

## PRINCIPAL HOMOGENEOUS SPACES AND GROUP SCHEME EXTENSIONS

BY

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**Abstract.** Suppose  $G$  is a finite commutative group scheme over a ring  $R$ . Using Hopf-algebraic techniques, S. U. Chase has shown that the group of principal homogeneous spaces for  $G$  is isomorphic to  $\text{Ext}(G', G_m)$ , where  $G'$  is the Cartier dual to  $G$  and the  $\text{Ext}$  is in a specially-chosen Grothendieck topology. The present paper proves that the sheaf  $\text{Ext}(G', G_m)$  vanishes, and from this derives a more general form of Chase's theorem. Our  $\text{Ext}$  will be in the usual ( $fpqc$ ) topology, and we show why this gives the same group. We also give an explicit isomorphism and indicate how it is related to the existence of a normal basis.

0. We begin by summarizing background results and establishing our notation; the facts here stated without proof can be found in [3], [5], [7], and [8]. Let  $P$  be a prescheme,  $G$  a flat commutative group scheme affine over  $P$ . Let  $X$  be any prescheme over  $P$ . A *principal fiber space* for  $G$  over  $X$  is a sheaf  $Y$  (for the  $fpqc$  topology) with morphisms  $\sigma: G \times_P Y \rightarrow Y$  and  $p: Y \rightarrow X$  such that

- (1)  $G$  operates on  $Y$  (via  $\sigma$ ) over  $X$ .
- (2) The map  $G \times_P Y \rightarrow Y \times_X Y$  defined on  $\mathcal{Q}$ -valued points by  $(g, y) \mapsto (gy, y)$  is an isomorphism.
- (3) The map  $p$  is a sheaf epimorphism.

It is a theorem that every principal fiber space is representable; furthermore, the map  $p: Y \rightarrow X$  is affine and faithfully flat. In case  $X=P$  we call  $Y$  a *principal homogeneous space* for  $G$ .

Suppose  $P=\text{Spec } R$ ,  $G=\text{Spec } A$ , and  $X=\text{Spec } T$ . Then  $Y$  also is affine, say  $Y=\text{Spec } S$ , and the definition is equivalent to giving maps  $\sigma': S \rightarrow A \otimes_R S$  and  $p': T \rightarrow S$  such that

- (1') The map  $\sigma'$  is  $T$ -linear and makes  $S$  an  $A$ -comodule.
- (2') The map  $(\sigma', 1 \otimes \text{id}): S \otimes_T S \rightarrow A \otimes_R S$  is an isomorphism.
- (3')  $S$  is faithfully flat over  $T$ .

If  $T=R$  we see that these are precisely the "Galois  $A$ -objects" of [2].

Suppose  $Y_1$  and  $Y_2$  are principal fiber spaces for  $G$  over  $X$ . Let  $G$  act on  $Y_1 \times_X Y_2$  by  $g(y_1, y_2) = (gy_1, g^{-1}y_2)$ , and let  $Y$  be the quotient sheaf. Then  $Y$  is another principal fiber space, and this operation turns the set  $H^1(X, G)$  of isomorphism classes of such spaces into an abelian group.

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If a map between principal fiber spaces commutes with the  $G$ -actions and the projections to  $X$ , it is an isomorphism.

Elements of  $H^1(X, G_m)$ , where  $G_m$  is the multiplicative group, are called *line bundles*. To study them, suppose first  $X = \text{Spec } T$  is affine. Then  $Y = \text{Spec } S$ , and  $\sigma'$  is a  $T$ -linear map of  $S$  into  $S[u, u^{-1}]$ . Letting  $\pi_n(s)$  be the coefficient of  $u^n$  in  $\sigma'(s)$ , we find that the  $\pi_n$  are a set of pairwise orthogonal projections giving a decomposition of  $S$  into  $T$ -modules  $S_n$ ; furthermore  $S_0 \simeq T$ , the  $T$ -module  $S_1$  is invertible, and  $S_n \simeq S_1^{\otimes n}$ . Every invertible  $T$ -module conversely gives a line bundle, and the bundle is trivial iff the module is free. Even for  $X$  not affine this reasoning shows  $H^1(X, G_m) = \text{Pic}(X)$ . Since an invertible module is locally free, we see also that *every line bundle is locally trivial in the Zariski topology*, i.e. there is a covering of  $X$  by open affines over each of which there is a section.

