mathcal{K}_{F^*F}$  such that  $R_1 - R \in \mathcal{K}$  [6, III, §5, Problem 2a]. However we have that

$$(R_0x, x) \geq ((R_1 - R)x, x) \geq (R_2x, x) - (Rx, x) - \varepsilon'(x, x) \geq -\varepsilon'(x, x)$$

since  $R_2 \geq R$ . Because  $\varepsilon' > 0$  is arbitrary, we see that  $(R_0x, x) \geq 0$ . This is a contradiction. We must conclude that  $D_0 \leq D_1$ .

We now show that  $D_0 = 0$ . We may assume that  $AA^* - A^*A \leq 0$ . Then for  $\alpha > 0$  we have that

$$D(\alpha) \leq -\alpha^{-1}(BB^* - B^*B) \leq 2\alpha^{-1}\|B\|^2.$$

Thus we see that  $D_0 \leq 2\alpha^{-1}\|B\|^2$  for every  $\alpha > 0$ . Therefore  $D_0 = 0$ . If  $Q$  is a nonzero central projection in  $\mathcal{A}$  and if  $\varepsilon > 0$  there is a net  $\{Q_n\}$  of mutually orthogonal central projections of sum  $Q$  such that each set  $\mathcal{K}_{CQ_n}$  contains an element  $D_n$  of norm not exceeding  $\varepsilon$ . Indeed, if  $\{Q_n\}$  is a maximal set of mutually orthogonal nonzero central projections majorized by  $Q$  with this property, then the assumption that  $Q' = Q - \sum Q_n \neq 0$  gives a contradiction. Since  $\text{glb } \{|D| \mid D \in \mathcal{K}_{CQ'}\} = 0$ , there is a  $D \in \mathcal{K}_{CQ'}$  such that  $|D| \geq 2^{-1}\varepsilon Q'$  is not true. This means that there is a nonzero central projection  $Q''$  majorized by  $Q'$  such that  $|D|Q'' \leq \varepsilon Q''$ . This contradicts the maximality of  $\{Q_n\}$ . Hence we have that  $\sum Q_n = Q$ .

Now suppose  $\mathcal{A}$  has no  $\sigma$ -finite type III direct summands. In Theorem 4 we constructed a sequence  $\{P_n\}$  of mutually orthogonal central projections of sum 1 and a projection  $F$  whose orthogonal complement  $1 - F$  was in the strong radical such that  $\|(C - R)FP\| \leq 260\nu(CP)$  whenever  $P$  is a central projection majorized by  $P_n$  and whenever  $R \in \mathcal{K}_{CP}$ . Also either  $P_n = 0$  or  $\nu(CP) \in [2^{-n}\|C\|, 2^{-n+1}\|C\|]$  for every nonzero central projection  $P$  majorized by  $P_n$ . By the preceding paragraph there is a set  $\{P_{nj}\}$  of mutually orthogonal central projections of sum  $P_n$  such that each set  $\mathcal{K}_{CP_{nj}}$  contains an element  $D_{nj}$  of norm not exceeding  $\nu(CP_n)$ . Then for each nonzero central projection  $P$  majorized by  $P_n$  we have that

$$\begin{aligned} \|CFP\| &= \text{lub}_j \|CFPP_{nj}\| \leq \text{lub } \|(C - D_{nj})PP_{nj}\| + \text{lub } \|D_{nj}PP_{nj}\| \\ &\leq 260\nu(CP) + \nu(CP_n) \leq 262\nu(CP) \end{aligned}$$

by relation (6). By the same reasoning as found in Theorem 4, we may find an invertible  $W$  in  $\mathcal{A}$  such that  $EW^{-1}CWE = 0$  for some projection  $E$  in  $\mathcal{A}$  which is equivalent to 1. However, this means that  $0 \in \mathcal{K}_{S^{-1}(F^*F - FF^*)S}$  by Proposition 5.

If  $A$  is the product of  $\sigma$ -finite type III algebras a similar proof holds. Q.E.D.

**COROLLARY.** *If  $F$  is an element in a properly infinite von Neumann algebra  $\mathcal{A}$ , then there is an invertible  $S$  in  $\mathcal{A}$  such that  $\mathcal{K}_{S^{-1}(F^*F - FF^*)S}$  contains 0.*

**Proof.** If  $A = 2^{-1}i(F - F^*)$  and  $B = 2^{-1}(F + F^*)$ , then  $2^{-1}i(FF^* - FF^*) = AB - BA$ . Now Proposition 7 applies.

**Added in proof** (April 25, 1970). I have improved Proposition 7 by showing that  $0 \in \mathcal{K}_C$ .

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