

## EXTENDING CELL-LIKE MAPS ON MANIFOLDS

BY

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**ABSTRACT.** Let  $X$  be a closed subset of a manifold  $M$  and  $G_0$  be a cell-like upper semicontinuous decomposition of  $X$ . We consider the problem of extending  $G_0$  to a cell-like upper semicontinuous decomposition  $G$  of  $M$  such that  $M/G \approx M$ . Under fairly weak restrictions (which vanish if  $M = E^n$  or  $S^n$  and  $n \neq 4$ ) we show that such a  $G$  exists if and only if the trivial extension of  $G_0$ , obtained by adjoining to  $G_0$  the singletons of  $M - X$ , has the desired property. In particular, the nondegenerate elements of Bing's dogbone decomposition of  $E^3$  are not elements of any cell-like upper semicontinuous decomposition  $G$  of  $E^3$  such that  $E^3/G \approx E^3$ . Call a cell-like upper semicontinuous decomposition  $G$  of a metric space  $X$  *simple* if  $X/G \approx X$  and say that the closed set  $Y$  is *simply embedded* in  $X$  if each simple decomposition of  $Y$  extends trivially to a simple decomposition of  $X$ . We show that tame manifolds in  $E^3$  are simply embedded and, with some additional restrictions, obtain a similar result for a locally flat  $k$ -manifold in an  $m$ -manifold ( $k, m \neq 4$ ). Examples are given of an everywhere wild simply embedded simple closed curve in  $E^3$  and of a compact absolute retract which embeds in  $E^3$  yet has no simple embedding in  $E^3$ .

**1. Introduction.** We will be concerned with variations of the following general problem: If  $X$  is a closed subset of a manifold  $M$  and  $f: X \rightarrow Y$  is a proper cell-like map of  $X$  onto a metric space  $Y$ , under what conditions is it possible to extend  $f$  to a proper cell-like map  $F$  defined on all of  $M$  such that  $F(M)$  is homeomorphic to  $M$ ? (We do not assume that the boundary of a manifold is necessarily empty.) For 3-manifolds, analogous questions have been considered by R. H. Bing [9] and others (see, for example, [6], [12], [18], [19] and the discussion in §15 of [20]).

Since proper maps and upper semicontinuous decompositions of metric spaces correspond in a natural way, our results have equivalent formulations in terms of mappings and in terms of decompositions. In the latter terminology, the basic question considered becomes: Given a cell-like decomposition  $G_0$  of  $X$ , when

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is there a cell-like decomposition  $G$  of  $M$  such that  $G_0 \subset G$  and  $M/G \approx M$ ? Under fairly weak restrictions (which vanish if  $M$  is  $S^n$  or  $E^n$ ), we show that if  $M$  is an  $n$ -manifold,  $n \neq 4$ , then such a  $G$  exists only in case the trivial extension of  $G_0$ , obtained by adjoining to  $G_0$  all singletons in  $M - X$ , has the desired property. In particular, the set of nondegenerate elements of Bing's dogbone decomposition of  $E^3$  cannot be embedded in any cellular decomposition of  $E^3$  which yields  $E^3$  as its decomposition space, which provides a negative answer to a question raised by Bing in [9].

We say that a cell-like upper semicontinuous decomposition  $G$  of a metric space  $X$  is *simple* if  $X/G \approx X$  and that a closed subset  $Y$  of  $X$  is *simply embedded* in  $X$  if every simple decomposition of  $Y$  can be trivially extended to a simple decomposition of  $X$  (equivalently, if every proper cell-like map on  $Y$  can be extended to a proper cell-like map  $F$  on  $X$  such that  $F(X) \approx X$  and  $F|X - Y$  is a homeomorphism onto  $F(X) - F(Y)$ ). We show that every tame manifold in  $E^3$  is simply embedded in  $E^3$ , and obtain similar results, with additional restrictions, for a locally flat  $k$ -manifold in an  $m$ -manifold ( $k, m \neq 4$ ).

Local flatness is by no means a necessary condition for a manifold in  $E^n$  to be simply embedded; we show, in fact, that an arc in  $E^3$  may be simply embedded even though it is wild at each of its points. With respect to more complicated sets, we observe that there exist 1-dimensional continua for which every embedding in  $E^3$  is simple and, at the other extreme, show that a continuum may be embeddable in  $E^3$  and yet have no simple embedding in  $E^3$ . It will be shown in a later paper that every circularly chainable continuum can be simply embedded in  $E^3$ , and the question of which continua have both simple and nonsimple embeddings in  $E^3$  will be considered in greater detail.

**2. Definitions and notation.** Our usage of *upper semicontinuous (collection or decomposition)* and associated terms is standard (e.g., as in [30] and [2]).

If  $X$  and  $Y$  are metric spaces, a map  $f: X \rightarrow Y$  is said to be *closed* if  $f(C)$  is closed in  $Y$  for every closed subset  $C$  of  $X$ , and to be *compact* if  $f^{-1}(K)$  is compact for every compact subset  $K$  of  $Y$ . If  $f$  is closed and  $f^{-1}(y)$  is compact for each  $y \in Y$ , then  $f$  is said to be *proper*; it readily follows that  $f$  is proper if and only if it is compact.

We use the notation  $f: X \twoheadrightarrow Y$  to indicate that  $f$  maps  $X$  onto  $Y$  (of course  $f: X \rightarrow Y$  does not preclude  $f: X \twoheadrightarrow Y$ ).

It is well known that if  $X$  and  $Y$  are metric spaces and  $f: X \twoheadrightarrow Y$  is a proper map, then the set  $G = \{f^{-1}(y) | y \in Y\}$  is an upper semicontinuous decomposition of  $X$  and  $X/G \approx Y$ ; conversely, if  $G$  is any upper semicontinuous

decomposition of  $X$ , then  $X/G$  is a metric space and the projection map  $P: X \rightarrow X/G$  is proper. Most of our results may be stated, equivalently, in terms of mappings and in terms of decompositions; generally, we give only one version formally, but may later use the alternative formulation without explicit comment.

By an  $n$ -manifold we mean a separable metric space each point of which has a neighborhood homeomorphic to a closed  $n$ -cell. As usual, if  $M$  is a manifold, the set of all points of  $M$  which have an open  $n$ -cell neighborhood in  $M$  is called the *interior* of  $M$  and is denoted by  $\text{Int } M$ ; the set  $M - \text{Int } M$  is called the *boundary* of  $M$ , and will be denoted by  $\partial M$ . We do not assume that manifolds are compact or connected, but whenever we speak of a manifold  $M$  as being "in" a manifold  $N$ , we always assume that  $M$  is embedded as a closed subset of  $N$ .

A subset  $X$  of an  $n$ -manifold  $M$  is said to be *cellular in  $M$*  if  $X$  is the intersection of a sequence  $\{C_i\}$  of closed  $n$ -cells in  $M$ , with  $C_{i+1} \subset \text{Int } C_i$  for each  $i$ . A continuum which is homeomorphic to a cellular subset of some Euclidean space  $E^n$  is said to be *cell-like*. A decomposition  $G$  is said to be *cell-like (cellular)* if each element of  $G$  is cell-like (cellular), and a mapping  $f: X \rightarrow Y$  is *cell-like* if  $f^{-1}(y)$  is cell-like for each  $y \in Y$ . (See [17] or [26].)

If  $U$  is a subset of a space  $X$ , then  $\text{Cl } U$  will denote the closure of  $U$  in  $X$ .

If  $G$  is a collection of subsets of  $X$ , we use  $G^*$  to denote the union of the elements of  $G$ , and if  $C \subset X$ , we let  $G_C$  denote the set of all elements of  $G$  which intersect  $C$ . A subset  $C$  of  $X$  is said to be *saturated* with respect to  $G$  if  $C = G_C^*$ . If  $f: X \rightarrow Y$ , then  $f(G)$  will denote  $\{f(g) | g \in G\}$ .

