## G-STRUCTURES ON SPHERES

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Abstract.  $G_n$  denotes one of the classical groups SO(n), SU(n) or Sp(n) and H a closed connected subgroup of  $G_n$ . We ask whether the principal bundle  $G_n \to G_{n+1}$   $\to G_{n+1}/G_n$  admits a reduction of structure group to H. If n is even and  $G_n$  is SO(n) or SU(n) or if  $n \not\equiv 11 \mod 12$  and  $G_n$  is Sp(n), we prove that there are no such reductions unless n=6,  $G_6=SO(6)$  and H=SU(3) or U(3). In the remaining cases we consider the problem for H maximal. We divide the maximal subgroups into three main classes: reducible, nonsimple irreducible and simple irreducible. We find a necessary and sufficient condition for reduction to a reducible maximal subgroup and prove that there are no reductions to the nonsimple irreducible maximal subgroups. The remaining case is unanswered.

1. **Introduction.** In this paper we consider the problem of determining all G-structures on the standard n-sphere,  $S^n$ . More precisely, let  $G_n$  denote either the special orthogonal group, SO(n), the special unitary group, SU(n), or the symplectic group Sp(n). Given a closed connected subgroup H of  $G_n$  we ask whether the principal  $G_n$ -bundle  $G_n \to G_{n+1} \to G_{n+1}/G_n$  admits a reduction of structure group to H.

The problem has been solved in a number of significant cases. Adams [1] has obtained a complete solution for  $G_n = SO(n)$  and H the standard subgroup SO(n-k),  $1 \le k < n$ . The results of Atiyah and Todd [3] and Adams and Walker [2] completely solve the problem for  $G_n = SU(n)$  and H the standard subgroup SU(n-k),  $1 \le k < n$ . Finally, Borel and Serre [4] obtained the final solution for  $G_{2n} = SO(2n)$  and H = U(n).

For  $G_n$  equal to SO(n) or SU(n) and n even and for  $G_n$  equal to  $S_p(n)$  and  $n \neq 11 \mod 12$ , we obtain a complete solution to the general problem. Namely, we prove

THEOREM 1. A. For n even, the fibration

$$SO(n) \rightarrow SO(n+1) \rightarrow SO(n+1)/SO(n) = S^n$$

cannot be reduced to a proper subgroup H of SO(n) unless n is 6 and H is SU(3) or U(3).

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B. For n even the fibration

$$SU(n) \rightarrow SU(n+1) \rightarrow SU(n+1)/SU(n) = S^{2n+1}$$

cannot be reduced to a proper subgroup of SU(n).

C. For  $n \not\equiv 11 \mod 12$ , the fibration

$$Sp(n) \rightarrow Sp(n+1) \rightarrow Sp(n+1)/Sp(n) = S^{4n+3}$$

cannot be reduced to a proper subgroup of Sp(n).

In the remaining cases we restrict ourselves to consideration of the maximal closed connected subgroups of  $G_n$ . Following Dynkin [6], we divide these subgroups into three main classes: the reducible maximal subgroups, the nonsimple irreducible maximal subgroups and the simple irreducible maximal subgroups. We obtain a necessary and sufficient condition for reduction to the reducible maximal subgroups. We prove

THEOREM 2. A. Let H be a reducible maximal subgroup of SO(n), n odd. Then H leaves invariant a subspace V of  $\mathbb{C}^n$  such that  $V = \overline{V}$ . Let k be the larger of dim V, codim V. Then  $SO(n) \to SO(n+1) \to S^n$  can be reduced to H if and only if there is a reduction to the standard subgroup SO(k).

B. Let H be a reducible maximal subgroup of SU(n). Then H leaves invariant a subspace V of  $\mathbb{C}^n$ . Let k be the larger of dim V, codim V. Then  $SU(n) \to SU(n+1)$   $\to S^{2n+1}$  can be reduced to H if and only if there is a reduction to the standard subgroup SU(k).

For the symplectic case we need the following definition. Let  $J: \mathbb{C}^{2n} \to \mathbb{C}^{2n}$  be defined by  $J(x_1, \ldots, x_{2n}) = (\bar{x}_2, -\bar{x}_1, \ldots, \bar{x}_{2n}, -\bar{x}_{2n-1})$ , and (x, y)' the skew-symmetric bilinear form of  $\mathbb{C}^{2n}$  defined by

$$(x, y)' = \sum_{k=1}^{n} (x_{2k-1}y_{2k} - x_{2k}y_{2k-1}).$$

Then we have

THEOREM 2. C. Let H be a reducible maximal subgroup of Sp(n). Then H leaves invariant a subspace V of  $\mathbb{C}^{2n}$  such that either

- (a) J(V) = V, or
- (b)  $V \oplus J(V) = \mathbb{C}^{2n}$  and (x, y)' is either zero or nondegenerate on V.

Let k be the larger of dim V, codim V. Then  $Sp(n) \to Sp(n+1) \to S^{4n+3}$  can be reduced to H if and only if J(V) = V and there is a reduction to the standard subgroup Sp(k/2).

The nonsimple irreducible maximal subgroups are dealt with in

THEOREM 3. If H is a nonsimple irreducible maximal subgroup of  $G_n$ , then  $G_{n+1} \to G_{n+1}/G_n$  cannot be reduced to H.

We are unable to solve the problem for the simple irreducible maximal subgroups. However, we prove the following

PROPOSITION 4. The fibration  $SU(n+1) \rightarrow S^{2n+1}$  can be reduced to the subgroup SO(n) of SU(n) if and only if n=3.

The results of this paper are contained in the author's doctoral dissertation. The author wishes to thank Professor Bruno Harris of Brown University for suggesting the problem and for many helpful conversations during the preparation of this paper.

2. **Notation.** SU(n) denote the group of unitary  $n \times n$  matrices of determinate 1,  $\sigma$  the automorphism of SU(n) induced by complex conjugation, SO(n) the subgroup of SU(n) of fixed points. If n=2m, let  $\tau$  be the automorphism of SU(2m) defined by  $\tau(A) = J^{-1}\sigma(A)J$ , where J is the  $2m \times 2m$  matrix with  $2 \times 2$  blocks

$$\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$$

down the main diagonal and zeros elsewhere. Sp(m) denotes the subgroup of SU(2m) of fixed points of  $\tau$ .

