

A CLASS OF REPRESENTATIONS OF THE FULL LINEAR GROUP. II

BY

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ABSTRACT. Let V be an n -dimensional vector space over complex numbers C . Let W be the m th tensor product of V . If $T \in \text{Hom}_C(V, V)$, let $\otimes^m T \in \text{Hom}_C(W, W)$ be the m th tensor product of T . The homomorphism $T \rightarrow \otimes^m T$ is a representation of the full linear group $\text{GL}_n(C)$. If H is a subgroup of the symmetric group S_m , and χ a linear character on H , let $V_\chi^m(G)$ be the subspace of W consisting of all tensors symmetric with respect to H and χ . Then $V_\chi^m(H)$ is invariant under $\otimes^m T$. Let $K(T)$ be the restriction of $\otimes^m T$ to $V_\chi^m(H)$. For n large compared with m and for H transitive, we determine all cases when the representation $T \rightarrow K(T)$ is irreducible.

I. Introduction. Let V be an n -dimensional vector space over the complex numbers C , and let $W = \otimes^m V$ be the m th tensor product of V . If $T \in \text{Hom}_C(V, V)$, the map $T \rightarrow \otimes^m T$, the m th Kronecker product of T , is a representation of the full linear group $\text{GL}_n(C)$. Weyl [10] has shown that the irreducible components of this representation are in 1-1 correspondence with the irreducible representations of the symmetric group S_m . During the last decade, Marcus, Minc, Newman and others (e.g., [3], [4], [5], [6]) have studied certain subspaces of W invariant under $\otimes^m T$ for the purpose of obtaining inequalities involving Schur functions—a class of matrix functions which includes the determinant and permanent. One notable result [6] was the proof of the Van der Waerden conjecture for positive semidefinite doubly stochastic matrices. Marcus has suggested the problem of determining all such subspaces which are irreducible invariant subspaces of $T \rightarrow \otimes^m T$.

We assume some familiarity with Young's tableaux [1] and with the concept of blocks with respect to transitive permutation groups [18], [11].

II. Statement of results. Let H be a subgroup of S_m of order b and χ an irreducible character of degree r on H . For each $\sigma \in S_m$, let $P(\sigma) \in \text{Hom}_C(W, W)$ be defined by

$$P(\sigma)v_1 \otimes \cdots \otimes v_m = v_{\sigma^{-1}(1)} \otimes \cdots \otimes v_{\sigma^{-1}(m)}.$$

Let $T_\chi^H = (r/b) \sum_{\sigma \in H} \chi(\sigma)P(\sigma)$, and set $V_\chi^m(H) = \text{rng } T_\chi^H$. Since $P(\sigma)$ commutes

Received by the editors October 26, 1971.

AMS (MOS) subject classifications (1969). Primary 15B80; Secondary 20D20, 20B85.

Key words and phrases. Young tableaux, symmetry class of tensors, permutation groups.

(1) Part of this work was supported by National Research Council of Canada grant A7862.

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with $\bigotimes^m T$, $V_\chi^m(H)$ is invariant under $\bigotimes^m T$ for all $T \in \text{Hom}_C(V, V)$. Let $K(T)$ be the restriction of $\bigotimes^m T$ to $V_\chi^m(H)$; then $T \rightarrow K(T)$ is a representation of $\text{GL}_n(C)$. For example, if $H = S_m$ and $\chi \equiv 1$, $V_\chi^m(H)$ is the completely symmetric tensors and $K(T) = P_m(T)$, the m th power transform. If $H = S_m$ and $\chi = \epsilon$, the alternating character, then $V_\chi^m(H) = \bigwedge^m V$, the m th Grassmann space, and $K(T) = C_m(T)$, the m th compound transformation.

Clearly, $K(T)$ depends on four parameters (m, n, H, χ) . We wish to determine for which of these quadruples $K(T)$ is irreducible.

In [7], Merris and the author proved that if χ is not linear, $K(T)$ always reduces. (In a recent communication, R. Freese gave a much simplified proof.) Thus we assume χ is linear. For the remainder of the paper, we also assume H is transitive and n sufficiently large compared with m . I believe, however, that no restrictions are necessary on H , and that we need only assume that $m \leq n$.

Theorem. *Under the above restrictions, the only quadruples (m, n, H, χ) for which $K(T)$ is irreducible are*

- (a) $(m, n, S_m, \chi \equiv 1)$,
- (b) $(m, n, S_m, \chi = \epsilon)$,
- (c) $(3, n, A_3, \chi \neq 1)$,
- (d) $(4, n, A_4, \chi \neq 1)$,
- (e) $(4, n, H, \chi)$,

where, in (e), H is a 2-Sylow subgroup of S_4 , and χ is one of two possibilities: $H = \langle (12), (13)(24) \rangle$ and χ is defined by $\chi((12)) = \pm 1$, $\chi((13)(24)) = -1$.

III. Proof of the Theorem. Suppose $K(T)$ is irreducible.

Lemma 1. *If $m \geq 5$, and $H = S_m$ or A_m , then $K(T)$ is in class (a) or (b) of the Theorem.*

Proof. Since A_m is simple for $m \geq 5$, the only linear character is the trivial one. If $m \leq n$, it is easy to show that the completely symmetric tensors are a proper invariant subspace of $\bigvee_{\chi \equiv 1}^m (A_m)$. If $H = S_m$, then $\chi \equiv 1$ or $\chi = \epsilon$. It is well known [10] that both of these yield irreducible representations of $\text{GL}_n(C)$.

