## DUAL SPACES OF GROUPS WITH PRECOMPACT CONJUGACY CLASSES

BY

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ABSTRACT. We show that a second countable locally compact type I group with a compact invariant neighborhood of the identity is CCR, and has a Hausdorff dual if and only if its conjugacy classes are precompact. We obtain sharper results if the group is almost connected or has a fundamental system of invariant neighborhoods of the identity. Along the way we show that for a locally compact abelian group A and a group B of topological group automorphisms of A, A has small B invariant neighborhoods at 1 if and only if  $\widehat{A}$  has precompact orbits under the dual actions of B.

1. Introduction and preliminaries. One of the striking features of the Fell topology on the dual space  $\hat{G}$  of the locally compact group G is its characterization of important properties of G by simple separation properties of G. Thus for second countable G, G is type I if and only if G is G and CCR if and only if G is G is type I if and only if G is G and CCR if and only if G is G discrete is equivalent to G compact. One is naturally led to ask what significance, if any, a Hausdorff dual space has, and while many of our results do not pertain to this question, it is nonetheless the primary motivation of this paper. Our principal results are that a type I G group is CCR and has Hausdorff dual if and only if it is G it is G if G is type I G group or an almost connected group.

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We now establish some conventions and notation. Groups G will always be second countable locally compact, except in §2. Representations of groups will be strongly continuous unitary representations, and representations of  $C^*$  algebras will be nondegenerate \* representations (hence continuous). The letters R, Z and T will denote the real numbers, the integers, and the circle; the circle will

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be regarded as the complex numbers of modulus one under multiplication. We record here some important classes of groups together with their defining properties:  $[FC]^-$  (having precompact conjugacy classes),  $[FD]^-$  (having a precompact commutator subgroup), [IN] (having a compact neighborhood of 1 invariant under inner automorphisms), [SIN] (having a fundamental system of invariant neighborhoods at 1), and [Z] (being compact modulo the center). The following inclusions hold:  $[Z] \subset [SIN] \subset [IN]$  and  $[Z] \subset [FD]^- \subset [FC]^- \subset [IN]$ . (See [12] and [25].)

An exact sequence of locally compact groups will be an algebraic exact sequence (1)  $\rightarrow G \rightarrow H \rightarrow J \rightarrow$  (1) in which the maps are continuous and open. Since we are taking groups to be second countable, it will be enough to have the maps continuous.

The continuous complex valued function f on G will be called central if  $f(\alpha x) = f(x)$  for all  $x \in G$  and all inner automorphisms  $\alpha$ . Notice that if the automorphism  $\beta$  is the point open limit of inner automorphisms then  $f(\beta x) = f(x)$  for all  $x \in G$ . The continuous functional F on the Banach algebra A will be called central if F(ab) = F(ba) for all  $a, b, \in A$ .

We will take 2 cocycles of G to be those with coefficients in T, where G acts trivially on T. We note that if  $\alpha$  is a 2 cocycle of G,  $\alpha$  defines a central exact sequence  $(1) \to T \to H \to G \to (1)$  of locally compact groups, and  $\alpha$  representations of G are in one to one correspondence with ordinary representations of H whose restrictions to T are multiples of the character  $t \mapsto t$ . We will denote the irreducible  $\alpha$  representations of G by  $\alpha G$  and the image of Z(H) (the center of H) in G by  $Z_{\alpha}(G)$ . For details and further references on 2 cocycles and their relation to representation theory, we refer the reader to Chapter I of [1]. The same source contains a sketch of the Mackey theory of induced representations, which we will use extensively.

1.1 Proposition. Let  $\Phi$  be the continuous positive definite functions  $\phi$  of G with  $\phi(e) \leq 1$ . Let  $\mathcal F$  be the positive functionals on  $L^1(G)$  of norm  $\leq 1$ . Then there is a bijection between  $\Phi$  and  $\mathcal F$  given by  $F(\phi)(f) = \int f(x)\phi(x)dx$  for all  $f \in L^1(G)$ . Moreover central elements  $\Phi_c$  of  $\Phi$  correspond to central elements  $\mathcal F_c$  of  $\mathcal F$  under this bijection and  $\Phi$  and  $\Phi_c$  are compact metric in the weak topology inherited from  $\mathcal F$  and  $\mathcal F_c$ .

**Proof.** The first assertion is part of Théorème 13.4.5 of [5]. Verifying that  $\Phi_c$  and  $\mathcal{F}_c$  correspond under the bijection is completely straightforward. It is clear that  $\mathcal{F}$  and  $\mathcal{F}_c$  are weak\* closed in the unit ball of  $L^{\infty}(G)$ , and finally, it is well known that the unit ball of the dual space of a separable Banach space is compact metric in the weak\* topology (see for example B7 of [5]).

- 1.2 Definition. We denote by  $\mathfrak{A}(G)$  the group of topological group automorphisms of G. The topology on  $\mathfrak{A}(G)$  (see [4]) is given as follows:  $\alpha_{\nu} \to \alpha$  in  $\mathfrak{A}(G)$  if and only if  $\alpha_{\nu} \to \alpha$  and  $\alpha_{\nu}^{-1} \to \alpha^{-1}$  both uniformly on compacta. One may verify that the evaluation map  $\mathfrak{A}(G) \times G \to G$  is jointly continuous (see p. 40 of Hochschild, The structure of Lie groups). We let I(G) be the closure in  $\mathfrak{A}(G)$  of the group of inner automorphisms.
  - 1.3 Proposition. I(G) is compact if and only if  $G \in [FC]^- \cap [SIN]$ .

This is Theorem 4.1 of [10] in the special case that  $B^- = I(G)$ . In general one has that the subgroup B of  $\mathfrak{A}(G)$  is precompact iff the B orbits of G are precompact and the identity of G has a fundamental system of B invariant neighborhoods; moreover, one need not assume G is separable.

- 1.4 **Definition.** If f is a continuous complex valued function on G and K is a compact subset of G, let  $\|f\|_K = \sup\{|f(x)| | x \in K\}$ . Let  $G \in [FC]^- \cap [SIN]$ . Then  $f(\alpha x)$  is continuous and bounded in  $\alpha \in I(G)$  for each fixed x and we may define the function  $f^{\#}$  by  $f^{\#}(x) = \int_{I(G)} f(\alpha x) d\alpha$ , where  $d\alpha$  is normalized Haar measure on the compact group I(G).
- 1.5 Proposition. Suppose f is a continuous complex valued function on the  $[FC]^- \cap [SIN]$  group G. Then  $f^{\#}$  is continuous and central. If f is central,  $f^{\#} = f$ . If f is positive definite, so is  $f^{\#}$ . Moreover,  $\|f^{\#}\|_{K} \leq \|f\|_{I(G)(K)}$ , where K is any compactum in G and I(G)(K) is the compact image of  $I(G) \times K$  under the evaluation map. Finally, if f has compact support, then so does  $f^{\#}$ .

Proof. Straightforward verification. See [21], for example.

- 1.6 Proposition. Suppose  $G \in [FC]^- \cap [SIN]$ . Let  $\pi$  be a continuous finite dimensional irreducible representation of G, v a unit vector in  $H_{\pi}$ , and let  $\phi(x) = (\pi_* v, v)$ . Then  $\phi^{\#}(x) = (\deg \pi)^{-1} \operatorname{Tr} \pi_*$  for all  $x \in G$ .
- **Proof.** First we note that by 1.1,  $F(\phi^{\#})$  is a central functional on  $L^1(G)$ . Suppose now that  $f \in \ker \pi$ ; that is,  $\int f(x)\pi_x dx = 0$  where  $f \in L^1(G)$ . Then for each  $y \in G$ ,  $\int f(x)\pi_{yxy} 1 dx = \pi_y \int f(x)\pi_x dx \pi_{y-1} = 0$ . Moreover if  $\alpha \in I(G)$ , we have inner automorphisms  $\alpha_n \to \alpha$  and so for each  $u, v \in H_{\pi}$  we have

$$|(\pi_{\alpha_n x} u, v) - (\pi_{\alpha_x} u, v)| \le \|\pi_{\alpha_n x} u - \pi_{\alpha_x} u\| \|v\| = \|\pi_{(\alpha_x)^{-1}(\alpha_n x)} u - u\| \|v\| \to 0$$

uniformly on G compacta because  $\alpha_n \to \alpha$  uniformly on G compacta. It follows that  $\int f(x)(\pi_{\alpha x}u, \nu) dx = 0$  for all u,  $\nu$  and furthermore that

$$\int f(x)\phi^{\#}(x) dx = \int_{I(G)} \int_{G} f(x)(\pi_{\alpha_{X}} v, v) dx d\alpha = 0.$$

Thus  $F(\phi^{\#})$  defines a positive central functional on the image of  $L^1(G)$  under  $\pi$ . Since  $\pi$  is irreducible this image is a full matrix algebra  $B(H_{\pi})$  and so  $F(\phi^{\#})(f) = \lambda \operatorname{Tr} \pi(f)$  for all  $f \in L^1(G)$ , for some constant  $\lambda$ . It follows easily that  $\phi^{\#}(x) = \lambda \operatorname{Tr} \pi_x$  for all  $x \in G$  and, since  $\phi^{\#}(1) = 1$ ,  $\lambda = (\deg \pi)^{-1}$  and the proposition is proved.

- 1.7 Proposition. Let G be locally compact abelian, and let  $a \in H^2(G, T)$ . Then for any cocycle  $\alpha$  representing a,  $\alpha^{(2)}(x, y) = \alpha(x, y)\alpha(y, x)^{-1}$  is jointly continuous and bilinear (a character in each variable separately). Moreover,  $\alpha^{(2)}$  is independent of the choice of  $\alpha$ . Finally, we may choose  $\alpha$  so that  $\alpha(x, y) = 1$  whenever x, y are in  $Z_{\alpha}(G) = \{w \in G \mid \alpha^{(2)}(w, v) = 1 \text{ for all } v \text{ in } G\}$ .
- **Proof.** The first assertion is Lemma 7.1 of [16] and the second is Lemma 7.2 of [16]. Let  $\beta$  be a cocycle representing a. Then there is a unique locally compact group H which as a Borel group is  $T \times G$  with multiplication defined by  $\langle s,x \rangle\langle t,y \rangle = \langle st\beta(x,y), x+y \rangle$  for  $s,t\in T$  and  $x,y\in G$ . Of course  $(1)\to T\to H\to G\to (1)$  (up to equivalence of extensions) is the extension defined by a. Since  $Z(H)\supset T$ , we have  $Z(H)=T\oplus A$  for some closed subgroup A of Z(H). Moreover,  $\pi\colon A\to \pi(Z(H))$  is a topological group isomorphism. Define a Borel cross-section  $\sigma\colon G\to H$  as follows:  $\sigma(x)=(\pi|A)^{-1}(x)$  if  $x\in\pi(Z(H))$  and  $\sigma(x)=(0,x)$  if  $x\notin\pi(Z(H))$ . (To see that this is Borel use Lemma 1.2 of [17].) Let  $\alpha(x,y)=\sigma(x)\sigma(y)\sigma(x+y)^{-1}$  for all  $x,y\in G$ . We then have  $\alpha$  cohomologous to  $\beta$  (see discussion on pp. 24–25 of [1]) and since  $\sigma\mid\pi(Z(H))$  is a group homomorphism,  $\alpha$  is trivial on  $\pi(Z(H))=Z_{\alpha}(G)$ . This proves the proposition.
- 2. Automorphisms of [FC] groups. In this section we prove a result on automorphisms of [FC] groups which leads to a result of Robertson [25] and an additional application. In this section only, G will not be assumed second countable. Recall that a periodic group (see [12] for example) is one each element of which is contained in a compact subgroup.
- 2.1 Proposition. Let P be a locally compact periodic group whose B orbits are precompact, where  $B \in \mathfrak{A}(G)$  is a subgroup containing the inner automorphisms. Then P contains a compact open B invariant subgroup K.
- **Proof.** Let N be a compact neighborhood of 1 in P. According to Theorem 3.11 of [12], N generates a compact open subgroup  $K_1$  of P. We assume first that  $K_1$  has countable index in P, and let  $x_1 = 1$ ,  $x_2$ ,  $x_3$ ,  $\cdots$  be a set of coset representatives for  $K_1$  in P. A set S is precompact in P if and only if  $S \subset \bigcup_{i=1}^n x_i, K_1$  for some integer n. Now for each  $n \ge 1$ , let  $S_n$  be the closed set

