

STANDARD POLYNOMIALS IN MATRIX ALGEBRAS

BY

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ABSTRACT. Let $M_n(F)$ be an $n \times n$ matrix ring with entries in the field F , and let $S_k(X_1, \dots, X_k)$ be the standard polynomial in k variables. Amitsur-Levitzki have shown that $S_{2n}(X_1, \dots, X_{2n})$ vanishes for all specializations of X_1, \dots, X_{2n} to elements of $M_n(F)$. Now, with respect to the transpose, let $M_n^-(F)$ be the set of antisymmetric elements and let $M_n^+(F)$ be the set of symmetric elements. Kostant has shown using Lie group theory that for n even $S_{2n-2}(X_1, \dots, X_{2n-2})$ vanishes for all specializations of X_1, \dots, X_{2n-2} to elements of $M_n^-(F)$. By strictly elementary methods we have obtained the following strengthening of Kostant's theorem:

$S_{2n-2}(X_1, \dots, X_{2n-2})$ vanishes for all specializations of X_1, \dots, X_{2n-2} to elements of $M_n^-(F)$, for all n .

$S_{2n-1}(X_1, \dots, X_{2n-1})$ vanishes for all specializations of X_1, \dots, X_{2n-2} to elements of $M_n^-(F)$ and of X_{2n-1} to an element of $M_n^+(F)$, for all n .

$S_{2n-2}(X_1, \dots, X_{2n-2})$ vanishes for all specializations of X_1, \dots, X_{2n-3} to elements of $M_n^-(F)$ and of X_{2n-2} to an element of $M_n^+(F)$, for n odd.

These are the best possible results if F has characteristic 0; a complete analysis of the problem is also given if F has characteristic 2.

Introduction. The object of this paper is to prove the results described in the abstract. The method of proof is to exploit certain properties of the trace (given in §1) in connection with an undirected graph whose edges correspond to elementary symmetric and antisymmetric matrices (see §2). §§3–6 consist of manipulations of the graph to prove the main theorem (Theorem 1). Although Theorem 1 is sharp in characteristic 0 (as shown via counterexamples in §8), more results can be obtained in characteristic 2 and, at times, in odd characteristic (viz. §7). The sharpness of these results is also explained in §8. In §9 the relationship of Theorem 1 and the theory of identities of rings with involution is given.

In recent months two other people, Joan Hutchinson and Frank Owens, inde-

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pendently studying similar questions, have announced elementary proofs of Kostant's theorem and its extension to n odd, which is $\mathcal{L}_0(n, 2n-2, 0)$ in the terminology of this paper. I have not yet had the opportunity to see their proofs in detail, although Mr. Owens has issued a summary of his proof.

1. Preliminary results. Define the *standard polynomial* S_k as follows: $S_k(X_1, X_2, \dots, X_k) = \sum_{\pi} (\text{sg } \pi) X_{\pi 1} X_{\pi 2} \cdots X_{\pi k}$, taking the sum over all permutations π of $(1, \dots, k)$ where $\text{sg } \pi$ is the sign of π , +1 if π is even and -1 if π is odd. Clearly S_k is *multilinear*, i.e. linear in each of its variables, and *alternating*.

An immediate consequence of the definition is

$$(1) \quad S_{k+1}(X_1, \dots, X_{k+1}) = X_1 S_k(X_2, \dots, X_{k+1}) - X_2 S_k(X_1, X_3, \dots, X_{k+1}) \\ + \dots + (-1)^k X_{k+1} S_k(X_1, \dots, X_k).$$

Let Ω be a domain and let $M_n(\Omega)$ be the algebra of $n \times n$ matrices with entries in Ω . There is a canonical base B_n of matrix units e_{ij} , $1 \leq i, j \leq n$, where e_{ij} is the matrix whose only nonzero entry is 1 in the (i, j) -position. Clearly $\sum_{i=1}^n e_{ii} = 1$, and multiplication in $M_n(\Omega)$ is induced by $e_{ij} e_{rs} = \delta_{jr} e_{is}$. Let $B_n^+ = \{e_{ii} \mid 1 \leq i \leq n\} \cup \{e_{ij} + e_{ji} \mid 1 \leq i < j \leq n\}$ and let $B_n^- = \{e_{ij} - e_{ji} \mid 1 \leq i < j \leq n\}$. B_n^+ and B_n^- are each sets of elements of $M_n(\Omega)$ which are linearly independent over Ω . Let $M_n^+(\Omega)$ and $M_n^-(\Omega)$ be the submodules of $M_n(\Omega)$ for which B_n^+ and B_n^- are the respective bases. If $\text{char } \Omega \neq 2$ then $M_n^+(\Omega)$ and $M_n^-(\Omega)$ are the sets of symmetric and antisymmetric elements of Ω , with respect to the transpose (*) given by its action on the base, $e_{ij}^* = e_{ji}$.

Consider now the class of sentences $\{\mathcal{L}_p(n, k, t)\}$, where $\mathcal{L}_p(n, k, t)$ says, "For any field F of characteristic p , $S_k(A_1, \dots, A_k) = 0$ for all sets of matrices $\{A_1, \dots, A_k\}$ with t elements in $M_n^+(F)$ and with $(k-t)$ elements in $M_n^-(F)$." Let π be any permutation of $(1, \dots, k)$. Then from the definition of S_k , we see that $S_k(A_1, \dots, A_k) = 0 \Leftrightarrow S_k(A_{\pi 1}, \dots, A_{\pi k}) = 0$. Thus, an equivalent formulation of $\mathcal{L}_p(n, k, t)$ says, "For any field F of characteristic p , $S_k(A_1, \dots, A_k) = 0$ for any A_1, \dots, A_{k-t} in $M_n^-(F)$, A_{k-t+1}, \dots, A_k in $M_n^+(F)$." Let us say by convention that $\mathcal{L}_p(n, k, t)$ holds if $k \leq 0$ or if $t \leq -1$ or if $t > k$.

Lemma 1. $\mathcal{L}_p(n, k, t)$ is equivalent to the sentence, "In characteristic p , $S_k(A_1, \dots, A_k) = 0$ for all A_1, \dots, A_{k-t} in B_n^- and A_{k-t+1}, \dots, A_k in B_n^+ ." Furthermore we have the implications:

- (a) $\mathcal{L}_0(n, k, t)$ implies $\mathcal{L}_p(n, k, t)$ for all p .
- (b) $\mathcal{L}_p(n, k, t)$ and $\mathcal{L}_p(n, k, t-1)$ imply $\mathcal{L}_p(n, k+1, t)$.
- (c) $\mathcal{L}_p(n, k, t)$ implies $\mathcal{L}_p(n-1, k, t)$.

Proof. These assertions are all trivial. Since S_k is multilinear, it suffices

to consider only matrices in B_n^- and B_n^+ . Consequently, (a) is immediate, (b) follows from (1), and (c) is a result of the natural embedding $B_n \hookrightarrow B_{n+1}$. Q.E.D.

Remark. In view of Lemma 1, one can demonstrate $\mathcal{L}_p(n, k, t)$ by showing there exists a domain Ω of characteristic p such that $S_k(A_1, \dots, A_k) = 0$ for all A_1, \dots, A_{k-t} in $M_n^-(\Omega)$ and A_{k-t+1}, \dots, A_k in $M_n^+(\Omega)$. When $p = 0$ we will at times take $\Omega = \mathbb{Z}$, the integers, $\Omega = \mathbb{Q}$, the rational numbers, or $\Omega = \mathbb{R}$, the real numbers.

A major result concerning the polynomial S_k is

Theorem (Amitsur and Levitzki [1]). $S_{2n}(A_1, \dots, A_{2n}) = 0$ for all A_1, \dots, A_{2n} in $M_n(F)$, F any field.

Clearly the Amitsur-Levitzki theorem implies $\mathcal{L}_p(n, 2n, t)$ for all p , all t . Conversely, we claim that the Amitsur-Levitzki theorem is implied by $\mathcal{L}_0(n, 2n, t)$ for all t . Indeed, it is enough to verify the Amitsur-Levitzki theorem for elements of B_n , since S_{2n} is multilinear; hence we may assume F has characteristic 0. But in this case $B_n^+ \cup B_n^-$ is a base for $M_n(F)$, so it is enough to show that $S_{2n}(A_1, \dots, A_{2n}) = 0$ for A_1, \dots, A_{2n} in $B_n^+ \cup B_n^-$. Reordering these matrices, we may assume $A_1, \dots, A_{2n-t} \in B_n^-$ and $A_{2n-t+1}, \dots, A_{2n} \in B_n^+$, for some t . But then $\mathcal{L}_0(n, 2n, t)$ implies $S_{2n}(A_1, \dots, A_{2n}) = 0$, so the claim is established.

Considering the Amitsur-Levitzki theorem as a consequence of $\mathcal{L}_0(n, 2n, t)$ for all t , one may wonder which other sentences are true. Lemma 1 shows that $\mathcal{L}_p(n, k, t)$ is true for $k \geq 2n$. In a brilliant paper linking the theory of standard polynomials to Lie group theory, B. Kostant [3, p. 247] proved, among other things,

Theorem (Kostant). $\mathcal{L}_0(n, 2n - 2, 0)$ for n even.

The main objective of this paper is to give the following complete characterization of the sentences \mathcal{L}_0 in the following result, proved by elementary methods; an elementary proof of Kostant's theorem will be a by-product.

Theorem 1. $\mathcal{L}_0(n, 2n - 1, t)$ for $t = 0$ or $t = 1$, $\mathcal{L}_0(n, 2n - 2, 0)$ for all n . If n is odd, $\mathcal{L}_0(n, 2n - 2, 1)$.

Counterexamples are given for all sentences $\mathcal{L}_0(n, k, t)$, all situations not already discussed, namely for $2 \leq t \leq k < 2n$, $0 \leq t \leq k \leq 2n - 3$, all n , or $t = 1$, $k = 2n - 2$, n even.

A complete analysis of the characteristic 2 case is also given in the much easier

Theorem 2. $\mathcal{L}_2(n, k, t)$ for $k \geq n + t$.

Counterexamples are given for all sentences $\mathcal{L}_p(n, k, t)$, $k < n + t$.

Before starting the proof of Theorem 1, which is largely graph-theoretic, we give some easy algebraic results.

Lemma 2. $\mathcal{L}_p(n, k, t)$ implies $\mathcal{L}_p(n-1, k-1, t-1)$ and $\mathcal{L}_p(n-1, k-1, t)$, for any p .

Proof. Assume $\mathcal{L}_p(n, k, t)$. To show $\mathcal{L}_p(n-1, k-1, t-1)$, we must show that for all A_1, \dots, A_{k-t} in $M_{n-1}^-(F)$ and all $A_{k-t+1}, \dots, A_{k-1}$ in $M_{n-1}^+(F)$, $S_{k-1}(A_1, \dots, A_{k-1}) = 0$. We suppose $S_{k-1}(A_1, \dots, A_{k-1}) = \sum_{i,j=1}^{n-1} \alpha_{ij} e_{ij}$. Embedding $M_{n-1}(F)$ canonically into $M_n(F)$, let $A_k = e_{vn} + e_{nv}$, $v \in \{1, \dots, n-1\}$. $A_k \in M_n^+(F)$, so by hypothesis $S_k(A_1, \dots, A_k) = 0$. On the other hand, if $1 \leq r, s \leq k-1$, then $A_r(e_{vn} + e_{nv})A_s = 0$, so

$$\begin{aligned} S_k(A_1, \dots, A_k) &= S_{k-1}(A_1, \dots, A_{k-1})A_k + (-1)^{k-1}A_k S_{k-1}(A_1, \dots, A_{k-1}) \\ &= \left(\sum_{i,j=1}^{n-1} \alpha_{ij} e_{ij} \right) (e_{vn} + e_{nv}) + (-1)^{k-1} (e_{vn} + e_{nv}) \left(\sum_{i,j=1}^{n-1} \alpha_{ij} e_{ij} \right) \\ &= \sum_{i=1}^{n-1} \alpha_{iv} e_{in} + (-1)^{k-1} \sum_{j=1}^n \alpha_{vj} e_{nj}. \end{aligned}$$

Thus, $0 = \alpha_{uv}$ for all u, v in $\{1, \dots, n-1\}$, implying $S_{k-1}(A_1, \dots, A_{k-1}) = 0$, as was to be shown. Hence, $\mathcal{L}_p(n, k, t)$ implies $\mathcal{L}_p(n-1, k-1, t-1)$.

The proof $\mathcal{L}_p(n, k, t)$ implies $\mathcal{L}_p(n-1, k-1, t)$ is analogous if we let $A_k = e_{vn} - e_{nv}$ at the corresponding place. Q.E.D.

It is well known that the trace defines a symmetric bilinear form on $M_n(F)$, given by $(A_1, A_2) = \text{tr}(A_1 A_2)$ for A_1, A_2 in $M_n(F)$. Moreover, if $A_1 = \sum \alpha_{ij} e_{ij}$ then $(A_1, e_{uv}) = \alpha_{vu}$, which shows that the trace bilinear form is nondegenerate. The following lemmas are based on ideas used by Kostant [3].

Lemma 3. If $\text{char } F \neq 2$, then $M_n^+(F)$ and $M_n^-(F)$ are nondegenerate subspaces of $M_n(F)$, relative to the trace bilinear form.

Proof. Since $\text{char } F \neq 2$, $M_n(F) = M_n^+(F) \oplus M_n^-(F)$, given by $A = \frac{1}{2}(A + A^*) + \frac{1}{2}(A - A^*)$. The lemma will follow from the fact that $M_n^+(F)$ and $M_n^-(F)$ are orthogonal under the trace bilinear form. Indeed, if $Y \in M_n^+(F)$ and $K \in M_n^-(F)$, then $(Y, K) = \text{tr } YK = \text{tr } KY = \text{tr}(KY)^* = \text{tr } Y^* K^* = \text{tr}(-YK) = -(Y, K)$, so $(Y, K) = 0$. Q.E.D.

Lemma 4. Let $A_1, \dots, A_{k-t} \in M_n^-(F)$, $A_{k-t+1}, \dots, A_k \in M_n^+(F)$. If t is even then the matrix $S_k(A_1, \dots, A_k)$ is symmetric if $k \equiv 0$ or $3 \pmod{4}$, and antisymmetric if $k \equiv 1$ or $2 \pmod{4}$. If t is odd then the matrix $S_k(A_1, \dots, A_k)$ is antisymmetric if $k \equiv 0$ or $3 \pmod{4}$, and symmetric if $k \equiv 1$ or $2 \pmod{4}$.