We will first solve the problem for $m \leq 4$ by direct computation. Then for $m \geq 5$, we will force $H = A_m$ or S_m and apply Lemma 1.

If $K(T)$ is irreducible, it corresponds by Weyl's result [10] to a certain Young tableau Y . If R is the row group and Q the column group of Y , we form the Young symmetrizer $\sum_{\sigma \in R; \tau \in Q} \epsilon(\tau) \tau \sigma$. The map $\sigma \rightarrow P(\sigma)$ is a homomorphism of the group ring of S_m into $\text{Hom}_C(W, W)$, and yields an operator whose range is an irreducible invariant subspace of $\bigotimes^m T$. Thus the rank of this operator must equal the rank of T_χ^H . Let d be the degree of the representation of S_m corresponding to Y . Then $S = (d/m!) \sum_{\sigma \in R; \tau \in Q} \epsilon(\tau) P(\tau \sigma)$ is idempotent. It follows [12] that the rank of S is

$(d/m!) \sum_{\sigma \in R; \tau \in Q} \epsilon(\tau) n^{c(\tau\sigma)}$, where $c(\tau\sigma)$ is the number of orbits in $\tau\sigma$. Since $T_{\mathbf{x}}^H$ is also idempotent, we have

$$(1) \quad \frac{d}{m!} \sum_{\sigma \in R; \tau \in Q} \epsilon(\tau) n^{c(\tau\sigma)} = \frac{1}{b} \sum_{\sigma \in \mathfrak{I}} \chi(\sigma) n^{c(\sigma)}.$$

Since we have assumed n large compared with m , we may equate coefficients in (1). One conclusion is immediate: $b = m!/d$. Thus H cannot be too small. If $m \leq 4$, the degrees of possible $K(T)$ which might be irreducible are easily computed and using (1), we easily obtain cases (c), (d), and (e) of the Theorem.

Suppose we are given a $K(T)$ which is a candidate for irreducibility. How do we find the tableau Y to which it must correspond? We first describe a basis of $V_{\mathbf{x}}^m(H)$. Let $\Gamma_{m,n}$ be the n^m sequences of length m chosen from $\{1, \dots, n\}$. If $\alpha = (\alpha_1, \dots, \alpha_m)$ and $\beta = (\beta_1, \dots, \beta_m) \in \Gamma_{m,n}$, we say α and β are equivalent (mod H) if there is a $\sigma \in H$ such that $\alpha_{\sigma(i)} = \beta_i$, $i = 1, \dots, m$, and write $\alpha^\sigma = \beta$. From each equivalence class thus defined, choose the element lowest in lexicographic order. Call this set of representatives Δ . For each $\alpha \in \Delta$, let H_α be the elements of H which stabilize α . Let $\bar{\Delta} = \{\alpha \in \Delta \mid \chi(H_\alpha) \equiv 1\}$. If $w_1, \dots, w_m \in V$, write $T_{\mathbf{x}}^H w_1 \otimes \dots \otimes w_m = w_1 * \dots * w_m$. Let v_1, \dots, v_m be a basis of V . Then [5], $\{v_{\alpha_1} * \dots * v_{\alpha_m} \mid \alpha \in \bar{\Delta}\}$ is a basis of $V_{\mathbf{x}}^m(H)$.

To each $\alpha \in \bar{\Delta}$, associate a re-arrangement α^0 of α such that $\alpha_1^0 \leq \dots \leq \alpha_m^0$. From this set of α^0 , pick the element γ which is lowest in lexicographic order. (Of course, γ may appear more than once.) Clearly, γ will have the form $\gamma = (1, \dots, 1, 2, \dots, 2, \dots, r, \dots, r)$, where 1 occurs m_1 times, \dots , r occurs m_r times, and $m_1 \geq \dots \geq m_r$.

Lemma 2. *Let $K(T)$ be irreducible. Let γ be chosen as described above. Let Y be the tableau with rows of lengths m_1, \dots, m_r . Then $K(T)$ is equivalent to the representation of $\text{GL}_n(C)$ corresponding to Y .*

Proof. Let T be a generic member of $\text{GL}_n(C)$ with eigenvalues x_1, \dots, x_n . Then $x_{\alpha_1} \dots x_{\alpha_m}$, $\alpha \in \bar{\Delta}$, are the eigenvalues of $K(T)$. It follows from the choice of γ that $x_1^{m_1} \dots x_r^{m_r}$ is an eigenvalue of $K(T)$. Thus if m'_1, \dots, m'_s , $m'_1 \geq \dots \geq m'_s$, were another partition of m which preceded m_1, \dots, m_r , $x_1^{m'_1} \dots x_s^{m'_s}$ cannot be an eigenvalue of $K(T)$. Let $T \rightarrow M(T)$ be the representation of $\text{GL}_n(C)$ corresponding to Y . Recall that S is the symmetrizer obtained from Y . If $w_1, \dots, w_m \in V$, write $Sw_1 \otimes \dots \otimes w_m = w_1 \circ \dots \circ w_m$. If v_1, \dots, v_n is a basis of V , there is a subset $\bar{\Delta}' \subset \Gamma_{m,n}$ such that $\{v_{\alpha_1} \circ \dots \circ v_{\alpha_m} \mid \alpha \in \bar{\Delta}'\}$ is a basis of SW . It also follows that the eigenvalues of $M(T)$ will be $x_{\alpha_1} \dots x_{\alpha_m}$, $\alpha \in \bar{\Delta}'$. Let v be the tensor $v_1 \otimes \dots \otimes v_1 \otimes \dots \otimes v_r \otimes \dots \otimes v_r$ where v_i occurs m_i times. The tableau Y has the form