$$K_1 \cap \bigcap_{\alpha \in B} \alpha^{-1} \bigg(\bigcup_{i=1}^n x_i K_1\bigg) = \bigg\{x \in K_1 \ \bigg| \ B(x) \subset \bigcup_{i=1}^n \ x_i K_1\bigg\}.$$

Since each element of  $K_1$  has a precompact orbit under B,  $K_1 = \bigcup_{n=1}^{\infty} S_n$ . Therefore by the Baire category theorem some  $S_n$  contains an open set U. Now  $B(S_n) \subset \bigcup_{i=1}^n x_i K_1$ . Therefore if K is the subgroup generated by  $B(S_n)$  and  $K_2$  is the subgroup generated by  $\bigcup_{i=1}^n x_i K_1$ , we have K open,  $K_2$  compact (by 3.11 of [12]) and  $K \subset K_2$ . Moreover,  $B(B(S_n)) = B(S_n)$ , and so K is B invariant.

Now let us drop the assumption that  $K_1$  has countable index in P, and suppose that  $B(K_1)$  is not precompact. In particular suppose there is a countably infinite set  $x_1, x_2, \cdots$  of coset representatives of  $K_1$  in P such that, for each i, there is a  $\beta_i \in B$  with  $\beta_i(K_1) \cap x_i K_1 \neq \emptyset$ . If B' is the countable subgroup of B generated by  $\beta_1, \beta_2, \cdots$ , then  $B'(K_1)$  is not precompact. Let B be the open subgroup of P generated by  $B'(K_1)$ . We claim that  $[H:K_1]$  is countable. In fact, for  $\beta_1', \cdots, \beta_n' \in B'$  let  $K(\beta_1', \cdots, \beta_n')$  be the group generated by  $K_1, \beta_1'(K_1), \cdots, \beta_n'(K_n)$ . Then by 3.11 of [12] we know  $K(\beta_1', \cdots, \beta_n')$  is compact, and since  $K_1$  is open we have the homogeneous space  $K(\beta_1', \cdots, \beta_n')/K_1$  finite.

Since each element of H is contained in some  $K(\beta'_1, \dots, \beta'_n)$  and there are only countably many choices of  $\beta'_1, \dots, \beta'_n$  from B' possible, we must have that H is contained in countably many  $K_1$  cosets.

Now let B'' be the subgroup of B generated by B' and the inner automorphisms corresponding to elements of H. Certainly H is B'' invariant and has precompact B'' orbits; moreover H is periodic and contains a compact open subgroup  $K_1$  of countable index. By the reasoning in the first paragraph, H contains a B'' invariant compact open subgroup K. The index  $[K_1:K\cap K_1]<\infty$  and so we have coset representatives  $y_1,\dots,y_n$  for  $K\cap K_1$  in  $K_1$ . Thus

$$B'(K_1) = B'\left(\bigcup y_i(K \cap K_1)\right) = \bigcup B'(y_i)B'(K \cap K_1) \subset \left[\bigcup B'(y_i)\right]K.$$

Each  $B'(y_i)$  is precompact, however, and so  $B'(K_1)$  is precompact, which is impossible by the construction of B'. This contradiction proves that  $B(K_1)$  is precompact. By 3.11 of [12],  $B(K_1)$  generates a compact open subgroup of P which is clearly B invariant.

The following is a slight extension of a result due to Robertson [25]. We include a proof for completeness.

2.2 **Theorem.** Suppose that G has precompact B orbits, where  $B \in \mathcal{C}(G)$  is a subgroup containing the inner automorphisms. Then G satisfies an exact sequence  $(1) \to K \to G \to \mathbb{R}^n \times D \to (1)$ , where K is compact B invariant, D is discrete FC, and  $\mathbb{R}^n \times D$  has precompact orbits under the group B' of induced actions of B. Furthermore  $\mathbb{R}^n$  is B' invariant and has small B' invariant neighborhoods of the identity.

Before proving 2.2 we state two additional results we require.

2.3 Theorem. Suppose that G has precompact B orbits where  $B \subset \mathfrak{A}(G)$  is a subgroup including the inner automorphisms. Then G satisfies an exact sequence  $(1) \to P \to G \to \mathbb{R}^n \times D \to (1)$ , where P is the closed characteristic subgroup of periodic elements and D is discrete torsion free abelian.

Proof. This is most of Theorem 3.16 of [12].

2.4 Proposition. Suppose (1)  $\rightarrow P \rightarrow G \rightarrow \mathbb{R}^n \times D \rightarrow$  (1) is an exact sequence of locally compact groups, where P is a discrete torsion group and D is discrete torsion free abelian. If  $G \in [FC]^-$ , then  $G \cong \mathbb{R}^n \times H$ , where H is discrete FC.

Proof of 2.4. Clearly G is locally euclidean and is thus a Lie group. By Theorem 3.20 of [12], the identity component  $G_0$  of G has precompact commutator subgroup; moreover the closed commutator of  $G_0$  is connected, and the abelian quotient of  $G_0$  by its closed commutator is of the form  $\mathbf{R}^m \times T^p$ . Hence  $G_0$  contains a compact connected, characteristic subgroup  $K_1$  such that  $G_0/K_1$  is a vector group. Thus the periodic elements  $P \cap G_0$  of  $G_0$  are connected, and since P is discrete in G, we therefore have  $P \cap G_0 = (1)$ . We also have that the image of  $G_0$  in G/P is an analytic subgroup of  $\mathbf{R}^n$  and is therfore a closed connected subgroup of  $\mathbf{R}^n$ ; it is easy to see that the image of  $G_0$  is all of  $\mathbf{R}^n$  and in fact the map  $G \to \mathbf{R}^n \times D$  defines a topological group isomorphism of  $G_0$  onto  $\mathbf{R}^n$ . Now define H as the preimage of D in G. Clearly  $G_0 \cap H \subset P$ , so that  $G_0 \cap H \subset G_0 \cap P = (1)$ . Moreover H is discrete, and therefore the connected subgroup  $G_0$  acts trivially by inner automorphisms on each element of H. Thus  $G_0$  and H commute and since  $G = G_0H$ , we have  $G = G_0 \times H \cong \mathbf{R}^n \times H$ . Finally H as the discrete quotient of an  $[FC]^-$  group is itself FC.

**Proof of 2.2.** Since G has precompact B orbits, Theorem 2.3 implies that G satisfies the exact sequence  $(1) \to P \to G \to \mathbb{R}^n \times D' \to (1)$  where P is the group of periodic elements and D' is discrete torsion free abelian. By 2.1, P contains a compact open B invariant subgroup K, and thus G/K satisfies the exact sequence  $(1) \to P/K \to G/K \to \mathbb{R}^n \times D' \to (1)$  where P/K is a discrete torsion group and G/K has precompact B' orbits, where B' is the group of actions induced in G/K by B. Thus by 2.4 we have  $G/K \cong \mathbb{R}^n \times D$  where D is discrete FC. Since  $\mathbb{R}^n$  is the identity component of G/K it is invariant under B'. Since  $\mathbb{R}^n$  has precompact B' orbits it has small B' invariant neighborhoods at 1 (see 2.6). This proves 2.2.

Corollary to 2.2. For any locally compact group G let  $G_F$  denote the normal subgroup of elements with precompact conjugacy classes. Then  $G \in [IN]$  if and only if  $G_F$  is open. (See also [29].)

**Proof.** If  $G \in [IN]$  then G has a compact invariant neighborhood of 1 which

is clearly contained in  $G_F$ . Thus  $G_F$  is open. If on the other hand  $G_F$  is open, then by 2.2 we have an exact sequence  $(1) \to K \to G_F \to \mathbb{R}^n \times D \to (1)$  where K is normal in G and  $\mathbb{R}^n$  has a compact neighborhood of the identity invariant under the inner automorphisms of G. The preimage of this neighborhood in  $G_F$  is a compact invariant neighborhood of 1 in G.

As another application of 2.1, we show that, for a locally compact abelian G and a group B of automorphisms of G, G has small B invariant neighborhoods if and only if  $\hat{G}$  has precompact B orbits. Here B is the group of dual automorphisms to B acting on  $\hat{G}$ . We believe that this result sheds some light on why the [SIN] condition is needed in 1.3.

2.5 Proposition. Suppose G is locally compact abelian and B is a group of automorphisms of G such that G has small B invariant neighborhoods. Then  $\widehat{G}$  has precompact  $\widehat{B}$  orbits.

**Proof.** Suppose that G has small B invariant neighborhoods; let  $\epsilon > 0$  and let  $\chi \in \hat{G}$ . Let U be a B invariant neighborhood of 1 in G such that  $|\chi(x) - \chi(1)| < \epsilon$  for all  $x \in U$ . Then  $|(\chi \circ \beta)(x + y) - (\chi \circ \beta)(y)| = |\chi(\beta x) - \chi(1)| < \epsilon$  for all  $x \in U$  and  $\beta \in B$ , for each  $y \in G$ . It follows that the compact open closure  $\overline{S}$  of the set  $S = \{\chi \circ \beta \mid \beta \in B\}$  is equicontinuous at each point of G. Of course range  $f \in T$  for each  $f \in \overline{S}$  and it follows by the Ascoli Theorem, p. 233 of [15], that  $\overline{S}$  is compact. Since  $\chi$  is arbitrary this shows that  $\widehat{G}$  has precompact B orbits.