Proof. Let $[x]$ denote the greatest integer of x . If π is a permutation of $(1, 2, \dots, k)$, then the permutation $(\pi^1 \pi^2 \dots \pi^k / \pi^k \pi^{(k-1)} \dots \pi^1)$ is a product of the $[k/2]$ transpositions $(\pi^1, \pi^k), (\pi^2, \pi^{(k-1)}), \dots, (\pi^{[k/2]}, \pi^{(k-[k/2])})$. Thus, $S_k(A_1, A_2, \dots, A_k) = (-1)^{[k/2]} S_k(A_k, A_{k-1}, \dots, A_1)$. Now $(A_{\pi^1} A_{\pi^2} \dots A_{\pi^k})^* = (-1)^{k-t} A_{\pi^k} A_{\pi^{(k-1)}} \dots A_{\pi^1}$. Thus,

$$\begin{aligned} S_k(A_1, A_2, \dots, A_k)^* &= (-1)^{k-t} S_k(A_k, A_{k-1}, \dots, A_1) \\ &= (-1)^{k-t+[k/2]} S_k(A_1, A_2, \dots, A_k). \end{aligned}$$

The sign $(-1)^{k-t+[k/2]}$ gives the desired results. Q.E.D.

Lemma 5 (Kostant [3, p. 244]). For A_i in $M_n(F)$, $1 \leq i \leq 2k-1$,

$$\text{tr } S_{2k-1}(A_1, \dots, A_{2k-1}) = (2k-1) \text{tr } (S_{2k-2}(A_1, \dots, A_{2k-2}) A_{2k-1}).$$

Proof. For any permutation π of $(1, 2, \dots, 2k-2)$ and for $1 \leq j \leq 2k-3$,

$$\begin{aligned} \text{tr } (A_{\pi(j+1)} A_{\pi(j+2)} \dots A_{\pi(2k-2)} A_{2k-1} A_{\pi^1} A_{\pi^2} \dots A_{\pi^j}) \\ = \text{tr } (A_{\pi^1} \dots A_{\pi^j} A_{\pi(j+1)} \dots A_{\pi(2k-2)} A_{2k-1}). \end{aligned}$$

Therefore,

$$\begin{aligned} \text{tr } (A_{\pi^1} A_{\pi^2} \dots A_{\pi(2k-2)} A_{2k-1} + \sum_{j=1}^{2k-3} A_{\pi(j+1)} A_{\pi(j+2)} \dots A_{\pi(2k-2)} A_{2k-1} A_{\pi^1} \dots \\ A_{\pi^j} + A_{2k-1} A_{\pi^1} \dots A_{\pi(2k-2)}) \\ = (2k-1) \text{tr } (A_{\pi^1} A_{\pi^2} \dots A_{\pi(2k-2)} A_{2k-1}). \end{aligned}$$

Moreover, $\text{sg } \pi$ is the sign of the permutation

$$\begin{pmatrix} 1 & \dots & 2k-2 & 2k-1 \\ \pi(j+1) & \dots & \pi(2k-2) & 2k-1 & \pi^1 & \dots & \pi(j-1) & \pi^j \end{pmatrix}$$

because the permutation

$$\begin{pmatrix} \pi^1 & \dots & \pi(2k-2) & 2k-1 \\ \pi(j+1) & \dots & \pi(2k-2) & 2k-1 & \pi^1 & \dots & \pi(j-1) & \pi^j \end{pmatrix}$$

is always even (its sign is $(-1)^{(2k-1-j)j} = +1$ for any k, j). Hence, summing over all π , we see $\text{tr } S_{2k-1}(A_1, \dots, A_{2k-1}) = (2k-1) \text{tr } (S_{2k-2}(A_1, \dots, A_{2k-2}) A_{2k-1})$. Q.E.D.

Putting together the above lemmas yields the following key result

Lemma 6. (a) Let k be an even integer, and let F be a field in which $2(2k-1)$ has an inverse. If $\text{tr } S_{2k-1}(A_1, \dots, A_{2k-1}) = 0$ for all A_1, \dots, A_{2k-1} in $M_n^-(F)$, then $S_{2k-2}(A_1, \dots, A_{2k-2}) = 0$ for all A_1, \dots, A_{2k-2} in $M_n^-(F)$.

(b) If n is odd and if $\text{tr}(S_{2n-1}(A_1, \dots, A_{2n-1})) = 0$ for all A_1, \dots, A_{2n-2} in $M_n^-(Q)$, A_{2n-1} in $M_n^+(Q)$, then $\mathfrak{L}_0(n, 2n-2, 0)$, $\mathfrak{L}_0(n, 2n-2, 1)$, $\mathfrak{L}_0(n, 2n-1, 0)$, $\mathfrak{L}_0(n, 2n-1, 1)$, $\mathfrak{L}_0(n-1, 2(n-1)-1, 0)$, $\mathfrak{L}_0(n-1, 2(n-1)-1, 1)$, $\mathfrak{L}_0(n-1, 2(n-1)-2, 0)$.

Proof. (a) By Lemma 5, $\text{tr}(S_{2k-2}(A_1, \dots, A_{2k-2})A_{2k-1}) = 0$ for all A_1, \dots, A_{2k-1} in $M_n^-(F)$. But $S_{2k-2}(A_1, \dots, A_{2k-2}) \in M_n^-(F)$ by Lemma 4. Hence by the nondegeneracy of the trace bilinear form on $M_n^-(F)$, $S_{2k-2}(A_1, \dots, A_{2k-2}) = 0$.

(b) By Lemma 5, $\text{tr}(S_{2n-2}(A_1, \dots, A_{2n-2})A_{2n-1}) = 0$ for all A_1, \dots, A_{2n-2} in $M_n^-(Q)$, A_{2n-1} in $M_n^+(Q)$. But $S_{2n-2}(A_1, \dots, A_{2n-2})$ is symmetric by Lemma 4. By the nondegeneracy of the trace bilinear form on $M_n^+(Q)$, $S_{2n-2}(A_1, \dots, A_{2n-2}) = 0$, implying $\mathfrak{L}_0(n, 2n-2, 0)$. Likewise, since

$$S_{2n-1}(A_1, A_2, \dots, A_{2n-1}) = S_{2n-1}(A_2, \dots, A_{2n-1}, A_1),$$

the hypothesis implies $\text{tr}(S_{2n-2}(A_2, \dots, A_{2n-1})A_1) = 0$, all A_1, \dots, A_{2n-2} in $M_n^-(Q)$ and A_{2n-1} in $M_n^+(Q)$. Since $S_{2n-2}(A_2, \dots, A_{2n-1})$ is antisymmetric by Lemma 4, we conclude $S_{2n-2}(A_2, \dots, A_{2n-1}) = 0$, proving $\mathfrak{L}_0(n, 2n-2, 1)$. From $\mathfrak{L}_0(n, 2n-2, 0)$ and $\mathfrak{L}_0(n, 2n-2, 1)$ we get $\mathfrak{L}_0(n, 2n-1, 0)$ and $\mathfrak{L}_0(n, 2n-1, 1)$ by Lemma 1, and from $\mathfrak{L}_0(n, 2n-2, 1)$ we get $\mathfrak{L}_0(n-1, 2(n-1)-1, 1)$ and $\mathfrak{L}_0(n-1, 2(n-1)-1, 0)$ by Lemma 2. But then we get $\mathfrak{L}_0(n-1, 2(n-1)-2, 0)$ by part (a). Q.E.D.

In this paper, all monomials will be assumed to have degree ≤ 1 in each indeterminate.

Often we shall be interested in the sums of certain monomials of the polynomial S_k . In particular, we formulate

Definition. Let V_1, V_2, \dots, V_m be monomials in X_1, \dots, X_k such that the degree of X_i in $V_1 \dots V_m$ is 0 or 1, all j . Then $S_k(X_1, \dots, X_k; V_1, \dots, V_m)$ is defined as the sum of exactly those (signed) submonomials of $S_k(X_1, \dots, X_k)$ in which V_1, \dots, V_m are each submonomials. (In other words, a typical monomial of $S_k(X_1, \dots, X_k; V_1, \dots, V_m)$ has the form

$$\pm T_0 V_{\mu 1} T_1 V_{\mu 2} T_2 \dots T_{m-1} V_{\mu m} T_m,$$

where T_0, \dots, T_m are arbitrary monomials in X_1, \dots, X_k and μ is a permutation of $(1, \dots, m)$.

Lemma 7. Let $A_1, \dots, A_k \in B_n^+ \cup B_n^-$, and for $r < (k-1)/2$ let $\tilde{A} = A_1 A_2 \dots A_{2r+1} + (-1)^r A_{2r+1} A_{2r} \dots A_1$. If s elements of $\{A_1, \dots, A_{2r+1}\}$ are symmetric and if the other $(2r+1-s)$ elements are antisymmetric then

$\tilde{A} \in B_n^-$ when $s + r$ is even, and $\tilde{A} \in B_n^+$ when $s + r$ is odd. Moreover, for any $m \geq 0$,

$$\begin{aligned} & S_k(A_1, \dots, A_k; A_1 A_2 \dots A_{2r+1}, V_1, \dots, V_m) \\ & \quad + S_k(A_1, \dots, A_k; A_{2r+1} A_{2r} \dots A_1, V_1, \dots, V_m) \\ & = S_{k-2r}(\tilde{A}, A_{2r+2}, \dots, A_k; V_1, \dots, V_m) \end{aligned}$$

Proof.

$$\begin{aligned} \tilde{A}^* &= A_{2r+1}^* \dots A_2^* A_1^* + (-1)^r A_1^* \dots A_{2r}^* A_{2r+1}^* \\ &= (-1)^{2r+1-s} (A_{2r+1} \dots A_2 A_1 + (-1)^r A_1 \dots A_{2r} A_{2r+1}) \\ &= (-1)^{r+1-s} \tilde{A}. \end{aligned}$$

Thus \tilde{A} is symmetric or antisymmetric depending on whether $r + s$ is odd or even. The rest of the lemma is immediate. Q.E.D.

2. Beginning of proof of Theorem 1: The graph Γ . In view of Lemma 6, Theorem 1 is implied by the statement, " $\mathcal{L}_0(n, 2n-1, 1)$ for all odd $n > 1$." Therefore, to prove Theorem 1 it suffices to show, for all $n > 1$, $\mathcal{L}_0(n, 2n-1, 1)$ and $\mathcal{L}_0(n, 2n-1, 0)$. This assertion will be proved by induction on n . Clearly, $\mathcal{L}_0(n, 2n-1, 1)$ and $\mathcal{L}_0(n, 2n-1, 0)$ for $n = 2$, so we shall assume $\mathcal{L}_0(m, 2m-1, 1)$ and $\mathcal{L}_0(m, 2m-1, 0)$ for all m , $2 \leq m < n$, and will show $\mathcal{L}_0(n, 2n-1, 1)$ and $\mathcal{L}_0(n, 2n-1, 0)$.

The focus of attention is the proof of $\mathcal{L}_0(n, 2n-1, 1)$. As already observed, we need only show $S_{2n-1}(A_1, \dots, A_{2n-1}) = 0$ for all A_1, \dots, A_{2n-2} in $M_n^-(R)$, A_{2n-1} in $M_n^+(R)$, and in fact if n is odd we need only $\text{tr } S_{2n-1}(A_1, \dots, A_{2n-1}) = 0$, by Lemma 6. Since S_{2n-1} is multilinear we may assume $A_{2n-1} \in B_n^+$. We claim that it suffices to consider A_{2n-1} in $\{e_{ii} \mid 1 \leq i \leq n\}$. Indeed, identifying 1 with the multiplicative unit of $M_n(R)$, let us assume $A_{2n-1} = e_{ij} + e_{ji}$ and let $Y = 1 + (\sqrt{2}/2 - 1)(e_{ii} + e_{jj}) + (\sqrt{2}/2)(e_{ij} - e_{ji})$. $Y^* Y = Y Y^* = 1$ and $Y A_{2n-1} Y^* = e_{ii} - e_{jj}$, so

$$\begin{aligned} Y S_{2n-1}(A_1, \dots, A_{2n-1}) Y^* &= S_{2n-1}(Y A_1 Y^*, \dots, Y A_{2n-2} Y^*, e_{ii} - e_{jj}) \\ &= S_{2n-1}(Y A_1 Y^*, \dots, Y A_{2n-2} Y^*, e_{ii}) - S_{2n-1}(Y A_1 Y^*, \dots, Y A_{2n-2} Y^*, e_{jj}). \end{aligned}$$

Moreover, $Y \text{tr } S_{2n-1}(A_1, \dots, A_{2n-1}) Y^* = \text{tr}(Y S_{2n-1}(A_1, \dots, A_{2n-1}) Y^*) = \text{tr } S_{2n-1}(Y A_1 Y^*, \dots, Y A_{2n-2} Y^*, e_{ii}) - \text{tr } S_{2n-1}(Y A_1 Y^*, \dots, Y A_{2n-2} Y^*, e_{jj})$. Since $Y A_r Y^*$ is antisymmetric, $1 \leq r \leq 2n-2$, the claim is established.

Thus we may assume $A_{2n-1} \in \{e_{ii} \mid 1 \leq i \leq n\}$, and by symmetry we shall assume $A_{2n-1} = e_{11}$. Since S_{2n-1} is multilinear and alternating, we may assume $A_1, \dots, A_{2n-2} \in B_n^-$, and $A_i \neq A_j$ if $i \neq j$.

Generalizing the situation slightly, suppose $u > 1$ is arbitrary and \mathcal{S} is a subset of $B_u^- \cup \{e_{11}\}$. There is a graph $\Gamma(\mathcal{S})$ associated with \mathcal{S} , constructed as follows:

The vertices of $\Gamma(\mathcal{S})$ are the indices $1, \dots, u$, and each element Y of \mathcal{S} is represented by an edge \hat{Y} of $\Gamma(\mathcal{S})$, where $\hat{Y} = \{1, 1\}$ if $Y = e_{11}$ and $\hat{Y} = \{i, j\}$ if $Y = e_{ij} - e_{ji}$. Using the terminology of [4], we view $\Gamma(\mathcal{S})$ as an undirected graph, with at most one edge joining any two given vertices. $\Gamma(\mathcal{S})$ has a loop, $\{1, 1\}$, if and only if $e_{11} \in \mathcal{S}$.