By a subgroup of SU(n) we will mean a closed connected subgroup. A subgroup is reducible if it leaves invariant a proper subspace of complex n-space  $C^n$  and irreducible otherwise.

If A and B are square matrices of orders m and n, respectively, then  $A \times B$  denotes the square matrix of order m+n of the form

$$\begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix}$$

and  $A \otimes B$  the square matrix of order mn with entries

$$c_{(i,l)}^{(i,k)} = a_i^i b_i^k,$$

where we use the ordered pairs (i, k),  $1 \le i \le m$ ,  $1 \le k \le n$ , as indices. If M and N are two sets of square matrices of orders m and n, respectively, then  $M \times N (M \otimes N)$  is the set of all matrices  $A \times B (A \otimes B)$  where  $A \in M$  and  $B \in N$ .

If G is a Lie group, X a CW-complex and  $\eta$  a principal fibre bundle with structure group G over the suspension SX of X, then  $\eta$  is classified by a map  $c: X \to G$  or a map  $c: SX \to B_G$ , where  $B_G$  is a classifying space for G [9]. We will speak of either map as a classifying map for  $\eta$ .

Finally, if p is a prime integer, n an integer,  $\nu_p(n)$  will denote the highest power of p dividing n.

#### 3. Proof of Theorem 1.

LEMMA 3.1. Let G be a Lie group and H,  $H_1$ ,  $H_2$  closed subgroups of G such that  $H_1 \subset H$ , i=1, 2. The composition

$$H_1 \subseteq H \rightarrow H/H_2$$

is essential if there is a principal G-bundle over the suspension of a CW-complex which can be reduced to  $H_1$  but not  $H_2$ .

**Proof.** Let  $\pi: E \to S(X)$  be such a bundle and  $c: X \to G$  a classifying map. There is a homotopy commutative triangle



where i is inclusion. If  $H_1 \rightarrow H/H_2$  is inessential, then, by the homotopy lifting theorem, there is a homotopy commutative triangle



where i,  $i_2$  are inclusions, and  $c: X \to G$  factors through  $H_2$ .

COROLLARY 3.2. Let  $\pi: E \to S(X)$  be a principal  $G_n$ -bundle which can be reduced to  $G_{n-k}$  but not  $G_{n-k-1}$ . If there is a reduction to a closed subgroup H of  $G_{n-k}$ , then H acts transitively on the sphere  $G_{n-k}/G_{n-k-1}$  through  $G_{n-k}$ .

We proceed with the proof of Theorem 1.A. The fibration  $SO(2n) \to SO(2n+1)$   $\to S^{2n}$  cannot be reduced to SO(2n-1) [1]. By Corollary 3.2, if there is a reduction to a subgroup H, then H must act transitively on  $S^{2n-1} = SO(2n)/SO(2n-1)$  through SO(2n) and must be one of the groups SO(2n), SU(n), U(n), Spin (7) (n=4), Spin (9) (n=8), or if n=2m, Sp(m) or  $Sp(1) \times_{Z_2} Sp(m)$  [12], [14].

Reduction to U(n) is possible if and only if n=1 or 3 and to SU(n) if and only if n=3 [4].

Suppose that n is even. Reduction to Sp(n/2) implies reduction to SU(n) and this is impossible.

Suppose that n is even and that reduction to  $Sp(1) \times_{Z_2} Sp(n/2)$  is possible. If n=2,  $Sp(1) \times_{Z_2} Sp(1) = SO(4)$  and we need only consider the case  $n \ge 4$ . The projection  $Sp(1) \times Sp(n/2) \to Sp(1) \times_{Z_2} Sp(n/2)$  is a double covering and induces an isomorphism

$$\pi_{2n-1}(Sp(1) \times Sp(n/2)) \cong \pi_{2n-1}(Sp(1) \times_{Z_2} Sp(n/2)).$$

Thus we have a homotopy commutative diagram

$$S^{2n-1} \xrightarrow{C} SO(2n)$$

$$\downarrow \qquad \qquad \downarrow i$$

$$Sp(1) \times Sp(n/2) \longrightarrow Sp(1) \times_{Z_2} Sp(n/2)$$

where c is a classifying map,  $c^1$  classifies the reduction to  $Sp(1) \times_{Z_2} Sp(n/2)$  and i is inclusion. By Lemma 7.5, there is a homotopy commutative diagram

$$S^{2n-1} \xrightarrow{c} SO(2n)$$

$$\downarrow \qquad \qquad \downarrow j$$

$$Sp(1) \times Sp(n/2) \longrightarrow Sp(n/2)$$

where j is inclusion. Thus, we are back to the previous case.

We now consider the fibration  $SO(8) \rightarrow SO(9) \rightarrow S^8$  and the subgroup Spin (7). The exact sequence of homotopy groups

$$\pi_{11}(S^8) \xrightarrow{C_*} \pi_{11}(B_{SO(8)}) \longrightarrow \pi_{11}(B_{SO(9)}) \longrightarrow \pi_{10}(S^8)$$
$$\longrightarrow \pi_{10}(B_{SO(8)}) \longrightarrow \pi_{10}(B_{SO(9)})$$

of the fibration  $S^8 \xrightarrow{c} B_{SO(8)} \to B_{SO(9)}$  is as follows [10]:

$$Z_{24} \xrightarrow{C_*} Z_{24} \oplus Z_8 \longrightarrow Z_8 \longrightarrow Z_2 \longrightarrow Z_2 \oplus Z_2 \oplus Z_2 \longrightarrow Z_2 \oplus Z_2.$$

Thus,

$$\pi_{11}(S^8) \xrightarrow{C_*} \pi_{11}(B_{SO(8)}) \longrightarrow \pi_{11}(B_{SO(9)})$$

is the exact sequence

$$0 \longrightarrow Z_{24} \xrightarrow{c_*} Z_{24} + Z_8 \longrightarrow Z_8 \longrightarrow 0.$$

Since  $\pi_{11}(B_{Spin,7}) = Z_8$ , c cannot factor through  $B_{Spin,7}$ .