Let  $G$  be as above, and let

$$0 \rightarrow G \rightarrow F \rightarrow H \rightarrow 0$$

be a sequence of commutative group schemes over  $P$ . It is called *exact* (in *fpqc*) if it makes  $F$  a principal fiber space for  $G$  over  $H$ . In particular, if we begin with just a sheaf  $F$  of commutative groups, we can deduce its representability. The set of isomorphism classes of such extensions is called  $\text{Ext}(H, G)$ ; by the preceding sentence this is the same as  $\text{Ext}^1(H, G)$  computed in the abelian category of (*fpqc*) commutative group sheaves. It is thus an abelian group, and the obvious map  $\text{Ext}(H, G) \rightarrow H^1(H, G)$  is a homomorphism. The kernel of this map consists of those extensions having a scheme-theoretic section  $H \rightarrow F$ . These are precisely the extensions corresponding to symmetric (Hochschild) cocycles, so we have an exact sequence

$$0 \rightarrow H^2_*(H, G) \rightarrow \text{Ext}(H, G) \rightarrow H^1(H, G).$$

1. We now state and prove the main theorems. If  $F_1$  and  $F_2$  are commutative group schemes, then for each prescheme  $Q$  over  $P$  we can form  $\text{Ext}_{Q\text{-gp}}(F_{1Q}, F_{2Q})$ ; this gives a presheaf, and we write  $\mathbf{Ext}(F_1, F_2)$  for the associated sheaf. A group scheme  $G$  is called *finite* if it is locally represented by algebras which are free modules of finite rank over the base; it is called a *twisted constant group* if there is an (*fpqc*) covering in which it becomes a constant group.

**THEOREM 1.** *Let  $G$  be a commutative group scheme over  $P$  which is either finite or a twisted constant group of finite type. Then  $\mathbf{Ext}(G, G_m) = 0$ .*

**Proof.** Let  $(*) 0 \rightarrow G_m \rightarrow F \rightarrow G \rightarrow 0$  be an extension over  $P$ ; we will prove that it is trivial locally in *fpqc*. Since this will hold then for any  $Q$  in place of  $P$ , it will follow that the whole  $\mathbf{Ext}$  sheaf vanishes. By a first localization we may assume that  $P = \text{Spec } R$  is affine, and we want to find a ring  $B$  faithfully flat over  $R$  such that  $(*)$  splits over  $B$ .

Suppose first that  $G = \text{Spec } T$  is a finite group scheme, so  $T$  is a finitely generated projective  $R$ -module. Write  $F = \text{Spec } S$  as in §0. We begin by considering the sections (if any) of  $(*)$  over  $R$ ; they correspond naturally to retractions  $G_m \leftarrow F$ , i.e. sections  $R[u, u^{-1}] \rightarrow S$ . Such a section is determined by giving an invertible  $s \in S$  mapping onto  $u$  and such that  $\delta s = s \otimes s$  (where  $\delta: S \rightarrow S \otimes S$  is the comultiplication corresponding to  $F \times F \rightarrow F$ ). If  $\varepsilon: S \rightarrow R$  is the counit, these  $s$  can also be characterized as the  $s \in S_1$  with  $\delta s = s \otimes s$  and  $\varepsilon(s) = 1$ .