2.6. Lemma. If K is compact abelian and  $\hat{K}$  has finite  $\hat{B}$  orbits (where  $B \subset \hat{\mathfrak{A}}(K)$  is a subgroup) then K has small B invariant neighborhoods. If on the other hand B is a group of automorphisms of  $R^n$  such that  $R^n$  has precompact B orbits, then  $R^n$  has small B invariant neighborhoods and it follows that  $\hat{R}^n$  has precompact B orbits and small B invariant neighborhoods.

**Proof.** First let B be a group of automorphisms of the compact abelian group K such that  $\hat{K}$  has finite  $\hat{B}$  orbits. Let  $\hat{U}$  be a neighborhood of 1 in K. Then there are  $\chi_1, \dots, \chi_n$  in  $\hat{K}$  and  $\epsilon > 0$  such that

$$W = W(\chi_1, \dots, \chi_n, \epsilon)$$
  
=  $\{x \in K | |\chi_i(x) - 1| < \epsilon \text{ for } i = 1, 2, \dots, n\} \subset U.$ 

This last assertion follows from the fact that  $\hat{K}$  is discrete and so  $W(\chi_1,\cdots,\chi_n,\epsilon)$  defines a compact open neighborhood of 1 in K regarded as the dual of  $\hat{K}$ . Now each  $\chi_i$  has a finite B orbit; therefore there is a subgroup  $B_1$  of finite index in B such that  $(B_1)$  leaves  $\chi_1,\cdots,\chi_n$  fixed. Then  $B_1$  leaves W invariant and if  $b_1,\cdots,b_n$  are coset representatives for  $B_1$  in B we have that  $\bigcap_{b \in B} bW = \bigcap_{i=1}^n b_i W \subset U$  is a B invariant neighborhood. This proves the

first assertion. The assertion about  $\mathbb{R}^n$  is well known and can be proved by showing B is precompact in  $GL(n, \mathbb{R})$  and then using the Weyl unitary trick to show B can be regarded as a group of orthogonal operators on  $\mathbb{R}^n$ .

The compact case of the following theorem was used in 4.11 of [12].

2.7 Theorem. Suppose G is locally compact abelian and B is a group of automorphisms of G. Then G has small B invariant neighborhoods if and only if  $\hat{G}$  has precompact B orbits.

**Proof.** The "only if" part is 2.5. Therefore suppose  $\hat{G}$  has precompact B orbits. We may write  $G = \mathbb{R}^n \times H$  and  $\hat{G} = \hat{\mathbb{R}}^n \times \hat{H}$ , where H and  $\hat{H}$  contain compact open subgroups. Let P be the periodic subgroup of  $\hat{H}$ ; clearly P is characteristic, open, and contains any compact open subgroup of  $\hat{H}$ . We may apply 2.1 to P and obtain a compact open subgroup K invariant under  $\hat{H}$ . Now the annihilator  $K^\perp = (\hat{G}/K)^{\hat{}} = \mathbb{R}^n \times (\hat{H}/K)^{\hat{}} = \mathbb{R}^n \times K_1$ , where  $K_1 = (\hat{H}/K)^{\hat{}}$  is a compact open subgroup of H (compact because  $\hat{H}/K$  is discrete and open because  $(H/K_1)^{\hat{}} = K$  is compact). Moreover  $K^\perp$  is  $\hat{H}$  invariant because  $\hat{H}$  is  $\hat{H}$  invariant. Since  $\hat{H}$  is maximal compact in  $\hat{H}$  is  $\hat{H}$  invariant as well. All together we have  $\hat{H}$  invariant. It is easily checked that  $\hat{H}$  is  $\hat{H}$  invariant since  $\hat{H}$  and  $\hat{H}$  invariant. It is easily checked that  $\hat{H}$  is just the group of actions that  $\hat{H}$  induces on  $\hat{H}$  invariant to show  $\hat{H}$  in a small  $\hat{H}$  invariant neighborhoods and so we are reduced to the following:

2.8 Lemma. Suppose  $G = \mathbb{R}^n \times K$  is locally compact abelian and  $\hat{G}$  has precompact  $\hat{B}$  orbits, where B is a group of automorphisms of G. Then G has small B invariant neighborhoods.

**Proof.** Let  $P_1: G \to \mathbb{R}^n$  and  $P_2: G \to K$  be the projections associated with the direct product. For  $\alpha \in B$ , let  $\alpha_1 = P_1 \alpha P_1$ ,  $\alpha_2 = P_2 \alpha P_1$ , and  $\alpha_3 = P_2 \alpha P_2$ . Since K is necessarily B invariant  $P_1 \alpha P_2 = 0$  and so  $\alpha$  may be viewed as a matrix

$$\alpha = \begin{pmatrix} \alpha_1 & 0 \\ \alpha_2 & \alpha_3 \end{pmatrix}.$$

Moreover if

$$\beta = \begin{pmatrix} \beta_1 & 0 \\ \beta_2 & \beta_3 \end{pmatrix}$$

we have

$$\alpha\beta = \begin{pmatrix} \alpha_1\beta_1 & 0 \\ \alpha_2\beta_1 + \alpha_3\beta_2 & \alpha_3\beta_3 \end{pmatrix}.$$

In particular,  $P_1BP_1$  and  $P_2BP_2$  are subgroups of  $\mathfrak{A}(\mathbb{R}^n)$  and  $\mathfrak{A}(K)$  respectively. For  $(v, k) \in G$  we have  $\alpha(v, k) = (\alpha_1(v), \alpha_2(v) + \alpha_3(k))$ . A direct calculation shows that, for  $(\lambda, d) \in \hat{\mathbf{R}}^n \times \hat{\mathbf{K}} = \hat{\mathbf{G}}$ , we have  $\hat{\mathbf{G}}(\lambda, d) = (\hat{\mathbf{G}}_1(\lambda) + \hat{\mathbf{G}}_2(d), \hat{\mathbf{G}}_3(d))$ . Since  $\{\hat{\alpha}(\lambda, d) \mid \alpha \in B\}^{-}$  is compact for each fixed  $(\lambda, d)$  we have  $\{\hat{\alpha}_{\alpha}(d)\}$  finite for each fixed d,  $\{\hat{a}_1(\lambda)\}^{-}$  compact for each fixed  $\lambda$ , and  $\{\hat{a}_2(d)\}^{-}$  compact for each fixed d. It follows by 2.6 that  $\mathbb{R}^n$  has small  $P_1BP_1$  invariant neighborhoods and K has small  $P_2BP_2$  invariant neighborhoods. Since  $\{\alpha_2(d)\}^{-1}$  is compact for each fixed d, so is  $\bigcup_{\alpha,i} \{\hat{\alpha}_2(d_i)\}^T$  for any finite set  $d_1, \dots, d_n \in \hat{K}$ . By the Ascoli Theorem (p. 233 of [15]) the family  $\bigcup_{\alpha_i} \{\hat{\alpha}_2(d_i)\}$  is equicontinuous at 1; since sets of the form  $W(d_1, \dots, d_n, \epsilon)$  form a base of neighborhoods of 1 in K (see proof of 2.6), we may conclude that given any neighborhood  $U_1$  of 1 in Kthere is a neighborhood  $U_2$  of 0 in  $\mathbb{R}^n$  such that  $\alpha_2(U_2) \subset U_1$  for every  $\alpha \in B$ . It follows easily that given any neighborhood U of 1 in G there is a neighborhood W of 1 in G contained in U, with  $\alpha(W) \subset U$  for every  $\alpha \in B$ . Then  $\bigcup \alpha(W)$  is a B invariant neighborhood of 1 contained in U. Thus G has small B invariant neighborhoods and 2.8 and 2.7 are proved.

- 3. Representations of  $[FC]^-$  groups. In this section we show that a type I  $[FC]^-$  group has a Hausdorff dual space and consequently is CCR. We again assume G is second countable.
- 3.1 Proposition. If  $G \in [FC]^-$  then  $G \in [IN]$ . If in addition G is type I then  $G \in [FD]^-$  and G satisfies an exact sequence  $(1) \to K \to G \to \mathbb{R}^n \times A \to (1)$ , where K is compact and A is discrete abelian.
- **Proof.** If  $G \in [FC]^-$  then  $G \in [IN]$  by the Corollary to 2.2, and moreover, by 2.2, G satisfies an exact sequence  $(1) \to K \to G \to \mathbb{R}^n \times D \to (1)$  where K is compact and D is discrete FC. If G is type I, D is type I, and therefore D has a finite commutator by Theorem 5.4 of [11] and Theorem 5.3 of [23]. It follows that  $G \in [FD]^-$  and satisfies an exact sequence of the kind described.
- 3.2 Definition. The character  $t\mapsto t$  of T will be denoted  $\chi_0$ . If G satisfies the central exact sequence  $(1)\to T\to G\to H\to (1)$  then, for each  $\chi\in\widehat{T}$ , we let

$$\hat{G}_{\chi} = \{ \pi \in \hat{G} \mid \pi \mid T = \chi \cdot I \}$$

and

 $G_{\chi}^{\text{fac}} = \{\pi \mid \pi \text{ a factor representation of } G \text{ and } \pi \mid T = \chi \cdot I\}.$ 

We denote  $\hat{G}_{\mathbf{X}_0}$  by  $\hat{G}_1$  and  $G_{\mathbf{X}_0}^{\mathrm{fac}}$  by  $G_1^{\mathrm{fac}}$ .

- 3.3 Lemma. Suppose (1)  $\to T \to G \to H \to$  (1) is a central exact sequence of locally compact groups. Then every element of  $G_1^{\text{fac}}$  is type I if and only if  $\hat{G}_1$  is a closed and open  $T_0$  subspace of  $\hat{G}$ .
- **Proof.** For each  $\chi \in \widehat{T}$  let  $\underline{m_{\chi}}$  be the central idempotent measure in  $M^1(G)$  concentrated on T and given by  $\overline{\chi(t)}dt$  there, where dt is normalized Haar measure on T. For each  $f \in L^1(G)$  and  $\pi \in \widehat{G}$  we have  $\|\pi(f * m_{\chi})\| \leq \|m_{\chi}\| \|\pi(f)\|$ ; it follows that multiplication (convolution) by  $m_{\chi}$  is a continuous endomorphism of  $C^*(G)$ .

If  $\pi \in G_{\mathbf{X}}^{\mathrm{fac}}$ , then  $\pi(m_{\mathbf{X}}) = I$  and  $\pi(m_{\mathbf{X}'}) = 0$  for  $\chi \neq \chi'$ . Moreover it is easy to see that each  $\hat{G}_{\mathbf{X}}$  is open and closed in  $\hat{G}$ . It is then routine to verify the following:  $C^*(G) = \bigoplus_{\hat{T}} C^*(G)m_{\mathbf{X}'}$ , the  $C^*$  direct sum of closed orthogonal two sided \* ideals. In particular, we are asserting that for every  $a \in C^*(G)$ ,  $am_{\mathbf{X}} \to 0$  as  $\chi \to \pm \infty$ . Furthermore if  $\pi \in G_{\alpha'}^{\mathrm{fac}}$ , then  $\ker \pi \supset \bigoplus_{\chi \neq \chi'} C^*(G)m_{\chi}$ . Thus to say that every element of  $G_1^{\mathrm{fac}}$  is type I is to assert that  $C^*(G)m_{\chi_0}$  is type I; it follows that  $G_1^{\mathrm{fac}}$  being type I is equivalent to  $\hat{G}_1 = (C^*(G)m_{\chi_0})$  being  $T_0$ .

