

BARYCENTERS, PINNACLE POINTS, AND DENTING POINTS

BY

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ABSTRACT. Some properties of probability measures, on closed convex bounded sets in locally convex spaces, having barycenters are obtained. Also some geometric and measure-theoretic characterizations of pinnacle points are given, and a result about denting points is proved.

Introduction and notations. Let X be a bounded, closed, convex subset of a Hausdorff locally convex space E over reals, $C_b(X)$ (resp., $C(X)$) all bounded real-valued (resp., all real-valued) continuous functions on X , M ($= M(X)$) the set of all positive linear functionals on $C_b(X)$, each taking the value 1 on the constant function 1 (M is also the set of all nonnegative, regular, finitely additive measures, each of a total mass 1, on the algebra \mathfrak{A} generated by zero-sets, i.e., the sets of the form $f^{-1}\{0\}$ for $f \in C_b(X)$, [16]). M_σ , M_τ and M_t are, respectively, the countably additive, τ -additive and tight elements of M ([4], [9], [14], [15], [16]). Every element $\mu \in M_\tau$ has a unique extension to a regular Borel measure on X which will also be denoted by μ ([9], [14]). We will always take the weak topology on M ; in this topology $\mu_\alpha \rightarrow \mu$ if and only if $\mu_\alpha(g)$ converges to $\mu(g)$ for every $g \in C_b(X)$. M is compact in this topology.

A point $x \in X$ is called the barycenter of a $\mu \in M$, written as $\beta(\mu) = x$, if $\mu(f|_X) = f(x)$, for every $f \in E'$, the topological dual of E ([2], [3], [10]). As in [1, p. 15] we define an equivalent relation ' \sim ' in X : for $x \in X$, $y \in X$, we say $x \sim y$ if there exists $r > 0$ such that $x + r(x - y)$ and $y + r(y - x)$ are both in X . This relation partitions X into disjoint convex subsets, called parts of X .

For any $f \in C_b(X)$, we define $\bar{f}(x) = \text{Inf}\{g(x) : g \geq f \text{ and } g \in (E' + R)|_X\}$ for every $x \in X$. Since any bounded affine function $b \in C_b(X)$ has the property that $b(x) = \text{Inf}\{k(x) : k \geq b, k \in (E' + R)|_X\}$ [6, II, p. 222] we also have $\bar{f}(x) = \text{Inf}\{g(x) : g \geq f, g \text{ affine, } g \in C_b(X)\}$ [2, p. 335]. It is easy to see that \bar{f} is bounded, concave, upper semicontinuous, and $\bar{f}(x) = \text{Sup}\{\mu(f) : \mu \in M : \beta(\mu) = x\}$ ([2, p. 335], [10, pp. 19–21]). We will denote the set of real numbers by R , and the set of positive integers by N . The elements of $M = M(X)$ will also be called probability measures

Received by the editors April 25, 1972 and, in revised form, October 27, 1972.

AMS (MOS) subject classifications (1970). Primary 46B99; Secondary 28A40.

Key words and phrases. Closed bounded sets, measures and barycenters of measures, τ -smooth measures, tight measures, pinnacle points, part of a convex set, denting points.

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on X . Nets and subnets are taken in the sense of [5]. Sometimes instead of saying 'x is the barycenter of μ ', we shall say ' μ represents x '.

§1 contains some results about the measures having barycenters. In §2 some theorems of [2] regarding pinnacle points are generalized and sharpened. §3 contains a much simpler proof of a strengthened form of an integral representation theorem of [2, Proposition 2.6, p. 332].

1. In this section, X is always a closed convex bounded subset of a Hausdorff locally convex space E over reals, and $C_b(X)$ and M have the meanings explained in the introduction.

Theorem 1.1. *Let X be a complete, convex, and bounded subset of E and $\mu \in M_\tau$, having $x \in X$ as its barycenter. Then there exists a net $\{\mu_\alpha\}$ ($\alpha \in I$) of discrete probability measures, each having x as its barycenter, converging to μ weakly.*

Proof. It is proved in [7] that every element of M_τ will have a barycenter in this case. Now proceeding exactly as in [6, II, Theorem 9, p. 228] we get the result.