Let  $C = \text{Hom}_{R\text{-mod}}(S_1, R)$ . It is easy to check that  $\delta$  takes  $S_1$  to  $S_1 \otimes S_1$ , and so  $C$  has a commutative algebra structure dual to  $\delta$  and  $\varepsilon$ . The retractions over  $R$  are then precisely the maps

$$\text{Hom}_{R\text{-alg}}(C, R) \subset \text{Hom}_{R\text{-mod}}(C, R) \simeq S_1.$$

But now clearly after base extension the retractions over  $B$  are

$$\text{Hom}_{B\text{-alg}}(B \otimes_R C, B) = \text{Hom}_{R\text{-alg}}(C, B).$$

Thus in particular there is a retraction, and hence a section over  $C$ ; and  $C$ , like  $S_1$  and  $T$ , is faithfully flat over  $R$ .

Say now  $G$  is a twisted constant group; by making a faithfully flat base extension we may assume it is actually a constant group. Since we can split an extension of a direct sum if we can split each part, we may assume that  $G$  is either  $\mathbb{Z}/n\mathbb{Z}$  or  $\mathbb{Z}$ . In the first case the previous argument shows that sections exist. In the second case we note that a homomorphism  $\pi: F \rightarrow \mathbb{Z}$  has a section over  $B$  as soon as  $1 \in \mathbb{Z}(\text{Spec } B)$  equals  $\pi(v)$  for some  $v \in F(\text{Spec } B)$ ; for there is always a unique homomorphism  $\mathbb{Z} \rightarrow F$  over  $B$  taking 1 to a prescribed element in  $F(\text{Spec } B)$ . Now since in our case  $F \rightarrow \mathbb{Z}$  is by hypothesis a sheaf epimorphism, there is a faithfully flat  $B$  with  $1 \in \pi F(\text{Spec } B)$ , and this gives us our section. ■

Recall that commutative group schemes  $F$  and  $F'$  are called *dual* if there is a bilinear map  $F \times F' \rightarrow G_m$  inducing isomorphisms  $F' \simeq \mathbf{Hom}(F, G_m)$  and  $F \simeq \mathbf{Hom}(F', G_m)$ ; here of course  $\mathbf{Hom}$  is the sheaf assigning  $\text{Hom}_{Q\text{-gp}}$  to  $Q$ . Every finite commutative  $F$  has a finite commutative dual, the Cartier dual. Twisted constant groups of finite type also have duals, called multiplicative finite type groups.

**THEOREM 2.** *Let  $G$  be a commutative group scheme over  $P$  which is either finite or multiplicative finite type. Then  $H^1(P, G) \simeq \text{Ext}(G', G_m)$ .*

**Proof.** Let  $\text{Ext}^n$  be the derived functors of  $\text{Hom}$  in the category of commutative group sheaves. If we define sheaves  $\mathbf{Ext}^n$  from them, then [10, p. V-29] the  $\mathbf{Ext}^n$  are the derived functors of  $\mathbf{Hom}$ . We can define  $H^n(P, F)$  as the derived functors of  $F \mapsto F(P)$ , and  $H^1(P, F)$  will be the group previously introduced. By [10, p. V-29] (cf. [6, p. 264]) we have a spectral sequence

$$H^p(P, \mathbf{Ext}^q(E, F)) \Rightarrow \text{Ext}^{p+q}(E, F)$$

yielding in particular an exact sequence

$$0 \rightarrow H^1(P, \mathbf{Hom}(E, F)) \rightarrow \mathrm{Ext}^1(E, F) \rightarrow H^0(P, \mathbf{Ext}^1(E, F)).$$

If we apply this to  $G'$  and  $G_m$ , then the map

$$H^1(P, \mathbf{Hom}(G', G_m)) \rightarrow \mathrm{Ext}^1(G, G_m)$$

is an isomorphism, since by Theorem 1 the next term in the sequence vanishes. ■

A similar proof of Chase's theorem has been outlined independently by S. Shatz [9].

2. The isomorphism in Theorem 2, derived from a spectral sequence, is not particularly accessible. In this section we describe explicit maps between the two groups.