The proof of the following proposition is similar to a calculation in §6, Chapter V, of [1].

- 3.4 Proposition. Consider the central extension of locally compact groups  $(1) \to T \to G \to \mathbb{R}^n \oplus D \to (1)$ , where D is countable discrete abelian. If every element of  $G_1^{\text{fac}}$  is type I, then the map  $\pi \mapsto \pi|_{Z(G)}$  defines a homeomorphism of  $\hat{G}_1$  onto  $Z(G)_1$ .
- **Proof.** By Proposition 1.7 we may assume that the cocycle giving G as the extension of T by  $\mathbb{R}^n \oplus D$  is chosen so that  $\alpha(x, y) = 1$  for all x, y in the image of Z(G) in  $\mathbb{R}^n \oplus D$ ; that is, for all x,  $y \in Z_\alpha(\mathbb{R}^n \oplus D)$ . Thus we may write  $G = T \times (\mathbb{R}^n \oplus D)$  with multiplication defined by  $\langle s, x \rangle \langle t, y \rangle = \langle st\alpha(x, y), x + y \rangle$  for all s,  $t \in T$  and all x,  $y \in \mathbb{R}^n \oplus D$  and the topology the unique locally compact group topology compatible with the Borel group structure and left invariant measure on  $T \times (\mathbb{R}^n \oplus D)$ . We then have (using the cocycle identity) that  $\langle s, x \rangle \langle t, y \rangle \langle s, x \rangle^{-1} = \langle t\alpha(y, x)\alpha(y, x)^{-1}, y \rangle$  for all s,  $t \in T$  and s,  $y \in \mathbb{R}^n \oplus D$ .

Let M be a maximal abelian subgroup of G. Then M is closed and necessarily contains T. Therefore M is normal. Furthermore since T is injective in the category of locally compact abelian groups we may write  $M = T \oplus A$  where A is a closed abelian subgroup of M. The group G acts by inner automorphisms on M, hence also on the character group  $\widehat{M}$ . This action on  $\widehat{M}$  clearly preserves  $\widehat{M}_1 = \{\chi_0\} \times \widehat{A}$ . We compute the action of G on  $\widehat{M}_1$ : let  $(\chi_0, \lambda) \in \widehat{M}_1$ , where  $\lambda \in \widehat{A}$ , and let  $(s, x) \in G$ ,  $(t, 0) \in T$ , and  $(t', y) \in A$ . Then we have

$$\begin{split} (\chi_0,\lambda)^{(s,x)}(\langle t,0\rangle\langle t',y\rangle) &= (\chi_0,\lambda)(\langle tt'\alpha(x,y)\alpha(y,x)^{-1},y\rangle) \\ &= (\chi_0,\lambda)(\langle t\alpha(x,y)\alpha(y,x)^{-1},0\rangle\langle t',y\rangle) = t\alpha(x,y)\alpha(y,x)^{-1}\lambda(\langle t',y\rangle). \end{split}$$

In other words, we have  $(\chi_0, \lambda)^{(s,x)} = (\chi_0, \lambda + \phi_x)$  where  $\phi_x \in \widehat{A}$  is defined by  $\phi_x(\langle t, y \rangle) = \alpha(x, y)\alpha(y, x)^{-1}$  for all  $\langle t, y \rangle \in A$ .  $(\phi_x \text{ is actually a continuous homomorphism by 1.7.)} Moreover the map <math>\Phi: x \to \phi_x$  is a continuous homomorphism (see Proposition 1.1 of [16]) from  $\mathbb{R}^n \oplus D$  to  $\widehat{A}$ . Since M is maximal abelian it is easy to see that the kernel of  $\Phi$  is  $\{x \in \mathbb{R}^n \oplus D \mid \langle 0, x \rangle \in M\}$ . We may summarize our computation by saying that the orbit of  $(\chi_0, \lambda)$  under G is the set  $\{\chi_0\} \times (\lambda + \text{Range }\Phi)$ , where  $\lambda + \text{Range }\Phi$  is the coset of the subgroup Range  $\Phi$  in  $\widehat{A}$  which contains  $\lambda$ , and that the stable group of  $(\chi_0, \lambda)$  is M.

We claim that if every element of  $G_1^{\rm fac}$  is type I, then Range  $\Phi$  is closed. In fact, if Range  $\Phi$  is not closed, find two distinct cosets of Range  $\Phi$  contained in Range  $\Phi$ . These two cosets define two orbits in  $\hat{M}_1$  contained in each other's closure. It follows by Theorem 4.3 of [7] that if  $\pi_1$  and  $\pi_2$  are characters chosen from the two orbits in  $\hat{M}_1$ , then the two induced representations  $U^{\pi 1}$  and  $U^{\pi 2}$  of G weakly contain each other. Moreover by Theorems 7.6 and 8.1 of [19] these representations are irreducible and inequivalent. Thus  $\hat{G}_1$  is not  $T_0$  and by 3.3 there are elements of  $G_1^{\rm fac}$  which are not type I. Thus if in fact every element of  $G_1^{\rm fac}$  is type I, then Range  $\Phi$  is closed.

Since Range  $\Phi$  is closed, the orbits in  $\hat{M}_1$  under G are all closed, and by Theorem 1 of [8] we get that every quasi-orbit concentrated in  $\hat{M}_1$  is transitive. It follows by Theorems 7.6 and 8.1 of [19] that we have a bijection between  $\hat{G}_1$  and the orbit space  $\hat{M}_1/G$  as follows: given an element  $\pi \in \hat{G}_1$ ,  $\pi|_{M} = n \int_{\hat{M}_1} \sigma d\mu(\sigma)$  (where n is an integer or  $\infty$ ) for a unique measure class  $\mu$  which is concentrated in an orbit  $O(\mu)$  in  $\hat{M}_1$ ; we associate the orbit  $O(\mu)$  with  $\pi$ . Moreover given any element in  $O(\mu)$  the induced representation (up to equivalence) is  $\pi$ . It is easy to see on the basis of Theorem 4.3 of [7] that this bijection is a homeomorphism, where  $\hat{M}_1/G = \{\chi_0\} \times \hat{A}/\text{Range }\Phi$  has the topology of  $\hat{A}/\text{Range }\Phi$ .

Now let  $r': \hat{A} \to (Z(G) \cap A)^{\circ}$  be the restriction function. Then by 24.11 of

Now let  $r': \widehat{A} \to (Z(G) \cap A)$  be the restriction function. Then by 24.11 of [13], r' is continuous, open, surjective, and has kernel  $(Z(G) \cap A)^{\perp}$ , the annihilator of  $Z(G) \cap A$  in  $\widehat{A}$ . However it is easily seen that  $Z(G) \cap A = \ker \widehat{\Phi}$ , where  $\widehat{\Phi}: A \to (\mathbb{R}^n \oplus D)$  is the adjoint map to  $\widehat{\Phi}: \mathbb{R}^n \oplus D \to \widehat{A}$ . It follows by 24.38 of [13] that  $\ker r' = \operatorname{Range} \widehat{\Phi}$ . Thus r' induces a topological group isomorphism  $\widehat{A}/\operatorname{Range} \widehat{\Phi} \to (Z(G) \cap A)$ .

Since  $M = T \oplus A$  and  $T \subset Z(G) \subset M$ , we have  $Z(G) = T \oplus (Z(G) \cap A)$ . It follows that  $Z(G)_1 = \{\chi_0\} \times (Z(G) \cap A)$ ; consequently the restriction  $r: \widehat{M}_1 \to Z(G)_1$  induces a homeomorphism s of  $\widehat{M}_1/G$  onto  $Z(G)_1$ . It is clear that, for  $\pi \in \widehat{G}_1$   $\pi|_{Z(G)} = s(C(\mu)) \cdot I$ , and the proposition is proved.

Remark. Let  $a \in H^2(\mathbb{R}^n \oplus D, T)$  and let  $\alpha$  be a cocycle representing a such that  $\alpha(x, y) = 1$  for all  $x, y \in Z_{\alpha}(\mathbb{R}^n \oplus D)$ . Let H be the group satisfying the central exact sequence  $(1) \to T \to H \to \mathbb{R}^n \oplus D \to (1)$  and defined by  $\alpha$  in the usual way. Let  $\sigma \colon \mathbb{R}^n \oplus D \to H$  be a Borel section and  $\pi \mapsto \pi \circ \sigma$  the bijection  $\sigma$  defines between  $\hat{H}_1$  and  $\alpha(\mathbb{R}^n \oplus D)$ . This bijection gives  $\alpha(\mathbb{R}^n \oplus D)$  a topology which is independent of the choice of  $\sigma$  since  $\pi \circ \sigma$  and  $\pi \circ \sigma'$  differ only by tensoring with an element of  $(\mathbb{R}^n \oplus D)$  for different Borel sections  $\sigma$  and  $\sigma'$  (see pp. 25–26 of [1]).

Corollary to 3.4. Let  $a \in H^2(\mathbb{R}^n \oplus D, T)$  and let  $\alpha$  be a cocycle representing a such that  $\alpha$  is trivial on  $Z_{\alpha}(\mathbb{R}^n \oplus D)$ . If every factor  $\alpha$  representation of  $\mathbb{R}^n \oplus D$  is type I, then  $\pi \mapsto \pi | Z_{\alpha}(\mathbb{R}^n \oplus D)$  defines a homeomorphism of  $\alpha(\mathbb{R}^n \oplus D)$  onto  $Z_{\alpha}(\mathbb{R}^n \oplus D)$ .