Let $\mathcal{S} = \{Y_1, \dots, Y_v\}$, $v \geq 2$. Let $\langle \hat{Y}_{\pi_1}, \dots, \hat{Y}_{\pi_k} \rangle$ be a sequence of edges, π a permutation of $(1, \dots, v)$ such that for $1 \leq r \leq k$ one may order the endpoints i_r and j_r of \hat{Y}_{π_r} in a way so that $i_2 = j_1, i_3 = j_2, \dots, i_k = j_{k-1}$. Then we call $\{\langle \hat{Y}_{\pi_1}, \dots, \hat{Y}_{\pi_k} \rangle, i_1, j_k\}$ a *path of length k with initial vertex i_1 and terminal vertex j_k* (cf. [4, p. 22]). The vertices i_1 and j_k will be called *end vertices*, whereas j_1, i_k and i_r and j_r , $2 \leq r \leq k-1$, will be called *intermediate vertices*. Since a given vertex may be incident to many edges, an index might be both an intermediate and an end vertex of the same path. By definition, the intermediate vertices of a path occur in pairs.

Lemma 8. *Let $k \geq 2$. Given a sequence of edges $\langle \hat{Y}_{\pi_1}, \dots, \hat{Y}_{\pi_k} \rangle$ of $\Gamma(\mathcal{S})$, there is at most one value each of i_1 and j_k such that $\{\langle \hat{Y}_{\pi_1}, \dots, \hat{Y}_{\pi_k} \rangle, i_1, j_k\}$ is a path. Moreover there is a 1:1 correspondence between paths of $\Gamma(\mathcal{S})$ of length k and nonzero monomials in Y_1, \dots, Y_v of degree k . In this correspondence, the monomial $Y_{\pi_1} \dots Y_{\pi_k}$ associated with the path $\{\langle \hat{Y}_{\pi_1}, \dots, \hat{Y}_{\pi_k} \rangle, i_1, j_k\}$ has value $\pm e_{i_1 j_k}$.*

Proof. First assume $k = 2$. If $\langle \hat{Y}_{\pi_1}, \hat{Y}_{\pi_2} \rangle$ is the sequence of edges of a path, then we must be able to write $\hat{Y}_{\pi_1}, \hat{Y}_{\pi_2}$ respectively as $\{i_1, j_1\}, \{i_2, j_2\}$, where $j_1 = i_2$. Since $\hat{Y}_{\pi_1} \neq \hat{Y}_{\pi_2}$, we have $i_1 \neq j_2$, so the first assertion of the lemma is immediate for $k = 2$.

For $k > 2$, if $\langle \hat{Y}_{\pi_1}, \dots, \hat{Y}_{\pi_k} \rangle$ is the sequence of edges of a path, then $\langle \hat{Y}_{\pi_1}, \hat{Y}_{\pi_2} \rangle, \langle \hat{Y}_{\pi_2}, \hat{Y}_{\pi_3} \rangle, \dots, \langle \hat{Y}_{\pi_{k-1}}, \hat{Y}_{\pi_k} \rangle$ are all sequences of edges of paths. By iteration of the case $k = 2$, we see that the sequence of edges of a path of arbitrary length ≥ 2 uniquely determines the initial and terminal vertices.

To prove the second assertion, let $\langle \hat{Y}_{\pi_1}, \dots, \hat{Y}_{\pi_k} \rangle$ be the sequence of edges of a path of $\Gamma(\mathcal{S})$. It is immediate from the first assertion that $Y_{\pi_1} \dots Y_{\pi_k} =$

$\pm e_{i_1 j_k} \neq 0$, where i_1 and j_k are the initial and terminal vertices of the path determined by $\langle \hat{Y}_{\pi 1}, \dots, \hat{Y}_{\pi k} \rangle$. This correspondence $\langle \hat{Y}_{\pi 1}, \dots, \hat{Y}_{\pi k} \rangle \leftrightarrow Y_{\pi 1} \dots Y_{\pi k}$ is easily seen to be the desired 1:1 correspondence between paths of $\Gamma(\delta)$ of length k and nonzero monomials in Y_1, \dots, Y_v of degree k . Q.E.D.

For any nonzero monomial in Y_1, \dots, Y_v , the path corresponding to this monomial will be called the *associated path*. Let the $\Gamma(\delta)$ -degree of a vertex of $\Gamma(\delta)$ be the number of edges (in $\Gamma(\delta)$) incident to it, with double loop count (i.e. $\{1, 1\}$ is counted twice in the $\Gamma(\delta)$ -degree of the vertex 1). When the graph under consideration is clear, we shall denote $\Gamma(\delta)$ -degree merely as the *degree*. If $S_v(Y_1, \dots, Y_v) \neq 0$ then there is a nonzero monomial $Y_{\pi 1} \dots Y_{\pi v}$, implying $\Gamma(\delta)$ has a path of length v . Since all intermediate vertices in this path are in pairs, only the end vertices can have odd degree. It follows that exactly zero or two vertices have odd degree. If all vertices have even degree then the end vertices of any path of length v must be the same. Such a path is called an *Euler path* and its associated monomial has value $\pm e_{ii}$ where i is the end vertex.

Lemma 9. Let $\delta = \{Y_1, \dots, Y_v\} \subseteq B_u^- \cup \{1, 1\}$, $v \geq 2$. If $S_v(Y_1, \dots, Y_v) \neq 0$ then one of the following two situations holds:

(a) Each vertex i of $\Gamma(\delta)$ has even degree d_i . If v is odd then $S_v(Y_1, \dots, Y_v) = \beta \sum_{i=1}^u d_i e_{ii}$, suitable β in \mathbb{Z} .

(b) Two vertices i and j have odd degree, and for some α in \mathbb{Z} , $S_v(Y_1, \dots, Y_v) = \alpha(e_{ij} \pm e_{ji})$.

Proof. (a) Suppose all vertices have even degree. Then all paths of length v are Euler. Call two paths equivalent if they differ by a cyclic permutation. Consider one of these equivalence classes of Euler paths. A representative path with end vertices r has value γe_{rr} where $\gamma = \pm 1$, and it is easy to see that γ is an invariant of the class. Let us say the class has positive (negative) type if $\gamma = +1$ ($\gamma = -1$).

Let i be an arbitrary vertex. The intermediate vertices of any path are in pairs, so it is easy to see there are $(d_i/2)$ Euler paths with end vertices i in any equivalence class. These $(d_i/2)$ paths have the same value, which is γe_{ii} , γ as above. Since any cyclic permutation on $(1, \dots, v)$ has sign $+1$ (because v is odd), we conclude that $e_{ii} S_v(Y_1, \dots, Y_v) e_{ii} = \delta (d_i/2) e_{ii}$, where δ is the number of classes of positive type minus the number of classes of negative type. Thus $S_v(Y_1, \dots, Y_v) = (\delta/2) \sum_{i=1}^u d_i e_{ii}$. Now the number of classes of Euler paths is even, because we can pair the Euler path whose sequence of edges is $\langle \hat{Y}_{\pi 1}, \dots, \hat{Y}_{\pi v} \rangle$ with its opposite path whose sequence of edges is $\langle \hat{Y}_{\pi v}, \dots, \hat{Y}_{\pi 1} \rangle$; this pairing induces a pairing of equivalence classes, showing in turn that δ is

even. Let $\beta = \delta/2$. Then $\beta \in \mathbb{Z}$ and $S_v(Y_1, \dots, Y_v) = \beta \sum_{i=1}^u d_i e_{ii}$.

(b) Suppose some vertex i has odd degree. Then some other vertex j has odd degree and i, j are the end vertices of any path of length v . Thus, the associated monomials have value $\pm e_{ij}$ or $\pm e_{ji}$, and $S_v(Y_1, \dots, Y_v) = \alpha e_{ij} + \beta e_{ji}$, suitable α, β in \mathbb{Z} . But $S_v(Y_1, \dots, Y_v)$ is either symmetric or antisymmetric by Lemma 4, so $\beta = \pm \alpha$. Q.E.D.

Now let $\mathcal{S} = \{A_1, \dots, A_{2n-1}\}$, where $A_1, \dots, A_{2n-2} \in B_n^-$ and $A_{2n-1} = e_{11}$. The sum of all degrees in $\Gamma(\mathcal{S})$ is $2(2n-1) = 4n-2$. Since $\Gamma(\mathcal{S})$ has n vertices, some vertex has degree ≤ 3 . We consider the following two cases:

Case I. Some vertex has degree 0, 1, or 3.

Case II. Some vertex has degree 2, and no vertex has degree 0, 1, or 3.

3. Case I. Assume the vertex j has degree 0, 1, or 3. First suppose j has degree 0. Then j does not occur in A_1, \dots, A_{2n-1} . Hence we may view A_1, \dots, A_{2n-1} in $M_{n-1}(\mathbb{Z})$. S_{2n-1} is an identity of $M_{n-1}(\mathbb{Z})$ by the Amitsur-Levitzki theorem and (1), so $S_{2n-1}(A_1, \dots, A_{2n-1}) = 0$ and we are done.

Suppose j has degree 1. We may assume j is incident to \hat{A}_1 , in which case $e_{jj}A_r = 0$ for $r > 1$. Thus, by (1),

$$e_{jj}S_{2n-1}(A_1, \dots, A_{2n-1}) = e_{jj}A_1S_{2n-2}(A_2, \dots, A_{2n-1}) = 0$$

by Amitsur-Levitzki, viewing A_2, \dots, A_{2n-1} in $M_{n-1}(\mathbb{Z})$. This implies $S_{2n-1}(A_1, \dots, A_{2n-1}) = 0$ by Lemma 9(b).

Thus, we may assume j has degree 3. If $j = 1$ then j occurs twice in A_{2n-1} , so j occurs in only one other A_r , which we may assume is A_1 . Then it is clear

$$e_{jj}S_{2n-1}(A_1, \dots, A_{2n-1}) = e_{jj}A_{2n-1}A_1S_{2n-2}(A_2, \dots, A_{2n-2}) = 0$$

by $\mathcal{L}_0(n-1, 2(n-1), 0)$, viewing A_2, \dots, A_{2n-2} in $M_{n-1}^-(\mathbb{Z})$ (since j does not occur in these matrices). Hence $S_{2n-1}(A_1, \dots, A_{2n-1}) = 0$ by Lemma 9(b).

So we may assume $j \neq 1$. In particular we may assume that j occurs in A_1, A_2, A_3 . By the Amitsur-Levitzki theorem we get $S_{2n}(e_{jj}, A_1, \dots, A_{2n-1}) = 0$, so expanding by (1) yields

$$\begin{aligned} 0 &= e_{jj}S_{2n}(e_{jj}, A_1, \dots, A_{2n-1}) \\ (2) \quad &= e_{jj}e_{jj}S_{2n-1}(A_1, \dots, A_{2n-1}) - e_{jj}A_1S_{2n-1}(e_{jj}, A_2, \dots, A_{2n-1}) \\ &\quad + e_{jj}A_2S_{2n-1}(e_{jj}, A_1, A_3, \dots, A_{2n-1}) \\ &\quad - e_{jj}A_3S_{2n-1}(e_{jj}, A_1, A_2, A_4, \dots, A_{2n-1}) \end{aligned}$$

since $e_{jj}A_r = 0$ unless $r = 1, 2$, or 3 .

We shall now show $e_{jj}A_1S_{2n-1}(e_{jj}, A_2, \dots, A_{2n-1}) = 0$. Let $\hat{A}_1 = \{i_1, j\}$, $\hat{A}_2 = \{i_2, j\}$, $\hat{A}_3 = \{i_3, j\}$. Any nonzero monomial of $e_{jj}A_1S_{2n-1}(e_{jj}, A_2, \dots, A_{2n-1})$ must be either of the form

$$\pm e_{jj}A_1A_{\pi 4} \cdots A_{\pi r}A_3e_{jj}A_2A_{\pi(r+1)} \cdots A_{\pi(2n-1)}$$

or of the form

$$\pm e_{jj}A_1A_{\pi 4} \cdots A_{\pi r}A_2e_{jj}A_3A_{\pi(r+1)} \cdots A_{\pi(2n-1)}$$

where π is a permutation of $(4, \dots, 2n-1)$. Thus

$$\begin{aligned} e_{jj}A_1S_{2n-1}(e_{jj}, A_2, \dots, A_{2n-1}) &= e_{jj}A_1(S_{2n-1}(e_{jj}, A_2, \dots, A_{2n-1}; A_3e_{jj}A_2) \\ &\quad + S_{2n-1}(e_{jj}, A_2, \dots, A_{2n-1}; A_2e_{jj}A_3)) \\ &= e_{jj}A_1(S_{2n-1}(A_3, e_{jj}, A_2, A_4, \dots, A_{2n-1}; A_3e_{jj}A_2) \\ &\quad + S_{2n-1}(A_3, e_{jj}, A_2, A_4, \dots, A_{2n-1}; A_2e_{jj}A_3)) \\ &= e_{jj}A_1S_{2n-3}(\tilde{A}, A_4, \dots, A_{2n-1}) \end{aligned}$$

by Lemma 7, where $\tilde{A} = A_3e_{jj}A_2 - A_2e_{jj}A_3 \in B_n^-$. In fact, $\tilde{A} = \pm(e_{i_2i_3} - e_{i_3i_2})$, so j does not occur in $\tilde{A}, A_4, \dots, A_{2n-1}$, which we may therefore view in $M_{n-1}^-(Z)$. Hence $S_{2n-3}(\tilde{A}, A_4, \dots, A_{2n-1}) = 0$ by $\mathfrak{L}_0(n-1, 2(n-1)-1, 1)$, so $e_{jj}A_1S_{2n-1}(e_{jj}, A_2, \dots, A_{2n-1}) = 0$. Analogous arguments show

$$e_{jj}A_2S_{2n-1}(e_{jj}, A_1, A_3, \dots, A_{2n-1}) = 0 \text{ and}$$

$$e_{jj}A_3S_{2n-1}(e_{jj}, A_1, A_2, A_4, \dots, A_{2n-1}) = 0,$$

so (2) implies $0 = e_{jj}e_{jj}S_{2n-1}(A_1, \dots, A_{2n-1}) = e_{jj}S_{2n-1}(A_1, \dots, A_{2n-1})$. We conclude by Lemma 9(b) that $S_{2n-1}(A_1, \dots, A_{2n-1}) = 0$, so Case I has been taken care of.