Suppose first  $Y$  is a principal homogeneous space. Let  $G$  act on  $F_1 = Y \times G' \times G_m$  (the product over  $P$ , of course) by

$$g(y, h, \alpha) = (gy, h, \langle g, h \rangle^{-1}\alpha),$$

and let  $F$  be the quotient sheaf. We map  $F_1 \times F_1 \rightarrow F_1$  by

$$(y, h, \alpha) \cdot (y', h', \alpha') = (y, hh', \langle y^{-1}y', h' \rangle \alpha \alpha');$$

this is compatible with the  $G$ -action and so induces a map  $F \times F \rightarrow F$ . Thereby  $F$  becomes a commutative group sheaf over  $P$ , the identity being induced by any  $(y, 1, 1)$  and the inverse of  $(y, h, \alpha)$  being  $(y, h^{-1}, \alpha^{-1})$ . The obvious maps  $G_m \rightarrow F$  and  $F \rightarrow G'$  make

$$(*) \quad 0 \rightarrow G_m \rightarrow F \rightarrow G' \rightarrow 0$$

exact.

Suppose now conversely that we start with (\*); apply  $\mathrm{Hom}(G', -)$  to it, getting

$$0 \rightarrow G \rightarrow \mathrm{Hom}(G', F) \rightarrow \mathrm{Hom}(G', G'),$$

and let  $Y$  be the inverse image of  $\mathrm{id} \in \mathrm{Hom}(G', G')$ . In other words, let  $Y$  be the sheaf of (group) sections of (\*).

**THEOREM 2'.** *These two constructions are inverse to each other, and induce isomorphisms between  $H^1(P, G)$  and  $\mathrm{Ext}(G', G_m)$ .*

The proof of this is mainly straightforward verification, and we will omit it. The only difficult point is showing that the sheaf of sections satisfies condition (3) for a principal fiber space, and this follows from the argument in Theorem 1.

We can give an alternate description of the first construction, one which avoids even the taking of a quotient. We take  $P = \mathrm{Spec} R$ , so  $G = \mathrm{Spec} A$  and  $Y = \mathrm{Spec} S$ , the action being given by a map  $\sigma': S \rightarrow A \otimes_R S$ . If  $B$  is an  $R$ -algebra, we write  $B^*$  for its group of invertible elements, and  $S_B$  for the base extension  $B \otimes_R S$ . We recall that a sheaf is determined by its value on affine schemes; we will restrict to affine schemes and also shorten the functor notation  $Y(\mathrm{Spec} B)$  to  $Y(B)$ .

THEOREM 3. Define a functor by

$$V(B) = \{s \in S_B^* \mid (\exists a \in A_B) \sigma'(s) = a \otimes s\}.$$

Map this to

$$G'(B) = \text{Hom}(G, G_m)(B) = \{a \in A_B^* \mid \delta a = a \otimes a\}$$

by sending  $s$  to  $a^{-1}$  if  $\sigma'(s) = a \otimes s$ . Map  $G_m(B) = B^*$  into  $V(B)$  using the natural map  $B \rightarrow S_B$ . Then

$$0 \rightarrow G_m \rightarrow V \rightarrow G' \rightarrow 0$$

is isomorphic to the extension (\*) associated with  $Y$  in Theorem 2'.

**Proof.** Obviously  $V(B \times C) = V(B) \times V(C)$ . Let  $B \rightarrow C$  be faithfully flat; we claim then

$$0 \rightarrow V(B) \rightarrow V(C) \rightrightarrows V(C \otimes_B C)$$

is exact. Indeed, we know that

$$0 \rightarrow (B \otimes S)^* \rightarrow (C \otimes S)^* \rightrightarrows (C \otimes_B C \otimes S)^*$$

is exact. Suppose therefore that we have an  $s \in S_B^*$  with  $\sigma'(s) = a \otimes s$  in  $C \otimes A \otimes S$ ; we want to know that  $a \in B \otimes A$ . But

$$a \otimes 1 = s^{-1} \sigma'(s) \in (C \otimes A \otimes R) \cap (B \otimes A \otimes S);$$

since  $S$  is faithfully flat, this intersection equals  $B \otimes A \otimes R$ . We have thus verified that  $V$  is a sheaf.