Proof. Let H be the group defined by  $\alpha$ , so that  $(1) \to T \to H \to \mathbb{R}^n \oplus D$   $\to (1)$  is central exact. Then  $H_1^{\text{fac}}$  consists of type I elements, and so by 3.4 the map  $\pi \mapsto \pi | Z(H)$  defines a homeomorphism from  $\hat{H}_1$  onto  $Z(H)_1$ . To prove the corollary, we need only show that for the given Borel section  $\sigma \colon \mathbb{R}^n \oplus D \to H$  (i.e.,  $\sigma(x) = \langle 0, x \rangle$ ), then  $\chi \mapsto \chi \circ \sigma$  is a homeomorphism from  $Z(H)_1$  onto  $Z_\alpha(\mathbb{R}^n \oplus D)$ . Since  $\alpha$  is trivial on  $Z_\alpha(\mathbb{R}^n \oplus D)$ ,  $\sigma | Z_\alpha(\mathbb{R}^n \oplus D)$  is a group isomorphism and hence continuous. (In fact, any Borel homomorphism from a second countable locally compact group into a second countable locally compact group is continuous — see pp. 14–15 of [1].) Reasoning as in 1.7 we may find a section  $\sigma'$  which gives rise to  $\alpha$  and which is a topological group isomorphism when restricted to  $Z_\alpha(\mathbb{R}^n \oplus D)$ . Since  $\sigma$  and  $\sigma'$  differ by an element of  $(\mathbb{R}^n \oplus D)^2$  we conclude that  $\sigma | Z_\alpha(\mathbb{R}^n \oplus D)$  is a topological group isomorphism, so that  $\sigma(Z_\alpha(\mathbb{R}^n \oplus D))$  is a closed complementary subgroup to T in Z(H) and  $\chi \mapsto \chi \circ \sigma$  provides a homeomorphism from  $Z(H)_1$  onto  $Z_\alpha(\mathbb{R}^n \oplus D)$ 

3.5 Proposition. Let G satisfy the central exact sequence  $(1) \to T \to G$   $\to \mathbb{R}^n \to (1)$ . Then every element of  $G_1^{\text{fac}}$  is type I and therefore  $\pi \mapsto \pi \mid Z(G)$  is a homeomorphism from  $\hat{G}_1$  onto  $Z(G)_1$ .

Remark. This is of course a very special case of Kirillov's results in Unitary representations of nilpotent Lie groups (Uspehi Mat. Nauk 17 (1962), 57-110). However the proof we give is short and in the spirit of 3.4, so we include it for completeness.

Proof. The following diagram is exact and commutative.

$$(0) \to L(T) \to L(G) \to L(\mathbb{R}^n) \to (0)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$(1) \to T \to G \to \mathbb{R}^n \to (1)$$

Here the top line consists of Lie algebras and the vertical maps are exponential maps. Let  $\sigma'$  be a linear section from  $L(\mathbf{R}^n)$  to L(G), and let  $\sigma = \exp_G \circ \sigma' \circ (\exp_{\mathbf{R}^n})^{-1}$ . Then  $\sigma \colon \mathbf{R}^n \to G$  is a section and the associated cocycle is defined by  $\alpha(x, y) = \sigma(x) \sigma(y) \sigma(x + y)^{-1}$  for all  $x, y \in \mathbf{R}^n$ ; a simple application of the Campbell-Hausdorff formula yields  $\alpha(x, y) = \exp_G(\frac{1}{2}[\sigma'(X), \sigma'(Y)])$  where  $\exp_{\mathbf{R}^n}(X) = x$  and  $\exp_{\mathbf{R}^n}(Y) = y$ . In other words,  $\alpha(x, y) = e^{iB(x, y)}$  where B is an alternating bilinear form on  $\mathbf{R}^n$ . Since  $\sigma$  is continuous, G is homeomorphic to  $T \times \mathbf{R}^n$  and  $\alpha$  gives the multiplication of G in the usual way  $(\langle s, x \rangle \langle t, y \rangle = \langle st\alpha(x, y), x + y \rangle)$ .

Now take A to be a maximal subspace of  $\mathbb{R}^n$  such that B(x, y) = 0 for all  $x, y \in A$ . It is easily seen that the preimage of A in G is a maximal abelian subgroup M. Moreover,  $\sigma|_A$  is a topological group isomorphism and  $\sigma(A)$  is a closed vector subgroup of M. We have that  $M = T \oplus \sigma(A)$ ; let us regard  $\sigma(A)$  as A and  $M = T \oplus A$ . If we apply the arguments from the preceding proposition to G, we may take the  $\alpha$ , M, and A obtained in this proposition to be the corresponding entities used in the proof of the last proposition. In particular, we now have that  $\Phi \colon \mathbb{R}^n \to \hat{A}$ , where  $\hat{A}$  is a vector group and  $\Phi$  is linear with its range automatically closed. Hence the arguments used in 3.4 go through for G, and we obtain that  $\hat{G}_1$  is  $T_2$  and by 3.3  $G_1^{\text{fac}}$  is type I. This proves 3.5.

3.6 **Theorem.** Suppose that  $G \in [FC]^-$  is type I. Then  $\hat{G}$  is Hausdorff and G is CCR.

**Proof.** By 3.1, G satisfies an exact sequence  $(1) \to K \to G \to \mathbb{R}^n \times A \to (1)$  where K is compact and A is compact and A is countable discrete abelian. Since  $\hat{K}$  is countable discrete we certainly have that K is regularly embedded in G; that is, every element of  $\hat{G}$  is obtained from  $\hat{K}$  via the Mackey procedure. For each  $\sigma \in \hat{K}$  let  $\hat{G}_{\sigma}$  be the set of  $\pi \in \hat{G}$  whose restrictions to K all include  $\sigma$  as a subrepresentation. We then have  $\hat{G}_{\sigma} = \hat{G}_{\tau}$  if  $\sigma$  is in the orbit of  $\tau$  under G and  $\hat{G}_{\sigma}$  are disjoint if  $\sigma$  is not in the orbit of  $\tau$ . Using the # operation of K and the orthogonality relations for characters of K, one may see easily that each  $\hat{G}_{\sigma}$  is open and closed in  $\hat{G}$ . Therefore we will confine our attention to a single  $\hat{G}_{\sigma}$ .

Let  $H(\sigma)$  be the stability group of  $\sigma$  in G. Let  $H(\sigma)_{\sigma}$  be the set of all elements of  $H(\sigma)$  whose restrictions to K include (and hence are multiples of)  $\sigma$ , and let  $H(\sigma)_{\sigma}^{\text{fac}}$  be the set of all factor representations of  $H(\sigma)$  whose restrictions to K are multiples of  $\sigma$ . We see as above that  $H(\sigma)_{\sigma}$  is open and closed in  $H(\sigma)$ . Now  $H(\sigma)/K$  is a closed subgroup of  $\mathbb{R}^n \oplus A$  and is therefore of the form  $\mathbb{R}^m \oplus D'$  where D' is countable discrete abelian. Therefore by Theorem 8.2

of [19] (see also the discussion on p. 60 of [1]) and by 1.7 there exists a cocycle  $\alpha$  of  $\mathbf{R}^m \oplus D'$  such that  $\alpha$  is trivial on  $Z_\alpha(\mathbf{R}^m \oplus D')$  and an  $\overline{\alpha}$  representation  $\sigma'$  of  $H(\sigma)$  which extends  $\sigma$ . Here  $\overline{\alpha}$  denotes the inflation of  $\alpha$  to  $H(\sigma)$ . Moreover, by Theorem 8.3 of [19] the map  $\lambda \to \overline{\lambda} \otimes \sigma'$  is a bijection between equivalence classes of irreducible (resp. factor)  $\alpha^{-1}$  representations of  $H(\sigma)/K$  and  $H(\sigma)_\sigma$  (resp.  $H(\sigma)_\sigma^{\mathrm{fac}}$ ), where  $\overline{\lambda}$  denotes the lifting of  $\lambda$  to  $H(\sigma)$ . Since G is type I,  ${}_\alpha(\mathbf{R}^m \oplus D')^{\mathrm{fac}}$  is type I, by Theorem 8.4 of [19]. Therefore by the corollary to 3.4,  $\pi \mapsto \pi \mid Z_\alpha(\mathbf{R}^m \oplus D')$  is a homeomorphism from  ${}_\alpha(\mathbf{R}^m \oplus D')^{\widehat{\phantom{A}}}$  to  $Z_\alpha(\mathbf{R}^m \oplus D')^{\widehat{\phantom{A}}}$ . We will use this fact to show that the bijection between  ${}_\alpha(\mathbf{R}^m \oplus D')^{\widehat{\phantom{A}}}$  and  $H(\sigma)_\sigma$  is a homeomorphism and that consequently  $H(\sigma)_\sigma$  is Hausdorff. It will follow easily that  $\widehat{G}_\sigma$  is Hausdorff.

To see that the bijection between  $_{\alpha}(\mathbf{R}^{m}\oplus D')^{\hat{}}$  and  $_{\sigma}(\mathbf{R}^{m}\oplus D')^{\hat{}}$  and  $_{\sigma}(\mathbf{R}^{m}\oplus D')^{\hat{}}$  may be described as follows: If  $S\subset_{\alpha}(\mathbf{R}^{m}\oplus D')^{\hat{}}$ , then  $\lambda\in\overline{S}$  if and only if there are elements  $\lambda_{n}\in_{\alpha}(\mathbf{R}^{m}\oplus D')^{\hat{}}$  and vectors  $v_{n}$  and v such that the functions  $(\lambda_{n}v_{n},v_{n})\to(\lambda v,v)$  uniformly on  $\mathbf{R}^{m}\oplus D'$  compacta. This follows easily from the analogous description of the topology in  $\hat{H}_{1}$  (where  $(1)\to T\to H\to \mathbf{R}^{m}\oplus D'\to (1)$  is the extension defined by  $\alpha$ ) and the fact that a set in  $\mathbf{R}^{m}\oplus D'$  is compact if and only if its preimage in H is compact. Now let  $S\subset_{\alpha}(\mathbf{R}^{m}\oplus D')^{\hat{}}$  and suppose that the image of S in  $\widehat{H}(\sigma)_{\sigma}$  is closed. If  $\lambda\in\overline{S}$  there are elements  $\lambda_{n}$  in S with vectors  $v_{n}$  such that the functions  $(\lambda_{n}v_{n},v_{n})\to (\lambda v,v)$  uniformly on  $\mathbf{R}^{m}\oplus D'$  compacta for some vector v. Choose any vector w in the representation space of  $\sigma'$ . Then  $(\overline{\lambda}_{n}\otimes\sigma'(v_{n}\otimes w),v_{n}\otimes w)\to (\overline{\lambda}\otimes\sigma'(v\otimes w),v\otimes w)$  uniformly on  $H(\sigma)$  compacta. Hence  $\overline{\lambda}\otimes\sigma'$  is in the image of S and  $\lambda\in S$ . Thus S is closed and  $\lambda\mapsto\overline{\lambda}\otimes\sigma'$  is continuous.