If  $Y$  is a closed subset of a metric space  $X$  and  $G$  is an upper semicontinuous decomposition of  $Y$ , then any upper semicontinuous decomposition  $G'$  of  $X$  such that  $G \subset G'$  will be called an *extension* of  $G$ . The extension of  $G$  obtained by adding to  $G$  all singleton subsets of  $X - Y$  will be called the *trivial extension* of  $G$  and will be said to be *generated by  $G$* . This decomposition of  $X$  will be denoted by  $\tilde{G}(X)$ , or simply  $\tilde{G}$  in case confusion is unlikely.

A cell-like upper semicontinuous decomposition  $G$  of a metric space  $X$  will be said to be *simple* if  $X/G \approx X$  and to be *locally simple* if, for each  $g \in G$ , every neighborhood  $U$  of  $g$  in  $X$  contains a closed neighborhood  $C$  of  $g$  in  $X$  such that  $G_C$  generates a simple decomposition of  $X$ . (In general, a locally simple decomposition of a metric space  $X$  need not be simple, nor need a simple decomposition be locally simple. If  $X$  is a manifold, however, the situation is markedly different, as shown below.) A closed subset  $Y$  of  $X$  is said to be *simply embedded* in  $X$  if every simple decomposition of  $Y$  generates a simple decomposition of  $X$ .

**3. Modifying cell-like maps on manifolds.** The results of this section depend heavily on Siebenmann's recent theorem [26] on homeomorphic approximations of cell-like maps between  $m$ -manifolds (for  $m = 3$ , this result was proved earlier by Armentrout ([2], [3], [4], [5])). Siebenmann's theorem includes some restrictions which we find it convenient to abbreviate as follows.

*Conditions  $S(M, f)$  and  $S(M, G)$ .* If  $M$  is an  $m$ -manifold and  $f: M \rightarrow Y$  is a cell-like map of  $M$  onto a metric space  $Y$ , we will say that condition  $S(M, f)$  holds provided that  $m < 3$  or

- (1) if  $m = 3$ , then for each  $y \in Y$ ,  $f^{-1}(y)$  has a neighborhood in  $M$  which is embeddable in  $E^3$ ,
- (2) if  $m = 5$ , then  $f|_{\partial M}$  is bijective,
- (3) if  $m = 4$  or  $m > 5$ , then for each  $y \in Y$ ,  $f^{-1}(y) \cap \partial M$  is either empty or cell-like.

If  $G$  is an arbitrary collection of cell-like subsets of  $M$ , then  $S(M, G)$  is defined analogously (i.e., by replacing  $f^{-1}(y)$  in (1) and (3) by an arbitrary  $g \in G$  and requiring in (2) that no nondegenerate element of  $G$  intersect  $\partial M$ ).

The following lemma provides a slight additional simplification of the statement of Siebenmann's theorem. That the first part of this lemma holds was indicated in §3 of [26], where it is also shown that the second part fails for  $m \geq 4$ .

**3.1. Lemma.** *If  $M$  and  $N$  are  $m$ -manifolds and  $f: M \rightarrow N$  is a proper cell-like map, then  $f(\partial M) = \partial N$  and, if  $m \leq 3$ ,  $f|_{\partial M}: \partial M \rightarrow \partial N$  is cell-like.*

**Proof.** Suppose  $x \in \partial M$ . If  $f(x) \in \text{Int } N$ , there is an open subset  $U$  of  $N$  such that  $f(x) \in U$  and  $U \approx E^m$ . Then  $f^{-1}(U)$  is an  $m$ -manifold with nonempty boundary, and since  $f|_{f^{-1}(U)}: f^{-1}(U) \rightarrow U$  is a proper homotopy equivalence [17], it follows that  $H_0(f^{-1}(U), \partial f^{-1}(U)) \approx H_C^m(f^{-1}(U)) \approx H_C^m(U) \approx H_C^m(E^m) = \mathbb{Z}$ . (Here  $H_i$  denotes homology with integral coefficients and  $H_C^i$  cohomology with integral coefficients and compact supports.) But this is a contradiction since  $f^{-1}(U)$  is path connected and  $\partial f^{-1}(U) \neq \emptyset$ . It follows that  $f(\partial M) \subset \partial N$ ; a similar argument shows that if  $y \in \partial N$ , then  $f^{-1}(y) \cap \partial M \neq \emptyset$ , and hence  $f(\partial M) = \partial N$ .

If  $m < 3$ , it is easy to show directly that  $f|_{\partial M}$  is monotone and therefore cell-like, so suppose  $m = 3$ . If  $y \in \partial N$  and  $W$  is an open subset of  $\partial M$  containing  $f^{-1}(y) \cap \partial M$ , there is an open subset  $V$  of  $N$  containing  $y$  such that  $V \approx E_+^3$  and  $f^{-1}(V) \cap \partial M \subset W$ . Then, as above,  $H_2(f^{-1}(V), \partial f^{-1}(V)) \approx H_C^1(E_+^3) = 0$  and hence  $H_1(\partial f^{-1}(V)) = 0$ . It follows that  $\partial f^{-1}(V)$  is an open 2-cell neighborhood of  $f^{-1}(y) \cap \partial M$  lying in  $W$ ; since  $W$  was arbitrary, this implies that  $f^{-1}(y) \cap \partial M$  is cellular in  $\partial M$ .

**Theorem A (Siebenmann).** *Suppose  $M$  and  $N$  are  $m$ -manifolds,  $m \neq 4$ , and  $f: M \rightarrow N$  is a proper cell-like map such that  $S(M, f)$  holds. If  $\epsilon: M \rightarrow (0, \infty)$  is*

continuous, there is a homeomorphism  $g: M \rightarrow N$  such that  $d(f(x), g(x)) < \epsilon(x)$  for all  $x \in M$ .

**3.2 Theorem.** Suppose  $M$  and  $N$  are  $m$ -manifolds,  $m \neq 4$ , and  $f: M \rightarrow N$  is a proper cell-like map such that  $S(M, f)$  holds. If  $C$  is a closed subset of  $M$  such that  $f^{-1}f(C) = C$ , then  $f|_C$  can be extended to a proper map  $F: M \rightarrow N$  such that  $F|_{M-C}$  is a homeomorphism onto  $N - f(C)$ .

**Proof.** Let  $\rho$  and  $d$  be metrics for  $M$  and  $N$ , respectively, and let  $\epsilon(x) = \rho(x, C)$  for each  $x \in M$ . Applying Theorem A to  $M - C$ ,  $N - f(C)$ ,  $f|_{M-C}$  and  $\epsilon|_{M-C}$  yields a homeomorphism  $g: M - C \rightarrow N - f(C)$  such that  $d(f(x), g(x)) < \epsilon(x)$  for every  $x \in M - C$ . Define a function  $F$  on  $M$  by  $F(x) = f(x)$  if  $x \in C$ ,  $F(x) = g(x)$  if  $x \in M - C$ . It is easily seen that  $F$  is continuous and, of course,  $F(M) = N$  and  $F|_{M-C}$  is a homeomorphism onto  $N - f(C)$ .