$$\begin{array}{rcl}
 & 1, 2, 3, \dots, m_1 & \\
 Y = & m_1 + 1, & m_1 + m_2, \\
 & \vdots & \\
 & \vdots & \\
 & \dots m &
 \end{array}$$

so

$$Sv = \frac{d}{m!} \sum_{\sigma \in R; \tau \in Q} \epsilon(\tau)P(\tau)P(\sigma)v = \frac{rd}{m!} \sum_{\tau \in Q} \epsilon(\tau)P(\tau)v,$$

where r is the order of R . Clearly, the stabilizer Q_γ of γ in the column group of Y is $\{1\}$, and thus $\sum_{\tau \in Q} \epsilon(\tau)P(\tau)v \neq 0$. Therefore, $M(T)$ has $x_1^{m_1} \dots x_r^{m_r}$ as an eigenvalue.

Now let $m'_1 \geq \dots \geq m'_s$ be a partition of m which precedes m_1, \dots, m_r . Let $\beta \in \Gamma_{m,n}$ be any sequence in which i occurs m'_i times, $i = 1, \dots, s$. We will show that $Sv_{\beta_1} \otimes \dots \otimes v_{\beta_m} = 0$. Suppose that i appears in positions $t_{i,1}, \dots, t_{i,m'_i}$. Let Y_1 be the tableau with row lengths m'_1, \dots, m'_s such that the i th row consists of the integers $t_{i,1}, \dots, t_{i,m'_i}$. Let R_1 and Q_1 be the row and column groups of Y_1 . Clearly (writing $v_\beta = v_{\beta_1} \otimes \dots \otimes v_{\beta_m}$),

$$\sum_{\sigma \in R} P(\sigma)v_\beta = r_1 v_\beta,$$

where r_1 is the order of R_1 . Thus,

$$\begin{aligned}
 Sv_\beta &= \frac{d}{r_1 m!} \sum_{\tau \in Q} \sum_{\sigma \in R} \epsilon(\tau)P(\tau\sigma) \sum_{\rho \in R_1} P(\rho)v_\beta \\
 &= \frac{d}{r_1 m!} \sum_{\sigma \in R} \sum_{\tau \in Q} \epsilon(\tau)P(\sigma\tau_\sigma) \sum_{\rho \in R_1} P(\rho)v_\beta,
 \end{aligned}
 \tag{2}$$

where τ_σ is the element in the column group of the tableau σY such that $\tau_\sigma = \sigma^{-1}r\sigma$ [1, p. 106]. Thus, (2) becomes

$$\frac{d}{r_1 m!} \sum_{\sigma \in R} P(\sigma) \sum_{\tau \in Q} \epsilon(\tau_\sigma)P(\tau_\sigma) \sum_{\rho \in R_1} P(\rho)v_\beta.
 \tag{3}$$

Now Y_1 dominates Y , and from [1, p. 107] we know that the column symmetrizer from a tableau with the same shape as Y times the row symmetrizer from any tableau with shape dominating Y is zero. Thus (3) is zero. Thus, if Y_1 is any tableau which dominates Y , the representation $M_1(T)$ corresponding to Y_1 has eigenvalues which do not occur in $K(T)$; if Y dominates Y_1 , $x_1^{m_1} \dots x_r^{m_r}$ is not an eigenvalue of $M_1(T)$. Lemma 2 is proved.

We use Lemma 2 and the following three results to eliminate groups which have no 2-cycles or 3-cycles. Let Ω be the elements in H which are 3-cycles or a product of two disjoint 2-cycles.

Lemma 3. *Let H be a transitive subgroup of S_m having no 2-cycles or 3-cycles. Let H have r blocks of size k , where $k > 1$ is as small as possible. Then if $k \geq 9$, Ω is empty.*