Now suppose that  $S \subset_{\alpha}(\mathbf{R}^m \oplus D')$  is closed and that  $\overline{\lambda} \otimes \sigma'$  is in the closure of the image of S in  $\overline{H(\sigma)}_{\sigma}$ . Then there are elements  $\lambda_n \in S$  and vectors  $v_n$  and v such that the functions  $(\overline{\lambda}_n \otimes \sigma' v_n, v_n) \to (\overline{\lambda} \otimes \sigma' v, v)$  uniformly on  $H(\sigma)$  compacta. We will show that  $\lambda_n \to \lambda$  in  $\alpha(\mathbf{R}^m \oplus D')$ . Let H' denote the preimage of  $Z_{\alpha}(\mathbf{R}^m \oplus D')$  in  $H(\sigma)$ , so that  $H'/K = Z_{\alpha}(\mathbf{R}^m \oplus D')$ . Since  $\lambda_n$  and  $\lambda$  are irreducible  $\alpha^{-1}$ -representations and  $\alpha \mid Z_{\alpha}(\mathbf{R}^m \oplus D')$  is trivial, we have by Schur's lemma that  $\lambda_n \mid Z_{\alpha}(\mathbf{R}^m \oplus D') = \chi_n \cdot I$  and  $\lambda \mid Z_{\alpha}(\mathbf{R}^m \oplus D') = \chi \cdot I$  for characters  $\chi_n$  and  $\chi$  of the abelian group  $Z_{\alpha}(\mathbf{R}^m \oplus D')$ . Denote by  $\overline{\chi}_n$  and  $\overline{\chi}$  the liftings of these characters to H'. We then have

$$((\lambda_n \otimes \sigma') \mid H' v_n, v_n) = \overline{\chi}_n \cdot (m_n \sigma' \mid H' v_n, v_n) \longrightarrow \overline{\chi} \cdot (m \sigma' \mid H' v, v)$$

$$= ((\overline{\lambda} \otimes \sigma') \mid H' v, v)$$

uniformly on H' compacta where  $m_n$  and m are positive integers or  $+\infty$ . Moreover,  $\sigma' \mid H'$  is an ordinary finite dimensional representation of H', since  $\sigma'$  is an  $\overline{\alpha}$ -representation of  $H(\sigma)$  and  $\overline{\alpha}$  is trivial on H'. We will show that  $\overline{\chi}_n \to \overline{\chi}$ uniformly on H' compacta. To do this, divide H' by the intersection of the kernels of  $\{\chi_n \sigma'\}$  and  $\chi \sigma'$ , and obtain thereby an [FD]  $\cap$  [MAP] group H. It is easy to see (on the basis of 17.3.7 of [5]) that such a group must be [SIN]; consequently it has a # operation by 1.3. Now  $\overline{\chi}_n(m_n\sigma'|H'v_n,v_n) \to \overline{\chi}(m\sigma'|H'v,v)$ uniformly on  $H^{\circ}$  compacta, and using 1.6 we have  $(k\sigma' | H')^{\#} = (\deg \sigma' | H')^{-1}$ •  $\operatorname{Tr}(\sigma'|H')$  for k an integer or  $\infty$ . Since  $\overline{\chi}_n$  and  $\overline{\chi}$  are central we have  $\overline{\chi}_n \operatorname{Tr} (\sigma' \mid H') \to \overline{\chi} \operatorname{Tr} (\sigma' \mid H')$  uniformly on  $H^o$  compacta, hence also on H'compacta. Since  $\sigma' | K = \sigma$  is irreducible and finite dimensional, the linear span of  $\{\sigma_k | k \in K\}$  is a full matrix algebra. Therefore any K coset in H' contains an x with  $\operatorname{Tr} \sigma'_x \neq 0$ . It follows by the continuity of  $\sigma'$  that there is a neighborhood  $U \ \text{of} \ x \ \text{with} \ |\operatorname{Tr} \sigma_y'| \geq \epsilon > 0 \ \text{for all} \ y \in U. \ \text{Certainly} \ \overline{\chi}_n \longrightarrow \overline{\chi} \ \text{uniformly on} \ U,$ and since  $\bar{\chi}_n$  and  $\bar{\chi}$  are constant on K cosets, a simple compactness argument yields convergence uniformly on H' compacta. Therefore  $\lambda_n|Z_\alpha(\mathbf{R}^m\oplus D')=\chi_n$ .  $I \to \chi \cdot I = \lambda | Z_{\alpha}(\mathbf{R}^m \oplus D')$  uniformly on  $Z_{\alpha}(\mathbf{R}^m \oplus D')$  compacta; it follows by the corollary to 3.4 that  $\lambda_n \to \lambda$  in  $\alpha(\mathbf{R}^m \oplus D')$ . Since S is closed,  $\lambda \in S$  and  $\overline{\lambda} \otimes \sigma'$ is in the image of S. Thus this image is closed, and  $\lambda \to \overline{\lambda} \otimes \sigma'$  is a homeomorphism. It follows that  $H(\sigma)_{\sigma}$  is Hausdorff, since  $a(\mathbf{R}^m \oplus \underline{\mathcal{D}'})^{\hat{}}$  is Hausdorff. By Theorem 8.1 of [19], inducing gives a bijection between  $\hat{H}(\hat{\sigma})_{\sigma}$  and  $\hat{G}_{\sigma}$ . By Theorem 4.3 of [7], this bijection is a homeomorphism. (To see that this map is open, one must also use the orthogonality relations for K.) Since  $\hat{G}$  is the disjoint union of the open and closed sets  $\hat{G}_{\sigma}$ ,  $\hat{G}$  is Hausdorff. This proves 3.6.

3.7 **Theorem.** Suppose G is almost connected  $[FC]^{-}$ . Then G satisfies an exact sequence  $(1) \to K \to G \to \mathbb{R}^n \to (1)$  for some compact normal subgroup K. Moreover G is type I and the preceding theorem applies.

**Proof.** Since G is almost connected, the group D in 2.2 must be finite and so by enlarging K we may assume D is trivial. Since  $\hat{K}$  is discrete, K is regularly embedded in G and the Mackey procedure yields all of  $\hat{G}$ . Take  $\pi \in \hat{K}$ . Then since  $\mathbb{R}^n \times \hat{K} \to \hat{K}$  is jointly continuous and  $\mathbb{R}^n$  is connected, the stable group  $H(\pi) = G$ . Thus every element of  $G^{\text{fac}}$  whose restriction to K includes  $\pi$  is of the form  $\lambda \otimes \pi'$ , where  $\pi'$  is an  $\mathbb{R}^n$  cocycle representation of G extending  $\pi$  and where  $\lambda$  is a cocycle factor representation of  $\mathbb{R}^n$  lifted to G. By 3.5,  $\lambda$  must be type I. Thus  $\lambda \otimes \pi'$  is type I, and we have that every element of  $G^{\text{fac}}$  is type I. Thus G is type I.

4. Representations of type I [IN] groups. In this section we show on the basis of the preceding section that type I [IN] groups are CCR. The proof of

- 4.1 below follows arguments of Thoma in [27] and uses the succeeding three lemmas.
- 4.1 Proposition. If  $G \in [IN]$  is type I, then  $[G:G_F] < \infty$ , where  $G_F$  is the subgroup of all elements with precompact conjugacy classes.
- 4.2 Lemma. Let f be the characteristic function of a compact invariant neighborhood of 1 in G, and let  $\psi = f * f$ . Then  $\psi$  is continuous positive definite and vanishes at  $\infty$ . If  $k^2\psi \geq \phi$  is a positive definite function for some real k and some continuous positive definite  $\phi$  (i.e.,  $k^2\psi = \phi + \gamma$  where  $\gamma$  is also positive definite) then  $\phi$  tends to 0 at  $\infty$ . If  $\phi$  is central and continuous, then supp  $\phi \in G_F$ .
- **Proof.** According to 13.4.11 of [5],  $\psi$  is positive definite and continuous and in fact  $\psi(x) = (\lambda(x)f, f)$ , where  $\lambda$  is the left regular representation of G and  $f \in L^2(G)$ . Let H be the closed cyclic subspace of  $L^2(G)$  generated by  $\{\lambda(x)f\}$ . Then since  $k^2\psi(x) = (\lambda(x)kf, kf)$ , it follows by I, p. 398, of [22] that  $\phi(x) = (B\lambda(x)f, f)$  where  $B: H \to H$  is a positive selfadjoint operator which commutes with every  $\lambda(x)|H$ . Now  $A = B^{1/2}$  is a positive operator in the norm closed algebra generated by B, and it follows that  $\phi(x) = (\lambda(x)kAf, kAf)$ . Since  $kAf \in H \subset L^2(G)$ , it follows from 13.4.11 of [5] that  $\phi$  tends to zero at  $\infty$ . Now suppose  $\phi$  is central. Since  $G \in [IN]$ ,  $G_F$  is open. Since  $\phi$  is constant on conjugacy classes and vanishes at  $\infty$ , it cannot assume a nonzero value at any element of G whose conjugacy class is not precompact. Thus supp  $\phi \in G_F$ .

The following lemma is a special case of Theorem 1 of [3].

- 4.3 Lemma. Let N be an open normal subgroup of G and  $\phi$  a positive definite function vanishing off N. Suppose that  $\pi_{\phi}$  is the cyclic representation of G corresponding to  $\phi$  and  $\pi_{\phi|N}$  is the cyclic representation of N corresponding to  $\phi|N$ . Then the induced representation  $U^{\pi\phi|N} \cong \pi_{\phi}$ .
- 4.4 Lemma. We adopt the notation of 1.1. Suppose  $G \in [IN]$ . Then  $\Phi_c$  contains a nonzero extreme point  $\phi$  which is approached weak\* (in  $L^{\infty}(G) = L^{1}(G)'$ ) by elements of  $\Phi_c$  which vanish off  $G_F$ .
- **Proof.** First let us define the function  $\psi$  as follows: let f be the characteristic function of a compact invariant neighborhood of 1 and f the usual adjoint  $(f(x)) = \overline{f(x^{-1})}$ . Now let  $\alpha = f * f$ . Then  $\alpha$  is continuous of compact support and positive definite. Since f and  $f \in Z(L^1(G))$ ,  $\alpha \in Z(L^1(G))$  and so  $\alpha$  is central. (See for example p. 157 of Loomis, Abstract harmonic analysis.) We take  $\psi$  to be  $\alpha$  normalized at 1.

The dual space  $L^{\infty}(G)$  of  $L^{1}(G)$  is locally convex in the weak\* topology. Moreover, as noted in 1.1,  $\phi$  is compact metric in this topology. It is convex as well, and so by the metrizable version of Choquet's Theorem (pp. 19 ff, of [24])

we have a regular positive Borel measure  $\nu$  of total mass 1 such that  $F(\psi) = \int_{\Phi_C} F(\beta) d\nu(\beta)$  for every weak\*continuous linear functional F. Moreover the extreme points K of  $\Phi_C$  form a Borel set and  $\nu(\Phi_C \setminus K) = 0$ .

