

p -SOLVABLE LINEAR GROUPS OF FINITE ORDER

BY
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Abstract. The purpose of this paper is to prove the following result.

THEOREM. *Let p be an odd prime and let G be a finite p -solvable group. Assume that G has a faithful representation of degree n over a field of characteristic zero or over a perfect field of characteristic p . Let P be a Sylow p -subgroup of G and let $O_p(G)$ be the maximal normal p -subgroup of G . Then $|P:O_p(G)| \leq p^{\lambda_p(n)}$ where*

$$\begin{aligned}\lambda_p(n) &= \sum_{i=0}^{\infty} \left[\frac{n}{(p-1)p^i} \right] && \text{if } p \text{ is a Fermat prime,} \\ &= \sum_{i=1}^{\infty} \left[\frac{n}{p^i} \right] && \text{if } p \text{ is not a Fermat prime.}\end{aligned}$$

1. **Introduction.** The above theorem is a generalization of some of the results of J. D. Dixon [1]. Dixon proved the theorem under the stronger hypothesis that G is solvable. Examples are given in [1] showing that the result is best possible for each n and each odd prime p . The author thanks Professor Dixon for some helpful suggestions.

2. **Preliminaries.** $C(S)$ and $C(x)$ will denote the centralizer in the group concerned of, respectively, the set S and the element x . $O_p(G)$ and $O_{p'}(G)$ denote, respectively, the maximal normal p -subgroup of G and the maximal normal subgroup of G whose order is relatively prime to p . $H^\#$ is the set of nonidentity elements of the group H while 1_H is the principal character of H . \mathbb{Q} denotes the field of rational numbers and \mathbb{C} the field of complex numbers.

In §4 frequent use is made of the following result of Schur [5].

(2.1) *Let p be a prime and let P be a finite p -group which has a faithful representation X of degree n over the complex number field. If the character of X is rational-valued, then $|P| \leq p^{f_p(n)}$ where*

$$f_p(n) = [n/(p-1)] + [n/p(p-1)] + [n/p^2(p-1)] + \cdots.$$

If p is fixed in the discussion, we shall write $f(n)$ for $f_p(n)$.

(2.2) [2, Theorem 2]. *Let ζ be an irreducible complex character of a finite group N . Let A be a relatively prime operator group on N such that A fixes ζ . Then:*

(a) *There exists a unique irreducible character η of AN such that $\eta|_A = \zeta$ and $(\det \eta)(\alpha) = 1$ for all $\alpha \in A$.*

(b) *If η satisfies (a), then $\mathfrak{D}(\eta) = \mathfrak{D}(\zeta)$, and $\eta(\alpha)$ is a rational integer for every $\alpha \in A$.*

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DEFINITION. The character η that satisfies part (a) of (2.2) is called the *canonical extension* of ζ to AN .

(2.3) Let ζ be a faithful irreducible complex character of the group N and let A be a cyclic relatively prime operator group on N which fixes ζ . Let $T = C(A) \cap N$ and assume $T \neq N$. Also assume A has odd order and $T = C(\alpha) \cap N$ for all $\alpha \in A^\#$. Let χ be the canonical extension of ζ to AN . Then there exist characters λ, ψ of AT/A with λ irreducible such that one of the following occurs:

$$(2.3)(a) \quad \chi|_{A \times T} = \rho\psi + \lambda.$$

$$(2.3)(b) \quad \chi|_{A \times T} = \rho\psi - \lambda.$$

Here ρ is the character of the regular representation of AT/T .

Proof. By [2, Corollary 5(a)], there exist a sign $\varepsilon = \pm 1$ and an irreducible character λ of T such that $\chi(\alpha t) = \varepsilon \lambda(t)$ for all $t \in T$ and all $\alpha \in A^\#$ (since A has odd order $\theta_0(\alpha) = 1$ for all $\alpha \in A$ in the above reference). Let λ also denote the character of AT/A whose restriction to T is λ . Then by the theory of characters of a direct product, $(\chi|_{AT}) - \varepsilon\lambda$, as a generalized character of $A \times T$, may be expressed as $\sum_{\theta, \mu} c_{\theta, \mu} \theta \mu$ where θ and μ range over the irreducible characters of AT/T and AT/A , respectively, and $c_{\theta, \mu}$ is an integer. But since this function vanishes outside T , it is easily seen from the inner product formula for $c_{\theta, \mu}$ that $c_{\theta, \mu}$ is independent of θ . Hence $(\chi|_{AT}) - \varepsilon\lambda = \rho\psi$ for some generalized character ψ of AT/A . Since $\chi|_{AT} = \rho\psi + \varepsilon\lambda$ is a character of AT , it follows that ψ is 0 or a character of AT/A . If $\psi = 0$, then by [2, Corollary 5(b)] $T = N$. Hence $\psi \neq 0$ and (2.3) is proved.

