

## WEIGHTED GROTHENDIECK SUBSPACES

BY

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**ABSTRACT.** Let  $V$  be a family of nonnegative upper semicontinuous functions on a completely regular Hausdorff space  $X$ . For a locally convex Hausdorff space  $E$ , let  $CV_\infty(X; E)$  be the corresponding Nachbin space, that is, the vector space of all continuous functions  $f$  from  $X$  into  $E$  such that  $vf$  vanishes at infinity for all  $v \in V$ , endowed with the topology given by the seminorms of the type  $f \mapsto \sup \{v(x)p(\tilde{f}(x)); x \in X\}$ , where  $v \in V$  and  $p$  is a continuous seminorm on  $E$ . Given a vector subspace  $L$  of  $CV_\infty(X; E)$ , the set of all pairs  $x, y \in X$  such that either  $0 = \delta_x|_L = \delta_y|_L$  or there is  $t \in \mathbb{R}$ ,  $t \neq 0$ , such that  $0 \neq \delta_x|_L = t\delta_y|_L$ , is an equivalence relation, denoted by  $G_L$ , and we define for  $(x, y) \in G_L$ ,  $g(x, y) = 0$  or  $t$ , accordingly. The subsets  $KS_L$ , resp.  $WS_L$ , where  $g(x, y) \geq 0$ , resp.  $g(x, y) \in \{0, 1\}$ , are likewise equivalence relations. The  $G$ -hull (resp.  $KS$ -hull,  $WS$ -hull) of  $L$  is the vector subspace  $\{f \in CV_\infty(X; E); f(x) = g(x, y)f(y) \text{ for all } (x, y) \in G_L \text{ (resp. } KS_L, WS_L)\}$ , and  $L$  is a  $G$ -space (resp.  $KS$ -space,  $WS$ -space) if its  $G$ -hull (resp.  $KS$ -hull,  $WS$ -hull) is contained in its closure. This paper is devoted to the characterization, by invariance properties, of the  $G$ -spaces resp.  $KS$ -spaces and  $WS$ -spaces of a given Nachbin space  $CV_\infty(X; E)$ . As an application we derive an infinite-dimensional Weierstrass polynomial approximation theorem, and a Tietze extension theorem for Banach space valued compact mappings.

**1. Introduction.** Let  $X$  be a completely regular Hausdorff space, and let  $E$  be a locally convex Hausdorff space, not reduced to  $\{0\}$ . The vector space of all  $E$ -valued continuous functions on  $X$  will be denoted by  $C(X; E)$ , while  $C_b(X; E)$  denotes the subspace of all  $f \in C(X; E)$  which are bounded. A *weight* on  $X$  is a nonnegative upper semicontinuous function on  $X$ . A set  $V$  of weights on  $X$  is directed if, for all  $u, v \in V$ , there are  $w \in V$  and  $t > 0$  such that  $u \leq tw$  and  $v \leq tw$  pointwise. All sets of weights considered will be directed and  $V > 0$ , i.e., given  $x \in X$  there is  $v \in V$  such that  $v(x) > 0$ . Given such a  $V$  the *Nachbin space*  $CV_\infty(X; E)$  is the vector subspace of all  $f \in C(X; E)$  such that  $vf$  vanishes at infinity, for every  $v \in V$ , endowed with the topology determined by the seminorms  $f \mapsto \sup \{v(x)p(f(x)); x \in X\}$ , where  $v \in V$ , and  $p \in s(E)$ , a fixed

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determining set of continuous seminorms on  $E$ . The following definitions are from Blatter [2].

Let  $L$  be a vector subspace of  $CV_\infty(X; E)$ . The set  $G_L$  of all pairs  $x, y \in X$  such that either  $0 = \delta_x|L = \delta_y|L$  or there exists  $t \in \mathbb{R}, t \neq 0$ , such that  $0 \neq \delta_x|L = t\delta_y|L$ , is an equivalence relation for  $X$ , and we define a map  $g: G_L \rightarrow \mathbb{R}$  as follows:

$$\begin{aligned} g(x, y) &= 0, & \text{if } 0 = \delta_x|L = \delta_y|L; \\ g(x, y) &= t, & \text{if } 0 \neq \delta_x|L = t\delta_y|L. \end{aligned}$$

The sets  $KS_L$  and  $WS_L$  of all pairs  $(x, y) \in G_L$  such that  $g(x, y) \geq 0$  and  $g(x, y) \in \{0, 1\}$ , respectively, are likewise equivalence relations for  $X$ . Let  $\Delta \in \{G, KS, WS\}$ . The vector subspace  $\Delta(L) = \{f \in CV_\infty(X; E); f(x) = g(x, y)f(y) \text{ for all } (x, y) \in \Delta_L\}$  is called the  $\Delta$ -bull of  $L$  in  $CV_\infty(X; E)$ , and  $L$  is called a  $\Delta$ -space if  $\Delta(L)$  is the closure of  $L$  in  $CV_\infty(X; E)$ . Notice that, by the hypothesis made on  $V$ , the closure of  $L$  is always contained in  $\Delta(L)$ . The letters  $G, KS$ , and  $WS$  stand for Grothendieck, Kakutani-Stone, and Weierstrass-Stone respectively.