Proof. Assume $(12)(34) \in H$. As long as $k \geq 5$, we may assume 1, 2, 3, 4 are all in the same block. We will use the fact that if $\sigma, \tau \in S_m$ and if the elements moved by σ and the elements moved by τ have only one element in common, then $\sigma\tau\sigma^{-1}\tau^{-1}$ is a 3-cycle. We consider two cases. First suppose $(13)(24)$ is also in H . Now $\{1, 2, 3, 4\}$ is not a block, so there is an element ϕ of H sending 1 to 5 and sending 2 to 1, 3 or 4. By conjugating ϕ with $(12)(34)$, we produce $(\phi(2)5)(\phi(3)\phi(4))$. Since $(13)(24)$ and $(14)(23) \in H$, we can produce an element of the form $(15)(\psi(3)\psi(4))$. Now exactly one of $\psi(3)$ and $\psi(4)$ is not in $\{2, 3, 4\}$; otherwise we could produce a 3-cycle in H . Thus we may assume $(15)(26) \in H$, so H contains the group $(12)(34), (13)(24), (15)(26)$. Now $\{1, 2, \dots, 6\}$ is not a block of H , so we can produce an element $\phi \in H$ of the form $(17)(\phi(3)\phi(4))$. Because the roles of 3 and 4 are interchangeable, the only possibilities for $(\phi(3)\phi(4))$ are (35), (36) or (28). Thus if $H_1 = \langle (12)(34), (13)(24), (15)(26) \rangle$, H must contain one of the following groups: $\langle H_1, (17)(35) \rangle$, $\langle H_1, (17)(36) \rangle$, $\langle H_1, (17)(28) \rangle$. Now proceed in the same manner. When an element σ of the form $(19)(\phi(3)\phi(4))$ is produced, we have for every possibility for $\phi(3), \phi(4)$, an element whose commutator with σ is a 3-cycle. In the second case, we assume $(13)(24)$ and $(14)(23)$ are not in H . Now $\{1, 2, 3, 4\}$ is not a block, so we are lead in a similar manner to assume that one of the following elements is also in H : $(15)(23)$, or $(15)(36)$. (Note that $(15)(26)$ is not a possibility, because $(12)(56)$ would then be in H and we could return to case 1.) We now use the same procedure as in case 1 to obtain the result.

Lemma 4. *Let Y be a tableau with rows of length $m_1 \geq \dots \geq m_r$, $\sum m_i = m$. If $m_1 > m/2$, then the coefficient of n^{m-1} in (1) is ≥ 0 , with equality if and only if $m_2 = \dots = m_r = 1$ and $m_1 = m + 1/2$.*

Proof. Use induction on m . Verification is easy for small m . If $m_2 = \dots = m_r = 1$, the lemma is clear, so assume $m_2 \geq 2$. Let Y_1 be the tableau obtained from Y by deleting the last positions in rows 1 and r . Then Y_1 satisfies the inductive hypothesis, so the coefficient λ of n^{m-3} corresponding to Y_1 is nonnegative. The coefficient of n^{m-1} corresponding to Y is $\lambda + (m_1 - 1) + (m_r - 1) - r$. Since $m_2 \geq 2$, $r \leq m_1 - 1$. Thus $\lambda + (m_1 - 1) + (m_r - 1) - r \geq \lambda$. But $\lambda = 0$ only if $m_2 = 2$ and $r = 2$. In this case $m_r = 2$ and thus the coefficient of n^{m-1} is positive.

Lemma 5. *Let $K(T)$ be irreducible with corresponding tableau Y . If $m \geq 5$, and H has no 2-cycles, then the coefficient of n^{m-2} in $\sum_{\sigma \in R; \tau \in Q} \epsilon(\tau) n^{c(\tau\sigma)}$ is less than $-m$.*

Proof. If Y has row lengths $m_1 \geq \dots \geq m_r$ and column lengths $n_1 \geq \dots \geq n_s$, then since H has no transpositions,

$$(4) \quad \sum_{i=1}^r \binom{m_i}{2} = \sum_{i=1}^s \binom{n_i}{2}.$$

The coefficient of n^{m-2} is

$$(5) \quad \sum_{i=1}^r 3 \binom{m_i}{4} + 2 \binom{m_i}{3} + \sum_{i=1}^s 3 \binom{n_i}{4} + 2 \binom{n_i}{3} - \sum_{i=1}^r \sum_{j=1}^s \binom{m_i}{2} \binom{n_j}{2}.$$

This expression is obtained by observing that a 3-cycle or a product of two disjoint 2-cycles is of the form $\tau\sigma$, $\sigma \in Q$, $\tau \in R$, if and only if both σ and τ are 2-cycles or one of σ and τ is the identity, and the other is a 3-cycle or product of two disjoint 2-cycles. Using (4), write (5) as

$$\begin{aligned} & \sum_{i=1}^r \binom{m_i}{4} + 2 \binom{m_i+1}{4} + \sum_{i=1}^s \binom{n_i}{4} + 2 \binom{n_i+1}{4} - \left[\sum_{i=1}^r \binom{m_i}{2} \right]^2 \\ & < \frac{1}{2} \left[\sum_{i=1}^r \binom{m_i}{2}^2 + \sum_{i=1}^s \binom{n_i}{2}^2 \right] - \left[\sum_{i=1}^r \binom{m_i}{2} \right]^2 \\ (6) \quad & = \frac{1}{2} \left[\left(\sum_{i=1}^r \binom{m_i}{2} \right)^2 + \left(\sum_{i=1}^s \binom{n_i}{2} \right)^2 - 2 \sum_{i \neq j} \binom{m_i}{2} \binom{m_j}{2} \right. \\ & \quad \left. - 2 \sum_{i \neq j} \binom{n_i}{2} \binom{n_j}{2} \right] - \left[\sum_{i=1}^r \binom{m_i}{2} \right]^2 \\ & = - \sum_{i \neq j} \binom{m_i}{2} \binom{m_j}{2} - \sum_{i \neq j} \binom{n_i}{2} \binom{n_j}{2}. \end{aligned}$$