The next step is to construct a functorial map

$$\psi: Y(B) \times G'(B) \times G_m(B)/G(B) \rightarrow V(B);$$

it will then suffice to prove that when  $Y(B) \neq \emptyset$  this map is a group isomorphism inducing the stated homomorphisms from  $G_m$  and to  $G'$ . To simplify notation we will take  $B = R$ , the general case following by base change.

We suppose then that we have an element  $y \in Y(R)$ , i.e., a homomorphism  $y: S \rightarrow R$ . Take elements  $\alpha \in R^* = G_m(R)$  and  $a \in G'(R) \subset A^*$ . Since  $(\sigma', 1 \otimes \text{id}): S \otimes S \rightarrow A \otimes S$  is an isomorphism, we can form

$$\psi(y, a, \alpha) = (y, \text{id}) \circ (\sigma', 1 \otimes \text{id})^{-1}(\alpha a \otimes 1);$$

this is an element of  $S$ , invertible since  $\alpha a$  is. Clearly  $\psi(y, 1, \alpha) = \alpha$ , so the map from  $G_m$  is as described.

Suppose now we take any  $g: A \rightarrow R$  in  $G(R)$ ; recall that  $gy$  is the map  $(g, y) \circ \sigma': S \rightarrow R$ . Consider then the commutative diagram in Figure 1. Starting with  $\alpha a \otimes 1$  in  $A \otimes S$  and going down first gives  $\psi(gy, a, \alpha)$ ; going the other way gives  $g(a)\psi(y, a, \alpha) = \psi(y, a, g(a)\alpha)$ . Thus  $\psi$  is indeed invariant under the action of  $G$ .

$$\begin{array}{ccccc}
 A \otimes S & \xrightarrow{\delta \otimes \text{id}} & A \otimes A \otimes S \\
 (\sigma', 1 \otimes \text{id}) \uparrow \wr & & \uparrow \wr \text{id} \otimes (\sigma', 1 \otimes \text{id}) \\
 S \otimes S & \xrightarrow{\sigma' \otimes \text{id}} & A \otimes S \otimes S \\
 & & \downarrow (g, y, \text{id}) \\
 & & S
 \end{array}$$

FIGURE 1

Let  $s$  be any member of  $V(R)$ , with  $\sigma'(s) = a \otimes s$ ; here  $a$  is invertible since  $s$  is. The relation  $(\delta \otimes \text{id})\sigma' = (\text{id} \otimes \sigma')\sigma'$  shows that  $\delta(a) \otimes s = a \otimes a \otimes s$ ; since  $S$  is faithfully flat,  $\delta(a) = a \otimes a$ . Thus  $a \in G'(R)$ . If we look then at the element  $y(s)s^{-1} \otimes s$  in  $S \otimes S$ , we find that it goes to  $y(s)a^{-1}$  in  $A \otimes S$  and to  $s$  under  $(y, \text{id})$ . Thus  $s = \psi(y, a^{-1}, y(s))$ , and all of  $V(R)$  is in the image of  $\psi$ .

Next we observe that the map  $G \times Y \rightarrow G \times Y$  given by

$$(g, z) \mapsto gz \mapsto (y, gz) \mapsto (y(gz)^{-1}, gz)$$

is also given by

$$(g, z) \mapsto (g, y, z) \mapsto (g, yz^{-1}, z) \mapsto (g, g^{-1}, yz^{-1}, z) \mapsto (g^{-1}(yz^{-1}), gz).$$

Hence the corresponding composite maps  $A \otimes S \rightarrow A \otimes S$  are equal. Going the first way from  $\alpha a \otimes 1$  yields  $\sigma'\psi(y, a, \alpha)$ ; going the other way yields  $a^{-1} \otimes \psi(y, a, \alpha)$ . Thus  $\psi$  does map into  $V(R)$ , and the map to  $G'$  is as described.