That  $F$  is proper follows directly from a result of Väisälä [28] in case  $\partial M = \emptyset$ ; if  $\partial M \neq \emptyset$ ,  $F$  can be shown to be proper by applying Väisälä's result to a manifold obtained by adjoining to  $M$  a homeomorphic copy of  $M$  which intersects  $M$  precisely in  $\partial M$  (and extending  $F$  to the resulting manifold in a natural way). Alternatively, it is easy to show directly that  $F$  is proper if the function  $\epsilon(x)$  is modified so as to guarantee not only that  $\epsilon(x_i) \rightarrow 0$  if  $\{x_i\} \rightarrow x_0 \in C$ , but also that  $\epsilon(x_i) \rightarrow 0$  if  $\{x_i\}$  has no accumulation point in  $M$ . (These properties for  $\epsilon(x)$  may be realized easily by choosing  $\rho$  to be the metric obtained by restricting to  $M$  a metric  $\rho'$  for the one-point compactification  $M + \infty$  of  $M$ , and defining  $\epsilon(x)$  to be  $\rho(x, C \cup \{\infty\})$ . That  $M + \infty$  is metrizable follows [14, p. 247] from the fact that  $M$  is locally compact and separable.)

**3.3 Theorem.** Suppose  $M$  is an  $m$ -manifold,  $m \neq 4$ , and  $f: M \rightarrow Y$  is a proper cell-like map of  $M$  onto a metric space  $Y$  such that  $S(M, f)$  holds. If for each  $y \in Y$  there exist a closed neighborhood  $C_y$  of  $f^{-1}(y)$  in  $M$  and a proper map  $F_y$  of  $M$  onto an  $m$ -manifold such that  $\{f^{-1}f(x) | x \in C_y\} \subset \{F_y^{-1}F_y(x) | x \in M\}$ , then  $Y$  is homeomorphic to  $M$ .

**Proof.** In view of Theorem A, it is only necessary to show that  $Y$  is an  $m$ -manifold.

Suppose  $y_0 \in Y$ . By hypothesis, there exist a closed neighborhood  $C$  of  $f^{-1}(y_0)$  in  $M$  and a proper map  $F$  of  $M$  onto an  $m$ -manifold  $N$  such that  $\{f^{-1}f(x) | x \in C\} \subset \{F^{-1}F(x) | x \in M\}$ . Let  $U = \{x \in C | f^{-1}f(x) \cap C \setminus (M - C) = \emptyset\}$ . Since  $f$  is proper, it easily follows that  $U$  is open in  $M$  and that  $f^{-1}f(U) = U$ . Since  $C$  is a neighborhood of  $f^{-1}(y)$  in  $M$ ,  $f^{-1}(y) \subset U$ . Since  $f$  is proper,  $U$  is open in  $M$ , and  $f^{-1}f(U) = U$ ,  $f(U)$  is open in  $Y$ . The condition  $\{f^{-1}f(x) | x \in C\} \subset \{F^{-1}F(x) | x \in M\}$  implies that also  $F^{-1}F(U) = U$  and hence  $F(U)$  is

open in  $N$ . Since  $f|U$  and  $F|U$  are both proper maps, it is easy to show that the function  $\phi$  defined on  $f(U)$  by  $\phi(y) = F(f^{-1}(y))$  is a homeomorphism of  $f(U)$  onto  $F(U)$ . Hence  $f(U)$  is an open neighborhood of  $y_0$  in  $Y$  which is homeomorphic to an open subset of  $N$ . It follows that  $Y$  is an  $m$ -manifold, and hence  $Y \approx M$ .

**3.4 Corollary.** *If  $M$  is an  $m$ -manifold,  $m \neq 4$ , and  $G$  is an upper semicontinuous cell-like decomposition of  $M$  such that  $S(M, G)$  holds and such that for each  $g \in G$  there is a closed neighborhood  $C$  of  $g$  in  $M$  such that  $G_C$  generates a simple decomposition of  $M$ , then  $G$  is a simple decomposition of  $M$ .*

Combining Theorem 3.2 and Corollary 3.4 yields the following result.

**3.5 Corollary.** *If  $M$  is an  $m$ -manifold,  $m \neq 4$ , and  $G$  is a cell-like upper semicontinuous decomposition of  $M$  such that  $S(M, G)$  holds, then  $G$  is simple if and only if it is locally simple.*

**Remark.** It was shown by R. H. Bing [9] that if  $Y$  is a closed subset of  $E^3$  (or  $S^3$ ) such that each component of  $Y$  is compact and does not separate  $E^3$ , and  $G$  is the collection of all components of  $Y$ , then there is a monotone upper semicontinuous decomposition  $G'$  of  $E^3$  such that  $G \subset G'$  and  $E^3/G' \approx E^3$ . Bing asked [9, p. 364] whether  $G'$  could be chosen to be a cellular decomposition in case each element of  $G$  is cellular in  $E^3$ . Theorem 3.2 implies that a cell-like upper semicontinuous decomposition  $G$  of a closed subset of  $E^3$  can be extended to a cell-like upper semicontinuous decomposition  $G'$  of  $E^3$  such that  $E^3/G' \approx E^3$  if and only if the trivial extension of  $G$  has this property. Hence, in particular, the set of nondegenerate elements of Bing's dogbone decomposition [8] cannot be extended to any cell-like decomposition of  $E^3$  which yields  $E^3$  as its decomposition space. Moreover, if  $G$  is any cell-like decomposition of  $E^3$  such that  $E^3/G \not\approx E^3$ , then by Theorem 3.3 there is an element  $g_0$  of  $G$  such that if  $C$  is any closed neighborhood of  $g_0$  in  $E^3$ , then the set of elements of  $G$  which intersect  $C$  is not a subset of any upper semicontinuous decomposition  $G'$  of  $E^3$ —not necessarily cell-like or even monotone—such that  $E^3/G'$  is a 3-manifold.

We also note that if, in Theorem 3.2,  $M = N$  and  $C$  does not intersect any nondegenerate  $f^{-1}(y)$ ,  $y \in M$ , then  $f|C: C \rightarrow M$  is topologically equivalent to the inclusion  $i: C \rightarrow M$ . Thus Theorem 3.2 provides a generalization of the results of §5 of [3]; in particular, the "repairing" of embeddings in the sense of [6] and [12] cannot be carried out within the category of cell-like maps.

**3.6 Lemma.** *If  $K$  is a  $k$ -manifold,  $k \neq 4$ , and  $f: K \rightarrow K$  is a proper cell-like map such that  $S(K, f)$  holds, then for each  $y \in K$ , there is a locally flat  $k$ -cell*

$D$  in  $K$  such that  $D$  is a neighborhood of  $f^{-1}(y)$  in  $K$  and (1)  $D \cap \partial K = \emptyset$  if  $f^{-1}(y) \cap \partial K = \emptyset$ , (2)  $D \cap \partial K$  is a locally flat  $(k-1)$ -cell in  $\partial D$  if  $f^{-1}(y) \cap \partial K \neq \emptyset$ .

**Proof.** We first observe that if  $U$  is an open subset of  $K$ , then  $f^{-1}(U)$ ,  $U$  and  $f|f^{-1}(U)$  satisfy the hypothesis of Theorem A and hence  $f^{-1}(U) \approx U$  (cf. [5, Theorem 4]). Hence if  $y \in \text{Int } K$ ,  $f^{-1}(y)$  has an open neighborhood in  $K$  which is homeomorphic to  $E^k$ , and the existence of a locally flat  $k$ -cell  $D$  in  $\text{Int } K$  such that  $f^{-1}(y) \subset \text{Int } D$  follows immediately. On the other hand, if  $y \in \partial K$ , then  $f^{-1}(y)$  has an open neighborhood  $V$  in  $K$  such that  $(V, \partial V) \approx (E_+^k, E^{k-1})$  and the existence of the desired  $k$ -cell  $D$  again follows easily.

Haver [16] has proved that if  $M$  is an  $m$ -cell,  $m \neq 5$ , then every cell-like map  $f: \partial M \rightarrow \partial M$  can be extended to a map  $F: M \rightarrow M$  such that  $F| \text{Int } M$  is a homeomorphism onto  $\text{Int } M$ . In the next lemma we give a similar result in a more general setting.