Finally, consider the fibration  $SO(16) \rightarrow SO(17) \rightarrow S^{16}$  and the subgroup Spin (9). The exact sequence of homotopy groups

$$\pi_{19}(S^{16}) \xrightarrow{C_*} \pi_{19}(B_{SO(16)}) \longrightarrow \pi_{19}(B_{SO(17)}) \longrightarrow \pi_{18}(S^{16})$$

$$\longrightarrow \pi_{18}(B_{SO(16)}) \longrightarrow \pi_{18}(B_{SO(17)})$$

is as follows [10]:

$$Z_{24} \xrightarrow{c_*} Z_{24} + Z_8 \longrightarrow Z_8 \longrightarrow Z_2 \longrightarrow Z_2 \oplus Z_2 \oplus Z_2 \longrightarrow Z_2 \oplus Z_2.$$

Thus

$$\pi_{19}(S^{16}) \xrightarrow{C_*} \pi_{19}(B_{SO(16)}) \longrightarrow \pi_{19}(B_{SO(17)})$$

is the exact sequence

$$0 \longrightarrow Z_{24} \stackrel{c_*}{\longrightarrow} Z_{24} \oplus Z_8 \longrightarrow Z_8 \longrightarrow 0.$$

Mimura [11] has shown that

$$\pi_{19}(B_{\mathrm{Spin}(9)}) = Z_{2835} \oplus Z_{16} \oplus Z_8 \oplus Z_2.$$

A simple argument shows that  $c_*$  cannot factor through  $Z_{2835} \oplus Z_{16} \oplus Z_8 \oplus Z_2$ . This completes the proof of Theorem 1.A.

We now prove Theorem 1.B. The fibration  $SU(2n) \to SU(2n+1) \to S^{4n+1}$  cannot be reduced to SU(2n-1) [3]. By Corollary 3.2, if there is a reduction to a subgroup H of SU(2n), H must act transitively on  $S^{4n-1} = SU(2n)/SU(2n-1)$  through SU(2n) and must be one of the groups SU(2n), Sp(n) or Spin (9) (n=4).

There is a fibration

$$S^{4n+1} \xrightarrow{c} B_{SU(2n)} \longrightarrow B_{SU(2n+1)}$$

and c is a classifying map. From the exact sequence

$$\pi_{4n+1}(S^{4n+1}) \xrightarrow{C_*} \pi_{4n+1}(B_{SU(2n)}) \longrightarrow \pi_{4n+1}(B_{SU(2n+1)}),$$

we see that  $c_*$  is surjective since  $\pi_{4n+1}(B_{SU(2n+1)})=0$  [5]. Since [10]

$$\pi_{4n+1}(B_{SU(2n)}) = Z_{(2n)!},$$
 $\pi_{4n+1}(B_{Sp(n)}) = 0$  if  $n$  even,
 $= Z_2$  if  $n$  odd,

and [11]

$$\pi_{17}(B_{\mathrm{Spin}(9)}) = Z_2 \oplus \cdots \oplus Z_2$$
 (6 copies),

we see that there are no reductions to Sp(n) or Spin(9) (n=4).

Finally, we prove Theorem 1.C. We first show that if  $Sp(n) \to Sp(n+1) \to S^{4n+3}$  can be reduced to Sp(n-1) then  $n+1\equiv 0 \mod 12$ . Since  $Sp(n+1) \to S^{4n+3}$  is a reduction of  $SU(2n+2) \to S^{4n+3}$ , reduction of  $Sp(n+1) \to S^{4n+3}$  to Sp(n-1) implies reduction of  $SU(2n+2) \to S^{4n+3}$  to SU(2n-2). But this is possible if and only if 2n+2 is divisible by the Atiyah-Todd number  $M_4=24$  [2].

Therefore, if  $n \not\equiv 11 \mod 12$ , and if  $Sp(n+1) \to S^{4n+3}$  can be reduced to a subgroup H, H must act transitively on  $S^{4n-1} = Sp(n)/Sp(n-1)$  through Sp(n). But the only subgroup of Sp(n) acting transitively on  $S^{4n-1}$  is Sp(n).

4. **Proof of Theorem 2.** One may easily obtain the following description of the reducible maximal subgroups of SO(n) (n odd), SU(n) and Sp(n).

PROPOSITION 4.1. Let H be a reducible maximal subgroup of SO(n) (n odd). Then H leaves invariant a proper subspace V of  $\mathbb{C}^n$  such that  $\overline{V} = V$  and is conjugate in SO(n) to  $SO(k) \times SO(n-k)$  if dim V=k.

PROPOSITION 4.2. Let H be a reducible maximal subgroup of SU(n). Then H leaves invariant a proper subspace V of  $C^n$  and is conjugate in SU(n) to  $S(U(k) \times U(n-k))$ , where  $k = \dim V$  and  $S(U(k) \times U(n-k))$  is the subgroup of unimodular matrices in  $U(k) \times U(n-k)$ .

PROPOSITION 4.3. Let H be a reducible maximal subgroup of Sp(n). Then H leaves invariant a proper subspace V of  $\mathbb{C}^{2n}$  such that either

- (a) J(V) = V, and H is conjugate in Sp(n) to  $Sp(k) \times Sp(n-k)$ , where  $2k = \dim V$ ,
- (b)  $V \oplus J(V) = \mathbb{C}^{2n}$  and (x, y)' is zero on V, and H is conjugate in Sp(n) to U(n), or
- (c)  $V \oplus J(V) = \mathbb{C}^{2n}$  and (x, y)' is nondegenerate on V.

We will also need the following:

LEMMA 4.4. Let G be a Lie group, H a closed subgroup and  $U_1$ ,  $U_2$  subgroups of H. Let  $\alpha$  be an automorphism of G such that  $\alpha(H) = H$  and  $\alpha(U_1) = U_2$ . Then  $G \to G/H$  can be reduced to  $U_1$  if and only if there is a reduction to  $U_2$ .