4. Reduction to n even and introduction of the graph Γ' .

Lemma 10. For $u \geq 2, v \geq 1$, let $Y_1, \dots, Y_{2v} \in B_u^-$ and $Y_{2v+1} = e_{11}$. Suppose some vertex i of $\Gamma(\{Y_1, \dots, Y_{2n+1}\})$ has degree 2. If $i = 1$ then $S_{2v+1}(Y_1, \dots, Y_{2v+1}) = 0$. If $i \neq 1$ then there is a permutation π of $(1, \dots, 2v)$ for which i occurs in $Y_{\pi 1}$ and $Y_{\pi 2}$, and

$$\begin{aligned}
& e_{ii} S_{2v+1}(Y_1, \dots, Y_{2v+1}) e_{ii} \\
&= (\text{sg } \pi) e_{ii} (Y_{\pi 2} S_{2v-1}(Y_{\pi 3}, \dots, Y_{\pi(2v)}, Y_{2v+1}) Y_{\pi 1} \\
&\quad - Y_{\pi 1} S_{2v-1}(Y_{\pi 3}, \dots, Y_{\pi(2v)}, Y_{2v+1}) Y_{\pi 2}) e_{ii}.
\end{aligned}$$

Proof. If $i = 1$ then i does not occur in Y_r , $1 \leq r \leq 2v$, so $Y_r Y_{2v+1} = Y_{2v+1} Y_r = 0$, implying $S_{2v+1}(Y_1, \dots, Y_{2v+1}) = 0$. If $i \neq 1$ then there is a permutation π of $(1, \dots, 2v)$ for which i occurs in $Y_{\pi 1}$ and $Y_{\pi 2}$. Clearly $e_{ii} Y_r = Y_r e_{ii} = 0$ for $r \geq 3$, so the rest of the lemma is an easy consequence of (1). Q.E.D.

Now suppose n is odd. We claim $\text{tr } S_{2n-1}(A_1, \dots, A_{2n-1}) = 0$. This is trivial unless all vertices of $\Gamma(\mathcal{S})$ have even degree. But then some vertex i has degree 2, and by Lemma 10 we have a permutation π of $(1, \dots, 2n-2)$ such that i occurs in $A_{\pi 1}$ and $A_{\pi 2}$. Viewing $A_{\pi 3}, \dots, A_{\pi(2n-1)}, A_{2n-1}$ in $M_{n-1}(\mathbb{Z})$, we have by $\mathcal{L}_0(n-1, 2(n-1)-1, 1)$ that $S_{2(n-1)-1}(A_{\pi 3}, \dots, A_{\pi(2n-2)}, A_{2n-1}) = 0$. Hence $e_{ii} S_{2n-1}(A_1, \dots, A_{2n-1}) e_{ii} = 0$ by Lemma 10, so $S_{2n-1}(A_1, \dots, A_{2n-1}) = 0$ by Lemma 9(a), establishing the claim. Hence, we have $\mathcal{L}_0(n, 2n-1, 1)$ and $\mathcal{L}_0(n, 2n-1, 0)$ by Lemma 6, and are done for n odd.

Thus we shall assume for the remainder of the proof of Theorem 1 that n is even, and we shall prove $\mathcal{L}_0(n+1, 2(n+1)-1, 0)$. Since $(n+1)$ is odd, it is enough to show for all A_1, \dots, A_{2n} in B_{n+1}^- and $A_{2n+1} = e_{11}$, that $\text{tr } S_{2(n+1)-1}(A_1, \dots, A_{2n+1}) = 0$. Let $\mathcal{S}' = \{A_1, \dots, A_{2n+1}\}$ and let Γ -degree of an index denote its degree in $\Gamma(\mathcal{S}')$. Clearly $\text{tr } S_{2n+1}(A_1, \dots, A_{2n+1}) = 0$ if any index has odd Γ -degree (cf. Lemma 9), so we may assume that all indices have even Γ -degree.

Consider the edges of $\Gamma(\mathcal{S}')$ having an incident vertex of Γ -degree 2. The set of all such edges, together with all their incident vertices, forms a subgraph Γ' of $\Gamma(\mathcal{S}')$. Any index which is not a vertex of Γ' will be said to have Γ' -degree 0. By definition of Γ' , any edge of Γ' is incident to a vertex of Γ -degree 2. On the other hand, by Lemma 9(a), $S_{2n+1}(A_1, \dots, A_{2n+1}) = \alpha \sum_{i=1}^{n+1} d_i e_{ii}$, some α in \mathbb{Z} . We are done if $\alpha = 0$, and otherwise there is the following result.

Lemma 11. *If $\alpha \neq 0$ then each edge of Γ' is incident to a vertex of Γ -degree ≥ 6 ; in other words, no vertex of Γ' has Γ -degree 4, and no two vertices of Γ -degree 2 are incident to the same edge.*

Proof. Suppose we have an edge $\hat{A}_r = \{i, k\}$, i of Γ -degree 2 and k of Γ -degree < 6 . We shall see how Lemma 10 implies $\alpha = 0$. This is immediate if $i = k$, so assume $i \neq k$ and let \hat{A}_s be the other edge of $\Gamma(\mathcal{S}')$ incident to i . For conve-

nience, assume $r = 1$ and $s = 2$. Then in $\Gamma(\{A_3, \dots, A_{2n+1}\})$ i has degree 0 and k has degree 1 or 3. Hence we may view A_3, \dots, A_{2n+1} in $M_n(\mathbb{Z})$, and $S_{2n-1}(A_3, \dots, A_{2n+1}) = 0$ by Case I. Therefore $\alpha = 0$ by Lemma 10. Q.E.D.

Definition. The *weight* of a vertex of $\Gamma(\mathcal{S}')$ is its Γ -degree minus its Γ' -degree.

Lemma 13. For each $d > 0$ let u_d be the number of vertices of Γ -degree d and let w_d be the sum of the weights of all vertices of Γ -degree d . If $\alpha \neq 0$ then $\sum_{d \geq 6} w_d = 4 \sum_{d \geq 6} u_d - 2$.

Proof. Clearly $u_d = 0$ for d odd. $\sum_d u_d$ is the number of vertices of $\Gamma(\mathcal{S})$, which is $n + 1$, so $0 = \sum_d u_d - (n + 1)$. Likewise, $\sum_d d u_d$ is the sum of all the Γ -degrees, which is $4n + 2$ since there are $2n + 1$ edges, each adjacent to two vertices. Thus

$$\begin{aligned} 0 &= \sum_d d u_d - (4n + 2) \\ &= \left(\sum_d d u_d - (4n + 2) \right) - 4 \left(\sum_d u_d - (n + 1) \right) = \sum_d (d - 4) u_d + 2. \end{aligned}$$

This means $2u_2 = 2 + \sum_{d \geq 6} (d - 4) u_d$. Now Lemma 12 says that each edge of Γ' is incident to one vertex of Γ -degree 2 and one vertex of Γ -degree ≥ 6 . Thus, the sum of Γ' -degree of all vertices of Γ -degree ≥ 6 = the sum of Γ' -degrees of all vertices of Γ -degree 2 = $2u_2$, since each vertex of Γ -degree 2 has Γ' -degree 2 by definition of Γ' . By definition of weight,

$$\begin{aligned} \sum_{d \geq 6} w_d &= (\text{sum of } \Gamma\text{-degrees of all vertices of } \Gamma\text{-degree } \geq 6) \\ &\quad - (\text{sum of } \Gamma'\text{-degrees of all vertices of } \Gamma\text{-degree } \geq 6) \\ &= \sum_{d \geq 6} d u_d - 2u_2 = \sum_{d \geq 6} d u_d - \left(2 + \sum_{d \geq 6} (d - 4) u_d \right) \\ &= 4 \sum_{d \geq 6} u_d - 2. \end{aligned} \quad \text{Q.E.D.}$$

Suppose every vertex of Γ -degree $2d$ has weight $\geq d$, all $d \geq 3$. In particular every vertex of Γ -degree ≥ 8 has weight ≥ 4 , and $4 \sum_{d \geq 6} u_d - 2 = \sum_{d \geq 6} w_d = w_6 + \sum_{d \geq 8} w_d \geq w_6 + 4 \sum_{d \geq 8} u_d$. In this case $4u_6 - 2 \geq w_6$; since each vertex of Γ -degree 6 is assumed to have weight ≥ 3 , we conclude that two vertices of Γ -degree 6 have weight 3.

Thus we are reduced to the following two subcases of Case II:

Subcase A. Some vertex of Γ -degree $2d$ has weight $< d$, for some $d \geq 3$.

Subcase B. Two vertices of Γ -degree 6 have weight 3.

5. Reduction to Subcase B.

Lemma 12. Let $Y_1, \dots, Y_{2k} \in B_{k+1}^-$, $k \leq n$, and let $Y_{2k+1} = e_{11} \in B_{k+1}^+$. For $m \geq 1$, suppose there exist indices i_1, \dots, i_{2m} , each of degree 2 in $\Gamma(\{Y_1, \dots, Y_{2k+1}\})$, such that i_1 occurs in Y_1 and Y_2 , i_2 occurs in Y_3 and Y_4 , \dots , i_{2m} occurs in Y_{4m-1} and Y_{4m} . For $1 \leq j \leq m$ we define the monomials $W_j = Y_{4j-3}Y_{4j-2}Y_{4j-1}Y_{4j}$ and $W'_j = Y_{4j}Y_{4j-1}Y_{4j-2}Y_{4j-3}$. Then

$$\sum S_{2k+1}(Y_1, Y_2, \dots, Y_{2k+1}; V_1, \dots, V_m) = 0,$$

the sum taken over the 2^m terms obtained by specializing V_j to W_j or W'_j , $1 \leq j \leq m$.

Proof. The lemma will be proved by induction on m . Let Σ be an abbreviation for $\Sigma_{2k+1}(Y_1, \dots, Y_{2k+1}; V_1, \dots, V_m)$, the sum taken over the 2^m terms obtained by specializing V_j to W_j or W'_j , $1 \leq j \leq m$. $\Sigma = \Sigma_1 + \Sigma_2 + \Sigma_3$, Σ_1 being the sum of those monomials of Σ ending with W'_1 , Σ_2 being the sum of those monomials of Σ starting with W_1 , and Σ_3 being the sum of those monomials of Σ neither starting with W_1 nor ending with W'_1 . We shall show (i) $\Sigma_1 = 0$; (ii) $\Sigma_2 = 0$; (iii) $\Sigma_3 = 0$.

(i) $\Sigma_1 = \Sigma_{2k-3}(Y_5, Y_6, \dots, Y_{2k+1}; V_2, \dots, V_m)W'_1$, the sum taken over the 2^{m-1} terms obtained by specializing V_j to W_j or to W'_j , $2 \leq j \leq m$. Now i_1 and i_2 occur only in Y_1, Y_2, Y_3, Y_4 , so we may view Y_5, \dots, Y_{2k} in B_{k-1}^- . If $m = 1$ then $S_{2(k-1)-1}(Y_5, \dots, Y_{2k+1}) = 0$ by $\mathcal{L}_0(k-1, 2(k-1)-1, 1)$, so $\Sigma_1 = 0$. On the other hand, if $m > 1$, then $\Sigma_{2(k-2)+1}(Y_5, \dots, Y_{2k+1}; V_2, \dots, V_m) = 0$ by induction on m , so $\Sigma_1 = 0$. Thus $\Sigma_1 = 0$ for all $m \geq 1$.

(ii) $\Sigma_2 = 0$ is proved in a manner analogous to (i).

(iii) Consider a typical monomial of Σ_3 . This has either a submonomial $Y_r W_1$ or a submonomial $W'_1 Y_r$, $5 \leq r \leq 2k+1$. To prove $\Sigma_3 = 0$ it suffices to prove for each r , $5 \leq r \leq 2k+1$, that the sum of those monomials of Σ containing either the submonomial $Y_r W_1$ or the submonomial $W'_1 Y_r$ is 0.

If $4m < r \leq 2k+1$ then this sum is (by Lemma 7)

$$\sum S_{2k-3}(Y_5, \dots, Y_{r-1}, \tilde{Y}_r, Y_{r+1}, \dots, Y_{2k+1}; V_2, \dots, V_m),$$

summed over the 2^{m-1} specializations of V_j to W_j or W'_j , $2 \leq j \leq m$, where $\tilde{Y}_r = Y_r W_1 + W'_1 Y_r$ is in B_{k+1}^- if $r < 2k+1$ and is in B_{k+1}^+ if $r = 2k+1$. But the indices i_1 and i_2 do not occur in Y_5, \dots, Y_{2k+1} , or in \tilde{Y}_r , so we see

$$\sum S_{2k-3}(Y_5, \dots, Y_{r-1}, \tilde{Y}_r, Y_{r+1}, \dots, Y_{2k+1}; V_2, \dots, V_m) = 0,$$

by $\mathcal{L}_0(k-1, 2(k-1)-1, 1)$ if $m=1$, by induction (on m) if $m>1$.

On the other hand, suppose $5 \leq r \leq 4m$. Then Y_r is in W_j or W'_j , some $j \geq 2$; it follows that there are no nonzero monomials of Σ containing the submonomial $Y_r W_1$ or the submonomial $W'_1 Y_r$, unless either $r = 4j-3$ or $r = 4j$. Let us assume $r = 4j-3$ (the other situation is analogous). Then all nonzero monomials of Σ containing $Y_r W_1$ or $W'_1 Y_r$ must in fact contain $W'_j W_1$ or $W'_1 W_j$. Let $\tilde{Y}_r = Y_r W_1 + W'_1 Y_r$, $\tilde{Y}_r \in B_{k+1}^-$ and is incident to the index i_{2j-1} since $r = 4j-3$. But i_{2j-1} is of degree 2 in $\Gamma(\{Y_1, \dots, Y_{2k+1}\})$, occurring in Y_{4j-3} and Y_{4j-2} , so it is clear that $W'_j W_1 = Y_{4j} Y_{4j-1} Y_{4j-2} \tilde{Y}_r$ and $W'_1 W_j = \tilde{Y}_r Y_{4j-2} Y_{4j-1} Y_{4j}$. Thus if we let

$$\tilde{W}_j = \tilde{Y}_r Y_{4j-2} Y_{4j-1} Y_{4j} \text{ and } \tilde{W}'_j = Y_{4j} Y_{4j-1} Y_{4j-2} \tilde{Y}_r$$

then the sum of those monomials of Σ containing $Y_r W_1$ or $W'_1 Y_r$ is

$$\sum S_{2k-3}(Y_5, \dots, \tilde{Y}_r, \dots, Y_{2k+1}; V_2, \dots, \tilde{V}_j, \dots, V_m),$$

summed over the 2^{m-1} specializations of V_1 to W_1 or W'_1, \dots, \tilde{V}_j to \tilde{W}_j or \tilde{W}'_j, \dots, V_m to W_m or W'_m . But the indices i_1 and i_2 do not occur in $Y_5, \dots, \tilde{Y}_r, \dots, Y_{2k+1}$, so we see

$$\sum S_{2k-3}(Y_5, \dots, \tilde{Y}_r, \dots, Y_{2k+1}; V_2, \dots, \tilde{V}_j, \dots, V_m) = 0$$

by induction. Q.E.D.