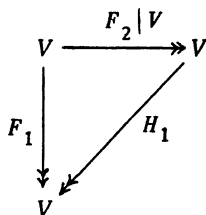
**3.7 Lemma.** Suppose  $K$  is a locally flat  $k$ -cell in the interior of an  $m$ -cell  $M$ , and assume that  $k \neq 4$  unless  $k = m = 4$ . Then every cell-like map  $f: K \rightarrow K$  for which  $S(K, f)$  holds can be extended to a map  $F: M \rightarrow M$  such that  $F| M - K$  is a homeomorphism onto  $M - K$ .

**Proof.** Suppose first that  $k = m$ , and let  $S = \partial K$ ; by Lemma 3.1,  $f(S) = S$ . If  $k = 5$ , then condition  $S(K, f)$  implies that  $f|S$  is a homeomorphism, and the existence of  $F$  follows trivially. Hence assume  $k \neq 5$ . Then since  $f|S: S \rightarrow S$  is cell-like and  $\dim S = k - 1 \neq 4$ , it follows from the complement to Theorem A, given in [26], that there is a map  $\Phi: S \times I \rightarrow S \times I$  such that for each  $t \in [0, 1]$ ,  $\Phi|S \times \{t\}$  is a homeomorphism onto  $S \times \{t\}$  and for each  $x \in S$ ,  $\Phi(x, 1) = (f(x), 1)$ . Let  $A = \text{Cl}(M - K)$ ; since  $K$  is locally flat in  $\text{Int } M$ ,  $A \approx S \times I$  and we may identify  $A$  and  $S \times I$ , with  $S$  corresponding to  $S \times \{1\}$ . Then  $\Phi: A \rightarrow M$ ,  $\Phi|S = f|S$  and  $\Phi|A - S$  is a homeomorphism onto  $A - S$ . If  $F: M \rightarrow M$  is defined by  $F(x) = f(x)$  if  $x \in K$ ,  $F(x) = \Phi(x)$  if  $x \in A$ , then  $F$  has the desired properties.

Suppose now that  $k < m$ . Since  $K$  is locally flat in  $\text{Int } M$ , it may be assumed that  $M$  is the standard  $m$ -ball  $B^m$  in  $E^m$  and that  $K \subset \text{Int } B^k$ , where  $B^k = \{(x_1, \dots, x_m) \in B^m | x_i = 0 \text{ for } i > k\}$ .

Let  $U = \text{Int } B^m$  and  $V = \text{Int } B^k$ . It follows from the first case considered that there is a map  $F_1: V \rightarrow V$  such that  $F_1|K = f$  and  $F_1|V - K$  is a homeomorphism onto  $V - K$ . Since  $(U, V) \approx (E^m, E^k)$ , it follows from the Addendum to Corollary 4 of [25] that there is a map  $F_2: U \rightarrow U$  such that  $F_2(V) = V$ ,  $\{F_2^{-1}(y) | y \in V\} = \{F_1^{-1}(x) | x \in V\}$  and  $F_2|U - K$  is a homeomorphism onto  $U - F_2(K)$ .

Since  $F_1$  and  $F_2$  are proper maps [28] and  $\{F_1^{-1}(x) | x \in V\} = \{F_2^{-1}(y) | y \in V\}$ , the function  $H_1$  defined on  $V$  by  $H_1(y) = F_1(F_2^{-1}(y))$ , for each  $y \in V$ , is a homeomorphism of  $V$  onto  $V$  and the diagram

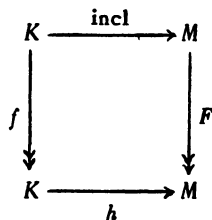


is commutative. It is clear that  $H_1$  may be extended to a homeomorphism  $H_2: U \rightarrow U$ . If  $F^* = H_2 \circ F_2$ , then  $F^*: U \rightarrow U$ ,  $F^*|K = f$  and  $F^*|U - K$  is a homeomorphism onto  $U - K$ .

Let  $C_1$  be a locally flat  $m$ -cell in  $U$  such that  $K \subset \text{Int } C_1$ . Let  $S_1 = \partial C_1$  and  $S_2 = F^*(S_1)$ , and let  $C_2$  denote the (locally flat)  $m$ -cell in  $U$  bounded by  $S_2$ . It is easy to verify that  $C_2 = F^*(C_1)$  and that  $K \subset \text{Int } C_2$ . Since  $K \subset \text{Int } C_1 \cap \text{Int } C_2$ , there exist homeomorphisms  $b_1: M \rightarrow C_1$  and  $b_2: M \rightarrow C_2$  such that  $b_1|K = b_2|K = \text{id}_K$ . If  $F = b_2^{-1}F^*b_1$ , then  $F: M \rightarrow M$ ,  $F|K = f$  and  $F|M - K$  is a homeomorphism onto  $M - K$ , as required.

**Remark.** It is not difficult to show that if  $k < m$ , the extension  $F$  of Lemma 3.7 may be chosen so as to be the identity on  $\partial M$ ; if  $k = m$ , this is possible if and only if  $f| \partial K$  is a map of degree 1. Since an orientation reversing homeomorphism of a closed interval  $K$  contained in a half-open interval  $M$  cannot be extended homeomorphically to all of  $M$ , it is clearly impossible to extend Lemma 3.7 directly to the case of an arbitrary locally flat  $k$ -manifold in the interior of an  $m$ -manifold. The next theorem shows that such problems can be overcome, in a sense, if one is allowed to change the embedding of  $K$  in  $M$ .

**3.8 Theorem.** *If  $K$  is a locally flat  $k$ -manifold in the interior of an  $m$ -manifold  $M$ ,  $k, m \neq 4$ , and  $f: K \rightarrow K$  is a proper cell-like map such that  $S(K, f)$  holds, then there exist a proper map  $F: M \rightarrow M$  and a locally flat embedding  $b: K \rightarrow M$  such that the diagram*



*is commutative, and such that  $F|M - K$  is a homeomorphism onto  $M - b(K)$ .*

Since the proof of this result seems more natural in terms of upper semi-continuous decompositions, we give an equivalent formulation of Theorem 3.8 in



these terms before proceeding with the argument.

**3.8a Theorem.** *If  $K$  is a locally flat  $k$ -manifold in the interior of an  $m$ -manifold  $M$ ,  $k, m \neq 4$ , and  $G_0$  is a simple decomposition of  $K$  such that  $S(K, G_0)$  holds, then  $G_0$  generates a simple decomposition  $G$  of  $M$ , and  $K/G_0$  is locally flat in  $M/G$ .*

**Proof.** We will show that each  $g \in G$  has a closed neighborhood  $C$  in  $M$  such that  $G_C$  generates a simple decomposition of  $M$ , and it will follow from Corollary 3.4 that  $G$  is a simple decomposition of  $M$ . Clearly we need consider only elements of  $G_0$ .

Suppose  $g_0 \in G_0$  and let  $D$  be a  $k$ -cell neighborhood of  $g_0$  in  $K$  satisfying the conditions of Lemma 3.6. Since  $G_0$  is upper semicontinuous, there exists an open neighborhood  $U$  of  $g_0$  in  $K$  such that every element of  $G_0$  which intersects  $\text{Cl } U$  lies in  $D - \text{Cl}(K - D)$ . Let  $G_1 = \{g \in G_0 \mid g \cap \text{Cl } U \neq \emptyset\}$  and let  $A = G_1^*$ . Then  $A$  is a closed neighborhood of  $g_0$  in  $K$ ,  $A \subset D - \text{Cl}(K - D)$  and  $A$  is saturated with respect to  $G_0$ . Since  $g \cap \partial D = g \cap \partial K$  for every  $g \in G_1$ , condition  $S(D, G_1)$  holds since  $S(K, G_0)$  does.