With expression (6), we may assume that (m_1, \dots, m_r) precedes (n_1, \dots, n_s) . Thus $m_1 \geq \sqrt{m}$. If $m_2 \geq 3$, n_1, n_2, n_3 are all at least 2. Thus (6) is no larger than

$$- \binom{m_1}{2} \binom{m_2}{2} - 3 \leq -\frac{3}{2} \sqrt{m}(\sqrt{m}-1) - 3 < -m.$$

If $m_2 = 2$, we must have $m_3 = \cdots = m_r = 1$. From (4), we have $n_1 = m_1$ and Y is symmetric. Thus $2m_1 = m$ and (6) becomes

$$-2 \binom{m_1}{2} = -m \left(\frac{m}{2} - 1 \right) < -m$$

for $m \geq 5$. Finally, if $m_2 = 1$, we verify Y is symmetric with $m_1 = (m+1)/2$. Then we write (5) as

$$6 \binom{m_1}{4} + 4 \binom{m_1}{3} - \binom{m_1}{2}^2,$$

and this is less than $-m$ for $m \geq 6$.

If $m = 5$, we need 5 elements in Ω for equality to hold in Lemma 5. Thus, to within conjugation, H must contain the group $G = \langle (12)(34), (13)(25) \rangle$, which is of order 10. The degree d of the corresponding representation of S_5 is 6: thus, $b = 20$. But no subgroup of S_5 of order 20 contains G .

Now suppose H has no 2-cycles or 3-cycles. Let H divide $\{1, \dots, m\}$ into r blocks of length k , where $k > 1$ is as small as possible. Since χ is linear, we see by equating the coefficients of n^{m-2} in (1) that there must be more than m elements in Ω (the coefficient of n^{m-2} is $\sum_{\sigma \in \Omega} \chi(\sigma)$). By Lemma 3, we assume $k \leq 8$. Note that if $5 \leq k \leq 8$, we may assume that $(12)(34) \in H$ and $\{1, 2, 3, 4\}$ is part of a block. First we eliminate the case $k = 8$. Consider the sequence

$$\alpha = (1, 1, 2, 1, 1, 3, 4, 5; 1, 1, 2, 1, 1, 3, 4, 6; 1, 1, 2, 1, 1, 3, 4, 7; \dots).$$

We assert that α is equivalent to a member of $\bar{\Delta}$; in fact, $H_\alpha = \{1\}$. Since H is transitive, we also assume that $(9\ 10)(11\ 12)$, etc., are in H . Thus, $(12)(45)$, $(9\ 10)(12\ 13)$, etc. are not in H . It is possible, however, that something like $(12)(45)(9\ 10)(12\ 13)$ or $(124)(9\ 10\ 12) \in H$, and we must eliminate these cases. If $H_\alpha \neq \{1\}$, let $\sigma \in H_\alpha$. Then σ is of order at most 12. If σ contains 3-cycles, look at $\tau = \sigma^4$; it is a product of 3-cycles only. Assume the number of 3-cycles is minimal in H_α . Thus τ is of the form $\rho_1 \rho_2$, where ρ_1 is a 3-cycle in $\{1, 2, 4, 5\}$ and ρ_2 is a product of 3-cycles fixing $\{1, 2, 4, 5\}$. Suppose $\rho_1 = (124)$. If $(13)(24)$ is also in H , we may assume, as in Lemma 3 that $(15)(26) \in H$. Then $(15)(26)\rho_1\rho_2 = (16245)\rho_2$. Raise this to the 5th power to contradict the minimality of 3-cycles. If $(13)(24) \notin H$, we may assume $(15)(36)$ or $(13)(25) \in H$. Now $(15)(36)\rho_1\rho_2 = (1245)(36)\rho_2$ and $(13)(25)\rho_1\rho_2 = (15243)\rho_2$. Raising these to suitable powers also yields a contradiction. Similarly, if $\rho_1 = (125)$, (145) or (245) , we use the same procedure. If σ has no 3-cycles, write σ as $\rho_1\rho_2$, where ρ_1 permutes $\{1, 2, 4, 5\}$.

Again, similar procedures yield the result, and we have $H_\alpha = \{1\}$.

It follows that in Y , $m_1 = m/2$. (If $m_1 > m/2$, Lemma 4 yields the existence of a transposition in H .) Moreover, $m_2 + m_3 + m_4 \geq 3m/8$. Thus

$$\binom{m_2}{2} + \binom{m_3}{2} + \binom{m_4}{2} \geq 3 \binom{m/8}{2}.$$

Let $r_i = \max\{n_i - 3, 0\}$. Thus $\sum r_i \leq m/8$. We compute

$$\begin{aligned} \sum \binom{m_i}{2} - \sum \binom{n_i}{2} &> \binom{m/2}{2} + 3 \binom{m/8}{2} - \sum \binom{r_i}{2} - \binom{3}{2} \sum r_i - \binom{3}{2} \frac{m}{2} \\ &\geq \binom{m/2}{2} + 3 \binom{m/8}{2} - \binom{m/8}{2} - 3m/8 - 3m/2. \end{aligned}$$

This is positive for $m \geq 16$. If $m = 8$, the only tableau with $m_1 = 4$ and (1) holding is $m_1 = 4$, $m_2 = 2$, $m_3 = m_4 = 1$. This tableau corresponds to a representation of S_8 of degree 90, and hence $b = 2^6 \cdot 7$. Assume $(12)(34) \in H$. If $(13)(24)$ and $(14)(23) \in H$, we see that the number of elements conjugate to $(12)(34)$ is divisible by 3, a contradiction. If $(13)(24) \notin H$, then, as previously shown, we may assume $(15)(36)$ or $(15)(23) \in H$. Now $(12)(34)(15)(36)$ has order 3 and $(12)(34)$ and $(15)(23)$ generate a group of order 10. Both cases are impossible.