Let us suppose that  $\phi$  is in the topological support of  $\nu$ ; i.e., that  $\phi$  has no neighborhood U with  $\nu(U)=0$ . Given weak\*continuous linear functionals  $F_1,\cdots,F_n$  and  $\epsilon>0$ , define the neighborhood U of  $\phi$  by  $U=\{\beta\in\Phi\mid |F_i(\beta)-F_i(\phi)|<\epsilon$  for  $i=1,\cdots,n\}$ . Then since  $\phi$  is in the topological support of  $\nu$  there is a continuous positive function g on  $\Phi_c$  with supp  $g\in U$  and  $\int g(\beta)d\nu(\beta)=1$ . Let  $\beta_g=\int g(\beta)\beta d\nu(\beta)$ . We mean by this that  $\beta_g$  is the unique continuous central positive definite function in  $\Phi_c$  with  $F(\beta_g)=\int g(\beta)F(\beta)d\nu(\beta)$  for every continuous linear functional F (see Proposition 1.1 of [24]). Now for  $i=1,2,\cdots,n$  we have

$$|F_{i}(\beta_{g}) - F_{i}(\phi)| = \left| \int g(\beta)(F_{i}(\beta) - F_{i}(\phi)) d\nu(\beta) \right|$$

$$\leq \max_{\beta \in U} |F_{i}(\beta) - F_{i}(\phi)| \int g(\beta) d\nu(\beta) \leq \epsilon.$$

Thus given  $F_1, \dots, F_n$  and  $\epsilon > 0$  there is a  $\beta_g \in \Phi_c$  with  $|F_i(\beta_g) - F_i(\phi)| < \epsilon$  for each i. If  $\lambda = \max g$  then  $\lambda \psi \geq \beta_g$  as positive definite functions (in fact, if  $\langle , \rangle$  denotes the pairing between  $L^1$  and  $L^\infty$ , then for  $f \in L^1$ ,  $\langle f : f, \beta_g \rangle = \int g(\beta) \langle f : f, \beta \rangle d\nu(\beta) \leq \lambda \int \langle f : f, \beta \rangle d\nu(\beta) = \langle f : f, \lambda \psi \rangle$ . Therefore  $\beta_g$  vanishes off  $G_F$  by 4.2.

To complete the proof we note that  $\phi$  may be taken to be a nonzero extreme point of  $\Phi_c$ . Suppose that (top supp  $\nu$ )  $\cap$   $K \subset \{0\}$ . Then since  $\Phi_c \setminus \{0\} = (\Phi_c \setminus K) \cup (K \setminus \{0\})$ , we have  $\nu(\Phi_c \setminus \{0\}) = 0$  and  $\psi = 0$ , a contradiction. Therefore the topological support of  $\nu$  includes nonzero extreme points, and these are approached weak\* by elements  $\beta_g$  of  $\Phi_c$  which vanish off  $G_F$ .

**Proof of 4.1.** Since  $G \in [IN]$ ,  $G_F$  is open in G and it is certainly normal. By Proposition 17.3.5 of [5] the extreme points of  $\Phi_c$  are 0 and the characters of finite type taking the value 1 at 1. Characters of finite type are associated as positive definite functions with cyclic factor representations of type  $I_n$  or type  $I_1$ . Since G is type I these factor representations generate finite dimensional von Neumann algebras and since they are cyclic they must be finite dimensional representations of G by 15.5.3 of [5].

By 4.4 there is a character  $\phi$  of finite type which is approached weak\*by elements of  $\Phi_c$  which vanish off  $G_F$ . We see easily that  $\int_G f(x) \phi(x) dx = 0$  for all  $f \in L^1(G)$  which vanish on  $G_F$ , and hence  $\phi$  vanishes off  $G_F$ . It follows from 4.3 that the representation  $\pi_{\phi}$  corresponding to  $\phi$  is induced to G from  $G_F$  and since  $\pi_{\phi}$  is finite dimensional we have  $[G:G_F] < \infty$ .

The following was pointed out to the author by J. M. G. Fell.

- 4.5 Proposition. An open subgroup H of a type I group G is type I.
- **Proof.** One may verify easily that  $C^*(H)$  is a  $C^*$  subalgebra of  $C^*(G)$ . Then the proposition follows from 4.3.5 of [5].
- 4.6 Theorem. A type I [IN] group is CCR. An almost connected [IN] group is type I and hence CCR.
- **Proof.** If G is type I [IN],  $G_F$  is type I [FC] by 4.5 and therefore CCR by 3.6. If on the other hand G is almost connected [IN], then  $G_F$  is almost connected [FC] and so CCR by 3.7. Using 4.1 and the fact that an almost connected group can have no open subgroup of infinite index, we have that  $[G:G_F] < \infty$  in either case. Since  $G_F$  is CCR, it follows that G is also CCR. (In fact Schochetman in 4.3 of [26] shows that a compact extension of a CCR group is CCR.)

As a corollary, we have the following widely known result. This was obtained also by E. Kaniuth [14], who showed that an [SIN] group with type I regular representation has only finite dimensional irreducible representations, and in the [FC]  $\cap$  [SIN] case also by R. Mosak [21].

Corollary to 4.6. A type I [SIN] group has only finite dimensional irreducible representations.

- **Proof.** In  $G \in [SIN]$  then  $L^1(G)$  has a central approximate identity and so every irreducible image of  $C^*(G)$  has nonzero central elements. Since these images are algebras of all compact operators on various Hilbert spaces, the spaces in question must be finite dimensional.
- 5. [IN] groups with Hausdorff duals. We have already shown that a type I [FC] group has Hausdorff dual. We show in this section that an [IN] group with Hausdorff dual is [FC] and that an [SIN] group with Hausdorff dual is the projective limit of [Z] groups.
- 5.1 Lemma. If G is a noncompact locally compact abelian group and  $H_1, \dots, H_n$  are noncompact closed subgroups, there is a sequence  $\{\chi_m\} \subset \hat{G}$  such that  $\chi_m \mid H_j$  is nontrivial for each m and j, and  $\chi_m \to 1$  uniformly on compacta.
- **Proof.** We dualize. We have  $\hat{G}$  is nondiscrete locally compact abelian group, and  $(G/H_i)^{\hat{}}$  a closed subgroup for each i. Also,  $\hat{H}_i = \hat{G}/(G/H_i)^{\hat{}}$  is not discrete and so  $(G/H_i)^{\hat{}}$  is nowhere dense in  $\hat{G}$  for each i. Now if the lemma were false there would be an open neighbrohood N of 1 in  $\hat{G}$  such that

 $N \in \bigcup_{i=1}^n (G/H_i)$ . We have N locally compact in the relative topology and  $(G/H_i) \cap N$  nowhere dense in the relative topology. This contradicts the Baire category theorem, and the lemma is proved.

5.2 **Theorem.** Suppose  $G \in [IN]$ . Then  $\hat{G}$  is Hausdorff if and only if  $G \in [FC]$  and G is type I.

**Proof.** The "if" part is 3.6. Now suppose  $G \in [IN]$  and  $\hat{G}$  is  $T_2$ . Since G is type I,  $[G:G_F] < \infty$  by 4.1. By 3.1 and 4.5,  $G_F \in [FD]^-$ . Let K be the closure of the commutator subgroup of  $G_F$ , and let  $x_1, \dots, x_n$  be coset representatives for  $G_F$  in G excluding a representative for the coset  $G_F$  itself. We are assuming here that  $G \neq G_F$  and will derive a contradiction. For  $i=1,2,\dots,n$ , let  $F_i$  be the closed subgroup of  $G_F$  generated by the set  $\{x_i b x_i^{-1} b^{-1} \mid b \in G_F\}$ . Since  $x_i \notin G_F$ ,  $x_i^{-1}$  does not have a precompact conjugacy class, and since  $G_F$  has finite index in G,  $\{bx_i^{-1}b^{-1} \mid b \in G_F\}$  cannot be precompact either. It follows that, for each i,  $F_i$  is not compact.

Now  $G_F/K$  is locally compact abelian and contains the noncompact closed images  $\overline{F}_1,\cdots,\overline{F}_n$  of  $F_1,\cdots,F_n$  (the images are closed because  $F_iK$  is closed for each i). Hence by Lemma 5.1 there is a sequence of characters of  $G_F/K$  converging to 1 uniformly on compacta such that each character is nontrivial on  $\overline{F}_1,\cdots,\overline{F}_n$ . This sequence lifts to a sequence  $\{\chi_n\}$  of one dimensional characters of  $G_F$  converging to 1 uniformly on compacta and such that  $\chi_n \mid F_i$  is nontrivial for each n and i. It follows that for each n and i there is an  $h \in G_F$  with  $\chi_n(x_ihx_i^{-1}h^{-1}) \neq 1$ . Hence  $x_i \notin H(\chi_n)$ , the stable group of  $\chi_n$ , for each n and i, and so for each n,  $H(\chi_n) = G_F$ . The Mackey theory implies that each induced representation  $U^{X_n}$  is irreducible, and by Theorem 4.4 of [7] we have that every subsequence of  $U^{X_n}$  weakly contains  $U^1$ , the regular representation of  $G/G_F$  lifted to G, and hence weakly contains every subrepresentation of  $U^1$ . This is easily seen to imply that  $U^{X_n}$  converges to every irreducible subrepresentation of  $U^1$ , and unless  $G = G_F$  there will be more than one such subrepresentation. Thus if G is G is G is G if G is G is G is G in the subrepresentation. Thus if G is G is G is G in the subrepresentation.

5.3 **Theorem.** If  $G \in [SIN]$ , then  $\hat{G}$  is Hausdorff if and only if G is the projective limit of [Z] groups.

**Proof.** Suppose G is the projective limit of [Z] groups. Proposition 2.2 of [20] states that every factor representation of G is actually a representation of one of the [Z] groups. Thus G has only finite dimensional irreducible representations. It is clear that  $G \in [FC]^{-}$ ; therefore, by 3.6,  $\hat{G}$  is Hausdorff. (See also [21].)

Suppose  $\hat{G}$  is Hausdorff. Then since G is [SIN] and type I the corollary to

4.6 implies that G has only finite dimensional irreducible representations. Theorem 3 of [20] states that G must be the projective limit of Lie groups, each containing an open [Z] subgroup of finite index. Moreover by 5.2,  $G \in [FC]^{-}$ . Therefore to establish 5.3 we need only prove the following lemma.

5.4 Lemma. If G is an [FC] Lie group which contains a [Z] subgroup of finite index, then G is a [Z] group.

Remark. The lemma is also true if we replace "Lie" by "compactly generated", but some such hypothesis is necessary. Here is an example of an  $[FC]^{-}$  group which is not a [Z] group but which contains a normal abelian subgroup of index 2. Let  $A = (\prod_{1}^{\infty} \mathbb{Z}_{2}) \oplus \sum_{1}^{\infty} \mathbb{Z}_{2}$ , where  $\prod \mathbb{Z}_{2}$  has the product topology and the weak direct sum  $\sum \mathbb{Z}_{2}$  has the discrete topology. Define the map  $\alpha$  as follows: for  $(z_{i}) \in \prod \mathbb{Z}_{2}$  and  $(w_{i}) \in \sum \mathbb{Z}_{2}$ , put  $\alpha((z_{i}), (w_{i})) = ((z_{i} + w_{i}), (w_{i}))$ . Then  $\alpha$  is a continuous homomorphism of A into itself and  $\alpha^{2}$  eidentity, so that  $\alpha$  is a topological group automorphism. Now let  $G = A \times_{\eta} \mathbb{Z}_{2}$ , where  $\eta(x) = \alpha^{x}$ . Then one may verify that the commutator of G is a subgroup of  $\prod \mathbb{Z}_{2} \subset A$  and hence is precompact, and that  $Z(G) = \prod \mathbb{Z}_{2}$ . Thus G is an  $[FD]^{-}$  group with an abelian subgroup of index 2, but G is not a [Z] group.