The object of the present paper is to characterize, by invariance properties, those subspaces  $L$  which are  $\Delta$ -spaces. Our results are as follows. Let  $A(\Delta)$  be the algebra of all  $b \in C_b(X; \mathbb{R})$  which are constant on the equivalence classes modulo  $\Delta_L$ . Assume that  $A(\Delta)$  separates the equivalence classes modulo  $\Delta_L$ . (This is automatically satisfied in the case  $\Delta = WS$ .) Let  $M = \{u^*(f); u^* \in E^*, f \in L\}$ . Then:

- (1)  $L$  is a  $WS$ -space, if  $L$  is a polynomial algebra such that  $M$  is localizable under itself in  $CV_\infty(X; \mathbb{K})$ .
- (2)  $L$  is a  $KS$ -space, if  $L$  is a latticial subspace.
- (3)  $L$  is a  $G$ -space, if  $L$  is a Lindenstrauss-Wulbert subspace (see Definition 3.10).

In the spirit of Stone's paper [11], we apply some of these results to reobtain vector-valued versions of some classical theorems.

The following elementary result will be used many times.

**1.1 Lemma.** *Let  $L$  be a vector subspace of  $CV_\infty(X; E)$ . The following statements are equivalent.*

- (1)  $M = \{u^*(f); u^* \in E^*, f \in L\}$  is a vector subspace of  $CV_\infty(X; \mathbb{K})$  and  $M \otimes E \subset L$ . If  $L$  is closed,  $M$  is closed, too.
- (2) Given  $u^* \in E^*, f \in L$ , and  $u \in E$ , then  $u^*(f)u \in L$ .

**Proof.** It is obvious that (1) implies (2). Conversely, assume (2).  $M$  is clearly invariant under scalar multiplication. Let  $u^*, v^* \in E^*$ , and  $f, h \in L$  be given. We may assume that  $u^*$  and  $v^*$  are linearly independent. Choose  $u, v \in E$  such that  $u^*(u) = v^*(v) = 1, u^*(v) = v^*(u) = 0$ . By (2),  $k = u^*(f)u + v^*(h)v$  belongs

to  $L$ . Let  $w^* = u^* + v^*$ . Then  $u^*(f) + v^*(b) = w^*(k) \in M$ . Obviously  $M \otimes E \subset L$ . Suppose now that  $L$  is closed. Let  $f$  be in the closure of  $M$ . Choose  $u^* \in E^*$  and  $u \in E$  such that  $u^*(u) = 1$ . Set  $b = f \otimes u$ . Given  $v \in V$ ,  $p \in s(E)$ , and  $\epsilon > 0$ , there exists  $w^*(k) \in M$  such that  $v(x)|f(x) - w^*(k(x))| < \epsilon/(1 + p(u))$  for all  $x \in X$ . Then  $w^*(k)u \in L$  and  $v(x)p(b(x) - w^*(k(x))u) < \epsilon$  for all  $x \in X$ . Since  $L$  is closed,  $b \in L$ . Therefore,  $f = u^*(b) \in M$ , and  $M$  is closed.

## 2. Weierstrass-Stone spaces.

**2.1 Definition.** Let  $E$  and  $F$  be two nonzero topological vector spaces.

For each integer  $n \geq 1$ ,  $P_f(^nE; F)$  denotes the vector subspace of  $C(E; F)$  generated by the set of all maps of the form  $x \mapsto u^*(x)^n u$ , where  $u^* \in E^*$ , the topological dual of  $E$ , and  $u \in F$ . The elements of  $P_f(^nE; F)$  are called *n-homogeneous continuous polynomials of finite type* from  $E$  into  $F$ . The vector subspace generated by the union of all  $P_f(^nE; F)$ ,  $n \geq 1$ , and the constant maps, is denoted by  $P_f(E; F)$ . Similarly,  $L_f(^nE; F)$ , for each integer  $n \geq 1$ , denotes the vector space generated by the set of all maps of the form  $(x_1, \dots, x_n) \mapsto u_1^*(x_1) \dots u_n^*(x_n)u$  where  $u_1^*, \dots, u_n^* \in E^*$  and  $u \in F$ . The elements of  $L_f(^nE; F)$  are called *n-linear continuous mappings of finite type* from  $E^n$  into  $F$ .

**2.2 Lemma.** Let  $L$  be a vector subspace of  $CV_\infty(X; E)$ . The following statements are equivalent.

- (1) Given  $b_1, \dots, b_n \in L$  and  $T \in L_f(^nE; E)$ , where  $n \geq 1$ , then  $T(b_1, \dots, b_n) \in L$ .
- (2) Given  $b \in L$  and  $p \in P_f(^nE; E)$ , where  $n \geq 1$ , then  $p(b) \in L$ .
- (3)  $M = \{u^*(b); u^* \in E^*, b \in L\}$  is an algebra contained in  $CV_\infty(X; K)$  such that  $M \otimes E \subset L$ .
- (4) (a) Given  $b \in L$ ,  $u^* \in E^*$ , and  $u \in E$ , then  $u^*(b)u \in L$ .  
 (b) There exists a continuous map  $T: E \times E \rightarrow E$  such that  $T(v, v) \neq 0$  for some  $v \in E$  for which  $T(av, bv) = abT(v, v)$  for all  $a, b \in K$  and  $T(b, k) \in L$  for all  $b, k \in L$ .