Theorem 1.2. *Let X be a complete, convex, and bounded subset of E , $\mu \in M_\tau$ having $x \in X$ as its barycenter, and f a semicontinuous, bounded, affine function on X . Then $\int f d\mu = \mu(f) = f(x)$.*

Proof. Let f be u.s.c., and affine and bounded. Proceeding as in [6, II, Theorem 1, p. 222], $f(y) = \text{Inf}\{g(y) + r : g \in E', r \in R, g + r \geq f \text{ on } X\}$, for every $y \in X$. From this it follows that $\mu(f) \leq f(x)$ and $f = \text{Inf } b_\gamma$ (pointwise), where $(b_\gamma)_{\gamma \in J}$ are the pointwise inf of the finite collection of bounded continuous affine functions dominating f and thus are monotonically decreasing. Since $\mu \in M_\tau$, $\mu(f) = \lim \mu(b_\gamma)$ ([14], [16]). By Theorem 1.1, $\mu = \lim_{\alpha \in I} \mu_\alpha$, μ_α discrete probability measures, and $\beta(\mu_\alpha) = x$, $\forall \alpha$, and so $\mu_\alpha(b_\gamma) \geq \mu_\alpha(f) = f(x)$, $\forall \alpha$ and $\forall \gamma$, which implies that $\mu(b_\gamma) \geq f(x) \forall \gamma$ and so $\mu(f) \geq f(x)$. This proves the result.

Remark 1. Proposition 1.11 of [2, p. 327] is a particular case of this theorem.

Remark 2. Theorem 1.1 cannot hold for every $\mu \in M$. An example to this effect is given in [2, p. 339].

2. In this section we derive some geometrical and measure-theoretic properties of pinnacle points. Also, a result about denting points is proved.

Let E', E'' be respectively the dual and bidual of a Hausdorff locally convex space E over reals, X a convex $\sigma(E', E'')$ -closed and bounded subset of E' , and τ_0, τ, τ_1 the topologies induced on X by $\sigma(E', E)$, strong, $\sigma(E', E'')$ topologies on E' , respectively [12].

Definition 2.1. A point $x \in X$ is called a pinnacle point of X if whenever $\{x_\alpha\}_{\alpha \in I}, \{y_\alpha\}_{\alpha \in I}$ are nets in X such that $\{\frac{1}{2}(x_\alpha + y_\alpha)\}_{\alpha \in I}$ τ_1 -converges to x , then $x \in \tau_0\text{-cl}[\{x_\alpha\}_{\alpha \in I} \cup \{y_\alpha\}_{\alpha \in I}]$ [2, p. 335].

We denote by X_0 the closure of X in the completion of $(E', \sigma(E', E))$, by w_0 the topology induced by this completion on X_0 , by X_1 the closure of X in the completion of $(E', \sigma(E', E''))$, and by w_1 the topology induced on X_1 by this completion. Then $\tau_1 = w_1|_X$ and $\tau_0 = w_0|_X$. It is easy to see that (X_0, w_0) and (X_1, w_1) are convex and compact.

Lemma 2.1. Let P, Q be two subsets of X , having disjoint closures in (X_0, w_0) . Then P and Q have disjoint closures in (X_1, w_1) .

Proof. Suppose not. Then there exist nets $\{x_\alpha\} \subset P, \{y_\alpha\} \subset Q$ ($\alpha \in I$) such that $\{x_\alpha - y_\alpha\}_{\alpha \in I}$ converges to 0 in $(E', \sigma(E', E''))$. Thus $\{x_\alpha - y_\alpha\}_{\alpha \in I}$ converges to 0 in $(E', \sigma(E', E))$. This implies that $x = y$ for some cluster point x of $\{x_\alpha\}_{\alpha \in I}$ and some cluster point y of $\{y_\alpha\}_{\alpha \in I}$ in (X_0, w_0) , due to the compactness of (X_0, w_0) , a contradiction.