Let  $G_2$  denote the decomposition of  $D$  generated by  $G_1$  and  $G_3$  the decomposition of  $K$  generated by  $G_1$ . Let  $P_3: K \rightarrow K/G_3$  be the projection map for  $G_3$ . Since  $K/G_0 \approx K$ , it follows from Theorem 3.2 that  $K/G_3 \approx K$ . We wish to show that  $P_3(D) \approx D$ , and for this it will be sufficient (applying Theorem A to  $D, P_3(D), P_3|_D$ ) to show that  $P_3(D)$  is a  $k$ -manifold.

If  $x \in D - A$  and  $U$  is an open subset of  $K$  containing  $x$  and lying in  $K - A$ , then since  $P_3|_{K-A}$  is a homeomorphism onto  $K - A$ ,  $P_3(U) \cap P_3(D) \approx U \cap D$  and hence  $P_3(x)$  has a neighborhood in  $P_3(D)$  which is homeomorphic to a  $k$ -manifold. If  $x \in A$ , there is an open neighborhood  $U$  of  $x$  in  $K$  such that  $U \subset D - \text{Cl}(K - D)$  and  $U$  is saturated with respect to  $G_3$ . Then  $P_3(U)$  is open in  $P_3(K)$ ,  $P_3(U) \subset P_3(D)$  and, as in the proof of Lemma 3.6,  $P_3(U) \approx U$ . Hence  $P_3(U)$  is an open subset of  $P_3(D)$  which contains  $P_3(x)$  and is homeomorphic to a  $k$ -manifold. It follows that  $P_3(D)$  is itself a  $k$ -manifold, and hence  $P_3(D) \approx D$ . Since  $P_3(D) = D/G_2$ ,  $G_2$  is a simple decomposition of  $D$ . Since  $S(D, G_1)$  holds, it readily follows that  $S(D, G_2)$  also holds.

There is a locally flat  $m$ -cell  $B$  in  $M$  such that  $D \subset \text{Int } B$ , and there is a closed neighborhood  $C$  of  $g_0$  in  $M$  such that  $C \subset \text{Int } B$  and  $C \cap K = A$ . By Lemma 3.7,  $G_2$  generates a simple decomposition  $\tilde{G}_2$  of  $B$ , and  $D/G_2$  is locally flat in  $B/\tilde{G}_2$ . Since every nondegenerate element of  $G$  which intersects  $C$  is an element of  $G_1$  and therefore of  $G_2$ , the decomposition of  $B$  generated by  $G_C$  is identical with that generated by  $G_2$ ; hence  $G_C$  generates a simple decomposition of  $B$ , and it easily follows that  $G_C$  generates a simple decomposition  $\tilde{G}_C$  of  $M$ , and that if  $\tilde{P}: M \rightarrow M/\tilde{G}_C$  is the projection map, then  $\tilde{P}(K)$  is locally

flat in  $\tilde{P}(M)$  at the point  $\tilde{P}(g_0)$ . It follows from Corollary 3.4 that  $G$  is a simple decomposition of  $M$ , and from the observation above that if  $P: M \rightarrow M/G$  is the projection map, then  $P(K)$  is locally flat in  $P(M)$ .

**Remark.** Suppose  $K$  is a closed subset of a metric space  $M$  and  $f$  is a proper map of  $K$  onto  $K$ . If  $F_1, F_2$  are proper maps of  $M$  onto  $M$  and  $b_1, b_2$  are embeddings of  $K$  into  $M$  such that, for  $i = 1, 2$ , the diagram

$$\begin{array}{ccc} K & \xrightarrow{\text{incl}} & M \\ f \downarrow & & \downarrow F_i \\ K & \xrightarrow{\quad} & M \\ & h_i & \end{array}$$

is commutative and  $F_i|_{M-K}$  is a homeomorphism onto  $M - b_i(K)$ , then  $(F_1, b_1)$  and  $(F_2, b_2)$  are *topologically equivalent*, in the sense that there is a homeomorphism  $\psi: M \rightarrow M$  such that  $\psi \circ F_1 = F_2$  and  $\psi \circ b_1 = b_2$ . To see this, let  $G_0 = \{f^{-1}(x) | x \in K\}$  and let  $G = \tilde{G}_0(M)$ . Then  $\{F_i^{-1}(x) | x \in M\} = G$ ,  $i = 1, 2$ . Let  $P: M \rightarrow M/G$  be the projection map and define  $\phi_i: M/G \rightarrow M$  by  $\phi_i(y) = F_i(p^{-1}(y))$ . Since  $F_i$  is a proper map,  $\phi_i$  is a homeomorphism. It may be verified directly that the homeomorphism  $\psi = \phi_2 \circ \phi_1^{-1}: M \rightarrow M$  has the desired properties. It follows, in particular, that if  $K, M$  and  $f$  satisfy the hypothesis of Theorem 3.8, then for every pair  $(F', b')$  satisfying the above conditions,  $b'$  is necessarily a locally flat embedding.

With a suitable definition of local flatness, Theorem 3.8 can be shown to hold without the requirement that  $K$  be a subset of  $\text{Int } M$ . We sketch below a proof for the case  $K \cap \partial M = \partial K$ .

**3.9 Theorem.** *In Theorems 3.8 and 3.8a, the hypothesis that  $K \subset \text{Int } M$  may be replaced by the condition  $K \cap \partial M = \partial K$ .*

**Outline of proof.** The argument closely parallels that for Theorem 3.8a. We need to show that if  $g_0 \in G_0$  and  $g_0 \cap \partial M \neq \emptyset$ , then there is a closed neighborhood  $C$  of  $g_0$  in  $M$  such that  $G_C$  generates a simple decomposition  $\tilde{G}_C$  of  $M$ , and if  $\tilde{P}: M \rightarrow M/\tilde{G}_C$  is the projection map, then  $\tilde{P}(K)$  is locally flat in  $\tilde{P}(M)$  at the point  $\tilde{P}(g_0)$ .

As before, we use Lemma 3.6 to obtain a  $k$ -cell neighborhood  $D$  of  $g_0$  in  $K$  such that  $D$  is locally flat in  $M$  and  $D \cap \partial K$  is a locally flat  $(k-1)$ -cell in  $\partial D$ . Since  $D \cap \partial M = D \cap \partial K$  and  $K$  is locally flat in  $M$ , it follows that  $D \cap \partial M$  is locally flat in  $\partial M$ . We again choose a closed neighborhood  $A$  of  $g_0$  in  $K$  such that  $A$  is saturated with respect to  $G_0$  and  $A \subset D - \text{Cl}(K - D)$ . There

is a locally flat  $m$ -cell  $B$  in  $M$  such that  $D \subset B - \text{Cl}(M - B)$ ,  $B \cap \partial M$  is an  $(m - 1)$ -cell which is locally flat in  $M$  and in  $\partial B$ , and  $D \cap \partial M \subset \text{Int}(B \cap \partial M)$ . There is a closed neighborhood  $C$  of  $g_0$  in  $M$  such that  $C \cap K = A$  and  $C \subset B$ . We note that  $\tilde{G}_C(B) = \{g \in G_0 \mid g \subset A\} \cup \{\{p\} \mid p \in B - A\}$ , where  $\tilde{G}_C(B)$  is defined to be the decomposition of  $B$  generated by  $G_C$ . In order to prove that  $\tilde{G}_C(M)$  is a simple decomposition of  $M$ , it is sufficient to show that  $\tilde{P}(B) \approx B$ , where  $\tilde{P}: M \rightarrow M/\tilde{G}_C(M)$  is the projection map. We must also, of course, show that  $\tilde{P}(D)$  is locally flat in  $\tilde{P}(B)$ .