**Proof.** It is obvious that (1) implies (2). Assume (2). By the Lemma 1.1 the set  $M$  is a vector subspace of  $CV_\infty(X; K)$  such that  $M \otimes E \subset L$ . Since  $4u^*(b)v^*(k) = [u^*(b) + v^*(k)]^2 - [u^*(b) - v^*(k)]^2$ , all that remains to prove is that  $u^*(b)^2$  belongs to  $M$  for any  $u^* \in E^*$  and  $b \in L$ . If  $u^* = 0$ , there is nothing to prove. Let  $u \in E$  be such that  $u^*(u) = 1$ , and define  $p(t) = u^*(t)^2 u$  for all  $t \in E$ . By (2),  $k = p(b) \in L$ . Since  $u^*(k) = u^*(b)^2$ , the set  $M$  is an algebra. Assume now (3). Part (a) follows immediately. To prove part (b) of (4), consider  $u^* \in E^*$  and  $v \in E$  with  $u^*(v) = 1$ . Define  $T(s, t) = u^*(s)u^*(t)v$  for all  $s, t \in E$ . Then  $T$  satisfies all requirements. Finally, assume (4). Let  $b_1, \dots, b_n \in L$ ,  $u_1^*, \dots, u_n^* \in E^*$ , and  $u \in E$  be given. We claim that  $u_1^*(b_1) \dots u_n^*(b_n)u \in L$ .

The proof is by induction. If  $n = 1$ , the conclusion follows from part (a). Assume that the conclusion is true for  $m$ . Then, given  $b_i, u_i^*, i = 1, \dots, m + 1$ , we have  $u_1^*(b_1) \dots u_m^*(b_m)v \in L$ . Call it  $b$ . Let  $k = u_{m+1}^*(b_{m+1})v$ . Then  $k \in L$ , by part (a), and  $T(b, k) \in L$ , by part (b). Choose  $v^* \in E^*$  such that  $v^*(T(v, v)) = 1$ . By part (a),  $v^*(T(b, k))u = u_1^*(b_1) \dots u_{m+1}^*(b_{m+1})u \in L$ , and the proof is complete.

**2.3 Definition.** A vector subspace  $L \subset CV_\infty(X; E)$  is called a *polynomial algebra* if any of the equivalent statements (1)–(4) is true.

**2.4 Remark.** The name "polynomial algebra" was introduced by Wulbert (unpublished) for vector subspaces satisfying (2), when one allows all continuous polynomials and not just those of finite type. Subspaces  $L$  of  $C(X; E)$ , for  $X$  compact and  $E$  a real Banach space, satisfying (1) were considered by Pełczyński [9], but he allowed  $T$  to be any multilinear continuous mapping and  $L$  contained the constants. Blatter, in [2], considered subspaces of  $C_\infty(X; E)$ , for  $X$  locally compact and  $E$  a real Banach space, satisfying (4).

**2.5 Remark.** When  $E = K$ ,  $L \subset CV_\infty(X; K)$  satisfies (1)–(4) if, and only if,  $L$  is an algebra under pointwise operations, and the equivalence relation  $WS_L$  is just the usual equivalence relation  $X/L$  defined by  $x \sim y$  if, and only if,  $b(x) = b(y)$  for all  $b \in L$ . We recall that, in this case,  $L$  is called *localizable* under itself in  $CV_\infty(X; K)$  (see Nachbin [7]) when the following condition holds true: A function  $f \in CV_\infty(X; K)$  is in the closure of  $L$  if (and always if), for any  $v \in V$ , any  $\epsilon > 0$ , and any equivalence class  $Y \subset X$  modulo  $X/L$ , there exists  $b \in L$  such that  $v(x)|f(x) - b(x)| < \epsilon$  for all  $x \in Y$ . It is immediate that  $L$  is localizable if, and only if,  $L$  is a  $WS$ -space. Theorem 4 of Nachbin [7] gives a sufficient condition for  $L$  to be localizable in  $CV_\infty(X; K)$ .

**2.6 Proposition.** Suppose that  $CV_\infty(X; E)$  is a polynomial algebra and let  $L$  be a vector subspace of  $CV_\infty(X; E)$ . The  $WS$ -hull of  $L$  is a polynomial algebra such that  $M = \{u^*(b); u^* \in E^*, b \in WS(L)\}$  is localizable in  $CV_\infty(X; K)$ .

**Proof.** Let  $b \in WS(L)$ ,  $u^* \in E^*$ ,  $u \in E$ , and  $n \geq 1$  be given. Let  $k = u^*(b)^n u$ . Since  $CV_\infty(X; E)$  is a polynomial algebra,  $k \in CV_\infty(X; E)$ . Let  $(x, y) \in WS_L$ . Then  $k(x) = u^*(b(x))^n u = u^*(g(x, y)b(y))^n u = g(x, y)k(y)$ , since  $g(x, y) \in \{0, 1\}$ . Hence  $k \in WS(L)$ , and therefore  $WS(L)$  is a polynomial algebra. On the other hand, let  $f \in CV_\infty(X; K)$  belong to  $WS(M)$ . Choose  $u \in E$  and  $u^* \in E^*$  such that  $u^*(u) = 1$ . Then  $b = f \otimes u$  belongs to  $WS(L)$ , because the formation of  $WS$ -hulls is idempotent. Therefore,  $u^*(b) = f \in M$ , and  $M$  is localizable in  $CV_\infty(X; K)$ .

**2.7 Corollary.** Suppose that  $CV_\infty(X; E)$  is a polynomial algebra and let  $L$  be a Weierstrass-Stone space contained in  $CV_\infty(X; E)$ . Then its closure is a poly-

nomial algebra and  $M = \{u^*(b); u^* \in E^*, b \in L\}$  is localizable in  $CV_\infty(X; K)$ .

**2.8 Lemma.** Let  $A$  be a selfadjoint subalgebra of  $C(X; K)$  such that every  $b \in A$  is bounded on the support of every  $v \in V$ , and let  $L$  be a vector subspace of  $CV_\infty(X; E)$  which is an  $A$ -module. Then,  $f \in CV_\infty(X; E)$  is in the closure of  $L$  if (and always only if), for every equivalence class  $Y \subset X$  modulo  $X/A$ , every  $v \in V$ , every  $p \in s(E)$ , and every  $\epsilon > 0$ , there is  $k \in L$  such that for all  $x \in Y$ ,  $v(x)p(f(x) - k(x)) < \epsilon$ .