Let T be the part of X_1 which contains $x_0 \in X$, and $\bar{T} = w_1\text{-cl}(M)$.

Theorem 2.2. For a point $x_0 \in X$, the following statements are equivalent.

- (i) x_0 is a pinnacle point of X .
- (ii) For any positive integer n , and $\{\lambda_i\}_{1 \leq i \leq n}, 0 < \lambda_i \leq 1, \sum_{i=1}^n \lambda_i = 1$, whenever $\{y_\alpha^{(i)}\}_{\alpha \in I} (1 \leq i \leq n)$ are nets in X for which $\sum_{i=1}^n \lambda_i y_\alpha^{(i)}$ τ_1 -converges to x_0 then $x_0 \in \tau_0\text{-cl} \bigcup_{i=1}^n \{y_\alpha^{(i)}\}_{\alpha \in I}$.
- (iii) For any τ_0 - x_0 -nbd V in X , the w_1 - $\text{cl}(V)$ in X_1 contains T .

Proof. Evidently (ii) \Rightarrow (i). We first prove that (i) \Rightarrow (iii). Suppose (iii) does not hold. Then \exists a τ_0 -nbd G of x_0 such that $\tilde{y} \in T \setminus \bar{G}, \bar{G}$ being the closure of G in (X_1, w_1) . Thus $\exists \tilde{z} \in X_1$, and $\lambda, 0 < \lambda < 1$, such that $x_0 = \lambda \tilde{y} + (1 - \lambda) \tilde{z}$, which means there exist nets $\{y'_\alpha\}, \{z'_\alpha\}, \{y''_\alpha\} \subset X \setminus G$ such that $\lambda y'_\alpha + (1 - \lambda) z'_\alpha$ τ_1 -converges to x_0 and so $\{z'_\alpha\}$ does not τ_0 -converge to x_0 . Thus we can find subnets $\{y_\gamma\}_{\gamma \in J}, \{z_\gamma\}_{\gamma \in J}$ such that $\{y_\gamma\}_{\gamma \in J} \cup \{z_\gamma\}_{\gamma \in J} \subset X \setminus G_0$ for some τ_0 - x_0 -nbd G_0 . If $\lambda \geq \frac{1}{2}$, let $\tilde{h} = 2x_0 - \tilde{y} \in X_1$ and if $\lambda < \frac{1}{2}$, let $\tilde{k} = 2x_0 - \tilde{z} \in X_1$. In the first case $x_0 = (\tilde{y} + \tilde{h})/2$, and proceeding as above we shall find nets $\{y_\rho\}_{\rho \in \Lambda}, \{b_\rho\}_{\rho \in \Lambda}$ in X such that $(b_\rho + y_\rho)/2$ τ_1 -converges to x_0 , but $\{b_\rho\}_{\rho \in \Lambda} \cup \{y_\rho\}_{\rho \in \Lambda} \subset X \setminus G_1$, for some τ_0 - x_0 -nbd G_1 , a negation of (i). A similar argument holds for the second case and so (i) does not hold.

Now we shall prove that (iii) \Rightarrow (ii). If (ii) does not hold, with the notations of (ii), $x_0 \notin \tau_0\text{-cl}(P)$ where $P = \bigcup_{i=1}^n \{y_\alpha^{(i)}\}_{\alpha \in I}$, and so there exists a τ_0 - x_0 -nbd Q of x_0 such that P and Q have disjoint closures in (X_0, w_0) . By Lemma 2.1,

P and Q have disjoint closures in (X_1, w_1) . Taking subsets and renaming, if necessary, we may assume that $y_\alpha^{(i)}$ w_1 -converges to $\tilde{y}^{(i)} \neq x_0, \forall i, 1 \leq i \leq n$, and $\sum_{i=1}^m \lambda_i \tilde{y}^{(i)} = x_0$. Thus for any $\eta, 0 < \eta < 1, (1 - \eta)\tilde{y}^{(i)} + \eta x_0 \in T$ and so $(1 - \eta)\tilde{y}^{(i)} + \eta x_0 \in w_1\text{-cl}(Q)$, by (iii), and so $\tilde{y}^{(i)} \in w_1\text{-cl}(Q) (1 \leq i \leq n)$; but $\tilde{y}^{(i)} \in w_1\text{-cl}(P)$ and so we get a contradiction.