As usual, we let  $B^j$  denote the standard  $j$ -ball in  $E^j$ . We may identify  $B$  with  $B^{k-1} \times I \times B^{m-k}$ . We let  $\mathcal{O}$  denote the center of  $B^{m-k}$ , and identify  $B^k$  with  $B^{k-1} \times I \times \{\mathcal{O}\}$ . In view of the local flatness conditions imposed on  $D$  and  $D \cap \partial M$ , we may assume that  $D \subset B^k$  and  $D \cap \partial M \subset \text{Int}(B^{k-1} \times \{0\} \times \{\mathcal{O}\})$ .

The argument used in the proof of Theorem 3.8a to show that  $P_3(D) \approx D$  may be used here to show that  $\tilde{P}(B^k) \approx B^k$ . Let  $\phi: \tilde{P}(B^k) \rightarrow B^k$  be a homeomorphism and let  $f = \phi \circ (\tilde{P}|B^k)$ . Then  $f$  is a cell-like map of  $B^k$  onto  $B^k$  and  $S(B^k, f)$  holds.

Let  $L = \partial B^k - \text{Int}(B^{k-1} \times \{0\} \times \{\mathcal{O}\})$  and define  $\epsilon: B^k \rightarrow [0, \infty)$  by  $\epsilon(x) = d(x, L)$ . We may apply the complement to Theorem A of [26] to  $B^k - L$ ,  $f|B^k - L$  and  $\epsilon|B^k - L$  to obtain a homotopy  $h: (B^k - L) \times I \rightarrow B^k - L$  such that  $h_0 = f|B^k - L$ , and for each  $t \in (0, 1]$ ,  $h_t$  is a homeomorphism of  $B^k - L$  onto itself such that  $d(h_t(x), f(x)) < \epsilon(x)$  for all  $x \in B^k - L$ . Define a function  $H$  on  $B^k \times I$  by  $H(x, t) = h_t(x, t)$  if  $x \in B^k - L$ ,  $H(x, t) = f(x)$  if  $x \in L$ . It is easily shown that  $H: B^k \times I \rightarrow B^k$ ,  $H_0 = f$ , and for each  $t \in (0, 1]$ ,  $H_t$  is a homeomorphism of  $B^k$  onto  $B^k$  such that  $d(H_t(x), f(x)) \leq \epsilon(x)$  for all  $x \in B^k$ .

Now define  $F$  on  $B = B^{k-1} \times I \times B^{m-k}$  by  $F(x, s, y) = (H_t(x, s), y)$ , where  $t$  is the distance from  $y$  to  $\mathcal{O}$  in  $B^{m-k}$ . Then  $F: B^m \rightarrow B^m$  and  $\{F^{-1}(p) \mid p \in B\} = \tilde{G}_C(B)$ . It follows that  $B/\tilde{G}_C(B) \approx F(B) = B$ . Since  $F(B^k) = B^k$  and  $F|B - B^k$  is a homeomorphism onto  $B - B^k$ ,  $\tilde{P}(B^k)$  is locally flat in  $\tilde{P}(B)$  and hence  $\tilde{P}(K)$  is locally flat in  $\tilde{P}(M)$  at the point  $\tilde{P}(g_0)$ .

As in the proof of Theorem 3.8a, it now follows that  $G_0$  generates a simple decomposition  $G$  of  $M$ , and  $K/G_0$  is locally flat in  $K/G$ .

4. Specializations to  $E^3$ . If  $M = E^3$  (or  $S^3$ ), then for every cell-like map  $f$  of  $M$  onto a metric space  $Y$  and for every collection  $G$  of cell-like subsets of  $M$ , conditions  $S(M, f)$  and  $S(M, G)$  are satisfied. The theorems of §3 therefore have somewhat simpler hypotheses if  $M = E^3$  and we give here a few additional results for this special case.

We will say that a closed subset  $Y$  of a metric space  $X$  is *strongly simply embedded* in  $X$  if every cell-like upper semicontinuous decomposition of  $Y$ , simple or not, generates a simple decomposition of  $X$ .

The following is an immediate consequence of the Moore theorem [22, Theorem 22] and Theorem 8 of [15]. As usual, we regard  $E^2$  as the  $xy$ -plane in  $E^3$ .

**4.1 Lemma.** *Every closed subset of  $E^2$  is strongly simply embedded in  $E^3$ .*

**4.2 Theorem.** *Suppose  $X$  is a closed subset of  $E^3$  and  $G$  is a cell-like upper semicontinuous decomposition of  $X$ . If for each  $g \in G$  there is a homeomorphism of  $E^3$  onto itself which takes some closed neighborhood of  $g$  in  $X$  onto a subset of  $E^2$ , then  $G$  generates a simple decomposition of  $E^3$ .*

**Proof.** By hypothesis, for each  $g \in G$  there is a tame 2-cell  $D$  in  $E^3$  which contains a neighborhood of  $g$  in  $X$ . If  $A$  is a closed neighborhood of  $g$  in  $X$  such that  $A \subset D$  and  $A$  is saturated with respect to  $G$ , then by Lemma 4.1,  $G_A$  generates a simple decomposition of  $E^3$ . Hence, as in the proof of Theorem 3.8a, there is a closed neighborhood  $C$  of  $g$  in  $E^3$  such that  $G_C$  generates a simple decomposition of  $E^3$ , and it follows from Corollary 3.4 that  $G$  generates a simple decomposition of  $E^3$ .

**4.3 Corollary.** *Every tame 2-manifold in  $E^3$  is strongly simply embedded in  $E^3$ .*

**4.4 Corollary.** *Every tame 1-dimensional polyhedron in  $E^3$  is strongly simply embedded in  $E^3$ .*

Specializing Theorem 3.8a to  $E^3$  yields the following result (cf. [23, Theorem 4]).

**4.5 Theorem.** *Every tame 3-manifold in  $E^3$  is simply embedded in  $E^3$ .*

**5. Examples.** Since every proper subarc of an arc  $A$  generates a simple decomposition of  $A$ , it follows immediately that if  $A$  is simply embedded in  $E^3$ , then every proper subarc of  $A$  must be cellular in  $E^3$ . The arc  $A$  itself, however, need not be cellular. To see this, let  $A$  be an arc which is wild at each endpoint and locally tame elsewhere. Then  $A$  is noncellular [27, Theorem 10], but each proper subarc of  $A$  has at most one wild point and is therefore cellular [24, Theorem 3]. If  $G$  is a simple decomposition of  $A$ , then  $\tilde{G}$  has only a countable number of nondegenerate elements, each is a cellular arc and all but at most two are tame. It follows from an easy modification of Theorem 3 of [7] that  $E^3/\tilde{G} \approx E^3$ , and hence  $A$  is simply embedded in  $E^3$ .

Similarly, a simple closed curve in  $E^3$  is simply embedded if it has at most one wild point, but if it has two *isolated* wild points, then it contains a non-cellular arc and therefore is not simply embedded. It is possible, however, for

an everywhere wild simple closed curve (or arc) in  $E^3$  to be simply embedded.

**5.1 Example.** *There exists a (strongly) simply embedded simple closed curve in  $E^3$  which is wild at each of its points.*

**Proof.** Let  $K$  denote a wild simple closed curve as described by Bothe [11] which has the property that each homeomorphism of  $K$  onto  $K$  can be extended to a homeomorphism of  $E^3$  onto  $E^3$ . The curve  $K$  is obtained as the intersection of a sequence  $\{T_i\}$  of solid tori, with  $T_{i+1} \subset \text{Int } T_i$  for every  $i$ ; each  $T_i$  is the union of a circular chain  $\mathcal{C}_i = \{C_{i1}, C_{i2}, \dots, C_{in_i}\}$  of polyhedral 3-cells of diameter less than  $1/i$  such that the intersection of each two adjacent elements of  $\mathcal{C}_i$  is a disk which contains exactly one point of  $K$ , and for each  $i$ ,  $\mathcal{C}_{i+1}$  is a refinement of  $\mathcal{C}_i$ . For each  $i$ , let  $F_i = K \cap \bigcup_{j=1}^{n_i} \partial C_{ij}$  and let  $F = \bigcup_{i=1}^{\infty} F_i$ . Then  $F$  is a countable dense subset of  $K$ , and for each  $i$ ,  $F_i$  is finite and  $F_{i+1} \subset F_i$ .