**Proof of 5.4.** Since open subgroups of [Z] groups are [Z] groups, we may assume that the [Z] subgroup of finite index is normal. We first reduce 5.4 to a problem in abelian group theory. Suppose that G is a finite extension of a [Z] group H, and that  $Z(G) \cap Z(H)$  has compact quotient in Z(H). Then  $Z(G) \cap Z(H)$  has compact quotient in  $Z(G) \cap Z(H)$  has compact quotient in  $Z(G) \cap Z(H)$  has compact quotient in  $Z(G) \cap Z(H)$ .

Now Z(G) is the set of fixed points of  $\mathfrak{G}(G)$ , the inner automorphisms of G. Each element of  $\mathfrak{G}(G)$  maps H onto itself and hence Z(H) onto itself. Moreover if  $\alpha \in \mathfrak{G}(G)$  corresponds to an element of H,  $\alpha \mid Z(H)$  is trivial. Since G/H is finite, G acts as a finite group F of automorphisms of Z(H). We say that a topological group automorphism  $\gamma$  of a locally compact group L is of bounded displacement if the set  $\{\gamma(x)x^{-1} \mid x \in L\}$  is precompact (this notion was introduced by Tits in [28]). In the present case we assume G is  $[FC]^-$ , and so it is simple to verify that each  $\alpha \in F$  is of bounded displacement. Moreover, since G is a Lie group so is the closed subgroup Z(H). Therefore we are reduced to 5.5 below.

5.5 Lemma. Let F be a finite group of automorphisms of the abelian Lie group A. Suppose each element of F has bounded displacement. Then the group of common fixed points of F has compact quotient in A.

**Proof.** We must reduce the problem a bit further. Since A is an abelian Lie

group,  $A = T^n \oplus \mathbb{R}^m \oplus D$ , where D is discrete. Each  $\alpha$  maps  $T^n \oplus \mathbb{R}^m$  into itself (since  $T^n \oplus \mathbb{R}^m$  is the connected component of the identity) and  $T^n$  into itself (since  $T^n$  is maximal compact in  $T^n \oplus \mathbb{R}^m$ ). Thus  $\alpha$  defines an automorphism  $\overline{\alpha}$  of D. We denote by  $\overline{F}$  the finite group of such automorphisms of D, each of which has finite displacement. For each  $\overline{\alpha} \in \overline{F}$ ,  $\ker(\overline{\alpha} - \mathrm{id})$  has finite index in D. It follows that  $D' = \bigcap \ker(\overline{\alpha} - \mathrm{id})$  has finite index in D. Let  $A' = T^n \oplus \mathbb{R}^m \oplus D'$ . Each  $\alpha$  maps A' into itself; moreover A/A' is finite, so that if  $\bigcap \ker(\alpha | A' - \mathrm{id})$  has compact quotient in A,  $\bigcap \ker(\alpha - \mathrm{id})$  has compact quotient in A. Finally, since each  $\alpha | A'$  is of bounded displacement and range  $(\alpha | A' - \mathrm{id}) \subset T^n \oplus \mathbb{R}^m$ , we actually have range  $(\alpha | A' - \mathrm{id}) \subset T^n$  for each  $\alpha$ . Thus 5.5 would follow from the following.

5.6 Lemma. Let F be a finite group of automorphisms of the locally compact abelian group  $A = T^n \oplus \mathbb{R}^m \oplus D$  such that the range of  $(\alpha - \mathrm{id})$  lies in  $T^n$  for each  $\alpha \in F$ . Then  $\bigcap \ker (\alpha - \mathrm{id})$ , the group of fixed points of F, has compact quotient in A.

**Proof.** We dualize (see [13] for details on duals and adjoint maps) and obtain the following equivalent lemma, which we prove outright.

5.7 Lemma. Let F be a finite group of automorphisms of the locally compact abelian group  $A = \mathbf{Z}^n \oplus \mathbf{R}^p \oplus K$ , where K is compact. Suppose that  $\ker (\alpha - \mathrm{id}) \supset \mathbf{R}^p \oplus K$  for each  $\alpha \in F$ . Then  $\Sigma_{\alpha \in F}$  range  $(\alpha - \mathrm{id}) = \overline{\Sigma_{\alpha \in F}}$  range  $(\alpha - \mathrm{id})$  is discrete in the relative topology.

**Proof.** It is enough to show  $\Sigma$  range  $(\alpha - \mathrm{id})$  is discrete in the relative topology. Suppose |F| = m. The subgroup  $B = \mathbb{R}^p \oplus K$  is characteristic in A. Let  $\binom{z}{b}$  denote the generic element of A, where z is a column of n integers and  $b \in B$ , and let  $\alpha_1, \dots, \alpha_m$  be the elements of F. Since each  $\alpha_i \in F$  is the identity on B, we have

$$\alpha_i \binom{z}{b} = \binom{M_i z}{Y_i z + b}$$

where each  $M_i$  is a  $n \times n$  integer valued matrix and each  $Y_i$  is a n-tuple of elements of B. We may therefore write each

$$\alpha_{i} = \begin{pmatrix} M_{i} & 0 \\ Y_{i} & 1 \end{pmatrix};$$

we then have

$$\alpha_i \alpha_j = \begin{pmatrix} M_i M_j & 0 \\ Y_i M_j + Y_j & 1 \end{pmatrix},$$

and since  $\alpha_i \alpha_i^{-1} = \mathrm{id}$ ,  $M_i$  is invertible and in fact  $M_i \in \mathrm{SL}^\pm(n, \mathbf{Z})$  for each i; that is,  $M_i$  is an automorphism of  $\mathbf{Z}^n$  for each i. If we define the integer  $k_{ij}$  by  $\alpha_{kij} = \alpha_i \alpha_j$  we have

(1)  $M_i M_i = M_{kij}$  and

(2) 
$$Y_{k_{ij}} = Y_i M_i + Y_i$$

Now

range 
$$(\alpha_i - id) = range \begin{pmatrix} M_i - I & 0 \\ Y_i & 0 \end{pmatrix} = \left\{ \begin{pmatrix} M_i z - z \\ Y_i z \end{pmatrix} \middle| z \in \mathbb{Z}^n \right\},$$

and

$$\sum_{\text{range}} (\alpha_i - id) = \left\{ \sum_{i=1}^m \binom{M_i z_i - z_i}{Y_i z_i} \right| z_i \in \mathbb{Z}^n \text{ each } i \right\}.$$

Since B is open in  $\mathbb{Z}^n \oplus B$ , it would suffice to show  $B \cap \Sigma$  range  $(\alpha_i - \mathrm{id})$  is finite. Let us therefore observe that

$$B \cap \sum \operatorname{range}(\alpha_i - \operatorname{id}) = \left\{ \left( \sum_{i=1}^{n} Y_{i}^{z_i} \right) \middle| z_i \in \mathbb{Z}^n \text{ each } i \text{ and } \sum_{i=1}^{n} M_{i}^{z_i} = \sum_{i=1}^{n} Z_i \right\}.$$

We point out that  $B \cap \Sigma$  range  $(\alpha_i - \mathrm{id})$  is finitely generated, as a subgroup of the abelian subgroup of B generated by the coordinate entries of  $Y_1, \dots, Y_m$ . Next we show that  $B \cap \Sigma$  range  $(\alpha_i - \mathrm{id})$  is torsion. In fact by (2) we have  $Y_j z_j = Y_{kij} z_j - Y_i M_j z_j$  for each i and j and any  $z_j \in \mathbb{Z}^n$ . It follows that if  $\Sigma Y_j z_j \in B \cap \Sigma$  range  $(\alpha_i - \mathrm{id})$  (i.e., if  $\Sigma M_j z_j = \Sigma z_j$ ) we have

$$\sum_{j=1}^{m} Y_{j} z_{j} = \sum_{j=1}^{m} Y_{k_{ij}} z_{j} - Y_{i} \left( \sum_{j=1}^{m} M_{j} z_{j} \right) = \sum_{j=1}^{m} Y_{k_{ij}} z_{j} - Y_{i} \sum_{j=1}^{m} z_{j}$$

$$= \sum_{i=1}^{m} (Y_{k_{ij}} - Y_{i}) z_{j} \quad \text{for each } i.$$

But then

$$m \sum_{j=1}^{m} Y_{j} z_{j} = \sum_{i=1}^{m} \sum_{j=1}^{m} \left( Y_{k_{ij}} - Y_{i} \right) z_{j} = \sum_{j=1}^{m} \left( \sum_{i=1}^{m} \left( Y_{k_{ij}} - Y_{i} \right) \right) z_{j} = 0$$

since  $k_{ij}$  runs over  $1, \dots, m$  as i does whenever j is fixed. Thus  $B \cap \Sigma$  range  $(\alpha_i - \mathrm{id})$  is finite; it follows that  $\Sigma$  range  $(\alpha_i - \mathrm{id})$  is discrete, and Lemmas 5.7 and 5.4 are proved.

6. [IN] groups with discrete duals. We conclude this paper by describing the second countable [IN] groups with discrete dual spaces. As noted in the introduction, Baggett [2] has shown that any second countable group with

discrete dual is compact; here we sketch a simple proof of this fact in the [IN] case.

The first step is to observe that a separable  $C^*$  algebra A with discrete dual is a dual  $C^*$  algebra; that is, has the following form: For each  $\alpha \in \widehat{A}$ , let  $I_{\alpha}$  be the unique closed two sided ideal of A whose dual space is  $\{\alpha\}$  (see 3.2 of [5].) Then since  $I_{\alpha}$  is a separable  $C^*$  algebra with only one irreducible representation,  $I_{\alpha}$  is isomorphic to the algebra of all compact operators on a separable Hilbert space (see p. 296 of [22]). Let B consist of all sequences  $\{x_{\alpha}\}$  such that  $x_{\alpha} \in I_{\alpha}$  for each  $\alpha$  and  $\|x_{\alpha}\| \to 0$  as  $\alpha \to \infty$ . Define algebraic operations and involution componentwise, and define  $\|\{x_{\alpha}\}\| = \sup \|x_{\alpha}\|$ . Then B is a  $C^*$  algebra, and using the elementary theory of  $C^*$  algebras, especially the material in 3.2 of [5], one can show readily that A is isomorphic to B as a  $C^*$  algebra. It also follows easily that every irreducible representation of A is trivial on every  $I_{\alpha}$  expect one, and there it is faithful. Further any representation of A decomposes into the discrete direct sum of these irreducible representations.

Now consider a second countable [IN] group G with discrete dual. Then G is type I [IN] and therefore a finite extension of  $G_F$ , an  $[FC]^-$  group. Now  $G_F$  is the directed union of open compactly generated subgroups, each of which is  $[FD]^-$  by 3.20 of [12]. The class of locally compact amenable groups is closed under extension and directed unions (see e.g., Greenleaf, Invariant means on topological groups and their applications) and so G must be amenable. Therefore the regular representation of G is faithful on  $G^*(G)$  and hence contains the trivial one dimensional representation as a direct summand. This means that G is compact.

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