3. Initial reductions. We shall describe some of Dixon's results which we may use directly because they do not require the solvability of G . By the proofs of Corollaries 1 and 2 of [1], it suffices to prove the theorem assuming the complete reducibility of the given representation. Further, we may assume that the underlying field \mathfrak{F} is algebraically closed. This done, let G be a counterexample to the theorem of minimal order. Let P denote a fixed Sylow p -subgroup of G with, say, $|P| = p^a$. Let n be the smallest positive integer such that G has a faithful completely reducible representation of degree n over \mathfrak{F} and $|P : O_p(G)| > p^{\lambda_p(n)}$. Let X denote such a representation and let χ be its character. As in [1, pp. 547–548] it may be shown that

(3.1) X is irreducible and primitive.

If \mathfrak{F} has characteristic p , X may be lifted to a representation over \mathfrak{C} [6, Theorem 6]. Hence we may assume that $\mathfrak{F} = \mathfrak{C}$ and shall do so from now on.

(3.2) G has a series of normal subgroups $O_p(G) < N_1 < G$ where $N_1/O_p(G) = O_p(G/O_p(G))$ and G/N_1 is a p -group.

Proof. Let $P_1/N_1 = O_p(G/N_1)$ and suppose $P_1 \neq G$. Then $PN_1 \neq G$ and by induction $O_p(G) < O_p(PN_1)$. Since $P_1 \leq PN_1$, P_1 normalizes $O_p(PN_1) \cap P_1 \geq O_p(G)$. Since $P_1 \triangleleft G$, $O_p(P_1) \triangleleft G$ and this implies $O_p(G) = O_p(PN_1) \cap P_1$. Hence $O_p(PN_1)P_1/N_1 = (O_p(PN_1)N_1/N_1) \times (P_1/N_1)$ which is contradictory to Lemma 1.2.3 of Hall-Higman [3]. Hence $P_1 = G$ as desired.

$$(3.3) \quad |P:O_p(G)| = p^{\lambda_p(n)+1}.$$

Proof. G contains a normal subgroup H of index p containing N_1 . Since $O_p(H) \triangleleft G$, $O_p(H) \leq O_p(G)$. By minimality of $|G|$, $p^{\lambda_p(n)} \geq |P \cap H:O_p(H)| \geq |P:O_p(G)|/p > p^{\lambda_p(n)-1}$, which proves (3.3).

$$(3.4) \quad N_1 = O_p(G) \times N \text{ where } N \text{ is a normal } p\text{-complement of } G.$$

Proof. By [4, Lemma 1] it suffices to show that $O_p(G)$ is contained in the Frattini subgroup of G . Let M be a maximal subgroup of G not containing $O_p(G)$. Then $G = O_p(G)M$. Now M and $O_p(G)$ normalize $O_p(G)O_p(M)$. Hence $O_p(M) = O_p(G) \cap M$. Let $|M| = p^s g'$ and $|G| = p^a g'$, $p \nmid g'$. By minimality of $|G|$, $|G| = |O_p(G)| |M|/|O_p(G) \cap M| \leq |O_p(G)| p^{\lambda_p(n)} g'$. This contradicts (3.3) and (3.4) is proved.

$$(3.5) \quad G = PN, P \cap N = \langle 1 \rangle, \chi|N \text{ is irreducible, } O_p(G) = \langle 1 \rangle \text{ and } \lambda_p(n) = a - 1.$$