It is easy now to show that  $\psi$  is injective. For suppose  $\psi(y, a, \alpha) = \psi(y', a', \alpha')$ . Then  $a = a'$ , since we can recover  $a$  from  $\sigma'\psi(y, a, \alpha)$ . Using the action of  $G(R)$  we may assume  $y = y'$ . But  $\psi(y, a, \alpha) = \alpha\psi(y, a, 1)$ , and these are distinct for distinct  $\alpha$ .

Finally we verify that  $\psi$  is a group homomorphism. Take two elements  $(y, a, \alpha)$  and  $(y', a', \alpha')$ ; using the  $G$ -action we may assume  $y = y'$ . Their product then is  $(y, aa', \alpha\alpha')$ . But since the maps used in defining  $\psi$  are algebra morphisms, we indeed have  $\psi(y, aa', \alpha\alpha') = \psi(y, a, \alpha)\psi(y, a', \alpha')$ . ■

REMARK. After eliminating some dualizations in [2], we find that  $V$  is precisely the functor constructed there. Working directly with the algebras, however, Chase naturally maps  $s$  to  $a$  rather than to  $a^{-1}$ . Hence the homomorphism he constructs is the negative of ours.

3. Our next goal is to show that the Ext in Theorem 2 can be computed in a coarse Grothendieck topology. For convenience we will continue to regard our sheaves as functors on affine schemes. The basic tool is the following general result:

PROPOSITION 1. *Let  $\mathcal{S}$  and  $\mathcal{T}$  be two Grothendieck topologies. Suppose that*

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$$

is a sequence of commutative group functors which are sheaves in  $\mathcal{S}$ , and that the sequence is exact in  $\mathcal{S}$ . Then if  $A$  and  $C$  are sheaves for  $\mathcal{T}$ , so is  $B$ .

**Proof.** Let  $\{U_i \rightarrow W\}$  be a covering in  $\mathcal{T}$ . We first show that

$$B(W) \rightarrow \prod B(U_i)$$

is injective. Indeed, suppose an element  $b$  goes to zero. Then its image in  $C(W)$  goes to zero in  $\prod C(U_i)$  and hence equals zero. By exactness then  $b$  comes from an  $a \in A(W)$ . But all the maps  $A(U_i) \rightarrow B(U_i)$  are injective, so  $a$  goes to zero in  $\prod A(U_i)$  and hence equals zero; thus  $b=0$ .

Suppose now we have elements  $b_i \in B(U_i)$  such that  $b_i$  and  $b_j$  have the same image  $b_{ij}$  in  $B(U_i \times U_j)$ —here and throughout the proof,  $\times$  stands for the product over  $W$ . We must produce an element  $b \in B(W)$  yielding all the  $b_i$ . This is a diagram-chasing argument, and the reader is encouraged to write out any diagram he feels the urge to chase.

Let  $c_i \in C(U_i)$  be the image of  $b_i$ . Then  $c_i$  and  $c_j$  have the same image in  $C(U_i \times U_j)$ , so there is an element  $c \in C(W)$  giving every  $c_i$ . By  $\mathcal{S}$ -exactness we can find a covering  $\{V_\lambda \rightarrow W\}$  in  $\mathcal{S}$  such that  $c$  is in the image of  $B$  there; that is, for each  $\lambda$ , the image of  $c$  in  $C(V_\lambda)$  comes from some  $b_\lambda \in B(V_\lambda)$ .