**Proof.** This is an immediate corollary to Theorem 2 of [8].

**2.9 Theorem.** Let  $L$  be a vector subspace of  $CV_\infty(X; E)$  which is a polynomial algebra such that  $M = \{u^*(b); u^* \in E^*, b \in L\}$  is localizable in  $CV_\infty(X; K)$ . Then  $L$  is a WS-space.

**Proof.** Let  $A = A(WS)$  be the algebra of all  $f \in C_b(X; R)$  which are constant on the equivalence classes modulo  $WS_L$ . Notice that  $WS(M)$  is an  $A$ -module. Therefore, since  $M$  is localizable,  $\bar{M}$  is an  $A$ -module. Let  $b \in WS(L)$ . Let  $Y \subset X$  be an equivalence class modulo  $X/A$ , let  $v \in V$ ,  $p \in s(E)$ , and  $\epsilon > 0$  be given. Since  $M$  and  $A$  define the same equivalence relation on  $X$ , namely  $WS_L$ ,  $b$  is constant on  $Y$ . Let  $u \in E$  be this constant value. If  $u = 0$ , then  $0 \in M \otimes E$  is such that  $v(x)p(b(x) - 0) = 0 < \epsilon$  for all  $x \in Y$ . If  $u \neq 0$ , there exists  $f \in L$  whose constant value on  $Y$ , say  $t$ , is not zero. Let  $u^* \in E^*$  be such that  $u^*(t) = 1$ . Then  $k = u^*(f)u$  belongs to  $M \otimes E$  and  $v(x)p(b(x) - k(x)) = 0 < \epsilon$  for all  $x \in Y$ . By Lemma 2.8,  $b$  belongs to the closure of  $\bar{M} \otimes E$ . Since  $\bar{M} \otimes E$  is contained in the closure of  $L$ ,  $L$  is a Weierstrass-Stone space.

**2.10 Remark.** A subset  $L$  of  $CV_\infty(X; E)$  is called *separating* if for any  $x, y \in X$  with  $x \neq y$ , there is  $b \in L$  such that  $b(x) \neq b(y)$ , i.e., if  $WS_L$  reduces to the diagonal. In this case,  $WS(L)$  is precisely the set of all  $b \in CV_\infty(X; E)$  which vanish on the subset  $Z(L) = \{x \in X; f(x) = 0 \text{ for all } f \in L\}$ . When  $Z(L) = \emptyset$ , we say that  $L$  is *everywhere different from zero*.

**2.11 Corollary.** Let  $L$  be as in Theorem 2.9. Suppose that  $L$  is separating. Then,  $f \in CV_\infty(X; E)$  belongs to the closure of  $L$  if, and only if,  $f$  vanishes on the set  $Z(L)$ .

**2.12 Corollary.** Let  $L$  be as in Theorem 2.9. Suppose that  $L$  is separating and everywhere different from zero. Then  $L$  is dense in  $CV_\infty(X; E)$ .

**3. Kakutani-Stone and Grothendieck spaces.** In this paragraph  $E$  is a real locally convex Hausdorff space.

**3.1 Definition.** A subset  $M \subset C(X; R)$  is a lattice if  $\sup(f, b) \in M$  and  $\inf(f, b) \in M$  whenever  $f, b \in M$ .

**3.2 Theorem (Nachbin [7]).** *Let  $M$  be a sublattice of  $CV_\infty(X; \mathbb{R})$ . A function  $f \in CV_\infty(X; \mathbb{R})$  is in the closure of  $M$  if, and only if, for every pair  $x, y \in X$  and every  $\epsilon > 0$ , there exists  $h \in M$  such that  $|b(x) - f(x)| < \epsilon$  and  $|b(y) - f(y)| < \epsilon$ .*

**3.3 Remark.** It follows from Definition 3.1 that the KS-hull of any vector subspace  $M$  of  $CV_\infty(X; \mathbb{R})$  is a vector lattice.

**3.4 Theorem.** *Any vector sublattice of  $CV_\infty(X; \mathbb{R})$  is a Kakutani-Stone space.*

**Proof.** Let  $M$  be a vector sublattice of  $CV_\infty(X; \mathbb{R})$  and let  $b \in KS(M)$ . Let  $x, y \in X$  be given arbitrarily.

*Case I.*  $0 = \delta_x|M$  or  $0 = \delta_y|M$ . Suppose  $0 = \delta_x|M$ . Then  $g(x, x) = 0$  and  $b(x) = 0$ . If  $(x, y) \in KS_M$ , then  $b(y) = 0$  too, and  $0 \in M$  agrees with  $b$  at  $x$  and  $y$ . If  $(x, y) \notin KS_M$ , then there is some  $f \in M$  such that  $f(x) = 0 = b(x)$  and  $f(y) = b(y)$ . The case  $0 = \delta_y|M$  is analogous.