Proof. Suppose that $\chi|N$ is reducible. Since G/N is a p -group, there is a sequence of normal subgroups of G , $G = P_0 > P_1 > \dots > P_k = N$, such that $|P_i:P_{i+1}| = p$. Choose i so that $\chi|P_i$ is irreducible and $\chi|P_{i+1}$ is reducible. Then it is well known that $\chi|P_{i+1}$ is a sum of p distinct conjugate characters. This contradicts the primitivity of X and so $\chi|N$ is irreducible. Therefore $p \nmid \chi(1)$ and since $O_p(G) \leq C(N)$, $O_p(G) \leq Z(G)$ by Schur's lemma. Hence $\chi|O_p(G) = \chi(1)\lambda$ where λ is a linear character of $O_p(G)$. Since $p \nmid \chi(1)$, $\chi|P$ contains a linear character μ of P and $\mu|O_p(G) = \lambda$. Regarding μ as a character of G/N , we see that $\bar{\mu}\chi$ is a faithful character of $G/O_p(G)$ of degree n . If $O_p(G) \neq \langle 1 \rangle$, minimality of $|G|$ yields a contradiction. Hence $O_p(G) = \langle 1 \rangle$ and the last statement of (3.5) follows from (3.3).

4. Completion of the proof. The canonical extension of $\chi|N$ to G must be faithful since its kernel is a p -group and $O_p(G) = \langle 1 \rangle$. Hence we may assume that χ is the canonical extension of $\chi|N$ to G and shall do so from now on. By (2.2)(b) χ is rational-valued on P and so by (2.1), we may assume p is not a Fermat prime. In particular, $p \geq 7$ and it is easily seen that $f(t) = \lambda_p((p/(p-1))t)$ for any positive rational number t .

We now let w be an element of $Z(P)$ of order p such that if v is any element of $Z(P)$ of order p $(\chi|\langle v \rangle, 1_{\langle v \rangle})_{\langle v \rangle} \leq (\chi|\langle w \rangle, 1_{\langle w \rangle})_{\langle w \rangle}$. Let $A = \langle w \rangle$ and let $T = C(w) \cap N$. Then P normalizes T .

Let $1 = \theta_1, \theta_2, \dots, \theta_p$ be the distinct linear characters of $A \times T$ whose kernels contain T . Since $w \in Z(PT)$, we may write $\chi|PT = \alpha_1 + \dots + \alpha_p$ where α_i is a character of PT (or $\alpha_i = 0$ is possible) such that $\alpha_i|A$ is a multiple of $\theta_i|A$ for $i = 1, \dots, p$. By (2.2)(b), χ is invariant under the Galois group $\mathcal{G} = \text{Gal}(\mathfrak{D}(\varepsilon)/\mathfrak{D}(\varepsilon^{p^a}))$ where ε is a primitive $|G|$ th root of unity. It follows that $\alpha_2, \dots, \alpha_p$ is a full set of conjugates of α_2 under this group and $\alpha_2 + \dots + \alpha_p$ as well as α_1 are rational valued on P . The remainder of the proof is split into the two possible cases $\chi|A \times T = \rho\psi + \lambda$ or $\chi|A \times T = \rho\psi - \lambda$ as described in (2.3).

Assuming that the first case holds, we have $\alpha_1|T = \psi + \lambda$ and $\alpha_i|T = \psi$ for $i = 2, \dots, p$. Let $\cup = \ker(\alpha_2 + \dots + \alpha_p) \cap P$. We require the

LEMMA. $(\psi, \lambda)_T \neq 0$. In particular, $\psi(1) \geq \lambda(1)$.

Suppose $(\psi, \lambda)_T = 0$. Then $(\chi, \lambda)_T = 1$. $\lambda|T$ is fixed by P because P fixes $\chi|T$ and λ is the only irreducible constituent of $\chi|T$ whose multiplicity is not a multiple of p . It follows from Clifford's theorem that $\chi|PT$ contains a unique irreducible constituent β which is an extension of $\lambda|T$. Because $\chi|PT$ is invariant under \mathcal{G} so is β and β is therefore rational on P .

Let S be such that $\ker \beta \cap P \leq S \leq P$ and $S \ker \beta / \ker \beta = O_p(PT / \ker \beta)$. Then $ST / \ker \beta = S \ker \beta / \ker \beta \times T \ker \beta / \ker \beta$. Since $\beta|T$ is irreducible, $\beta|S = \beta(1)\mu$ for some linear character μ of S . Since β is rational on S , we must have $S \leq \ker \beta$ and therefore $S = \ker \beta \cap P$. By our induction hypothesis, $|P:S| \leq p^{\lambda_p(\beta(1))}$. Since $\lambda(1) = \beta(1)$, we have shown $|S| \geq p^{a - \lambda_p(\lambda(1))}$.