Suppose  $G$  is a cell-like upper semicontinuous decomposition of  $K$ . Let  $H$  denote the set of nondegenerate elements of  $G$  and let  $D$  be a countable dense subset of  $K - H^*$ . Since  $F$  and  $F \cup D$  are countable dense subsets of the simple closed curve  $K$ , there is a homeomorphism  $\phi: K \rightarrow K$  such that  $\phi(F \cup D) = F$ . Let  $F' = \phi(D)$  and let  $H_1 = \phi(H)$ .

Suppose  $U$  is an open subset of  $E^3$  containing  $H_1^*$  and let  $A$  be an element of  $H_1$ . Since  $F'$  is a dense subset of  $K - H_1^*$ , each endpoint of  $A$  is a limit point of  $F'$  and it follows that there is an arc  $A' \subset K \cap U$  such that  $A \subset \text{Int } A'$  and the endpoints of  $A'$  belong to  $F'$ . Since each element of  $\mathcal{C}_i$  has diameter less than  $1/i$ , there is an integer  $n$  such that if  $i > n$ , every element of  $\mathcal{C}_i$  which intersects  $A'$  lies in  $U$ . Since  $F_i \supset F_{i+1}$  for every  $i$ , there is an integer  $i_0 > n$  such that both endpoints of  $A'$  belong to  $F_{i_0}$ . If  $C$  denotes the union of all the elements of  $\mathcal{C}_{i_0}$  whose interiors intersect  $A'$ , then  $C$  is a tame 3-cell lying in  $U$ ,  $A \subset \text{Int } C$ , and  $K \cap \partial C = \partial A' \subset F'$ . Since  $H_1^* \cap F' = \emptyset$ , repetition of this process yields a null family of disjoint tame 3-cells in  $U$  such that each element of  $H_1$  lies in the interior of some member of this family, and hence by Theorem 3 of [1],  $H_1$  generates a simple decomposition of  $E^3$ .

Since  $K$  is "homogeneously embedded,"  $\phi$  can be extended to a homeomorphism  $\Phi: E^3 \rightarrow E^3$ . Then  $\Phi(\tilde{G})$  is the decomposition of  $E^3$  generated by  $\Phi(H)$ . Since  $\Phi(H) = \phi(H) = H_1$  and  $H_1$  generates a simple decomposition of  $E^3$ , so does  $H$ . Hence  $G$  generates a simple decomposition of  $E^3$ , and it follows that  $K$  is strongly simply embedded in  $E^3$ .

We note that there exist nondegenerate continua in  $E^3$  for which every embedding into  $E^3$  is a simple embedding. Such are, for example, the continua described by Whyburn [29] and Cook [13] which have no simple decomposition other than the trivial decomposition into singletons. The next example shows

that the other extreme is also possible—a continuum may be embeddable in  $E^3$ , yet have no simple embedding at all.

We first collect some facts about upper semicontinuous decompositions of metric spaces. Let  $X$  be a metric space and  $G$  a collection of pairwise disjoint compact subsets of  $X$  such that  $G^* = X$ . Then it is known that  $G$  is an upper semicontinuous decomposition of  $X$  if and only if the following condition holds: for each sequence  $\{p_i\}$ ,  $p_i \in g_i \in G$ , which converges to a point of  $g \in G$  and any sequence  $\{q_i\}$ ,  $q_i \in g_i$ , some subsequence of  $\{q_i\}$  converges to a point of  $g$ .

The following observation, which is an easy consequence of the above characterization of upper semicontinuous decompositions, will be useful: If  $G$  is an upper semicontinuous decomposition of a metric space  $X$  and  $f$  is a proper map of  $X$  onto a metric space  $Y$ , then  $f(G)$  is an upper semicontinuous decomposition of  $Y$  provided the elements of  $f(G)$  are disjoint. (Note that it is not required that  $f$  map distinct elements of  $G$  onto distinct elements of  $f(G)$ , but only that if  $g, g' \in G$  and  $f(g) \cap f(g') \neq \emptyset$ , then  $f(g) = f(g')$ .)

The next lemma may be compared with Theorem 20 of [19].

**5.2 Lemma.** *If  $X$  is a compact metric space,  $G$  and  $G_1$  are upper semicontinuous decompositions of  $X$  such that  $G_1$  is a refinement of  $G$ , and  $P_1$  is the projection map of  $X$  onto  $X/G_1$ , then  $P_1(G)$  is an upper semicontinuous decomposition of  $X/G_1$  and  $X/G \approx (X/G_1)/P_1(G)$ .*

**Proof.** That  $P_1(G)$  is upper semicontinuous follows immediately from the observation made above.

To complete the proof, let  $X_1 = X/G_1$ ,  $G_2 = P_1(G)$  and  $Y = X_1/G_2$ . Let  $P_2: X_1 \rightarrow Y$  be the projection map associated with  $G_2$  and let  $f = p_2 \circ p_1$ . Then  $f: X \rightarrow Y$ , and in order to show that  $Y \approx X/G$  it is sufficient to show that for each  $g \in G$ ,  $f^{-1}(f(g)) = g$ . Since  $f^{-1}(f(g)) = P_1^{-1}P_2^{-1}P_2P_1(g) = P_1^{-1}(P_2^{-1}P_2(g_2))$ , where  $g_2 = P_1(g)$ , and  $P_2^{-1}P_2(g_2) = g_2$  (because  $g_2 \in G_2$  and  $P_2$  is the projection map for  $G_2$ ), it follows that  $f^{-1}(f(g)) = P_1^{-1}(P_1(g))$ .

If  $x \in P_1^{-1}(P_1(g))$ , then  $P_1(x) \in P_1(g)$  and hence there is an  $x' \in g$  such that  $P_1(x) = P_1(x')$ . Let  $g_1$  be the element of  $G_1$  containing  $x$  and  $g'_1$  the element of  $G_1$  containing  $x'$ . Then  $g'_1 = P_1^{-1}(P_1(x')) = P_1^{-1}(P_1(x)) = g_1$ . Since  $x' \in g \cap g'_1$  and  $G_1$  is a refinement of  $G$ ,  $g'_1 \subset g$ . Since  $x \in g_1 = g'_1 \subset g$ ,  $x \in g$ . Hence  $P_1^{-1}(P_1(g)) \subset g$  and therefore  $P_1^{-1}(P_1(g)) = g$ .

**5.3 Example.** *There exists a compact absolute retract in  $E^3$  which has no simple embedding in  $E^3$ .*

**Proof.** Let  $B_0$  denote the standard unit ball in  $E^3$  and let  $B_1, B_2, \dots$  be a sequence of spherical balls, each with center on the  $x$ -axis, such that, for  $i = 1, 2, \dots$ ,  $B_{i-1}$  and  $B_i$  are externally tangent at a point  $p_i$ , and such that

the sequence  $\{B_i\}_{i=0}^\infty$  converges to a point  $p$ . For each  $i$  let  $B_{-i}$  denote the reflection of  $B_i$  in the  $yz$ -plane, and let  $p_{-i}$  be the reflection of  $p_i$ . Let  $M$  denote the closure of the union of the balls  $B_i$ ,  $i = 0, \pm 1, \pm 2, \dots$ .