Example 2.3. With the notations introduced in the beginning of §2, the following is an example in which x_0 is a pinnacle point of X , but the part T , of X_1 , which contains x_0 is nontrivial.

Let E be the Banach space c_0 so that $E' = l, E'' = l_\infty = C(\beta\mathbb{N})$ ($\beta\mathbb{N}$ is the Stone-Čech compactification of the positive integers) and $E''' = \{\text{finite regular Borel measures on } \beta\mathbb{N}\}$. In l_1 let $\delta_k = (0, 0, \dots, 0, 1, 0, \dots)$ (1 in the k th place) and let $A = \{\delta_{2n} : n = 1, 2, \dots\} \cup \{-\delta_{2n} + (1/n)\delta_{2n+1} : n = 1, 2, \dots\}$ and let $X = \text{weak}^* \text{ closed convex hull } A$.

X is weak* compact and convex so that each of its extreme points is a pinnacle point. By the Milman converse to the Kreĭn-Milman theorem, the extreme points of X are contained in $A \cup \{0\}$. If 0 were not an extreme point of X , by the Choquet theorem, it could be written as an infinite convex combination of the points of A . Since this is impossible, 0 is an extreme point of X . Moreover, if $\chi: l_1 \rightarrow l_1''$ is the natural embedding, then (X_1, w_1) is affinely homeomorphic to the weak* closure of $\chi(X)$ in $C(\beta\mathbb{N})'$. Let $a \in \beta\mathbb{N} \setminus \mathbb{N}$ be any cluster point of $\{2n : n = 1, 2, \dots\}$. Then ϵ_a to $-\epsilon_a$ are points of X_1 , so that each point of the open line segment from ϵ_a to $-\epsilon_a$ is in the part of X_1 containing $\chi(0) \in \chi(X)$. Thus 0 is a pinnacle point of X whose part in X_1 is nontrivial.

In the next theorem we give some measure-theoretic characterizations of pinnacle points.

Theorem 2.4. *With τ -topology on X , for a point $x_0 \in X$, the following statements are equivalent.*

- (i) x_0 is a pinnacle point of X .
- (ii) For any probability measure ν on (X_1, w_1) representing $x_0, \text{supp}(\nu) \subset w_1\text{-cl}(G)$ in (X_1, w_1) for every τ_0 -nbd G of x_0 in X .
- (iii) For any measure $\mu \in M$, representing $x_0, S \in \mathfrak{X}, \mu(S) > 0$ implies that $x_0 \in \tau_0\text{-cl}(S)$.
- (iv) For any measure $\mu \in M$, representing $x_0, \mu(g) = g(x_0)$ for any $g \in C_b(X)$ which is τ_0 -continuous.
- (v) $\bar{g}(x_0) = g(x_0)$ for any bounded τ_0 -continuous function on X .

Proof. (i) \Rightarrow (ii). Let ν be a discrete probability measure on (X_1, w_1) having x_0 as its barycenter; then $\text{supp } \nu \subset \bar{T}$, and so $\text{supp } \nu \subset w_1\text{-cl}(G)$ for any τ_0 -nbd G of x_0 , by Theorem 2.2. If ν is any probability measure on (X_1, w_1)

having x_0 as its barycenter, there exists a net $(\nu_\alpha)_{\alpha \in I}$ of discrete probability measure converging to ν weakly, and $\beta(\nu_\alpha) = x_0, \forall \alpha \in I$. Let Z be any zero set in (X_1, w_1) , $Z \supset T$, then $\nu(Z) \geq \overline{\lim} \nu_\alpha(Z) = 1$ [16, p. 182] and so $\nu(\overline{T}) = 1$. Using Theorem 2.2, we prove that (ii) holds.