On the other hand, by Schur's theorem (2.1), $|P:\cup| \leq p^{f((p-1)\psi(1))} = p^{\lambda_p(p\psi(1))} = p^{\lambda_p(n - \lambda(1))}$. Therefore,

$$\begin{aligned} p^a &\geq |S\cup| = \frac{|S| \cdot |\cup|}{|S \cap \cup|} \geq \frac{p^{a - \lambda_p(\lambda(1))} p^{a - \lambda_p(n - \lambda(1))}}{|S \cap \cup|} \\ &\geq \frac{p^{2a - \lambda_p(\lambda(1) + n - \lambda(1))}}{|S \cap \cup|} = \frac{p^{a+1}}{|S \cap \cup|} \end{aligned}$$

by (3.5). This shows that $|S \cap \cup| \neq 1$. Let u be an element of $S \cap \cup \cap Z(P)$ of order p . By our choice of w , $\lambda(1) + \psi(1) \geq (\chi, 1_{\langle u \rangle})_{\langle u \rangle} \geq \lambda(1) + (p-1)\psi(1)$. This contradiction proves the lemma.

Now suppose $\cup \neq \langle 1 \rangle$. Let $u \in \cup \cap Z(P)$ have order p . Then $\psi(1) + \lambda(1) \geq (\chi, 1_{\langle u \rangle})_{\langle u \rangle} \geq (p-1)\psi(1) \geq (p-2)\psi(1) + \lambda(1)$ by the lemma. This is a contradiction and so $\cup = \langle 1 \rangle$. As in the proof of the lemma, $|P| = |P:\cup| \leq p^{\lambda_p(n - \lambda(1))} \leq p^{\lambda_p(n)} = p^{a-1}$. This is a contradiction and the proof in the first case is complete.

Assume now that (2.3)(b) holds for χ and A , i.e., $\chi|A \times T = \rho\psi - \lambda$. Write $\rho = 1 + \theta$ where θ is the sum of the nonprincipal linear characters of AT/T . Because $\chi|AT$ is a character of AT , it must be a linear combination of irreducible characters of AT with positive integral coefficients and so λ must be a constituent of $\rho\psi$. Let $r = (\rho\psi, \lambda)_{AT} \geq 1$. Then $\psi = r\lambda + \psi_1$ where $\psi_1 = 0$ or ψ_1 is a character of AT/A which does not contain λ . Therefore $\chi|AT = (1 + \theta)(r\lambda + \psi_1) - \lambda = (r-1)\lambda + r\theta\lambda + \rho\psi_1$. It follows that $\alpha_1|AT = (r-1)\lambda + \psi_1$ and $\alpha_i|AT = r\theta_i\lambda + \theta_i\psi_1$ for $i = 2, \dots, p$.

Assume first that $\psi_1 \neq 0$. Because $\lambda|T$ is the only irreducible constituent of $\chi|T$ of multiplicity congruent to $-1 \pmod p$, $\lambda|T$ is invariant under P . Therefore by Clifford's theorem, we may write, for $i > 1$, $\alpha_i = \mu_i + \nu_i$ where μ_i and ν_i are characters of PT such that $\mu_i|T = r\lambda$ and $\nu_i|T = \psi_1$. Since T is a p' -group, $\lambda|T$ is invariant under \mathcal{G} . It follows that μ_2, \dots, μ_p is a full set of conjugates under \mathcal{G} and that $\mu = \mu_2 + \dots + \mu_p$ is rational valued on P . Since χ , α_1 and μ are rational on P , $\chi - \alpha_1 - \mu = \nu_2 + \dots + \nu_p = \nu$ is rational on P . Let $\cup = \ker \mu \cap P$, $V = \ker \nu \cap P$ and $S = \ker \alpha_1 \cap P$. By (2.1), $|\cup| \geq p^{a - f((p-1)r\lambda(1))}$, $|V| \geq p^{a - f((p-1)\psi_1(1))}$ and $|S| \geq p^{a - f(\alpha_1(1))}$. By our choice of w , we must have $S \cap \cup = \langle 1 \rangle$ and $S \cap V = \langle 1 \rangle$. This leads to two inequalities.