*Case II.*  $0 \neq \delta_x|M$  and  $0 \neq \delta_y|M$ . If  $(x, y) \in KS_M$ , then  $0 \neq \delta_x|M = r\delta_y|M$  for some  $r > 0$ . Choose  $f \in M$  such that  $f(x) = b(x)$ . Then  $f(y) = b(y)$ , because both  $f$  and  $b$  belong to  $KS(M)$ . If  $(x, y) \notin KS_M$ ,  $\delta_x|M \neq r\delta_y|M$  for all  $r > 0$ . Choose  $f_x \in M$  such that  $f_x(x) = 1$ . If  $f_x(y) \leq 0$ , we can in fact suppose  $f_x(y) = 0$ , by taking  $\sup(f_x, 0) \in M$ , if necessary. Choose  $f_y \in M$  with  $f_y(y) = 1$ . Then, the function  $f = (b(x) - b(y)f_y(x))f_x + b(y)f_y$  belongs to  $M$  and is such that  $f(x) = b(x)$  and  $f(y) = b(y)$ . If  $f_x(y) > 0$ , there is  $b_y \in M$  such that  $b_y(x) \neq (f_x(y))^{-1}b_y(y)$ . Hence the system

$$af_x(x) + bb_y(x) = b(x), \quad af_x(y) + bb_y(y) = b(y)$$

can be solved for  $a$  and  $b$ . Then  $f = af_x + bb_y \in M$  agrees with  $b$  at  $x$  and  $y$ . From Theorem 3.2,  $b$  belongs to the closure of  $M$ , and therefore,  $M$  is a Kakutani-Stone space.

**3.5 Corollary.** *Let  $M$  be a closed vector subspace of  $CV_\infty(X; \mathbb{R})$ . Then,  $M$  is a KS-space if, and only if,  $M$  is a vector sublattice.*

**3.6 Proposition.** *A vector subspace  $M$  of  $CV_\infty(X; \mathbb{R})$  is a G-space if, and only if,  $\bar{M}$  is determined by its restrictions to the two-point subsets of  $X$ .*

**Proof.** For any vector subspace  $M$  of  $CV_\infty(X; \mathbb{R})$ , it follows from the definition of  $G(M)$  that  $G(M)$  is determined by its restrictions to the two-point subsets of  $X$ . Conversely, if  $\bar{M}$  is determined by its restrictions to the two-point subsets of  $X$ , then an obvious modification of the argument presented in the proof of Theorem 3.4 proves that  $M$  is a G-space.

**3.7 Remark.** For any vector subspace  $M$  of  $CV_\infty(X; \mathbb{R})$ , it follows from the definition of  $G(M)$  that  $G(M)$  contains the function  $\sup(0, f, b) + \inf(0, f, b)$ , whenever it contains  $f$  and  $b$ .

**3.8 Theorem.** *Let  $M$  be a vector subspace of  $CV_\infty(X; \mathbb{R})$  such that  $M$  contains  $\sup(0, f, b) + \inf(0, f, b)$  whenever it contains  $f$  and  $b$ . Then  $M$  is a Grothendieck space.*

**Proof.** This result can be proved by modifying conveniently the argument of Lindenstrauss and Wulbert presented in the proof of Theorem 2' of [5], making use of the fact that, for each  $f \in CV_\infty(X; \mathbb{R})$ ,  $v \in V$ , and  $\epsilon > 0$ , the set  $K = \{x \in X; v(x)|f(x)| \geq \epsilon\}$  is compact.

**3.9 Remark.** It follows from the above results that, if  $M$  is an algebra contained in  $CV_\infty(X; \mathbb{R})$  such that its closure is a lattice, then  $M$  is localizable. More generally, if the closure of  $M$  is a  $G$ -space, then  $M$  is localizable.

**3.10 Definition.** A vector subspace  $L \subset CV_\infty(X; E)$  is called a *lattice subspace* (respectively a *Lindenstrauss-Wulbert subspace*) if it satisfies the following conditions:

- (a) For each  $u^* \in E^*$ ,  $u \in E$ , and  $b \in L$ , then  $u^*(b)u \in L$ .
- (b) There exists a continuous mapping  $T: E \times E \rightarrow E$  such that for some  $v \in E$ ,  $T(v, v) \neq 0$  and, for all pairs  $a, b \in \mathbb{R}$ ,  $T(av, bv) = \max(a, b)T(v, v)$  (respectively  $T(av, bv) = (\max(0, a, b) + \min(0, a, b))T(v, v)$ ) and  $T(f, b) \in L$ , for all  $f, b \in L$ .

**3.11 Proposition.** *Let  $L$  be a (closed) vector subspace of  $CV_\infty(X; E)$  and let  $M = \{u^*(f); u^* \in E^*, f \in L\}$ . Consider the following statements.*

- (1)  $L$  is a lattice subspace.
- (2)  $M$  is a (closed)  $KS$ -space such that  $M \otimes E \subset L$ .
- (3)  $L$  is a Lindenstrauss-Wulbert subspace.
- (4)  $M$  is a (closed)  $G$ -space such that  $M \otimes E \subset L$ .

*Then, (1) is equivalent to (2), and (3) is equivalent to (4).*

**Proof.** The proofs are similar to the proof of Lemma 2.2, and make use of Theorems 3.4 and 3.8.

**3.12 Proposition.** *Let  $L$  be a vector subspace of  $CV_\infty(X; E)$ . Then  $KS(L)$  is a lattice subspace, and  $G(L)$  is a Lindenstrauss-Wulbert subspace.*

**Proof.** Let  $u^* \in E^*$ ,  $u \in E$ , and  $f \in KS(L)$  be given. Let  $b = u^*(f)u$ . For any pair  $(x, y) \in KS_L$  we have:  $b(x) = u^*(f(x))u = g(x, y)u^*(f(y))u = g(x, y)b(y)$ . Hence  $b \in KS(L)$ . Now choose  $v^* \in E^*$  and  $v \in E$  such that  $v^*(v) = 1$ . Define  $T: E \times E \rightarrow E$  by setting  $T(s, t) = \max(v^*(s), v^*(t))v$  for all  $s, t \in E$ . Then  $T$  is continuous and  $T(v, v) = v \neq 0$ , and  $T(av, bv) = \max(a, b)T(v, v)$ . Let now  $f, b \in KS(L)$ . It follows that  $T(f(x), b(x)) = \max(v^*(f(x)), v^*(b(x)))v = \max(g(x, y)v^*(f(y)), g(x, y)v^*(b(y)))v = g(x, y)T(f(y), b(y))$ , for all  $(x, y) \in KS_L$ , so  $T(f, b)$  belongs to  $KS(L)$ . Therefore  $KS(L)$  satisfies (a) and (b) of Definition 3.10. The proof that  $G(L)$  is a Lindenstrauss-Wulbert subspace is similar.