Fix  $\lambda$ , and consider the images of  $b_\lambda$  and  $b_i$  in  $B(V_\lambda \times U_i)$ . They become the same in  $C(V_\lambda \times U_i)$ , so their difference comes from an  $a_{\lambda i} \in A(V_\lambda \times U_i)$ . Now  $b_i$  and  $b_j$  become the same in  $B(U_i \times U_j)$ ; therefore  $a_{\lambda i}$  and  $a_{\lambda j}$  have the same image in  $B(V_\lambda \times U_i \times U_j)$ , and hence also in  $A(V_\lambda \times U_i \times U_j)$ . Since  $\{V_\lambda \times U_i \rightarrow V_\lambda\}$  is a covering in  $\mathcal{T}$ , and  $A$  is a  $\mathcal{T}$ -sheaf, there is an  $a_\lambda \in A(V_\lambda)$  giving rise to the  $a_{\lambda i}$ . Replacing  $b_\lambda$  by  $b_\lambda + a_\lambda$ , we may assume that  $b_\lambda$  and  $b_i$  have the same image in  $B(V_\lambda \times U_i)$ .

We observe now that  $b_\lambda$  and  $b_\mu$  have the same image (= that of  $b_i$ ) in  $B(V_\lambda \times V_\mu \times U_i)$ . Since we already know that

$$B(V_\lambda \times V_\mu) \rightarrow \prod_i B(V_\lambda \times V_\mu \times U_i)$$

is injective, we see that  $b_\lambda$  and  $b_\mu$  have the same image in  $B(V_\lambda \times V_\mu)$ . Hence there is an element  $b \in B(W)$  giving every  $b_\lambda$ . Since  $b$  and  $b_i$  have the same image (= that of  $b_\lambda$ ) in all  $B(V_\lambda \times U_i)$ , the  $b_i$  must come from  $b$ . ■

We now take  $\mathcal{S}$  to be the following Grothendieck topology, used in [2]: Let  $\text{Spec } C \rightarrow \text{Spec } B$  be a covering if  $C = (\prod R_{x_i}) \otimes B$ , where  $\sum x_i = 1$  and the  $x_i$  are not in the Jacobson radical of  $R$ . Clearly this is much coarser than the (*fpc*) topology. It is fine enough for our purposes, however, because it trivializes enough line bundles.

**PROPOSITION 2.** *Let  $Y$  be a line bundle over  $\text{Spec } D$ , where  $D$  as an  $R$ -module is projective of finite type. Then there is an  $\mathcal{S}$ -covering in which  $Y$  becomes trivial.*

**Proof.** In view of the remarks in §0, the proposition is equivalent to the following statement: If  $M$  is any invertible  $D$ -module, there are  $x_1, \dots, x_n$  in  $R \setminus \text{Rad}(R)$  with  $\sum x_i = 1$  and each  $M_{x_i}$  free over  $D_{x_i}$ . This is straightforward commutative algebra, mostly available in [1, p. 65], and we just sketch the proof.

For each maximal ideal  $m$  of  $R$ , the ring  $D_m$  is semilocal, and hence  $M_m$  is free. Then there is an  $f \in R \setminus m$  with  $M_f$  free over  $D_f$ . The collection of all such  $f$  generates an ideal lying in no maximal ideal, so there is a relation  $1 = \sum r_i f_i$ . Dropping the terms which lie in  $\text{Rad}(R)$  we get a sum  $g = \sum r_i f_i$  with  $g$  lying in  $1 - \text{Rad}(R)$  and hence invertible. Set  $x_i = g^{-1} r_i f_i$ . ■

Let  $H$  now be a finite commutative group scheme over  $R$ . If

$$0 \rightarrow G_m \rightarrow F \rightarrow H \rightarrow 0$$

is exact, i.e. exact in  $(fpqc)$ , then it is exact in  $\mathcal{S}$ ; for Proposition 2 shows that  $F \rightarrow H$  is an  $\mathcal{S}$ -epimorphism. Conversely, if it is exact in  $\mathcal{S}$ , Proposition 1 shows that  $F$  is a sheaf in  $(fpqc)$ ; and of course the sequence is still exact there. Thus we have