**Proof.**  $\alpha$  induces homeomorphisms  $\alpha\colon G/H\to G/H$  and  $\tilde{\alpha}\colon G/U_1\to G/U_2$  such that the diagram

$$\begin{array}{ccc} G/U_1 \stackrel{\tilde{\alpha}}{\longrightarrow} & G/U_2 \\ \downarrow & & \downarrow \\ G/H \stackrel{\alpha}{\longrightarrow} & G/H \end{array}$$

commutes. Since reduction of  $G \to G/H$  to  $U_i$ , i=1, 2, is equivalent to the existence of a cross-section of  $G/U_i \to G/H$ , the lemma follows.

We now prove Theorem 2.A. Since the reducible maximal subgroups of SO(n) (n odd) are conjugate in SO(n) to one of the subgroups  $SO(k) \times SO(n-k)$ , by Lemma 4.4, it suffices to prove the theorem for these subgroups. Let  $c: S^{n-1} \to SO(n)$  be a classifying map for  $SO(n+1) \to S^n$ . There is a reduction to  $SO(k) \times SO(n-k)$  if and only if there is a homotopy commutative triangle

$$S^{n-1} \xrightarrow{c} SO(n)$$

$$SO(k) \times SO(n-k)$$

where j is inclusion. By Corollary 7.2, such a triangle can be completed to a homotopy commutative diagram

$$S^{n-1} \xrightarrow{c} SO(n)$$

$$\downarrow \qquad \qquad \downarrow i$$

$$SO(k) \times SO(n-k) \longrightarrow SO(q)$$

where  $q = \max\{k, n-k\}$  and i is inclusion. Thus,  $SO(n+1) \to S^n$  can be reduced to  $SO(k) \times SO(n-k)$  if and only if there is a reduction to SO(q),  $q = \max\{k, n-k\}$ .

To prove Theorem 2.B, it suffices to prove the theorem for the subgroups  $S(U(k) \times U(n-k))$  of SU(n). We show that  $SU(n+1) \to S^{2n+1}$  can be reduced to

 $S(U(k) \times U(n-k))$  if and only if it can be reduced to  $SU(k) \times SU(n-k)$ . The theorem will then follow, as above, from Corollary 7.2. The inclusion of  $SU(k) \times SU(n-k)$  into  $S(U(k) \times U(n-k))$  induces an isomorphism

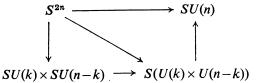
$$\pi_{2n}(SU(k)\times SU(n-k)) \simeq \pi_{2n}(S(U(k)\times U(n-k))),$$

since  $S(U(k) \times U(n-k))/SU(k) \times SU(n-k) = S^1$ . Thus, a homotopy commutative triangle

$$S^{2n} \longrightarrow SU(n)$$

$$S(U(k) \times U(n-k))$$

can be completed to a homotopy commutative diagram



We now prove Theorem 2.C. Let H be a reducible maximal subgroup of Sp(n). We must consider three cases.

- (a) H is conjugate in Sp(n) to one of the subgroups  $Sp(k) \times Sp(n-k)$ .  $Sp(n+1) \rightarrow S^{4n+3}$  can be reduced to H if and only if there is a reduction to  $Sp(k) \times Sp(n-k)$  which is equivalent to reduction to Sp(q),  $q = \max\{k, n-k\}$ , by Corollary 7.2.
- (b) H is conjugate to U(n). We show that reduction to U(n) is impossible. Since  $Sp(n+1) \to S^{4n+3}$  is a reduction of  $SU(2n+2) \to S^{4n+3}$  to Sp(n), reduction of  $Sp(n+1) \to S^{4n+3}$  to U(n) implies reduction of  $SU(2n+2) \to S^{4n+3}$  to U(n) under the inclusion

$$j: U(n) \to Sp(n) \to SU(2n+1)$$

which is given by  $j(A) = B \times I_1$ , where B is the  $2n \times 2n$  matrix consisting of  $2 \times 2$  blocks of the form

$$\begin{bmatrix} a_{ij} & 0 \\ 0 & \bar{a}_{ij} \end{bmatrix}$$

where  $A = [a_{ij}]$ . We can write  $j(A) = A_1 A_2$  where  $A_1 = B_1 \times [\det A]$ ,  $A_2 = B_2 \times [\det \overline{A}]$  and  $B_1$ ,  $B_2$  are  $2n \times 2n$  matrices consisting of  $2 \times 2$  blocks of the form

$$\begin{bmatrix} a_{ij} & 0 \\ 0 & 1 \end{bmatrix}, \quad \begin{bmatrix} 1 & 0 \\ 0 & \bar{a}_{ij} \end{bmatrix},$$

respectively. Let  $C_1$  be the  $2n \times 2n$  matrix with blocks

$$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

down the main diagonal and zeros elsewhere, and  $C = C_1 \times (-1)^n I_1$ . Then  $C \in SO(2n+1)$  and  $CA_2C^{-1} = \overline{B}_1 \times (\det A)I_1$ . Let D be the matrix of the linear transformation of  $C^{2n+1}$  defined by

$$D(e_{2k-1}) = e_k,$$
  $1 \le k \le n,$   
 $D(e_{2k}) = e_{n+k},$   $1 \le k \le n,$   
 $D(e_{2n+1}) = \pm e_{2n+1},$ 

where the sign is chosen so that D is unimodular. Then

$$D(A_1CA_2C^{-1})D^{-1} = A\overline{A} \times I_{n+1}.$$

Thus, there is a homotopy commutative triangle

$$U(n) \xrightarrow{j} SU(2n+1)$$

$$SU(n)$$

where  $i(A) = A \times I_{n+1}$ , and reduction to U(n) under j implies reduction to the standard subgroup SU(n). But then 2n+2 must be divisible by the Atiyah-Todd number  $M_{n+2}$  [3], [2]. Since  $\nu_2(M_{n+2}) \ge n+1$ ,  $2^{n+1}$  must divide 2n+2, which is impossible, if n > 1. Since  $\nu_2(M_3) = 3$ ,  $M_3$  does not divide 4.