If $k = 7$, we can similarly show that the sequence $\alpha = (1, 1, 2, 1, 1, 3, 4; 1, 1, 2, 1, 1, 3, 5; \dots)$ has $\{1\}$ as its stabilizer. If $k = 6$, we also show that $\alpha = (1, 1, 2, 1, 1, 3; 1, 1, 2, 1, 1, 4; \dots)$ has $H_\alpha = \{1\}$. In both cases, apply Lemma 4.

If $k = 5$, and $(12)(34) \in H$, then we may assume that $(13)(25)$, $(14)(35)$, $(15)(24)$, $(23)(45)$ are in H . Thus, the stabilizer of $(1, 1, 1, 2, 3; 1, 1, 1, 2, 4; \dots)$ is $\{1\}$. Now use Lemma 4.

Let $k = 4$, $(12)(34) \in H$, $\chi(12)(34) = -1$. Suppose $\{1, 2, 3, 4\}$ is a block. Then the only possible elements of Ω which do not fix 1 and 2 are of the form $(13)(24)$, $(14)(23)$ or $(12)(ij)$, $i, j \geq 5$. Since $\chi((12)(ij)) = -\chi((34)(ij))$, we cannot have $(12)(ij)$ and $(13)(24)$ in H . Thus, if $(13)(24) \in H$, $\sum_{\sigma \in \Omega} \chi(\sigma) \geq -r$. If $(12)(ij) \in H$, then $\sum_{\sigma \in \Omega} \chi(\sigma) = 0$. If $(12)(ij)$, $(13)(24) \notin H$, then $\sum_{\sigma \in \Omega} \chi(\sigma) \geq -r$. In all cases, we apply Lemma 5.

If $\{1, 2, 3, 4\}$ is not a block, we may assume the blocks of H are $\{1, 2, 5, 6\}$, $\{3, 4, 7, 8\}$, $\{9, 10, 11, 12\}, \dots$. Now $(12)(56) \notin H$; otherwise, we could return to the first case. Thus, any other elements in Ω which move 1 or 2 must be of the form $(12)(9\ 10)$ or $(15)(37)$. These two possibilities cannot both occur. If $(12)(9\ 10) \in H$, we handle this as above. If $(15)(37) \in H$, the possible members of Ω which act on $\{1, \dots, 8\}$ are $(12)(34)$, $(15)(37)$, $(25)(47)$, $(16)(38)$, $(26)(48)$, $(56)(78)$. All other members of Ω fix each of $\{1, \dots, 8\}$. Since H is transitive, $\sum_{\sigma \in \Omega} \chi(\sigma) > -3m/4$. Apply Lemma 4.

If $k = 3$, we may assume the blocks of H are $\{1, 2, 5\}, \{3, 4, 6\}, \{7, 8, 9\}, \dots$. Since $\{1, 2\}$ is not a block, there is a $\sigma \in H$ mapping $1 \rightarrow 5$ and $2 \rightarrow 1$. Thus $(15)(ij) \in H$ and we may assume (to avoid 3-cycles) that $(ij) = (36)$ or (46) . Thus assume that $(12)(34), (15)(36), (25)(46) \in H$. It is easy to check that all other elements of Ω fix each of $1, \dots, 6$. We can again use Lemma 5.

If $k = 2$, we see that the stabilizer of $(1, 1; 1, 2; 1, 3; \dots; 1, r)$ is $\{1\}$. If this sequence does not correspond to the tableau Y , we can use Lemma 4. Otherwise, Y satisfies $m_1 = r + 1, m_2 = \dots = m_{r-1} = 1$. Then $d = \binom{2r-1}{r}$. Now H is properly contained in the Krantz product of S_2 with S_r and hence $m!/d = b < 2^r r!$. This is impossible unless $r = 1$. But then $H = S_2$ and we are done.

We now assume H has a 3-cycle but no 2-cycles. A primitive group with a 2-cycle or 3-cycle is A_m or S_m , so assume H is not primitive. Since H has a 3-cycle, there is a copy of A_3 in H . Let k be the largest integer such that H has a copy of A_k . We may assume that this group acts on $\{1, \dots, k\}$. Then $k \mid m$ and if $rk = m$, H has r blocks of length k . Moreover, H contains the direct product of r copies of A_k , because H is transitive. Note that $b < (k!)^r r!$. If $k = 4$, a simple induction shows that $b < \sqrt{m!}$ for $r \geq 5$ and if $k = 3$, we can show that $b < \sqrt{m!}$ for $r \geq 4$. Then $m!/b = d > \sqrt{m!}$. This is absurd because d is the degree of an irreducible representation of S_m .