**THEOREM 4.** *If  $H$  is a finite commutative group scheme over  $R$ , then  $\text{Ext}(H, G_m)$  is canonically isomorphic to the group  $\text{Ext}(H, G_m)$  computed in  $\mathcal{S}$ .* ■

It is perhaps worth mentioning that one cannot here replace  $G_m$  by an arbitrary group. For example, let  $R$  be a field of characteristic  $p > 0$ , and consider

$$0 \rightarrow \alpha_p \rightarrow \alpha_{p^2} \rightarrow \alpha_p \rightarrow 0,$$

which is exact. Since  $\mathcal{S}$  has no coverings, exactness in  $\mathcal{S}$  would mean exactness as presheaves, and the final map is not surjective when evaluated on  $\text{Spec}(R[u]/u^p)$ .

4. Take  $G$  again to be a finite commutative group scheme, and assume for simplicity that  $P = \text{Spec } R$ . Combining Theorem 2 with a remark in §0, we find that there is an exact sequence

$$0 \rightarrow H_s^2(G', G_m) \rightarrow H^1(P, G) \rightarrow \text{Pic}(G').$$

In this section we will give a more explicit description of the map to  $\text{Pic}(G')$ . Let  $G = \text{Spec } A$ , so  $G' = \text{Spec } A'$  where  $A' = \text{Hom}_{R\text{-mod}}(A, R)$ .

Set  $T = A'$  in the proof of Theorem 1; by Theorem 2' we have  $Y = \text{Spec } C$  there. Look first at the  $G$ -action induced on the sheaf of retractions. It is given simply by letting elements  $a' \in G(B) \subset B \otimes A'$  act on  $s \in Y(B) \subset B \otimes S_1$  by multiplication. This means that the action  $C \rightarrow A \otimes C$  yields an  $A'$ -module structure agreeing with the one naturally induced on

$$C = \text{Hom}_{R\text{-mod}}(S_1, R).$$

Then as an  $A'$ -module,

$$\begin{aligned} S_1 &= \text{Hom}_{R\text{-mod}}(C, R) = \text{Hom}_{A'\text{-mod}}(C, A) \\ &= A \otimes_{A'} C^\vee, \end{aligned}$$

where  $C^\vee$  is the inverse of  $C$  in  $\text{Pic}(A')$ .



So far, however, we have been looking at the sheaf of retractions. Our actual map takes the sheaf of sections, giving the inverse principal homogeneous space (same  $C$ , but different action). Thus going back from  $C$  we get the inverse of the above class, and we have

**THEOREM 5.** *The map  $H^1(P, G) \rightarrow \text{Pic}(G')$  sends  $\text{Spec } C$  to the class of  $C \otimes_{A'} A^\vee$ , where  $A^\vee$  is the inverse of  $A$  as an  $A'$ -module. In particular, the kernel of the map consists of those spaces for which  $C$  is isomorphic to  $A$  as an  $A'$ -module. ■*

**REMARKS.** 1. If we replace  $C$ ,  $A$ , and  $A'$  by the corresponding locally free sheaves, we can extend the theorem to nonaffine base preschemes.

2. If  $\text{Pic } R=0$ , or if  $G$  comes by base extension from such a ring, then  $A$  is a free  $A'$ -module [2, p. 68]; hence in those cases the kernel is those  $C$  which are free over  $A'$ . This holds in particular if  $G$  is a finite constant group. In the case  $G = \mathbb{Z}/n\mathbb{Z}$ , Theorem 2 and this fact were proved by H. Epp [4].

3. When  $G$  is a constant group,  $A'$  is the group algebra, and to say  $C$  is free is to say that it has a normal basis. At first glance one would be inclined to use this definition in general. But Theorem 5 shows that it may be better to say  $C$  has a normal basis if  $C$  is isomorphic to  $A$  as an  $A'$ -module. With this convention we can then conclude that the spaces with a normal basis form a subgroup canonically isomorphic to  $H_s^2(G', G_m)$ .

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