- (c) H leaves invariant a subspace V such that  $V \oplus J(V) = C^{2n}$  and (x, y)' is nondegenerate on V. The dimension of V is even, and, thus, n is even. In particular  $n \not\equiv 11 \mod 12$  and, by Theorem 1.C, reduction to H is impossible.
- 5. **Proof of Theorem 3.** We first obtain the following description of the non-simple irreducible maximal subgroups of SO(n), SU(n) and Sp(n).

PROPOSITION 5.1. Every nonsimple irreducible maximal subgroup of SO(n) is conjugate in O(n) to one of the groups  $Sp(s) \otimes Sp(t)$   $(4st=n, 1 \le t \le s)$  or  $SO(s) \otimes SO(t)$   $(st=n, 3 \le t \le s, s, t \ne 4)$ .

PROPOSITION 5.2. Every nonsimple irreducible maximal subgroup of SU(n) is conjugate in SU(n) to one of the groups  $SU(s) \otimes SU(t)$  ( $st = n, 2 \le t \le s$ ).

PROPOSITION 5.3. Every nonsimple irreducible maximal subgroup of Sp(n) is conjugate in Sp(n) to one of the groups  $SO(s) \otimes Sp(t)$  ( $st=n, t \ge 1, s \ge 3, s \ne 4$  or t=1, s=4).

**Proof of Propositions 4.1, 4.2, 4.3.** Let Sl(n) denote the group of all complex, unimodular matrices of order n, SO(n, C) the subgroup of Sl(n) leaving invariant the standard symmetric bilinear form on  $C^n$  and Sp(n, C) the subgroup of Sl(2n) leaving invariant the standard skew-symmetric bilinear form on  $C^{2n}$ . Then SU(n), SO(n) and Sp(n) are compact real forms of Sl(n), SO(n, C) and Sp(n, C), respectively.

Let  $\tilde{G}$  be a simple complex Lie group and G a compact real form of  $\tilde{G}$ . Since G is maximal among the real subgroups of  $\tilde{G}$  [6, p. 256], G is a maximal compact subgroup of  $\tilde{G}$ , and every compact subgroup of  $\tilde{G}$  is contained in a conjugate of G. Let  $(\tilde{G}, G)$  denote one of the pairs (SO(n, C), SO(n)), (Sl(n), SU(n)) or (Sp(n, C), Sp(n)).

The propositions follow immediately from Dynkin's Theorems 1.3 and 1.4 [6, p. 253] and the following 2 lemmas.

LEMMA 5.4. Let H be a closed subgroup of G with Lie algebra  $\mathscr{L}$ . If  $\widetilde{H}$  is the subgroup of  $\widetilde{G}$  with Lie algebra  $\mathscr{L} \otimes_{\mathbb{R}} \mathbb{C}$ , then H is an irreducible maximal subgroup of G if and only if  $\widetilde{H}$  is an irreducible maximal subgroup of  $\widetilde{G}$ .

**Proof.** Let H be an irreducible maximal subgroup of G. Suppose  $\widetilde{H}$  is contained in a subgroup  $\widetilde{U}$  of  $\widetilde{G}$ . Then  $\widetilde{H}$ ,  $\widetilde{U}$  are irreducible groups of unimodular linear transformations and, therefore, semisimple. Let U be a maximal compact subgroup of  $\widetilde{U}$  containing H. Since U is compact, there exists  $b \in \widetilde{G}$  such that

$$bHb^{-1} \subseteq bUb^{-1} \subseteq G$$
.

If  $b \in G$ , then either U = H or U = G and, thus,  $\widetilde{U} = \widetilde{H}$  or  $\widetilde{U} = \widetilde{G}$ . We now show that b does belong to G. Let  $h \in H$ . Since  $bhb^{-1} \in G$ ,  $bhb^{-1} = (bh^{-1}b^{-1})^{-1} = (\overline{b}^t)^{-1}h\overline{b}^t$  and  $\overline{b}^tbh = h\overline{b}^tb$ , for all  $h \in H$ . By Schur's Lemma,  $\overline{b}^tb$  is a scalar matrix, say  $\overline{b}^tb = \lambda I_n$ . But  $\lambda > 0$  and  $\lambda^n = 1$ . Thus  $\overline{b}^tb = I_n$  and  $b \in G$ .

If  $\widetilde{H}$  is an irreducible maximal subgroup of  $\widetilde{G}$ , then  $\mathscr{L} \otimes_R C$  is an irreducible Lie algebra of linear transformations. Thus,  $\mathscr{L}$  is an irreducible Lie algebra of linear transformations, and H is an irreducible group of linear transformations. If H is not maximal, then H is contained in a subgroup U of G, and  $\widetilde{H}$  is contained in  $\widetilde{U}$ . Thus, H is an irreducible maximal subgroup of G.

LEMMA 5.5. Let  $H_1$ ,  $H_2$  be irreducible subgroups of G.  $H_1$  and  $H_2$  are conjugate in G if and only if  $\tilde{H}_1$  and  $\tilde{H}_2$  are conjugate in  $\tilde{G}$ .

**Proof.** If  $aH_1a^{-1} = H_2$ ,  $a \in G$ , then  $a\tilde{H}_1a^{-1} = \tilde{H}_2$ .

Suppose  $a\tilde{H}_1a^{-1} = \tilde{H}_2$ ,  $\tilde{a} \in \tilde{G}$ . Then  $aH_1a^{-1}$  is a compact subgroup of  $\tilde{H}_2$ , and there is a  $b \in \tilde{H}_2$  such that  $baH_1(ba)^{-1} \subset H_2$ . Let c = ba and  $h \in H_1$ . Then  $chc^{-1} = (ch^{-1}c^{-1})^{-1} = (\tilde{c}^t)^{-1}h\tilde{c}^t$  and  $\tilde{c}^tch = h\tilde{c}^tc$ , for all  $h \in H_1$ . As above,  $c \in G$ .