We can now dispose of subcase A. Namely, assume that the vertex i has Γ -degree $2d$, and that at least $(d+1)$ edges incident to i are of the form $\{i_\mu, i\}$, i_μ an index of Γ -degree 2, $1 \leq \mu \leq d+1$. Since $n \geq 2d$ there certainly exists an index $k \notin \{i, i_1, \dots, i_{d+1}\}$. By Lemma 9, in order to show $\alpha = 0$ it is enough to show $e_{kk} S_{2n+1}(A_1, \dots, A_{2n+1}) e_{kk} = 0$, which we now claim. Indeed, for any nonzero monomial there is an associated Euler path in $\Gamma(\mathcal{S}')$ with end vertices k . The vertex i can only be intermediate in this path, from which we conclude (even if $i=1$) that for some r, s , $1 \leq r < s \leq d+1$, the edges $\{i, i_r\}$ and $\{i, i_s\}$ are adjacent. Now $\{i, i_r\}$ is adjacent to an edge $\{i_r, j_1\}$, and $\{i, i_s\}$ is adjacent to an edge $\{i_s, j_2\}$, for suitable j_1 and j_2 . Let W_{rs} be the submonomial associated with the subpath $\{\{j_1, i_r\}, \{i_r, i\}, \{i, i_s\}, \{i_s, j_2\}\}$, j_1, j_2 , and let W'_{rs} be the submonomial associated with the reverse subpath. Then by Lemma 12,

$$e_{kk} S_{n+1}(A_1, \dots, A_{2n+1}; W_{rs}) e_{kk} + e_{kk} S_{2n+1}(A_1, \dots, A_{2n+1}; W'_{rs}) e_{kk} = 0.$$

Summing over all possible W_{rs} , $r < s$, we get $\sum e_{kk} S_{2n+1}(A_1, \dots, A_{2n+1}; W_{rs}) = 0$, the sum taken over all W_{rs} , $r \neq s$. In this summation we have counted every nonzero monomial of $e_{kk} S_{2n+1}(A_1, \dots, A_{2n+1}) e_{kk}$, but we have counted twice the

monomials of $\sum e_{kk} S_{2n+1}(A_1, \dots, A_{2n+1}; W_{r_1 s_1}, W_{r_2 s_2}) e_{kk}$, summed over distinct r_1, s_1, r_2, s_2 . But if r_1, s_1, r_2, s_2 are distinct then the edges of the paths associated with $W_{r_1 s_1}$ and $W_{r_2 s_2}$ are all distinct, so we see by using Lemma 12 again that $\sum e_{kk} S_{2n+1}(A_1, \dots, A_{2n+1}; W_{r_1 s_1}, W_{r_2 s_2}) e_{kk} = 0$. Continuing in this way (using the inclusion-exclusion principle) we have

$$\begin{aligned} & e_{kk} S_{2n+1}(A_1, \dots, A_{2n+1}) e_{kk} \\ &= \sum e_{kk} S_{2n+1}(A_1, \dots, A_{2n+1}; W_{rs}) e_{kk} \\ &\quad - \sum e_{kk} S_{2n+1}(A_1, \dots, A_{2n+1}; W_{r_1 s_1}, W_{r_2 s_2}) e_{kk} \\ &\quad + \sum e_{kk} S_{2n+1}(A_1, \dots, A_{2n+1}; W_{r_1 s_1}, W_{r_2 s_2}, W_{r_3 s_3}) e_{kk} \pm \dots 0 \end{aligned}$$

by repeated applications of Lemma 12. This concludes the proof $\alpha = 0$ in subcase A.

6. Completion of proof of Theorem 1: Subcase B. Having reduced the proof of $\mathcal{Q}_0(n+1, 2(n+1)-1, 1)$ to subcase B, we may assume that two distinct vertices of Γ -degree 6 have weight 3. In particular there exists a vertex $i \neq 1$ of Γ -degree 6 and weight 3 (i.e. i is not incident to $\hat{A}_{2n+1} = \{1, 1\}$). Assume the following: $\hat{A}_r = \{i, r\}$, $2 \leq r \leq 7$, the vertices 2, 3, and 4 each have Γ -degree 2, and \hat{A}_1 is the other edge of $\Gamma(\mathcal{S}')$ incident to the vertex 2. By Lemma 9, in order to show $\alpha = 0$ it is enough to show

$$e_{22} S_{2n+1}(A_1, \dots, A_{2n+1}) e_{22} = 0,$$

and by Lemma 10 it is enough to show $S_{2n-1}(A_3, \dots, A_{2n+1}) = 0$. Since the index 2 occurs only in A_1 and A_2 , we may in fact view A_3, \dots, A_{2n+1} in $B_n^+ \cup B_n^-$. For the remainder of the proof of Theorem 1, let *degree* denote degree in $\Gamma(\{A_3, \dots, A_{2n+1}\})$. Clearly i has degree 5 (being incident to $\hat{A}_3, \hat{A}_4, \hat{A}_5, \hat{A}_6, \hat{A}_7$) so by Lemma 9 there is another vertex k of odd degree and it is enough to show $e_{ii} S_{2n-1}(A_3, \dots, A_{2n+1}) e_{kk} = 0$. Using the Amitsur-Levitzki theorem and expanding by (1), we get

$$\begin{aligned} 0 &= e_{ii} S_{2n}(e_{ii}, A_3, \dots, A_{2n+1}) e_{kk} \\ &= e_{ii} e_{ii} S_{2n-1}(A_3, \dots, A_{2n+1}) e_{kk} \\ &\quad - e_{ii} A_3 S_{2n-1}(e_{ii}, A_4, \dots, A_{2n+1}) e_{kk} \\ (3) \quad &+ e_{ii} A_4 S_{2n-1}(e_{ii}, A_3, A_5, \dots, A_{2n+1}) e_{kk} \\ &\quad - e_{ii} A_5 S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}) e_{kk} \\ &\quad + e_{ii} A_6 S_{2n-1}(e_{ii}, A_3, A_4, A_5, A_7, \dots, A_{2n+1}) e_{kk} \\ &\quad - e_{ii} A_7 S_{2n-1}(e_{ii}, A_3, \dots, A_6, A_8, \dots, A_{2n+1}) e_{kk}. \end{aligned}$$

Now $e_{ii}e_{ii}S_{2n-1}(A_3, \dots, A_{2n+1})e_{kk} = e_{ii}S_{2n-1}(A_3, \dots, A_{2n+1})e_{kk}$. We also claim $e_{ii}A_3S_{2n-1}(e_{ii}, A_4, \dots, A_{2n+1})e_{kk} = 0$ and

$$e_{ii}A_4S_{2n-1}(e_{ii}, A_3, A_5, \dots, A_{2n+1})e_{kk} = 0.$$

Indeed, the vertex 3, having degree two, is incident to \hat{A}_3 and to one other edge, which we may assume is \hat{A}_g . Likewise, assume the vertex 4, also having degree two, is incident to \hat{A}_4 and \hat{A}_9 . Since the index 3 does not occur in $e_{ii}, A_4, \dots, A_7, A_9, \dots, A_{2n+1}$, we may view these matrices in $M_{n-1}(Z)$, so

$$\begin{aligned} e_{ii}A_3S_{2n-1}(e_{ii}, A_4, \dots, A_{2n+1})e_{kk} \\ = e_{ii}A_3A_8S_{2(n-1)}(e_{ii}, A_4, \dots, A_7, A_9, \dots, A_{2n+1})e_{kk} = 0 \end{aligned}$$

by the Amitsur-Levitzki theorem. Similarly,

$$e_{ii}A_4S_{2n-1}(e_{ii}, A_3, A_5, \dots, A_{2n+1})e_{kk} = 0.$$

Therefore, equation (3) becomes

$$\begin{aligned} (4) \quad e_{ii}S_{2n-1}(A_3, \dots, A_{2n+1})e_{kk} \\ = e_{ii}A_5S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1})e_{kk} \\ - e_{ii}A_6S_{2n-1}(e_{ii}, A_3, A_4, A_5, A_7, \dots, A_{2n+1})e_{kk} \\ + e_{ii}A_7S_{2n-1}(e_{ii}, A_3, A_4, A_5, A_6, A_8, \dots, A_{2n+1})e_{kk}. \end{aligned}$$

Let us analyze $e_{ii}A_5S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1})e_{kk}$. Since $e_{ii}A_5 = \pm e_{i5}$, it is equivalent to look at $e_{i5}S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1})e_{kk}$ each of whose nonzero monomials contains at least one of the following submonomials:

$$\begin{array}{lll} \text{(i)} A_3e_{ii} & \text{(ii)} e_{ii}A_3 & \text{(iii)} A_4e_{ii} \\ \text{(iv)} e_{ii}A_4 & \text{(v)} A_6e_{ii}A_7 & \text{(vi)} A_7e_{ii}A_6 \end{array}$$

We shall see that the sum of the monomials of $e_{i5}S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1})e_{kk}$ of types (i) and (ii) (resp. (iii) and (iv), (v) and (vi)) is 0. Since the only duplication in counting occurs when we count twice monomials containing $A_3e_{ii}A_4$ or $A_4e_{ii}A_3$, it will follow that

$$\begin{aligned} e_{ii}A_5S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1})e_{kk} \\ = e_{ii}A_5(S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; A_3e_{ii}A_4) \\ + S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; A_4e_{ii}A_3))e_{kk}. \end{aligned}$$

First we claim that

$$\begin{aligned} e_{i5}(S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; A_3e_{ii}) \\ + S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; e_{ii}A_3))e_{kk} = 0. \end{aligned}$$

Well, it is clear that, since the index 3 occurs only in A_3 and A_8 ,

$$\begin{aligned} & e_{i5}(S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; A_3 e_{ii}) \\ & \quad + S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; e_{ii} A_3))e_{kk} \\ & = e_{i5}(S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; A_8 A_3 e_{ii}) \\ & \quad + S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; e_{ii} A_3 A_8))e_{kk} \\ & = -e_{i5} S_{2n-3}(\tilde{A}_3, A_4, A_6, A_7, A_9, \dots, A_{2n+1})e_{kk} \end{aligned}$$

by Lemma 7, where $\tilde{A}_3 = e_{ii} A_3 A_8 - A_8 A_3 e_{ii}$ is an antisymmetric matrix. Moreover the index 3 does not occur in $\tilde{A}_3, A_4, A_6, A_7, A_9, \dots, A_{2n+1}$, so we may view these matrices in $M_{n-1}(\mathbb{Z})$. By $\mathcal{L}_0(n-1, 2(n-1)-1, 1)$,

$$S_{2n-3}(\tilde{A}_3, A_4, A_6, A_7, A_9, \dots, A_{2n+1}) = 0,$$

yielding the desired result.

The analogous argument shows that

$$\begin{aligned} & e_{i5}(S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; A_4 e_{ii}) \\ & \quad + S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; e_{ii} A_4))e_{kk} = 0. \end{aligned}$$

Now we claim

$$\begin{aligned} & e_{i5}(S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; A_6 e_{ii} A_7) \\ & \quad + S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; A_7 e_{ii} A_6))e_{kk} = 0. \end{aligned}$$

Well, by Lemma 8, this equals $-e_{i5} S_{2n-3}(A_3, A_4, \tilde{A}_6, A_8, \dots, A_{2n+1})e_{kk}$, where $\tilde{A}_6 = A_6 e_{ii} A_7 - A_7 e_{ii} A_6$. Since i does not occur in $\tilde{A}_6, A_8, \dots, A_{2n+1}$, we see A_3 and A_4 are adjacent in any nonzero monomial (of

$$e_{i5} S_{2n-3}(A_3, A_4, \tilde{A}_6, A_8, \dots, A_{2n+1})e_{kk}),$$

which must therefore contain either $A_8 A_3 A_4 A_9$ or $A_9 A_4 A_3 A_8$. Thus,

$$\begin{aligned} & e_{i5} S_{2n-3}(A_3, A_4, \tilde{A}_6, A_8, \dots, A_{2n+1})e_{kk} \\ & = e_{i5}(S_{2n-3}(A_3, A_4, \tilde{A}_6, A_8, \dots, A_{2n+1}; A_8 A_3 A_4 A_9) \\ & \quad + S_{2n-3}(A_3, A_4, A_6, A_8, \dots, A_{2n+1}; A_9 A_4 A_3 A_8))e_{kk}. \end{aligned}$$

In order to prepare for the use of Lemma 12, let us replace the index i in A_3 and A_4 by the index 1, calling the results \bar{A}_3 and \bar{A}_4 . Then the index i does not occur in $\bar{A}_3, \bar{A}_4, \tilde{A}_6, A_8, \dots, A_{2n+1}$, so we may view these matrices in $M_{n-1}(\mathbb{Z})$. Since $A_9 \bar{A}_4 \bar{A}_3 A_8 = A_9 A_4 A_3 A_8$ and $A_8 \bar{A}_3 \bar{A}_4 A_9 = A_8 A_3 A_4 A_9$, we have

$$\begin{aligned}
& S_{2n-3}(A_3, A_4, \tilde{A}_6, A_8, \dots, A_{2n+1}; A_8 A_3 A_4 A_9) \\
& \quad + S_{2n-3}(A_3, A_4, \tilde{A}_6, A_8, \dots, A_{2n+1}; A_9 A_4 A_3 A_8) \\
& = S_{2n-3}(\bar{A}_3, \bar{A}_4, \tilde{A}_6, A_8, \dots, A_{2n+1}; A_8 \bar{A}_3 \bar{A}_4 A_9) \\
& \quad + S_{2n-3}(\bar{A}_3, \bar{A}_4, \tilde{A}_6, A_8, \dots, A_{2n+1}; A_9 \bar{A}_4 \bar{A}_3 A_8) = 0
\end{aligned}$$

by Lemma 12. Thus, $e_{i5} S_{2n-3}(A_3, A_4, \tilde{A}_6, A_8, \dots, A_{2n+1}) e_{kk} = 0$, establishing the claim.