**3.13 Corollary.** *Let  $L$  be a vector subspace of  $CV_\infty(X; E)$ . If  $L$  is a  $KS$ -space (respectively a  $G$ -space), then its closure is a latticial subspace (respectively a Lindenstrauss-Wulbert subspace).*

**3.14 Theorem.** *Let  $L$  be a vector subspace of  $CV_\infty(X; E)$ , which is a latticial subspace (respectively a Lindenstrauss-Wulbert subspace). Assume that  $A(KS)$  (respectively  $A(G)$ ) separates the equivalence classes modulo  $KS_L$  (respectively  $G_L$ ). Then  $L$  is a Kakutani-Stone space (respectively a Grothendieck space).*

**Proof.** Let  $\Delta \in \{KS, G\}$ . Let  $M = \{u^*(f); u^* \in E^*, f \in L\}$ . From Proposition 3.11,  $M$  is a  $\Delta$ -space contained in  $CV_\infty(X; \mathbb{R})$  such that  $M \otimes E \subset L$ . Since  $\Delta(M) = \bar{M}$ ,  $\bar{M} \otimes E$  is an  $A(\Delta)$ -module. Let  $f \in CV_\infty(X; E)$  belong to  $\Delta(L)$ . Let  $Y \subset X$  be an equivalence class modulo  $X/A(\Delta)$ . Since  $A(\Delta)$  separates the equivalence classes modulo  $\Delta_L$ , the set  $Y$  is contained in some equivalence class modulo  $\Delta_L$ . Fix  $x_0 \in Y$ . If  $f(x_0) = 0$ , then  $f(x) = 0$  for all  $x \in Y$ , and the function  $0 \in M \otimes E$  agrees with  $f$  throughout  $Y$ . If  $f(x_0) \neq 0$ , there is some  $b \in L$  such that  $b(x_0) \neq 0$ . Choose  $u^* \in E^*$  such that  $u^*(b(x_0)) = 1$ . Let  $k = u^*(b)/f(x_0) \in M \otimes E$ . Then  $k$  agrees with  $f$  throughout  $Y$ . By Lemma 2.8,  $f$  belongs to the closure of  $\bar{M} \otimes E$ . Since  $\bar{M} \otimes E$  is contained in the closure of  $L$ ,  $L$  is a  $\Delta$ -space.

**3.15 Remark.** The hypothesis that  $A(\Delta)$  separates the equivalence classes modulo  $\Delta_L$  is equivalent to the hypothesis that  $C_b(F; \mathbb{R})$  separates points, where  $F$  is the quotient space of  $X$  by the equivalence relation  $\Delta_L$ . One instance in which this occurs is the following. Suppose that our completely regular Hausdorff space  $X$  is in fact a normal space and that  $\Delta_L$  is an upper semicontinuous relation, i.e.,  $\Delta_L$  is closed (Willard [12, Theorem 9.9]). Then,  $F$  is a normal Hausdorff space too (Engelking [4, Theorem 5, p. 85]). Another instance occurs when  $\Delta_L$  reduces to the diagonal. Indeed, in this case,  $A(\Delta)$  is  $C_b(X; \mathbb{R})$ . Notice that, in this case, as was pointed out in 2.10,  $\Delta(L)$  is the set of all  $f \in CV_\infty(X; E)$  which vanish on the set  $Z(L) = \{x \in X; b(x) = 0 \text{ for all } b \in L\}$ .

**3.16 Corollary.** *Let  $L$  be a vector subspace of  $CV_\infty(X; E)$ , which is a latticial subspace (respectively a Lindenstrauss-Wulbert subspace). Suppose that  $KS_L$  (respectively  $G_L$ ) reduces to the diagonal. Then,  $f \in CV_\infty(X; E)$  belongs to the closure of  $L$ , if and only if,  $f$  vanishes on the set  $Z(L) = \{x \in X; b(x) = 0 \text{ for all } b \in L\}$ .*

**3.17 Corollary.** *Let  $L$  be as in Corollary 3.16, and suppose that  $KS_L$  (respectively  $G_L$ ) reduces to the diagonal and that  $L$  is everywhere different from zero. Then  $L$  is dense in  $CV_\infty(X; E)$ .*

**3.18 Remark.** Our Theorem 3.14 does not contain Theorem 1.13 of Blatter



[2]. The argument presented there rests on the fact that  $C_\infty(X; \mathbb{R})$  and the KS-hull (respectively the  $G$ -hull) of  $M$  have the approximation property. However, his arguments can be used to prove the following generalization of Theorem 1.13 of [2].