(ii) \Rightarrow (iii). Let $\mu \in M, \beta(\mu) = x_0$. Define a probability measure $\bar{\mu}$ on (X_1, w_1) by $\bar{\mu}(g) = \mu(g|_X)$ for every $g \in C(X_1)$. Then $\beta(\bar{\mu}) = x_0$. Take $S \in \mathfrak{A}$ such that $x_0 \notin \tau_0\text{-cl}(S)$, and let G be a τ_0 -nbd of x_0 such that S and G have disjoint closures in (X_0, w_0) . By Lemma 2.1, $w_1\text{-cl}(G) \cap w_1\text{-cl}(S) = \emptyset$ in (X_1, w_1) . Define $g \in C(X_1), g = 1$ on $S, g = 0$ on G , and $0 \leq g \leq 1$. By (ii), $\bar{\mu}$ is supported by $w_1\text{-cl}(G)$ and so $\mu(S) \leq \int g|_X d\mu = \int g d\bar{\mu} = 0$, which gives $\mu(S) = 0$.

(iii) \Rightarrow (iv). Let g be τ_0 -continuous, $g(x_0) = 0$, and $0 \leq g \leq 1$. Take $\epsilon > 0$, and let $G = \{x \in X: g(x) < \epsilon\}$. If $\mu \in M$, such that $\beta(\mu) = x_0$, then by (iii), $\int g d\mu = \int_G g d\mu \leq \epsilon$, which gives $\mu(g) = g(x_0)$, from which it easily follows that $\mu(h) = h(x_0)$ for any bounded, τ_0 -continuous function h on X .

(iv) \Rightarrow (v). Let g be any bounded, τ_0 -continuous function on X . Then $\bar{g}(x_0) = \text{Sup} \{\mu(g) : \mu \in M, \beta(\mu) = x_0\} = g(x_0)$, by (iv).

(v) \Rightarrow (i). If x_0 is not a pinnacle point then there are nets $\{x_\alpha\}_{\alpha \in I}$ and $\{y_\alpha\}_{\alpha \in I}$ in X such that $\frac{1}{2}x_\alpha + \frac{1}{2}y_\alpha$ converges on the τ_1 topology to x_0 and yet $x_0 \notin B$ where $B = \tau_0\text{-closure}(\{x_\alpha\}_{\alpha \in I} \cup \{y_\alpha\}_{\alpha \in I})$. Choose any bounded τ_0 continuous function g on X for which $g(x_0) = 0$ and $g|_{B \cap X} = 1$ and $0 \leq g \leq 1$ on X . By hypothesis, $\bar{g}(x_0) = g(x_0)$. Since \bar{g} is upper semicontinuous and concave (and since $\frac{1}{2}x_\alpha + \frac{1}{2}y_\alpha$ converges on the τ_0 topology to x_0) we have

$$\begin{aligned} 0 = g(x_0) = \bar{g}(x_0) &\geq \overline{\lim} \bar{g}(\frac{1}{2}x_\alpha + \frac{1}{2}y_\alpha) \geq \overline{\lim} [\frac{1}{2}\bar{g}(x_\alpha) + \frac{1}{2}\bar{g}(y_\alpha)] \\ &\geq \overline{\lim} [\frac{1}{2}g(x_\alpha) + \frac{1}{2}g(y_\alpha)] = 1, \end{aligned}$$

a contradiction.

Definition. Let X be a closed convex bounded subset of a Hausdorff locally convex space E over reals. A point $x \in X$ is called a denting point of X if for any neighborhood V of $x, x \notin \text{conv}(X \setminus V)$. Choquet calls these points strongly extreme points [3, Vol. 2, p. 97]. For Banach spaces, Rieffel [12] calls them denting points.

In [8] it is proved that a point x is a denting point if and only if ϵ_x is the only element of M having x as its barycenter. Using this result we prove the following theorem, in which $\text{Dent}(X)$ denotes all denting points of X .