These three results show, as has already been observed, that

$$\begin{aligned}
& e_{ii} A_5 S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}) e_{kk} \\
& = e_{ii} A_5 (S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; A_3 e_{ii} A_4) \\
& \quad + S_{2n-1}(e_{ii}, A_3, A_4, A_6, \dots, A_{2n+1}; A_4 e_{ii} A_3)) e_{kk}.
\end{aligned}$$

Analogously,

$$\begin{aligned}
& e_{ii} A_6 S_{2n-1}(e_{ii}, A_3, A_4, A_5, A_7, \dots, A_{2n+1}) e_{kk} \\
& = e_{ii} A_6 (S_{2n-1}(e_{ii}, A_3, A_4, A_5, A_7, \dots, A_{2n+1}; A_3 e_{ii} A_4) \\
& \quad + S_{2n-1}(e_{ii}, A_3, A_4, A_5, A_7, \dots, A_{2n+1}; A_4 e_{ii} A_3)) e_{kk}
\end{aligned}$$

and

$$\begin{aligned}
& e_{ii} A_7 S_{2n-1}(e_{ii}, A_3, \dots, A_6, A_8, \dots, A_{2n+1}) e_{kk} \\
& = e_{ii} A_7 (S_{2n-1}(e_{ii}, A_3, \dots, A_6, A_8, \dots, A_{2n+1}; A_3 e_{ii} A_4) \\
& \quad + S_{2n-1}(e_{ii}, A_3, \dots, A_6, A_8, \dots, A_{2n+1}; A_4 e_{ii} A_3)) e_{kk}.
\end{aligned}$$

Thus, in the terms in the right hand side of (4), we may consider only those nonzero monomials containing the submonomial $A_3 e_{ii} A_4$ or $A_4 e_{ii} A_3$. But these are precisely the nonzero monomials of $e_{ii} S_{2n}(e_{ii}, A_3, \dots, A_{2n+1}) e_{kk}$ which contain the submonomial $A_3 e_{ii} A_4$ or $A_4 e_{ii} A_3$. Therefore, (4) becomes

$$\begin{aligned}
& e_{ii} S_{2n-1}(A_3, \dots, A_{2n+1}) e_{kk} \\
& = -e_{ii} (S_{2n-1}(e_{ii}, A_3, \dots, A_{2n+1}; A_3 e_{ii} A_4) \\
& \quad + S_{2n-1}(e_{ii}, A_3, \dots, A_{2n+1}; A_4 e_{ii} A_3)) e_{kk} \\
& = -e_{ii} S_{2n-4}(\tilde{A}, A_5, A_6, A_7, A_{10}, \dots, A_{2n+1}) e_{kk}
\end{aligned}$$

by Lemma 7, where $\tilde{A} = A_8 A_3 e_{ii} A_4 A_9 + A_9 A_4 e_{ii} A_3 A_8$. But the indices 3 and 4 do not occur in $\tilde{A}, A_5, A_6, A_7, A_{10}, \dots, A_{2n+1}$. Thus

$$S_{2(n-2)}(\tilde{A}, A_5, A_6, A_7, A_{10}, \dots, A_{2n+1}) = 0$$

by the Amitsur-Levitzki theorem. Therefore $e_{ii} S_{2n-1}(A_3, \dots, A_{2n+1}) e_{kk} = 0$, which disposes of subcase B.

Thus we have $\mathcal{L}_0(n+1, 2(n+1)-1, 1)$ for n even. But then Lemma 6 yields $\mathcal{L}_0(n, 2n-1, 1)$ and $\mathcal{L}_0(n, 2n-1, 0)$, finishing the proof of Theorem 1.

7. Additional results when $p \neq 0$.

Theorem 2. $\mathcal{L}_2(n, k, t)$ for $k \geq n+t$.

Proof. By hypothesis $+1 = -1$. Thus all elements of B_n^- are symmetric, $S_k(A_1, \dots, A_k) = \sum_{\pi} A_{\pi 1} \dots A_{\pi k}$, and we need show only $S_k(A_1, \dots, A_k) = 0$ for all A_1, \dots, A_{k-t} in B_n^- and A_{k-t+1}, \dots, A_k in $\{e_{ii}, 1 \leq i \leq n\}$. Suppose all indices have even degree in $\Gamma(\{A_1, \dots, A_{k-t}\})$. Then any nonzero monomial $A_{\pi 1} A_{\pi 2} \dots A_{\pi k}$ has value e_{jj} , suitable j . But then $e_{jj} = (A_{\pi 1} A_{\pi 2} \dots A_{\pi k})^* = A_{\pi k} \dots A_{\pi 2} A_{\pi 1}$, so $A_{\pi 1} A_{\pi 2} \dots A_{\pi k} + A_{\pi k} \dots A_{\pi 2} A_{\pi 1} = 2e_{jj} = 0$. Summing over all such pairs of nonzero monomials, we see $S_k(A_1, \dots, A_k) = 0$.

Thus we may assume in particular that not every index has degree 2 in $\Gamma(\{A_1, \dots, A_{k-t}\})$. Since the sum of the degrees of $\Gamma(\{A_1, \dots, A_{k-t}\})$ is $2(k-t) \geq 2n$, we see that some index i must occur in at least three elements of $\{A_1, \dots, A_{k-t}\}$. But this means that any path of length k in $\Gamma(\{A_1, \dots, A_k\})$ must contain a closed subpath beginning and ending at i . Let $\hat{A}_{\pi 1} \hat{A}_{\pi 2} \dots \hat{A}_{\pi k}$ be a typical path of length k and let $\hat{A}_{\pi r} \dots \hat{A}_{\pi s}$ be a closed subpath of maximal length beginning and ending at i . Then the reverse subpath $\hat{A}_{\pi s} \hat{A}_{\pi(s-1)} \dots \hat{A}_{\pi r}$ also is closed with end vertices i , and $A_{\pi 1} \dots A_{\pi(r-1)} A_{\pi r} \dots A_{\pi s} A_{\pi(s+1)} \dots A_{\pi k} + A_{\pi 1} \dots A_{\pi(r-1)} A_{\pi s} A_{\pi(s-1)} \dots A_{\pi r} A_{\pi(s+1)} \dots A_{\pi k} = 2A_{\pi 1} \dots A_{\pi k} = 0$. Summing over all such pairs of monomials yields $S_k(A_1, \dots, A_k) = 0$. Q.E.D.

Unfortunately this theorem does not say anything about identities for anti-symmetric matrices, since in fact $M_n^+(F)$ is precisely the set of antisymmetric matrices of $M_n(F)$ when F has characteristic 2. Nevertheless, defining $[X, Y] = XY - YX$, we have

Corollary. Let $\text{char}(F) = 2$. Then $S_n([A_1, A_1^*], \dots, [A_n, A_n^*]) = 0$ for all A_1, \dots, A_n in $M_n(F)$. Moreover, if $k \geq n$ then

$$S_k([A_1, A_2], [A_3, A_4], \dots, [A_{2n-1}, A_{2n}], A_{2n+1}, \dots, A_{n+k}) = 0$$

for all A_1, \dots, A_{2n+1} in $M_n^+(F)$.

Proof. Obviously, $[A, A^*] \in M_n^-(F)$ for all A in $M_n(F)$, and $[A_1, A_2] \in M_n^-(F)$ for all A_1, A_2 in $M_n^+(F)$, so the corollary follows immediately from Theorem

2. Q.E.D.

Examples given in the next section show that Theorems 1 and 2 are sharp. However, there still remains the question concerning $\mathcal{L}_p(n, k, t)$ for p an odd prime. We shall see that no positive results can be adduced for $t > 0$, so let us see what happens when $t = 0$.

Proposition 1. $\mathcal{L}_3(4, 5, 0)$.

Proof. We need to show that for all A_1, \dots, A_5 in $M_4^-(F)$, F having characteristic 3, $S_5(A_1, \dots, A_5) = 0$. Indeed, it suffices to consider A_1, \dots, A_5 in B_4^- , which has only six distinct elements. Thus, permuting the indices if necessary, we may assume $A_1 = e_{12} - e_{21}$, $A_2 = e_{13} - e_{31}$, $A_3 = e_{23} - e_{32}$, $A_4 = e_{24} - e_{42}$, $A_5 = e_{34} - e_{43}$, in which case $S_5(A_1, \dots, A_5) = 6e_{32} - 6e_{23} = 0$ in characteristic 3. Q.E.D.

Proposition 2. *The following sentences are equivalent for n even and $p \mid (2n - 1)$:*

(i) *For all A_1, \dots, A_{2n-1} in B_{n+1}^- such that all vertices of $\Gamma(\{A_1, \dots, A_{2n-1}\})$ have even degree, $S_{2n-1}(A_1, \dots, A_{2n-1}) = 0$ in characteristic p .*

(ii) $\mathcal{L}_p(n, 2n - 3, 0)$.

(iii) $\mathcal{L}_p(n + 1, 2(n + 1) - 3, 0)$.

(iv) $\mathcal{L}_p(n + 1, 2(n + 1) - 4, 0)$.

Proof. (i) \Rightarrow (iv) Clearly (i) $\Rightarrow \text{tr } S_{2n-1}(A_1, \dots, A_{2n-1}) = 0$ for all A_1, \dots, A_{2n-1} in B_{n+1}^- , hence for all A_1, \dots, A_{2n-1} in M_{n+1}^- . Thus by Lemma 6(a), $\mathcal{L}_p(n + 1, 2n - 2, 0)$.

(iv) \Rightarrow (iii) Immediate by Lemma 1(b).

(iv) \Rightarrow (ii) Immediate by Lemma 2.

(iii) \Rightarrow (i) Obvious.

(ii) \Rightarrow (i) Since the sum of the degrees of $\Gamma(\{A_1, \dots, A_{2n-1}\})$ is $4n - 2$, whereas there are $(n + 1)$ vertices, some vertex must have degree 2. Hence, (i) follows immediately from Lemmas 10 and 9. Q.E.D.

Corollary 1. $\mathcal{L}_3(5, 7, 0)$ and $\mathcal{L}_3(5, 6, 0)$.

Proof. Immediate from Propositions 1 and 2.

Corollary 2. $\mathcal{L}_5(6, 9, 0)$, $\mathcal{L}_5(7, 11, 0)$, and $\mathcal{L}_5(7, 10, 0)$.

Proof. By Proposition 2, it suffices to show for any A_1, \dots, A_{2n-1} in B_{n+1}^- , $n = 6$, such that all vertices of $\Gamma(\{A_1, \dots, A_{2n-1}\})$ have even degree, $S_{2n-1}(A_1, \dots, A_{2n-1}) = 0$ in characteristic 5. We claim this is true if any edge is

incident to two vertices of degree 2. Indeed, suppose i_1 and i_2 each have degree 2, i_1 occurring in A_1 and A_2 and i_2 occurring in A_1 and A_3 . To show $S_{2n-1}(A_1, \dots, A_{2n-1}) = 0$, it suffices to show (by Lemmas 10 and 9) $S_{2n-3}(A_3, \dots, A_{2n-1}) = 0$. But i_2 has degree 1 in $\Gamma(\{A_3, \dots, A_{2n-1}\})$, so by Lemma 9 it suffices to show $0 = e_{i_2 i_2} S_{2n-3}(A_3, \dots, A_{2n-1}) = e_{i_2 i_2} A_3 S_{2n-4}(A_4, \dots, A_{2n-1})$. But i_1 and i_2 do not occur in A_4, \dots, A_{2n-1} , so we may view these matrices in $M_{n-1}^-(Z_5)$. Then $S_{2n-4}(A_4, \dots, A_{2n-1}) = 0$ by $\mathcal{L}_5(n-1, 2(n-1)-2, 0)$, establishing the claim.

So we may limit our attention to graphs without any edges incident to two vertices of degree 2, a complete nonisomorphic set (with 7 vertices and 11 edges) being



Each of these graphs corresponds to a set $\{A_1, \dots, A_{11}\}$ of antisymmetric matrices such that $S_{11}(A_1, \dots, A_{11}) = 0$ in characteristic 5. Interestingly, in each case $S_{11}(A_1, \dots, A_{11}) \neq 0$ in characteristic 0, so (a)–(d) can be used to show that $\mathcal{L}_0(n, 2n-2, 0)$ is sharp for $n = 7$. Examples (a) and (c) have been generalized and explored in depth to show $\mathcal{L}_0(n, 2n-2, 0)$ is sharp for all n (example (a) was investigated independently by Rowen and Hutchinson, and example (c) was investigated by Owens [5]).

8. Counterexamples. We summarize the previous results (Lemma 1, Theorems 1 and 2, Proposition 1, and Corollaries 1 and 2 to Proposition 2):

$$\mathcal{L}_p(n, k, t) \text{ for } k \geq 2n, \text{ all } p, n, t.$$

$$\mathcal{L}_p(n, 2n-1, 0), \mathcal{L}_p(n, 2n-1, 1), \mathcal{L}_p(n, 2n-2, 0), n \geq 2 \text{ all } p.$$

$$\mathcal{L}_p(n, 2n-2, 1) \text{ for } n \text{ odd, all } p.$$

$$\mathcal{L}_2(n, k, t) \text{ for } k \geq n+t, \text{ all } n, t.$$

$$\mathcal{L}_p(n, 2n-3, 0) \text{ for } n \leq 7, p \mid 2[n/2] - 1.$$

$$\mathcal{L}_p(n, 2n-4, 0) \text{ for odd } n \leq 7, p \mid (n-2) = 2[n/2] - 1.$$

The purpose of this section is to show by example that these results are sharp for $p = 0$ and $p = 2$, and that for odd p these results are sharp with the

possible exception of $\mathfrak{L}_p(n, 2n-3, 0)$ for $n \geq 8$, $p \mid 2[n/2] - 1$. Since the sentence which has evoked most interest is $\mathfrak{L}_0(n, 2n-3, 0)$, we start with the most direct counterexample (known independently by Hutchinson).