**3.19 Theorem.** *Let  $X$  be a completely regular Hausdorff  $k$ -space, and let  $E$  be a complete locally convex Hausdorff space. Suppose that for every compact subset  $K \subset X$  and every  $t > 0$ , there exists  $v \in V$  such that  $v(x) \geq t$  for all  $x \in K$ . Let  $L$  be a vector subspace of  $CV_\infty(X; E)$  which is a latticial subspace, respectively a Lindenstrauss-Wulbert subspace. Then  $L$  is a KS-space, respectively a  $G$ -space.*

**Proof.** The space  $CV_\infty(X; \mathbb{R})$  has the approximation property, by Theorem 3, §5, Bierstedt [1].

**4. Examples and applications.** Let  $V$  be the set of characteristic functions of compact subsets of  $X$ . The Nachbin space  $CV_\infty(X; E)$  is just  $C(X; E)$  endowed with the compact-open topology. A subset  $L \subset C(X; E)$  is called selfadjoint if  $M = \{u^*(f); u^* \in E^*, f \in L\}$  is a selfadjoint subset of  $C(X; \mathbb{K})$ .

**4.1 Theorem (Weierstrass-Stone).** *Let  $L$  be a selfadjoint polynomial subalgebra of  $C(X; E)$ . A function  $f \in C(X; E)$  belongs to the closure of  $L$  if, and only if, the following conditions hold:*

- (1) *For every  $x, y \in X$  such that  $f(x) \neq f(y)$ , there is  $b \in L$  such that  $b(x) \neq b(y)$ .*
- (2) *For every  $x \in X$ , such that  $f(x) \neq 0$ , there is  $b \in L$  such that  $b(x) \neq 0$ .*

**Proof.** Since every selfadjoint subalgebra of  $C(X; \mathbb{K})$  is localizable [6, Theorem 1, §30], Theorem 2.9 implies that  $L$  is a Weierstrass-Stone space, and therefore its closure is precisely  $WS(L)$ . It remains only to notice that  $f \in WS(L)$  if, and only if, conditions (1) and (2) are satisfied.

**4.2 Corollary.** *Let  $L$  be a selfadjoint polynomial subalgebra of  $C(X; E)$ , separating and everywhere different from zero on  $X$ . Then  $L$  is dense in  $C(X; E)$ .*

**4.3 Corollary.** *Let  $A$  be a selfadjoint subalgebra of  $C(X; \mathbb{K})$ , separating and everywhere different from zero on  $X$ . Then  $A \otimes E$  is dense in  $C(X; E)$ . In particular,  $C(X; \mathbb{K}) \otimes E$  is dense in  $C(X; E)$ .*

Suppose that  $X$  is the Cartesian product of two completely regular Hausdorff spaces  $X_1$  and  $X_2$ . Let  $L$  be the set of all finite sums of functions of the form  $(x_1, x_2) \mapsto f(x_1)b(x_2)$ , where  $f \in C(X_1; \mathbb{K})$  and  $b \in C(X_2; E)$ . Dieudonné's approximation theorem on Cartesian products, stating that  $C(X_1; \mathbb{K}) \otimes C(X_2; E)$  is dense in  $C(X_1 \times X_2; E)$ , is now an easy consequence of Corollary 4.2.

**4.4 Theorem.** *Let  $E$  and  $F$  be two locally convex Hausdorff spaces over the reals. The closure in the compact-open topology of the set of all continuous polynomials  $p$  of finite type from  $E$  into  $F$ , with  $p(0) = 0$ , consists of all mappings  $f \in C(E; F)$  such that  $f(0) = 0$ .*

**Proof.** This follows from a direct application of Theorem 4.1.

**4.5 Theorem (Infinite-dimensional Weierstrass polynomial approximation theorem).** *Let  $E$  and  $F$  be two locally convex Hausdorff spaces over the reals. Then  $P_f(E; F)$  is dense in  $C(E; F)$ .*

**Proof.** Apply Corollary 4.2 to  $L = P_f(E; F)$ .

**4.6 Remark.** The space  $P_f(E; F)$  is not selfadjoint in the complex case. Instead of  $P_f(E; F)$  one has to consider the vector subspace  $P_f^*(E; F)$  defined as follows. For each integer  $n \geq 1$ ,  $P_f^{*(n)}(E; F)$  denotes the vector subspace of  $C(E; F)$  generated by the set of all maps of the form  $x \mapsto u^*(x)^n u$ , where  $u \in F$  and  $u^*$  or its complex conjugate belongs to  $E^*$ . Then  $P_f^*(E; F)$  is, by definition, the vector subspace generated by the union of all  $P_f^{*(n)}(E; F)$  with  $n \geq 1$  and the constant maps. Theorem 4.5 now holds with  $P_f^*(E; F)$  substituted for  $P_f(E; F)$ .

Let  $X$  be a locally compact Hausdorff space and let  $V = C_\infty^+(X)$ , the set of all positive continuous functions vanishing at infinity on  $X$ . The Nachbin space  $CV_\infty(X; E)$  is then  $C_b(X; E)$  endowed with the strict topology  $\beta$ . Again we are in the bounded case of the approximation problem, and therefore every selfadjoint subalgebra of  $C_b(X; \mathbb{K})$  is localizable under itself in the strict topology. Hence Theorem 4.1 and its corollaries hold when  $C_b(X; E)$  with the strict topology is substituted for  $C(X; E)$  with the compact-open topology.