We can now prove Theorem 3. For the fibration  $SO(n+1) \to S^n$  we need only consider the case n odd, and the subgroups  $SO(s) \otimes SO(t)$ , where st = n,  $2 < t \le s$ . The natural projection  $j: SO(s) \times SO(t) \to SO(s) \otimes SO(t)$  is a covering map and, if n > 2, induces isomorphisms

$$\pi_{n-1}(SO(s)\times SO(t)) \cong \pi_{n-1}(SO(s)\otimes SO(t)).$$

Let  $c: S^{n-1} \to SO(n)$  be a classifying map. A homotopy commutative triangle

$$S^{n-1} \xrightarrow{c} SO(n)$$

$$SO(s) \otimes SO(t)$$

can be completed to a homotopy commutative diagram

$$S^{n-1} \xrightarrow{C} SO(n)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$SO(s) \times SO(t) \xrightarrow{j} SO(s) \otimes SO(t)$$

which, by Lemma 7.3, yields a homotopy commutative triangle

$$S^{n-1} \xrightarrow{C} SO(n)$$

$$SO(s)$$

where i is the standard inclusion. Thus reduction to  $SO(s) \otimes SO(t)$  implies reduction to SO(s).

We show that there is no reduction to SO(s), where n=st,  $2 \le t \le s$  and n odd. The result of Adams [1] may be stated as follows: Define  $\zeta(n)$  by

$$\zeta(n) = 2\nu_2(n) + 1$$
 if  $\nu_2(n) \equiv 0 \mod 4$ ,  
 $= 2\nu_2(n)$  if  $\nu_2(n) \equiv 1, 2 \mod 4$ ,  
 $= 2\nu_2(n) + 2$  if  $\nu_2(n) \equiv 3 \mod 4$ .

Then  $SO(n+1) \rightarrow S^n$  can be reduced to SO(s) if and only if  $n-s \le \zeta(n+1)-1$ . Let  $n+1=2^{\beta}\alpha$ , where  $\alpha \ge 1$  is odd. Then 2(s+1) < ts=n and  $s+1 \le (n+1)/2-1$ . Therefore,  $n-s \ge (n+1)/2+1>2\beta+1 \ge \zeta(n+1)-1$ , if  $\beta \ge 5$  or  $\alpha > 1$ . If  $n+1=2^k$ ,  $1 \le k \le 4$ , then n is prime for k=1, 2, 3 and the only remaining case is n=15, t=3, s=5. But  $\zeta(16)-1=8 < n-s=10$ . Thus,  $\zeta(n+1)-1 < n-s$ .

For the fibration  $SU(n+1) \to S^{2n+1}$  we need only consider the case n odd and the subgroups  $SU(s) \otimes SU(t), n=st, 2 \le t \le s$ . As above, reduction to  $SU(s) \otimes SU(t)$ , implies reduction to SU(s). But Adams and Walker [2] have shown that this is possible if and only if n+1 is divisible by the Atiyah-Todd number  $M_{n-s+1}$ . In particular, n+1 must be divisible by  $2^{\alpha}$ , where

$$\alpha = \nu_2(M_{n-s+1}) = \max\{r + \nu_2(r) \mid 1 \le r \le n-s\} \ge n-s.$$

Thus  $2^{n-s}$  must divide n+1. But

$$n+1 \le s^2+1 < 2^{2s} \le 2^{s(t-1)} = 2^{n-s}$$

since  $t \ge 3$ .

For the fibration  $Sp(n+1) \to S^{4n+3}$  we need only consider the subgroups  $Sp(s) \otimes SO(t)$ , n=st, t>1. Using Lemma 7.4, we see that reduction to  $Sp(s) \otimes SO(t)$  implies reduction to Sp(q) where  $q=\max\{s,t\}$ . Since  $Sp(n+1) \to S^{4n+3}$  is a reduction of  $SU(2n+2) \to S^{4n+3}$ , this implies a reduction of  $SU(2n+2) \to S^{4n+3}$  to Sp(q) and, hence, to SU(2q). Thus, 2n+2 would have to

be divisible by the Atiyah-Todd number  $M_{2n+2-2q}$  [2]. In particular, 2n+2 would be divisible by  $2^{\beta}$  where

$$\beta = \nu_2(M_{2n+2-2q}) = \max\{r + \nu_2(r) \mid 1 \le r \le 2n - 2q + 1\} \ge 2n - 2q + 1.$$

Thus,  $2^{2n-2q+1}$  must divide 2n+2. If  $r=\min\{s,t\}$ , then  $n=rq \le q^2$ , and if s>1,  $n-q \ge q$ . So, if s>1,

$$2^{2n-2q} \ge 2^{2q} > 2q^2+1 \ge n+1$$

and  $2^{2n-2q+1}$  cannot divide 2n+2. If s=1, then t=n and  $Sp(1) \otimes SO(n) = SU(2) \otimes SO(n)$ . Reduction to  $SU(2) \otimes SO(n)$  implies reduction of  $SU(2n+2) \rightarrow S^{4n+3}$  to  $SU(2) \otimes SU(n)$ . But reduction to  $SU(2) \otimes SU(n)$  implies reduction to SU(n). Thus 2n+2 must be divisible by  $M_{n+1}$ . But  $\nu_2(M_{n+1}) \ge n+1$  and  $2^n$  does not divide n+1 if n>1.