Example 1. Let $A_1 = e_{21} - e_{12}$. For $r \geq 1$ let $A_{2r} = e_{1,r+2} - e_{r+2,1}$ and let $A_{2r+1} = e_{r+2,2} - e_{2,r+2}$. For any $n \geq 2$, $A_1, \dots, A_{2n-3} \in B_n^-$;

$$S_{2n-3}(A_1, \dots, A_{2n-3}) = (-1)^{n/2}(n-1)!(e_{12} - e_{21})$$

for n even, and

$$\begin{aligned} S_{2n-3}(A_1, \dots, A_{2n-3}) \\ = (-1)^{(n+1)/2}((n-1)!(e_{11} + e_{22}) + 2(n-2)!(e_{33} + \dots + e_{nn})) \end{aligned}$$

for n odd.

Proof. First we shall prove by induction on n that

$$\begin{aligned} S_{2n-4}(A_2, \dots, A_{2n-3}) \\ = (-1)^{(n-2)/2}((n-2)!(e_{11} + e_{22}) + 2(n-3)!(e_{33} + \dots + e_{nn})) \end{aligned}$$

for n even and

$$S_{2n-4}(A_2, \dots, A_{2n-3}) = (-1)^{(n+1)/2}(n-2)!(e_{12} - e_{21})$$

for n odd. This is trivial for $n = 3$, $n = 4$, so assume the assertion is true for all $m < n$. Let us evaluate

$$\begin{aligned} e_{11}S_{2n-4}(A_2, \dots, A_{2n-3}) \\ = \sum_{i=2}^{2n-3} (-1)^i e_{11}A_i S_{2n-5}(A_2, \dots, A_{i-1}, A_{i+1}, \dots, A_{2n-3}) \end{aligned}$$

by (1). Now $e_{11}A_i = 0$ unless i is even. Setting $i = 2r$ yields

$$\begin{aligned} & (-1)^{2r} e_{11}A_{2r} S_{2n-5}(A_2, \dots, A_{2r-1}, A_{2r+1}, \dots, A_{2n-3}) \\ &= e_{1,r+2} S_{2n-5}(A_2, \dots, A_{2r-1}, A_{2r+1}, \dots, A_{2n-3}) \\ &= e_{1,r+2} A_{2r+1} S_{2n-6}(A_2, \dots, A_{2r-1}, A_{2r+2}, \dots, A_{2n-3}) \\ &= e_{12} S_{2n-6}(A_2, \dots, A_{2r-1}, A_{2r+2}, \dots, A_{2n-3}). \end{aligned}$$

Thus

$$e_{11}S_{2n-4}(A_2, \dots, A_{2n-3}) = \sum_{r=1}^{n-2} e_{12} S_{2n-6}(A_2, \dots, A_{2r-1}, A_{2r+2}, \dots, A_{2n-3}).$$

Now the index $r+2$ does not occur in $\{A_2, \dots, A_{2r-1}, A_{2r+2}, \dots, A_{2n-3}\}$, so by induction

$$\begin{aligned}
e_{12}S_{2(n-1)-4}(A_2, \dots, A_{2r-1}, A_{2r+2}, \dots, A_{2n-3}) \\
= -(-1)^{n/2}(n-3)!e_{12}e_{21} = (-1)^{(n-2)/2}(n-3)!e_{11} \quad \text{if } n \text{ is even,} \\
(-1)^{(n-3)/2}(n-3)!e_{12}e_{22} = (-1)^{(n+1)/2}(n-3)!e_{12} \quad \text{if } n \text{ is odd.}
\end{aligned}$$

Hence $e_{11}S_{2n-4}(A_2, \dots, A_{2n-3}) = (-1)^{(n-2)/2}(n-2)!e_{11}$ if n is even, $(-1)^{(n+1)/2}(n-2)!e_{12}$ if n is odd. The claim follows immediately from Lemmas 9 and 4.

Using this result we can now calculate $S_{2n-3}(A_1, \dots, A_{2n-3})$. Assume the given formula is valid for all $m < n$ (this is trivial for $n = 2$ and $n = 3$). As above,

$$\begin{aligned}
e_{11}S_{2n-3}(A_1, \dots, A_{2n-3}) &= e_{11}A_1S_{2n-4}(A_2, \dots, A_{2n-3}) \\
&\quad + \sum_{i=2}^{2n-3} (-1)^{i-1} e_{11}A_iS_{2n-4}(A_1, \dots, A_{i-1}, A_{i+1}, \dots, A_{2n-3}) \\
&= e_{11}A_1S_{2n-4}(A_2, \dots, A_{2n-3}) \\
&\quad + \sum_{r=1}^{n-2} e_{12}S_{2n-5}(A_1, \dots, A_{2r-1}, A_{2r+2}, \dots, A_{2n-3}).
\end{aligned}$$

By induction (since the index $(r+2)$ does not occur in $\{A_1, \dots, A_{2r-1}, A_{2r+2}, \dots, A_{2n-3}\}$),

$$\begin{aligned}
e_{12}S_{2n-5}(A_1, \dots, A_{2r-1}, A_{2r+2}, \dots, A_{2n-3}) \\
= (-1)^{n/2}(n-2)!e_{12} \quad \text{if } n \text{ is even,} \\
-(-1)^{(n-1)/2}(n-2)!e_{12}e_{21} = (-1)^{(n+1)/2}(n-2)!e_{11} \quad \text{if } n \text{ is odd.}
\end{aligned}$$

Thus $e_{11}S_{2n-3}(A_1, \dots, A_{2n-3}) = (-1)^{n/2}(n-1)!e_{12}$ if n is even, $(-1)^{(n+1)/2}(n-1)!e_{11}$ if n is odd. The formula for $S_{2n-3}(A_1, \dots, A_{2n-3})$ is an immediate consequence of Lemmas 9 and 4. Q.E.D.

We shall now investigate the sharpness of Theorem 1 with the next three examples. The formula of Example 4 was observed first by Owens [5]. In these examples let $A_{2r-1} = e_{r+1,r} - e_{r,r+1}$ and let $A_{2r} = e_{r,r+2} - e_{r+2,r}$, $r \geq 1$.

Example 2. $S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-3}) = 0$ for n odd, $2(e_{11} - e_{22})$ for $n = 2$, $4(e_{1,n-1} + e_{n-1,1})$ for n even ≥ 4 .

Proof. For n odd, $S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-3}) = 0$ by $\mathcal{L}_0(n, 2n-2, 1)$, so we may assume n is even. In this case $S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-3})$ is symmetric by Lemma 4. For $n = 2$, $S_2(e_{12} + e_{21}, e_{21} - e_{12}) = 2(e_{11} - e_{22})$. For $n \geq 4$, the index 1 occurs in three matrices $(e_{12} + e_{21}, A_1, \text{ and } A_2)$, so it suffices to show $e_{11}S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-3}) = 4e_{1,n-1}$. Let Σ_1 be the sum of the

monomials (of $e_{11}S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-3})$) in which $e_{12} + e_{21}$ and A_1 are adjacent, and let Σ_2 be the sum of the other monomials.

We claim $e_{11}S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-3}; (e_{12} + e_{21})A_1) = 0$. Indeed

$$\begin{aligned} & e_{11}S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-3}; (e_{12} + e_{21})A_1) \\ &= e_{11}(e_{12} + e_{21})A_1A_2S_{2n-5}(A_3, \dots, A_{2n-3}) \\ &\quad + e_{11}A_2S_{2n-3}((e_{12} + e_{21}), A_1, A_3, \dots, A_{2n-3}; (e_{12} + e_{21})A_1) \\ &= e_{13}S_{2n-5}(A_3, \dots, A_{2n-3}) \\ &\quad + e_{13}S_{2n-3}((e_{12} + e_{21}), A_1, A_3, \dots, A_{2n-3}; (e_{12} + e_{21})A_1). \end{aligned}$$

Consider a typical nonzero monomial $e_{13}A_{\pi 3} \dots A_{\pi r}(e_{12} + e_{21})A_1A_{\pi(r+1)} \dots A_{\pi(2n-3)}$ where π is a permutation of $(3, \dots, 2n-3)$. Since $(e_{12} + e_{21})A_1 = e_{11} - e_{22}$ and since the index 1 does not occur in $\{A_3, \dots, A_{2n-3}\}$, it is clear that

$$\begin{aligned} & e_{13}A_{\pi 3} \dots A_{\pi r}(e_{12} + e_{21})A_1A_{\pi(r+1)} \dots A_{\pi(2n-3)} \\ &= e_{13}A_{\pi 3} \dots A_{\pi r}(-e_{22})A_{\pi(r+1)} \dots A_{\pi(2n-3)} \\ &= -e_{13}A_{\pi 3} \dots A_{\pi r}A_{\pi(r+1)} \dots A_{\pi(2n-3)}. \end{aligned}$$

Since 2 has degree 2 in $\Gamma(\{A_3, \dots, A_{2n-3}\})$ we conclude that

$$\begin{aligned} & e_{13}S_{2n-3}((e_{12} + e_{21}), A_1, A_3, \dots, A_{2n-3}; (e_{12} + e_{21})A_1) \\ &= -e_{13}S_{2n-5}(A_3, \dots, A_{2n-3}), \end{aligned}$$

so

$$e_{11}S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-3}; (e_{12} + e_{21})A_1) = 0.$$

Similarly,

$$e_{11}S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-3}; A_1(e_{12} + e_{21})) = 0,$$

so $\Sigma_1 = 0$.

It suffices therefore to prove $\Sigma_2 = 4e_{1,n-1}$ (for even $n \geq 4$). Since Σ_2 is the sum of those monomials in which $(e_{12} + e_{21})$ and A_1 are nonadjacent, it is clear in view of the index 1 that no nonzero monomial of Σ_2 starts with $e_{11}A_2$; in fact,

$$\begin{aligned}
\Sigma_2 = & e_{11}(e_{12} + e_{21})(S_{2n-3}(A_1, \dots, A_{2n-3}; A_2 A_1 A_3) \\
& + S_{2n-3}(A_1, \dots, A_{2n-3}; A_3 A_1 A_2)) \\
& + e_{11}(e_{12} + e_{21})(S_{2n-3}(A_1, \dots, A_{2n-3}; A_2 A_1 A_4) \\
& + S_{2n-3}(A_1, \dots, A_{2n-3}; A_4 A_1 A_2)) \\
& - e_{11} A_1 (S_{2n-3}((e_{12} + e_{21}), A_2, \dots, A_{2n-3}; A_2(e_{12} + e_{21}) A_3) \\
& + S_{2n-3}((e_{12} + e_{21}), A_2, \dots, A_{2n-3}; A_3(e_{12} + e_{21}) A_2)) \\
& - e_{11} A_1 (S_{2n-3}((e_{12} + e_{21}), A_2, \dots, A_{2n-3}; A_2(e_{12} + e_{21}) A_4) \\
& + S_{2n-3}((e_{12} + e_{21}), A_2, \dots, A_{2n-3}; A_4(e_{12} + e_{21}) A_2)).
\end{aligned}$$

By Lemma 7 applied to each of the 4 pairs of terms, we see

$$\begin{aligned}
\Sigma_2 = & e_{12} S_{2n-5}(2e_{33}, A_4, \dots, A_{2n-3}) + e_{12} S_{2n-5}(e_{34} + e_{43}, A_3, A_5, \dots, A_{2n-3}) \\
& + e_{12} S_{2n-5}(0, A_4, \dots, A_{2n-3}) + e_{12} (S_{2n-5}(-e_{34} + e_{43}, A_3, A_5, \dots, A_{2n-3})).
\end{aligned}$$

Clearly the last two terms are 0 since $S_{2n-5}(0, A_4, \dots, A_{2n-3}) = 0$ and

$$\begin{aligned}
& S_{2n-5}(-e_{34} + e_{43}, A_3, A_5, \dots, A_{2n-3}) \\
& = S_{2n-5}(-e_{34} + e_{43}, A_3, e_{43} - e_{34}, \dots, A_{2n-3}) = 0.
\end{aligned}$$

Thus

$$\begin{aligned}
\Sigma_2 = & e_{12} S_{2n-5}(2e_{33}, A_4, \dots, A_{2n-3}) \\
& + e_{12} S_{2n-5}(e_{34} + e_{43}, A_3, A_5, \dots, A_{2n-3}) \\
= & -2e_{12} A_4 S_{2n-6}(e_{33}, A_5, \dots, A_{2n-3}) \\
& - e_{12} A_3 S_{2n-6}(e_{33} + e_{43}, A_5, \dots, A_{2n-3}) \\
= & -2e_{14} S_{2n-6}(e_{33}, A_5, \dots, A_{2n-3}) \\
& + e_{13} S_{2n-6}(e_{34} + e_{43}, A_5, \dots, A_{2n-3}).
\end{aligned}$$

If $n = 4$ then

$$\Sigma_2 = -2e_{14} S_2(e_{33}, A_5) + e_{13} S_2(e_{34} + e_{43}, A_5) = +2e_{13} + 2e_{13} = 4e_{13}.$$

If $n > 4$ then

$$\begin{aligned}
& e_{14}S_{2n-6}(e_{33}, A_5, \dots, A_{2n-3}) \\
&= e_{14}S_{2n-6}(e_{33}, A_5, \dots, A_{2n-3}; A_5e_{33}A_6) \\
&\quad + e_{14}S_{2n-6}(e_{33}, \dots, A_5, \dots, A_{2n-3}; A_6e_{33}A_5) \\
&= e_{14}S_{2n-8}(-e_{45} + e_{54}, A_7, \dots, A_{2n-3})
\end{aligned}$$

by Lemma 7. But $A_7 = e_{54} - e_{45}$, so

$$e_{14}S_{2n-6}(e_{33}, A_5, \dots, A_{2n-3}) = e_{14}S_{2n-8}(-e_{45} + e_{54}, e_{54} - e_{45}, \dots) = 0.$$

Therefore

$$\sum_2 = e_{13}S_{2n-6}(e_{34} + e_{43}, A_5, \dots, A_{2n-3}) = e_{13}(4e_{3,n-1}) = 4e_{1,n-1}$$

by induction on n . Q.E.D.