Suppose $k = 3$. If $\chi(\times_1^r A_k) \equiv 1$, then $(1, 1, 1, 1, 2, 3)$ is equivalent to a member of $\bar{\Delta}$ when $r = 2$ and $(1, 1, 1, 1, 2, 3, 1, 2, 4)$ is equivalent to a member of $\bar{\Delta}$ when $r = 3$. In both cases, apply Lemma 4. If $\chi(\times_1^r A_k) \not\equiv 1$, then for $r = 2$ and 3 respectively, the sequences $(1, 1, 2, 1, 1, 3)$ and $(1, 1, 2, 1, 1, 3, 1, 2, 3)$ are equivalent to members of $\bar{\Delta}$ unless $(12)(45) \in H$ and $\chi((12)(45)) = -1$. But $\chi((123)) = \eta$, a cube root of 1. Thus $\chi((12)(45)(123)) = \chi((23)(45)) = -\eta$, a contradiction. Again we can apply Lemma 4.

Suppose $k = 4$, and $\chi(\times_1^r A_k) \equiv 1$. If $r = 2$, $(1, 1, 1, 1, 1, 2, 3, 4)$ is in $\bar{\Delta}$ we apply Lemma 4. If $r = 3$, the sequence $(1, 1, 1, 1; 1, 1, 2, 3; 1, 1, 2, 4)$ is equivalent to a member of $\bar{\Delta}$ unless we have $\sigma(56) \in H$ and $\chi(\sigma(56)) \neq 1$ where σ is an odd permutation of $\{1, 2, 3, 4\}$. Then $(12)(56) \in H$ and $\chi((12)(56)) = -1$. If this is so, consider $(12)(9\ 10)$; if it is in H and $\chi((12)(9\ 10)) = -1$, then $\chi((56)(9\ 10)) = 1$ and we have $(1, 2, 3, 4; 1, 1, 1, 1; 1, 1, 1, 2)$ equivalent to a member of $\bar{\Delta}$. If $\chi((12)(9\ 10)) = 1$ or $(12)(9\ 10) \notin H$, then $(1, 1, 1, 1; 1, 2, 3, 4; 1, 1, 1, 2)$ is equivalent to an element of $\bar{\Delta}$. In all cases, apply Lemma 4. If $r = 4$, we similarly assume that $(1, 1, 1, 1; 1, 1, 1, 2; 1, 2, 3, 4; 1, 2, 3, 5)$ is equivalent to a member of $\bar{\Delta}$. Apply Lemma 4.

If $k = 4$ and $\chi(\times_1^r A_k) \not\equiv 1$, then χ is a primitive cube root of 1 on any 3-cycle in H and $\chi((12)(34))$ and all of its conjugates is 1. As with the case $k = 3$, we can verify that the sequence $(1, 1, 2, 2; 1, 1, 2, 3; 1, 1, 2, 4; 1, 1, 2, 5)$ is equivalent to an element of $\bar{\Delta}$ when $r = 4$. Thus the tableau Y to which $K(T)$ corresponds

has first row length 8 and second row length at least 5. It is easily shown that any tableau satisfying this also satisfies

$$\sum \binom{m_i}{2} - \sum \binom{n_i}{2} > 0,$$

contradicting the assumption that H has no transpositions. The cases $r = 3, 2$ are disposed in similar fashion.

We now assume $k \geq 5$ and thus $\chi(\times_1^r A_k) \equiv 1$. Let α be an element of $\bar{\Delta}$ which has m_1 1's, m_2 2's, \dots , i.e., α determines the shape of the tableau Y . Write

$$\alpha = (\alpha_{11}, \dots, \alpha_{1k}; \alpha_{21}, \dots, \alpha_{2k}; \dots; \alpha_{r1}, \dots, \alpha_{rk}).$$

Suppose that each of the sets $\{\alpha_{i1}, \dots, \alpha_{ik}\}$, $i = 1, \dots, s$ has a repetition, and that for $i = s+1, \dots, r$, $\alpha_{i1}, \dots, \alpha_{ik}$ are all distinct. Then the sequence

$$\beta = (1, \dots, 1; 1, \dots, 1, 2; \dots; 1, \dots, 1, s; 1, 2, \dots, k; \\ 1, 2, \dots, k-1, k+1; \dots; 1, 2, \dots, k-1, r-s+1)$$

is equivalent to a member of $\bar{\Delta}$. The sequence α may have more 1's than β and more k 's than β , but $2, \dots, k-1$ each will occur in α at least $r-s$ times. If $s \geq r/2$, we could apply Lemma 4, so assume that $2, \dots, k-1$ each occur in α at least $r/2$ times. Thus the tableau Y has at least $r/2$ columns of length at least $k-1$.

Recall that Ω consists of the 3-cycles and products of 2 disjoint 2-cycles in H . The cardinality of $\Omega \subset \times_1^r A_k$ is $2r\binom{k}{3} + 3r\binom{k}{4}$. There are also elements of the form $(12)(k+1, k+2)$, which are not in $\times_1^r A_k$. If $(12)(k+1, k+2)$ and $(12)(2k+1, 2k+2)$ are in H and $\chi = -1$ on both, then $\chi((k+1, k+2)(2k+1, 2k+2)) = 1$. Using this, we verify that

$$(7) \quad \sum_{\sigma \in \Omega} \chi(\sigma) \geq 2r\binom{k}{2} + 3r\binom{k}{4} - \left\lceil \frac{r}{2} \right\rceil \binom{k}{2}^2.$$