Theorem 2.5. *Let X be a closed, convex bounded subset of a locally convex space E over reals. Then $\text{Dent } X = \bigcap \{P_f : f \in C_b(X)\}$, where $P_f = \{x \in X: \bar{f}(x) = f(x)\}$.*

Proof. Suppose $x \in \text{Dent } X$, and $f \in C_b(X)$, then $\bar{f}(x) = \text{Sup} \{\mu(f) : \mu \in M, \beta(\mu) = x\} = f(x)$, since the only $\mu \in M$ having x as its barycenter is ϵ_x [8]. Conversely,

suppose $x \in X \setminus \text{Dent}(X)$. Then, by [8], there exists a $\mu \in M$, $\mu \neq \epsilon_x$, such that $\beta(\mu) = x$. Define a probability measure $\bar{\mu}$ on \tilde{X} , the Stone-Čech compactification of X , by $\bar{\mu}(g) = \mu(g|_X)$, $\forall g \in C(\tilde{X})$, and let $\tilde{y} \in \text{Supp } \bar{\mu}$, $\tilde{y} \neq x$. Take $f_0 \in C(\tilde{X})$, such that $f_0(x) = 0$, $f_0(\tilde{y}) = 1$, $0 \leq f_0 \leq 1$, and let $f = f_0|_X$. Then $\mu(f) = \bar{\mu}(f_0) > 0 = f(x)$, and so $\bar{f}(x) > f(x)$. The result now follows.

3. In this section we generalize and sharpen a Theorem of [2, Proposition 2.6, p. 332] and give a very simple proof.

Theorem 3.1. *Let X be a bounded convex subset of a Hausdorff locally convex space E over reals, and assume X is the closed convex hull of its extreme points. Let \tilde{X} be the Stone-Čech compactification of X . Then for every $x \in X$, there exists a probability measure μ on \tilde{X} , such that $\mu(f') = f(x)$, $\forall f \in E'$ (f' being the unique extension of $f|_X$ to \tilde{X}) and $\mu(P) = 0$ for any Borel set P , in \tilde{X} , which is disjoint from $(\tilde{X} \setminus X) \cup \overline{\text{ext } X}$, where $\overline{\text{ext } X}$ denotes the closure of $\text{ext } X$ in X . (The topology on X is the one induced by E .)*

Proof. Take $x \in X$. There exists a net $\{x_\alpha\}_{\alpha \in I}$ in X , such that $x_\alpha \rightarrow x$ and $x_\alpha = \sum_{i=1}^{p_\alpha} \lambda_i^{(\alpha)} x_i^{(\alpha)}$ ($0 < \lambda_i^{(\alpha)} \leq 1$, $\sum_{i=1}^{p_\alpha} \lambda_i^{(\alpha)} = 1$, $x_i^{(\alpha)}$ being extreme points of X). Let μ_0 , in M , be a cluster point of the net $\{\mu_\alpha\}_{\alpha \in I}$, $\mu_\alpha = \sum_{i=1}^{p_\alpha} \lambda_i^{(\alpha)} \epsilon_{x_i^{(\alpha)}}$. Then $\beta(\mu_0) = x$. Define μ , a probability measure on \tilde{X} , by $\mu(g) = \mu_0(g|_X)$ for every $g \in C(\tilde{X})$. Then $\mu(f') = f(x)$, $\forall f \in E'$. If C is a compact subset of \tilde{X} disjoint from $(\tilde{X} \setminus X) \cup \overline{\text{ext } X}$, then the closure of $\text{ext } X$, in \tilde{X} , and C are disjoint compact sets in \tilde{X} and so there exists $b \in C(X)$ such that $b(C) = 1$, $b \equiv 0$ on $\text{ext } X$, and $0 \leq b \leq 1$. Now $\mu(C) \leq \mu(b) = \mu_0(b|_X) = \lim \mu_\gamma(b|_X) = 0$ ($\{\mu_\gamma\}$ being a subset of $\{\mu_\alpha\}$ such that $\mu_\gamma \rightarrow \mu_0$), which gives $\mu(C) = 0$. By regularity of μ , $\mu(P) = 0$ for any Borel set P , in \tilde{X} , disjoint from $(\tilde{X} \setminus X) \cup \overline{\text{ext } X}$. This proves the theorem.

I would like to thank the referee for his very useful suggestions.

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