**5. Extension theorems.** Let  $Y$  be a closed subset of  $X$ . Then, for every weight  $\nu$  on  $X$ , its restriction to  $Y$  is a weight on  $Y$ . If  $V$  is a set of weights on  $X$ , we denote by  $V|Y$  the set of weights on  $Y$  that are restriction to  $Y$  of some element of  $V$ . The corresponding Nachbin space  $C(V|Y)_\infty(Y; E)$  will be denoted by  $CV_\infty(Y; E)$ . Let  $L$  be a vector subspace of  $CV_\infty(X; E)$ . A function  $f \in CV_\infty(Y; E)$  is said to be *extensible in  $L$*  if there exists  $h \in L$  such that  $h|Y = f$ , i.e.  $h(x) = f(x)$  for all  $x \in Y$ . The set of all such extensible functions is denoted by  $L|Y$ . Notice that, if  $L$  is a polynomial algebra, or a latticial subspace, or a Linderstrauss-Wulbert subspace, the same is true of  $L|Y$  as subspace of  $CV_\infty(Y; E)$ .

**5.1 Theorem.** *Let  $Y$  be a closed subset of a completely regular Hausdorff space  $X$ . Let  $L$  be a vector subspace of  $CV_\infty(X; E)$  which is a polynomial algebra such that every  $h \in L$  is bounded on the support of every  $\nu \in V$ . Assume that  $L$  is selfadjoint and that  $L|Y$  is closed in  $CV_\infty(Y; E)$ . Then  $f \in CV_\infty(Y; E)$  is extensible in  $L$  if, and only if, the following conditions are satisfied:*

(1) For any  $x, y \in Y$  such that  $f(x) \neq f(y)$ , there is  $b \in L$  such that  $b(x) \neq b(y)$ .

(2) For any  $x \in Y$  such that  $f(x) \neq 0$ , there is  $b \in L$  such that  $b(x) \neq 0$ .

**Proof.** Under the hypothesis made,  $L|Y$  is a  $WS$ -space.

**5.2 Corollary.** Let  $Y$  and  $L$  be as in Theorem 5.1. If  $L|Y$  is separating and everywhere different from zero on  $Y$ , then every  $f \in CV_\infty(Y; E)$  is extensible in  $L$ .

**5.3 Theorem.** Let  $Y$  be a compact subset of a completely regular Hausdorff space  $X$ , let  $E$  be a Banach space, and let  $f \in C(Y; E)$ . There is  $b \in C_b(X; E)$  such that  $b|Y = f$  and  $\|f\|_Y = \|b\|_X$ .

**Proof.** Let  $L = C_b(X; E) \subset C(X; E)$ . An obvious modification of the argument given in Stone [11, p. 64] proves that  $L|Y$  is closed in  $C(Y; E)$ . Since  $X$  is completely regular,  $C_b(X; E)$  is separating and everywhere different from zero on  $X$ , in particular on  $Y$ . By Corollary 5.2, every  $f \in C(Y; E)$  is extensible in  $C_b(X; E)$ . The final statement follows from the fact that for any function  $f \in C(X; E)$ , which is bounded on  $Y$ , there is  $b \in C_b(X; E)$  such that  $b|Y = f$  and  $\|f\|_Y = \|b\|_X$ .

**5.4 Theorem.** Let  $Y$  be a closed subset of a normal Hausdorff space  $X$ , let  $E$  be a Banach space, and let  $f \in C(Y; E)$  be a compact mapping. There exists a compact mapping  $b \in C(X; E)$  such that  $b|Y = f$  and  $b(X)$  is contained in the closed convex hull of  $f(Y)$ .

**Proof.** If  $\beta X$  and  $\beta Y$  denote the Stone-Čech compactifications of  $X$  and  $Y$  respectively, it is known that  $\beta Y$  identifies with the closure of  $Y$  in  $\beta X$ , (see [10]) and that a mapping  $f \in C(Y; E)$  has an extension to  $\beta Y$  if, and only if,  $f(Y)$  is precompact. Hence we can extend  $f$  to  $\beta Y$  though a function  $w \in C(\beta Y; E)$ . By Theorem 5.3 we can extend  $w$  to  $\beta X$ . If  $v$  denotes such an extension, let  $u = v|X$ . Then  $u \in C(X; E)$  and  $u|Y = f$ . Since  $E$  is a Banach space, the closed convex hull  $K$  of  $f(Y)$  is compact and, therefore, a retract of  $E$  (see [3]). Let  $r$  be a retraction of  $E$  onto  $K$ . Then  $b = r(u)$  is a compact mapping that answers all the requirements.

**5.5 Theorem.** Let  $Y$  be a closed subset of a completely regular Hausdorff space  $X$ , let  $E$  be a Banach space. A function  $f \in C_\infty(Y; E)$  is extensible in  $C_\infty(X; E)$  if, and only if, the following conditions hold:

(1) For any  $x, y \in Y$  such that  $f(x) \neq f(y)$ , there is  $b \in C_\infty(X; E)$  such that  $b(x) \neq b(y)$ .

(2) For any  $x \in Y$  such that  $f(x) \neq 0$ , there is  $b \in C_\infty(X; E)$  such that  $b(x) \neq 0$ .

If  $f \in C_\infty(Y; E)$  is extensible, we can choose an extension  $h \in C_\infty(X; E)$  such that  $\|f\|_Y = \|h\|_X$ .

**Proof.** Take  $L = C_\infty(X; E)$ . As in Theorem 5.3,  $L|Y$  is closed in  $C_\infty(Y; E)$ . Theorem 5.5 follows then from Theorem 5.1.

**5.6 Corollary.** Let  $Y$  be a closed subset of a locally compact Hausdorff space  $X$ , let  $E$  be a Banach space. Then, every  $f \in C_\infty(X; E)$  is extensible in  $C_\infty(X; E)$ , and we can choose an extension with the same norm.

**Proof.** Since  $X$  is locally compact, the space  $C_\infty(X; E)$  is separating and everywhere different from zero.

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