First,

$$1 = |S \cap U| = |S| |U|/|SU| \geq p^{2a - (f(\alpha_1(1)) + f((p-1)r\lambda(1)))}/p^a.$$

Hence,

$$\begin{aligned} 0 &\geq a - \{f(\alpha_1(1)) + f((p-1)r\lambda(1))\} \geq a - f(\alpha_1(1) + (p-1)r\lambda(1)) \\ &= a - \lambda_p((p/(p-1))\alpha_1(1) + pr\lambda(1)). \end{aligned}$$

Since $\lambda_p(n) = a-1$ by (3.5), this implies $(p/(p-1))\alpha_1(1) + pr\lambda(1) > n$. Because $\alpha_1(1) = (r-1)\lambda(1) + \psi_1(1)$ and $n = (pr-1)\lambda(1) + p\psi_1(1)$, this inequality reduces to

$$(1) \quad (pr-1)\lambda(1) > p(p-2)\psi_1(1).$$

Second,

$$1 = |S \cap V| = |S| |V|/|SV| \geq p^{a - f(\alpha_1(1))} p^{a - f((p-1)\psi_1(1))}/p^a.$$

Hence

$$\begin{aligned} 0 &\geq a - \{f(\alpha_1(1)) + f((p-1)\psi_1(1))\} \geq a - f(\alpha_1(1) + (p-1)\psi_1(1)) \\ &= a - \lambda_p((p/(p-1))\alpha_1(1) + p\psi_1(1)). \end{aligned}$$

This implies $(p/(p-1))\alpha_1(1) + p\psi_1(1) > n$ which reduces to

$$(2) \quad p\psi_1(1) > (p^2r - 2pr + 1)\lambda(1).$$

Combining (1) and (2), we have $(p^2r - 2pr + 1)\lambda(1)(p-2) < p(p-2)\psi_1(1) < (pr-1)\lambda(1)$ and hence $(p^2r - 2pr + 1)(p-2) < pr-1$. This is equivalent to $(p^3r - 4p^2r) + (p + 3pr - 1) < 0$ which is impossible both terms on the left being positive.

Finally, take $\psi_1 = 0$. Then $\alpha_1|_A \times T = (r-1)\lambda$, $\alpha_i|_A \times T = r\theta_i\lambda$ for $i > 1$ and $\chi|_T = (pr-1)\lambda$. In particular, λ is a faithful character of T . Therefore $\ker \alpha_1$ is a p -group and setting $R = O_p(PT)$, we have $|P:R| \leq p^{\lambda_p(\alpha_1(1))}$ or $|R| \geq p^{a - \lambda_p(\alpha_1(1))}$.

We have $(\chi|_A, 1_A)_A = \alpha_1(1) < \alpha_2(1)$. By our choice of w , $\ker \alpha_2 \cap P = \langle 1 \rangle$. It follows that $\alpha_2 + \cdots + \alpha_p$ is the character of a faithful matrix representation Y of PT over \mathbb{C} with $\alpha_2 + \cdots + \alpha_p$ rational on P . By a suitable choice of basis of the underlying vector space we may assume that $Y(t) = A(t) \otimes I_{(p-1)r}$. Here $A(t)$ is an irreducible matrix representation of T with character λ and I_s denotes the $s \times s$ identity matrix. Since R centralizes T , it is easily verified using Schur's lemma that $Y(r) = I_{\lambda(1)} \otimes B(r)$, $r \in R$, where $B(r)$ is a faithful representation of R with character $(\alpha_2 + \cdots + \alpha_p)/\lambda(1)$. By (2.1), $|R| \leq p^{f((p-1)r)} = p^{\lambda_p(pr)}$. Combining this with our previous inequality, we get $a \leq \lambda_p(\alpha_1(1)) + \lambda_p(pr) \leq \lambda_p((r-1)\lambda(1) + pr)$. By (3.5), $(r-1)\lambda(1) + pr > n = (r-1)\lambda(1) + (p-1)r\lambda(1)$ or $pr > (p-1)r\lambda(1)$. This implies $\lambda(1) < p/(p-1) < 2$ and so $\lambda(1) = 1$. But then $\chi|_T = \chi(1)\lambda$ and $T = Z(G)$. Since w acts fixed-point-free on N/T , a well-known result of Thompson yields that N/T is nilpotent. G is therefore solvable and the result of [1] completes the proof.

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