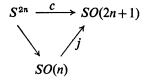
6. **Proof of Proposition 4.** Since  $SU(n+1) \to S^{2n+1}$  is a reduction of  $SO(2n+2) \to S^{2n+1}$ , there is a homotopy commutative triangle

$$S^{2n} \xrightarrow{c} SO(2n+1)$$

$$f \downarrow i$$

$$SU(n)$$

where c and f are classifying maps and i is inclusion. Reduction of  $SU(n+1) \rightarrow S^{2n+1}$  to SO(n) would result in a homotopy commutative triangle



where j is the composition

$$SO(n) \rightarrow SU(n) \rightarrow SO(2n+1)$$
.

i.e.  $j(A) = A \times A \times I_1$ . Corollary 7.2 readily implies the existence of a homotopy commutative triangle

$$SO(n) \xrightarrow{j} SO(2n+1)$$

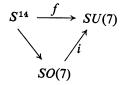
$$SO(n)$$

where  $i(A) = A \times I_{n+1}$ . Thus,  $SU(n+1) \to S^{2n+1}$  can be reduced to SO(n) only if  $n+1 < \zeta(2n+2)$ , where

$$\zeta(2n+2) = 2\nu_2(n+1) + 2 \quad \text{if } n+1 \equiv 0 \mod 2,$$
  
=  $2\nu_2(n+1) + 1 \quad \text{if } n+1 \equiv 1 \mod 2.$ 

Let  $j=\nu_2(n+1)$  and  $n+1=2^j\alpha$ . Then  $n+1\geq 2^j>2(1+j)$  if  $j\geq 4$ , and  $n+1\geq 32^j>2(1+j)$  if  $\alpha>1$ . Thus  $n+1>\zeta(2n+2)$  if  $n+1\neq 2^j$ ,  $1\leq j\leq 3$  and the proposition is proved for  $n\neq 3$ , 7.

Suppose there is a homotopy commutative triangle



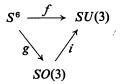
where f classifies  $SU(8) \rightarrow S^{15}$ . Then there is a commutative triangle

$$\pi_{14}(S^{14}) \xrightarrow{f_*} \pi_{14}(SU(7))$$

$$\downarrow i_*$$

$$\pi_{14}(SO(7))$$

Since  $\pi_{14}(SU(8)) = 0$ ,  $f_*$  is surjective [9, p. 90] and  $i_*$  must also be surjective. But  $\pi_{14}(SU(7)) = Z_{71}$ , and [12]  $\pi_{14}(SO(7)) = Z_{2520} + Z_8 + Z_2$ , and  $i_*$  cannot be surjective. Thus, we are left with the case n = 3. Let  $f: S^6 \to SU(3)$  be a classifying map for  $SU(4) \to S^7$ . We show that there is a map  $g: S^6 \to SO(3)$  such that the triangle



is homotopy commutative. Since the homotopy class of f generates  $\pi_6(SU(3))$  [9], it is sufficient to show that  $i_*$ :  $\pi_6(SO(3)) \to \pi_6(SU(3))$  is surjective.

The composition

$$SO(3) \xrightarrow{i} SU(3) \longrightarrow SU(3)/SU(2)$$

is inessential since it factors through SO(3)/SO(2), and there is a homotopy commutative triangle

$$SO(3) \xrightarrow{i} SU(3)$$

$$SU(2)$$

where j is inclusion. Let  $\pi: S^3 \to SO(3)$  be the universal covering of SO(3). We show that  $\varphi \pi: S^3 \to S^3$  has degree  $\pm 1$ . Since  $\pi_*: \pi_3(S^3) \to \pi_3(SO(3))$  and  $j_*: \pi_3(SU(2)) \to \pi_3(SU(3))$  are isomorphisms, it suffices to show that

$$i_*: \pi_3(SO(3)) \to \pi_3(SU(3))$$

is an isomorphism. If  $\mathscr C$  is the class of 2-primary abelian groups, there is a  $\mathscr C$ -isomorphism [7]

$$\pi_3(SU(3)) \approx \pi_3(SO(3)) \oplus \pi_3(SU(3)/SO(3)).$$

Since  $\pi_3(SU(3)) \approx \mathbb{Z}$ ,  $\pi_3(SO(3)) \approx \mathbb{Z}$ ,  $\pi_3(SU(3)/SO(3)) \in \mathscr{C}$  and

$$i_*: \pi_3(SO(3)) \to \pi_3(SU(3))$$

is a  $\mathscr{C}$ -isomorphism. Thus,  $i_*$  is an isomorphism.

Now consider the commutative diagram

$$\pi_{6}(S^{3}) \xrightarrow{\pi_{*}} \pi_{6}(SO(3)) \xrightarrow{i_{*}} \pi_{6}(SU(3))$$

$$\varphi_{*} \downarrow \qquad \qquad \uparrow_{j_{*}}$$

$$\pi_{6}(SU(2))$$

Since  $\varphi_*\pi_*$  is an isomorphism and  $j_*$  is surjective,  $i_*$  is surjective.

7. We now establish some results which were needed for the proof of the main propositions.

LEMMA 7.1. Let k < n and  $j_1: G_k \to G_n$  be defined by  $j_1(A) = I_1 \times A \times I_{n-k-l}$  if  $G_n = SO(n)$  or SU(n) and by  $j_1(A) = I_{2l} \times A \times I_{2(n-k-l)}$  if  $G_n = Sp(n)$ . Then  $j_1$  is homotopic to  $j_0$  for  $0 \le l \le n-k$ .

### Proof. Let

$$C_{l} = \begin{bmatrix} 0 & I_{k} \\ EI_{l} & 0 \end{bmatrix} \times I_{n-k-l}, \qquad E = (-1)^{kl}$$

in the real or complex case. In the symplectic case replace  $I_j$  by  $I_{2j}$  and let E=1. Then  $C_l \in G_n$  and

$$C_l j_l(A) C_l^{-1} = j_0(A).$$

Since  $G_n$  is path connected, we are finished.

COROLLARY 7.2. Let  $K_1, \ldots, K_m$  be positive integers,  $n = K_1 + \cdots + K_m$  and  $q = \max \{K_i\}$ . There is a homotopy commutative triangle

$$G_{K_1} \times \cdots \times G_{K_m} \xrightarrow{j} G_n$$

$$G_{\sigma}$$

where  $j(A_1, ..., A_m) = A_1 \times \cdots \times A_m$  and  $i(A) = A \times I_{n-q}$   $(i(A) = A \times I_{2(n-q)})$  in the symplectic case.

LEMMA 7.3. Let  $G_n = SO(n)$  or SU(n). If  $t \le s$ , then there is a homotopy commutative triangle

$$G_s \times G_t \xrightarrow{K} G_{st}$$

$$G_s$$

where  $K(A, B) = A \otimes B$  and  $i(A) = A \times I_{s(t-1)}$ .