Let  $A_0$  be an arc from  $p_{-1}$  to  $p_1$  which lies except for its endpoints in  $\text{Int } B_0$  and which is wild at  $p_1$  and locally tame at all other points. For  $i = 1, 2, \dots$ , let  $\phi_i$  be a homeomorphism of  $B_0$  onto  $B_i$  which takes  $p_{-1}$  onto  $p_i$  and  $p_1$  onto  $p_{i+1}$ , and let  $A_i = \phi_i(A_0)$ . Let  $A = \bigcup_{i=2}^\infty A_i \cup \{p\}$  and let  $X = M/A$  (i.e.,  $X$  is the decomposition space of  $M$  given by the decomposition whose only nondegenerate element is  $A$ ).

Since  $A$  is cellular in  $E^3$  [24, Theorem 3],  $E^3/A \approx E^3$  and hence since  $X = M/A \subset E^3/A$ ,  $X$  is embeddable in  $E^3$ . Since  $M$  and  $A$  are absolute retracts, so is  $X$  [10, p. 131]. It remains to be shown that  $X$  has no simple embedding in  $E^3$ ; this will be done by showing that  $X$  contains an arc  $K$  such that  $X/K \approx X$  and such that if  $f: X \rightarrow E^3$  is any embedding, then  $f(K)$  is not cellular in  $E^3$ . It will follow that  $f(X)/f(K) \approx f(X)$  but  $E^3/f(K) \not\approx E^3$ , and therefore  $f(X)$  is not simply embedded in  $E^3$ .

Let  $P: M \rightarrow M/A$  be the projection map. There is a homeomorphism of  $M$  onto itself which takes  $A_0 \cup A_1 \cup A$  onto  $A$ , and hence  $M/(A_0 \cup A_1 \cup A) \approx M/A = X$ . By Lemma 5.2,  $M/(A_0 \cup A_1 \cup A) \approx (M/A)/P(A_0 \cup A_1)$ , and it follows that  $X \approx X/P(A_0 \cup A_1)$ .

Let  $A_0^-$  denote the reflection of  $A_0$  in the  $yz$ -plane and let  $K = P(A_0^- \cup A_1)$ . Let  $C = \text{Cl}(M - B_0)$  and let  $B'_0$  and  $C'$  be disjoint copies of  $B_0$  and  $C$ , respectively. Let  $A'_0$  be the arc in  $B'_0$  corresponding to  $A_0$ , and let  $A'_1$  and  $A'$  be the arcs in  $C'$  corresponding to  $A_1$  and  $A$ , respectively. Let  $p'_{-1}, p'_1$  be the points of  $B'_0$  corresponding to  $p_{-1}$  and  $p_1$ , and let  $p''_{-1}, p''_1$  be the points of  $C'$  corresponding to  $p_{-1}$  and  $p_1$ . Let  $M' = B'_0 \cup C'$ , and let  $f$  be a map of  $M'$  onto  $M$  which takes  $\{p''_{-1}, p'_{-1}\}$  onto  $p_{-1}$ , and  $\{p'_1, p''_1\}$  onto  $p_1$ , such that  $f$  is 1-1 on  $M' - \{p''_{-1}, p'_{-1}, p'_1, p''_1\}$  and takes  $A'_0 \cup A'_1 \cup A'$  onto  $A_0 \cup A_1 \cup A$ . Let  $g$  be a map of  $M'$  onto  $M$  which takes  $\{p''_{-1}, p'_1\}$  onto  $p_{-1}$ , and  $\{p'_{-1}, p''_1\}$  onto  $p_1$ , such that  $g$  is 1-1 on  $M' - \{p''_{-1}, p'_{-1}, p'_1, p''_1\}$  and takes  $A'_0 \cup A'_1 \cup A'$  onto  $A_0^- \cup A_1 \cup A$ . Then by Lemma 5.2,  $M'/(A'_0 \cup A'_1 \cup A') \approx f(M')/f(A'_0 \cup A'_1 \cup A') = M/(A_0 \cup A_1 \cup A) \approx X$  and  $M'/(A'_0 \cup A'_1 \cup A') \approx g(M')/g(A'_0 \cup A'_1 \cup A') = M/(A_0^- \cup A_1 \cup A)$ . It follows that  $X \approx M/(A_0^- \cup A_1 \cup A) \approx (M/A)/P(A_0^- \cup A_1) = X/K$ .

If  $f: X \rightarrow E^3$  is an embedding and  $g = f|P(B_0 \cup B_1)$ , then  $g$  is an embedding of the union of the 3-cells  $P(B_0)$  and  $P(B_1)$  and it follows [21, Lemma 4] that  $f(K)$  is wild at each of its endpoints. Since  $f(K)$  is locally tame at all other points except possibly  $f(p_1)$ ,  $f(K)$  is not cellular in  $E^3$  [27, Theorem 10] and it follows, as indicated above, that  $f(X)$  is not simply embedded in  $E^3$ .

6. Questions and further comments. In order that a closed set  $X \subset E^n$  should be simply embedded, it is necessary that each element of any simple decomposition of  $X$  be cellular in  $E^n$  ( $n \neq 4$ ). That this condition is not sufficient in general may be seen from the following example: Let  $H$  denote the set of nondegenerate elements of Bing's dogbone decomposition [8], constructed so that each element of  $H$  has its upper endpoint on a given horizontal plane  $\alpha$  and otherwise lies wholly below  $\alpha$ , and let  $X$  denote the union of  $H^*$  and all vertical intervals of length 1 with lower endpoint in  $\alpha \cap H^*$ . Then if  $G$  is any monotone decomposition of  $X$ , each element of  $G$  is either a point or a tame arc;  $X$  is not simply embedded in  $E^3$ , however, since the decomposition of  $X$  whose nondegenerate elements are the elements of  $H$  is a simple decomposition of  $X$  which does not generate a simple decomposition of  $E^3$ . Whether the condition that each element of each simple decomposition of  $X$  be cellular in  $E^n$  is sufficient, in certain special cases, to insure that  $X$  be simply embedded is the gist of our first question.

6.1 Suppose  $X$  is a closed subset of  $E^n$ . Which, if any, of the following conditions implies that  $X$  is simply embedded in  $E^n$ ?

- (a)  $X$  is an arc and every proper subarc of  $X$  is cellular in  $E^n$ .
- (b)  $X$  is a simple closed curve and every arc in  $X$  is cellular in  $E^n$ .
- (c)  $X$  is a  $k$ -manifold and every  $k$ -cell in  $X$  is cellular in  $E^n$ ,  $k < n$ .
- (d)  $X$  is an  $n$ -manifold and every  $(n-1)$ -cell in  $\partial X$  is cellular in  $E^n$ .

It was remarked in §4 that every 1-dimensional polyhedron in  $E^3$  is strongly simply embedded. Not every 2-dimensional polyhedron in  $E^3$  is strongly simply embedded, however, since, for example, if  $X$  is a "book-with-one-page" (the product of a triod and an interval), then  $X$  contains a noncellular arc and hence has a cell-like decomposition which does not generate a simple decomposition of  $E^3$ . In this case, at least, it appears that every simple decomposition of  $X$  generates a simple decomposition of  $E^3$ , which suggests the following question.

6.2 Is every polyhedron in  $E^3$  simply embedded in  $E^3$ ?

Example 5.3 is a (3-dimensional) absolute retract in  $E^3$  which has no simple embedding in  $E^3$ , and it seems likely that a 2-dimensional AR with this property could be obtained similarly by replacing each  $B_i$  of Example 5.3 by a book-with-one-page. Every 1-dimensional AR, however, is embeddable in  $E^2$  and hence, by Lemma 4.1, has a (strongly) simple embedding in  $E^3$ ; dropping the "absolute retract" condition leads to the following question (which we conjecture has a negative answer).

6.3 Does every 1-dimensional continuum have a simple embedding in  $E^3$ ?

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