From (6) in Lemma 5, we have

$$(8) \quad \begin{aligned} \sum_{\sigma \in \Omega} \chi(\sigma) &< - \sum_{i \neq j} \binom{m_i}{2} \binom{m_j}{2} - \sum_{i \neq j} \binom{n_i}{2} \binom{n_j}{2} \\ &\leq \binom{k-1}{2} \binom{\lfloor \frac{1}{2} r \rfloor}{2}^2 - \binom{\lfloor \frac{1}{2} r \rfloor}{2} \binom{k-1}{2}. \end{aligned}$$

One shows for $r, k \geq 4$ that (8) is less than (7), a contradiction. If $r = 3$, we cannot have all three of $(12)(k+1, k+2)$, $(12)(2k+1, 2k+2)$ and $(k+1, k+2)(2k+1, 2k+2)$

in H with χ taking the value -1 . So suppose $\chi((12)(k+1, k+2)) = 1$ or $(12)(k+1, k+2) \notin H$. Then $(1, \dots, 1; 1, 1, \dots, 1, 2; 123, \dots, k)$ is in $\bar{\Delta}$ and we use Lemma 4. If $r = 2$, either $(1, \dots, 1; 1, \dots, 1, 2) \in \bar{\Delta}$ or $(1, 111, 1; 1, 2, \dots, k) \in \bar{\Delta}$. In the first case use Lemma 4. In the second case, since H has no 2-cycles, $b < (k!)^2$. But $(1, \dots, 1; 1, 2, \dots, k)$ is clearly the sequence which produces Y . Thus Y satisfies $m_1 = k+1$, $m_2 = \dots = m_k = 1$. The degree d of the representation of S_m corresponding to Y is $\binom{2k-1}{k}$. But $b < (k!)^2$ implies $d > \binom{2k}{k}$, a contradiction.

We have now eliminated all cases where $r \geq 2$. Of course, if $r = 1$, $H = A_k$ and we use Lemma 1.

Now assume H contains a transposition. As before, we conclude that H contains $\times_1^r S_k$, where the different copies of S_k are all conjugate.

There are two possibilities:

Case 1. $\chi(\times_1^r S_k) = \times_1^r \epsilon$. Then the sequence $(1, \dots, k; 1, \dots, k-1, k+1; \dots; 1, \dots, k-1, k+r-1)$ is in $\bar{\Delta}$. This sequence may not be the sequence γ corresponding to Y , but clearly, $1, \dots, k-1$ each occur exactly r times in γ . Let the row lengths of Y be $r, \dots, r, l_1, \dots, l_q$, $\sum l_i = r$. Let the column lengths of Y be $k-1+s_i$, $i = 1, \dots, r$, $\sum s_i = r$. Then

$$\begin{aligned} \sum \binom{m_i}{2} - \sum \binom{n_i}{2} &= (k-1) \binom{r}{2} + \sum \binom{l_i}{2} - r \binom{k-1}{2} - \sum \binom{s_i}{2} - \sum (k-1)s_i, \\ (9) \quad &= (k-1) \binom{r}{2} + \sum \binom{l_i}{2} - r \binom{k-1}{2} - \sum \binom{s_i}{2} - r(k-1), \\ &\geq (k-1) \binom{r}{2} - r \binom{k-1}{2} - \binom{r}{2} - r(k-1). \end{aligned}$$

Now

$$\sum_{\sigma=2\text{-cycle}} \chi(\sigma) = -r \binom{k}{2}.$$

One verifies that (9) is larger than $-r \binom{k}{2}$ unless $r, k \leq 2$. But $rk = m \geq 5$.

Case 2. $\chi(\times_1^r S_k) \equiv 1$. Then $(1, \dots, 1; 1, \dots, 2; 1, \dots, 1, 3; \dots, 1, \dots, 1, r)$ is equivalent to a member of $\bar{\Delta}$. Thus, in Y , $m_1 \geq r(k-1) + 1$. Let $r_i = n_i - 1$. Then

$$\begin{aligned} \sum \binom{m_i}{2} - \binom{n_i}{2} &\geq \binom{m-r+1}{2} - \binom{r-1}{2} - \sum r_i \\ (10) \quad &= \binom{m-r+1}{2} - \binom{r-1}{2} - (r-1) = \binom{m-r+1}{2} - \binom{r}{2}. \end{aligned}$$

Now

$$\sum_{\sigma=2\text{-cycle}} \chi(\sigma) = r \binom{k}{2}.$$

Now if $r \geq 2$, (10) is larger than $r \binom{k}{2}$ unless $k = 2$. If $k = 2$, then for equality to hold in (10), Y must satisfy $m_1 = r + 1$, $m_2, \dots, m_{r-1} = 1$. Then $d = \binom{2r-1}{r}$. Now H is contained in the Krantz product of S with S_r and hence $b \leq 2^r r!$. Thus

$$\frac{(2r)!}{2^r r!} \leq \frac{(2r-1)!}{r!(r-1)!}.$$

Equality holds only when $r = 2$. This forces $m = 4$. But $m \geq 5$. Thus $r = 1$ and $H = S_m$. Use Lemma 1 to finish the proof of the Theorem.

Corollary. *If (m, n, H, χ) satisfy the conditions of the Theorem, then $\text{Hom}_C(V_x^m(H), V_x^m(H))$ is spanned by elements of the form $K(T)$, $T \in \text{GL}_n(C)$.*

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