**Proof.** Let  $K_1: G_s \to G_{st}$  and  $K_2: G_t \to G_{st}$  be defined by  $K_1(A) = A \otimes I_t$ ,  $K_2(B) = I_s \otimes B$ . Then  $K(A, B) = K_1(A)K_2(B)$ , and it suffices to show that  $K_1, K_2$  can be factored through  $G_s$ ,  $G_t$  respectively.

Since  $K_2(B) = B \times \cdots \times B$  (s copies), a simple application of Corollary 7.2 shows that  $K_2$  has the desired factorization.

There is a real orthogonal matrix  $C_1$  such the

$$C_1(A \otimes I_t)C_1^{-1} = I_t \otimes A.$$

Let  $\varepsilon = \det C_1$  and  $C = ([\varepsilon] \times I_{st-1})C_1$ . Then

$$CK_1(A)C^{-1} = \tilde{A} \times (A \times \cdots \times A)$$
  $(t-1 \text{ copies})$ 

where  $\tilde{A} = ([\varepsilon] \times I_{s-1}) A([\varepsilon] \times I_{s-1})$ . An application of Corollary 7.2 shows that  $K_1$  has the desired factorization.

LEMMA 7.4. Let  $q = \max\{s, t\}$ . There is a homotopy commutative triangle

$$SO(s) \times Sp(t) \xrightarrow{K} Sp(st)$$

$$Sp(q)$$

where  $K(A, B) = A \otimes B$  and  $i(A) = A \times I_{2(st-q)}$ .

**Proof.** Let  $K_1: SO(s) \to Sp(st)$  and  $K_2: Sp(t) \to Sp(st)$  be defined by  $K_1(A) = A \otimes I_{2t}$ ,  $K_2(B) = I_s \otimes B$ . Then  $K(A, B) = K_1(A)K_2(B)$  and it suffices to show that  $K_1$ ,  $K_2$  factor through Sp(q).

Since  $K_2(B) = B \times \cdots \times B$  (s copies), Corollary 7.2 can be applied to obtain the desired factorization.

There is a symplectic matrix C such that

$$C(A \otimes I_{2t})C^{-1} = I_t \otimes (A \otimes I_2).$$

Thus,  $K_1$  is homotopic to the composition

$$SO(s) \xrightarrow{l} Sp(s) \xrightarrow{j} Sp(st),$$

where  $l(A) = A \otimes I_2$  and  $j(B) = B \times \cdots \times B$  (t copies). Hence, Corollary 7.2 can be applied to obtain the desired factorization.

The concluding lemma will require the following description of Sp(n). Let H denote the quaternions and  $H^n$  quaternionic n-space as a right vector space over H with quaternionic inner product  $\langle x, y \rangle_H = \sum \bar{y}_i x_i$ .  $H^n$  can be considered as a 4n-dimensional vector space over the reals with inner product  $\langle x, y \rangle_R$  defined by taking the real part  $\langle x, y \rangle_H$ . The group of quaternionic linear transformations of  $H^n$  leaving  $\langle x, y \rangle_H$  invariant is Sp(n). The group of real linear transformations of  $H^n$  leaving  $\langle x, y \rangle_R$  invariant is O(4n), and we have a natural inclusion  $f: Sp(n) \to SO(4n)$ .

If  $\lambda \in Sp(1)$ , the unit quaternions, define  $L(\lambda)$ ,  $R(\lambda)$ :  $H^n \to H^n$  by  $L(\lambda)v = \lambda v$ ,  $R(\lambda)v = v\bar{\lambda}$ . Then  $L(\lambda) \in Sp(n)$ ,  $R(\lambda) \in SO(4n)$ . If

$$g: Sp(1) \times Sp(n) \rightarrow SO(4n)$$

is defined by  $g(\lambda, A) = R(\lambda)j(A)$ , then g is a homomorphism with kernel generated by (-1, -Id). We write

$$Sp(1) \times_{\mathbb{Z}_2} Sp(n) = Sp(1) \times Sp(n)/\ker g;$$

g induces an inclusion  $Sp(1) \times_{Z_2} Sp(n) \to SO(4n)$ , and  $Sp(1) \times_{Z_2} Sp(n)$  is a proper subgroup if and only if n > 1.

LEMMA 7.5. If n > 1, there is a homotopy commutative triangle

$$Sp(1) \times Sp(n) \xrightarrow{g} SO(4n)$$

$$K \downarrow j$$

$$Sp(n)$$

where g, j are as above and  $K(\lambda, A) = L(\lambda)A$ .

**Proof.** Define  $C_k: H^n \to H^n$  by  $C_k(y) = (y_1, \ldots, \overline{y}_k, \ldots, y_n)$ . Then  $C_k \in O(4n)$  and has degree -1. If  $\lambda \in Sp(1)$ , define  $L_k(\lambda)$ ,  $R_k(\lambda)$ :  $H^n \to H^n$  by

$$L_k(\lambda)(y) = (y_1, \ldots, \lambda y_k, \ldots, y_n), \text{ and } R_k(\lambda)(y) = (y_1, \ldots, y_k \bar{\lambda}, \ldots, y_n).$$

Then  $L_k(\lambda) \in Sp(n)$ ,  $R_k(\lambda) \in SO(4n)$  and  $C_jC_kR_k(\lambda)(C_jC_k)^{-1} = L_k(\lambda)$  if  $j \neq k$ .

Let  $D_k = C_n C_k$  for  $1 \le k < n$  and  $D_n = C_1 C_n$ . There is a path  $D_k(t)$  in SO(4n) such that  $D_k(0) = Id$  and  $D_k(1) = D_k$ . Define a homotopy

$$h_t: Sp(1) \times Sp(n) \rightarrow SO(4n)$$

by  $h_t(\lambda, A) = D_1(t)R_1(\lambda)D_1(t)^{-1}\cdots D_n(t)R_n(\lambda)D_n(t)^{-1}A$ . Then  $h_0 = g$  and  $h_1 = j \circ K$ .

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