Example 3. $S_{2n-1}(e_{12} + e_{21}, A_1, \dots, A_{2n-3}, e_{n-1,n-1}) = 4e_{11} + 2e_{22}$ for $n = 2$, $2e_{12} - 2e_{21}$ for $n = 3$, $4(e_{1,n-1} + e_{n-1,1})$ for n even ≥ 4 , $4(e_{n-1,1} - e_{1,n-1})$ for n odd ≥ 5 .

Proof. For $n = 2$, $S_3(e_{12} + e_{21}, e_{21} - e_{12}, e_{11}) = 4e_{11} + 2e_{22}$. For $n > 2$, the index 1 occurs in an odd number of matrices, as does the index $(n-1)$, so (by Lemma 4) $S_{2n-1}(e_{12} + e_{21}, A_1, \dots, A_{2n-3}, e_{n-1,n-1}) = \alpha_n(e_{1,n-1} + (-1)^n e_{n-1,1})$, α_n in \mathbb{Z} . We propose to show $\alpha_3 = +2$, $\alpha_n = 4$ for n even ≥ 4 , and $\alpha_n = -4$ for n odd ≥ 5 .

Let $n = 3$. Then $S_5(e_{12} + e_{21}, A_1, A_2, A_3, e_{22})e_{22} = 2e_{12}$ is easy enough to see.

Let $n \geq 4$. Then

$$\begin{aligned}
\alpha_n e_{1,n-1} &= S_{2n-1}(e_{12} + e_{21}, A_1, \dots, A_{2n-3}, e_{n-1,n-1})e_{n-1,n-1} \\
&= S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-3})e_{n-1,n-1} \\
&\quad - S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-4}, e_{n-1,n-1})A_{2n-3}e_{n-1,n-1} \\
&\quad - S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-6}, A_{2n-4}, A_{2n-3}, e_{n-1,n-1})A_{2n-5}e_{n-1,n-1} \\
&\quad + S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-7}, A_{2n-5}, \dots, A_{2n-3}, e_{n-1,n-1})A_{2n-6}e_{n-1,n-1}.
\end{aligned}$$

Now, $S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-3})e_{n-1,n-1} = 4e_{1,n-1}$ if n is even, 0 if n is odd.

$$\begin{aligned}
& S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-4}, e_{n-1,n-1})e_{n,n-1} \\
&= (S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-4}, e_{n-1,n-1}; A_6e_{n-1,n-1}A_5) \\
&\quad + S_{2n-2}(e_{12} + e_{21}, \dots, A_{2n-4}, e_{n-1,n-1}; A_5e_{n-1,n-1}A_6))e_{n,n-1} \\
&= -S_{2n-4}(e_{12} + e_{21}, A_1, \dots, A_{2n-7}, e_{n-3,n-2} - e_{n-2,n-3})e_{n,n-1} = 0
\end{aligned}$$

since $A_{2n-7} = e_{n-3,n-2} - e_{n-2,n-3}$. Likewise,

$$\begin{aligned}
 & S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-6}, A_{2n-4}, A_{2n-3}, e_{n-1,n-1})e_{n-2,n-1} \\
 &= (S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-6}, A_{2n-4}, A_{2n-3}, e_{n-1,n-1}; A_{2n-6}e_{n-1,n-1}A_{2n-3}) \\
 &\quad + S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-6}, A_{2n-4}, A_{2n-3}, e_{n-1,n-1}; \\
 &\quad \quad \quad A_{2n-3}e_{n-1,n-1}A_{2n-6}))e_{n-2,n-1} \\
 &= S_{2n-4}(e_{12} + e_{21}, A_1, \dots, A_{2n-7}, (e_{n-3,n} - e_{n,n-3}), A_4)e_{n-2,n-1} \\
 &= -S_{2n-4}(e_{12} + e_{21}, A_1, \dots, A_{2n-7}, e_{n-3,n} - e_{n,n-3}, e_{n,n-2} - e_{n-2,n})e_{n-2,n-1} \\
 &= (\text{by Example 2 for } (n-1)) \ 0 \text{ if } n \text{ is even,} \\
 &= -4e_{1,n-2}e_{n-2,n-1} = -4e_{1,n-1} \text{ if } n \text{ is odd.}
 \end{aligned}$$

Finally,

$$\begin{aligned}
 & S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-7}, A_{2n-5}, \dots, A_{2n-3}, e_{n-1,n-1})e_{n-3,n-1} \\
 &= (S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-7}, A_{2n-5}, \dots, A_{2n-3}, e_{n-1,n-1}; \\
 &\quad \quad \quad A_{2n-5}e_{n-1,n-1}A_{2n-3}) \\
 &\quad + S_{2n-2}(e_{12} + e_{21}, \dots, A_{2n-7}, A_{2n-5}, \dots, A_{2n-3}, e_{n-1,n-1}; \\
 &\quad \quad \quad A_{2n-3}e_{n-1,n-1}, A_{2n-5}))e_{n-3,n-1} \\
 &= S_{2n-4}(e_{12} + e_{21}, A_1, \dots, A_{2n-7}, -e_{n-2,n} + e_{n,n-2}, A_{2n-4})e_{n-3,n-1} = 0
 \end{aligned}$$

since $A_{2n-4} = e_{n-2,n} - e_{n,n-2}$.

Putting all of this together yields $\alpha_n e_{1,n-1} = 4e_{1,n-1} + 0 + 0 + 0 = 4e_{1,n-1}$ for n even ≥ 4 ; $\alpha_n e_{1,n-1} = 0 + 0 - 4e_{1,n-1} + 0 = -4e_{1,n-1}$ for n odd ≥ 5 , as desired. Q.E.D.

Example 4 (Owens [5]). $S_{2n-3}(A_1, \dots, A_{2n-3}) = e_{21} - e_{12}$ for $n = 2$, $2(e_{11} + e_{22} + e_{33})$ for $n = 3$, $2(n-1)(e_{2,n-1} - e_{n-1,2})$ for n even ≥ 4 , $2(n-2)(e_{2,n-1} - e_{n-1,2})$ for n odd ≥ 5 .

Proof. The cases $n = 2$ and $n = 3$ are immediate. For $n \geq 4$, it is clear from Lemma 4 that $S_{2n-3}(A_1, \dots, A_{2n-3}) = \alpha_n(e_{2,n-1} - (-1)^n e_{n-1,2})$ for suitable α_n in Z . We shall show $\alpha_n = 2(n-1)$ for n even, $2(n-2)$ for n odd.

$$\begin{aligned}
 \alpha_n e_{2,n-1} &= e_{22}S_{2n-3}(A_1, \dots, A_{2n-3}) \\
 &= e_{22}A_1S_{2n-4}(A_2, \dots, A_{2n-3}) + e_{22}A_3S_{2n-4}(A_1, A_2, A_4, \dots, A_{2n-3}) \\
 &\quad - e_{22}A_4S_{2n-4}(A_1, A_2, A_3, A_5, \dots, A_{2n-3}).
 \end{aligned}$$

$$\begin{aligned} e_{21}S_{2n-4}(A_2, \dots, A_{2n-3}) &= e_{21}A_2S_{2n-5}(A_3, \dots, A_{2n-3}) \\ &= e_{23}\alpha_{n-1}e_{3,n-1} = \alpha_{n-1}e_{2,n-1}. \end{aligned}$$

$$\begin{aligned} e_{23}S_{2n-4}(A_1, A_2, A_4, \dots, A_{2n-3}) \\ &= e_{23}(S_{2n-4}(A_1, A_2, A_4, \dots, A_{2n-3}; A_2A_1A_4) \\ &\quad + S_{2n-4}(A_1, A_2, A_4, \dots, A_{2n-3}; A_4A_1A_2)) \\ &= -e_{23}S_{2n-6}(e_{34} + e_{43}, A_5, \dots, A_{2n-3}) = 0 \end{aligned}$$

for n odd, $e_{23}(2e_{33}) = 2e_{23}$ for $n = 4$, $e_{23}(4e_{3,n-1}) = 4e_{2,n-1}$ for n even > 4 , by Example 1 applied to $(n-2)$. Finally, $e_{24}S_{2n-4}(A_1, A_2, A_3, A_5, \dots, A_{2n-3}) = e_{24}S_{2n-6}(2e_{33}, A_5, \dots, A_{2n-3}) = -2e_{23}$ for $n = 4$; otherwise $2e_{24}S_{2n-6}(e_{33}, A_5, \dots, A_{2n-3}) = 2e_{24}S_{2n-8}(e_{54} - e_{45}, A_7, \dots, A_{2n-3}) = 0$ since $A_7 = e_{54} - e_{45}$.

Putting everything together, we have $\alpha_4 = \alpha_3 + 2 - (-2) = 6$; for n even > 4 (proceeding inductively) $\alpha_n = \alpha_{n-1} + 4 + 0 = 2((n-1)-2) + 4 = 2(n-1)$; for n odd (proceeding inductively) $\alpha_n = \alpha_{n-1} + 0 + 0 = 2((n-1)-1) = 2(n-2)$. Q.E.D.

We are prepared now to examine $\mathcal{L}_p(n, k, t)$ for $p \neq 2$. First let $t = 0$. We have proved $\mathcal{L}_p(n, k, 0)$ for $k \geq 2n-2$. Example 4 shows $\mathcal{L}_p(n, 2n-3, 0)$ is false for $p \nmid 2[n/2] - 1$. Lemma 1(b) then shows $\mathcal{L}_p(n, 2n-4, 0)$ is false for $p \nmid 2[n/2] - 1$. In fact, if n is even then $\mathcal{L}_p(n, 2n-4, 0)$ is false for all $p \neq 2$. For suppose $\mathcal{L}_p(n, 2n-4, 0)$. Then $p \mid 2[n/2] - 1 = n-1$. But Lemma 2 would imply $\mathcal{L}_p(n-1, 2(n-1)-3, 0)$, so $p \mid 2[(n-1)/2] - 1 = n-3$. Thus $p \mid ((n-1) - (n-3)) = 2$, so $p = 2$. Since $\mathcal{L}_p(n, 2n-4, 0)$ is false for n even, all $p \neq 2$, we conclude by Lemmas 1(b) and 2 that $\mathcal{L}_p(n, k, 0)$ is false for all $p \neq 2$, all $n, k \leq 2n-4$. Thus, for n even we have determined the truth or falsehood of $\mathcal{L}_p(n, k, 0)$ in all cases except $n \geq 8, k = 2n-3, p \mid n-1$. For n odd we have determined the truth or falsehood of $\mathcal{L}_p(n, k, 0)$ in all cases except $n \geq 9, k = 2n-3$ or $k = 2n-4, p \mid n-2$.

Next let $t = 1$. We have proved $\mathcal{L}_p(n, k, 1)$ for $k \geq 2n-1$ and $\mathcal{L}_p(n, 2n-2, 1)$ for n odd. If $p \neq 2$ then Example 2 shows $\mathcal{L}_p(n, 2n-2, 1)$ is false for n even, and it follows by Lemma 2 that $\mathcal{L}_p(n, 2n-3, 1)$ is false for n odd, all $p \neq 2$. We claim that $\mathcal{L}_p(n, 2n-3, 1)$ is also false for n even, all $p \neq 2$. Indeed, suppose $\mathcal{L}_p(n, 2n-3, 1)$. Using the notation of Example 2, we clearly have (for $n \geq 4$)

$$\begin{aligned} 4e_{1,n-1} &= S_{2n-2}(e_{12} + e_{21}, A_1, \dots, A_{2n-3})e_{n-1,n-1} \\ &= S_{2n-3}(e_{12} + e_{21}, A_1, \dots, A_{2n-4})A_{2n-3}e_{n-1,n-1} \\ &\quad + S_{2n-3}(e_{12} + e_{21}, A_1, \dots, A_{2n-6}, A_{2n-4}, A_{2n-3})A_{2n-5}e_{n-1,n-1} \\ &\quad - S_{2n-3}(e_{12} + e_{21}, A_1, \dots, A_{2n-7}, A_{2n-5}, \dots, A_{2n-3})A_{2n-6}e_{n-1,n-1}. \end{aligned}$$

Now each of the right-hand terms would be 0 by $\mathcal{L}_p(n, 2n-3, 1)$, which would imply $4 \equiv 0 \pmod{p}$. This is impossible unless $p = 2$, thereby establishing the claim. It follows through repeated applications of Lemma 2 that $\mathcal{L}_p(n, k, 1)$ is false for all n , all $p \neq 2$, $k \leq 2n-3$. Hence for $t = 1$ the statement of Theorem 1 is sharp for all characteristics $\neq 2$.

Finally, let $t \geq 2$. Example 3 shows $\mathcal{L}_p(n, 2n-1, 2)$ is false for all n , $p \neq 2$, and applications of Lemma 2 then show $\mathcal{L}_p(n, k, 2)$ is false for all n , $p \neq 2$, $k \leq 2n-1$. We conclude that $\mathcal{L}_p(n, k, t)$ is false for all n , $p \neq 2$, $t \geq 2$, $k \leq 2n-1$, from the following easy remark:

Remark. Let $A_1, \dots, A_k \in M_n(F)$. Then $S_{k+2}(A_1, \dots, A_k, e_{i, n+1} - e_{n+1, i}, e_{n+1, n+1}) = S_k(A_1, \dots, A_k) e_{i, n+1} + e_{n+1, i} S_k(A_1, \dots, A_k)$.

Summarizing the above counterexamples, we see that the following sentences are *false*:

$$\mathcal{L}_p(n, k, 0) \text{ for all } n, p \neq 2, k \leq 2n-5.$$

$$\mathcal{L}_p(n, 2n-4, 0) \text{ for all even } n, p \neq 2.$$

$$\mathcal{L}_p(n, 2n-3, 0), \mathcal{L}_p(n+1, 2(n+1)-3, 0), \mathcal{L}_p(n+1, 2(n+1)-4, 0) \text{ for } p \nmid 2(n-1), n \text{ even. (These three sentences are equivalent for any } p.)$$

$$\mathcal{L}_p(n, k, 1) \text{ for all } n, p \neq 2, k \leq 2n-3.$$

$$\mathcal{L}_p(n, 2n-2, 1) \text{ for all even } n, p \neq 2.$$

$$\mathcal{L}_p(n, k, t) \text{ for all } n, p \neq 2, k \leq 2n-1, t \geq 2.$$

In particular, Theorem 1 is sharp for characteristic 0 and for $t \geq 1$ in arbitrary characteristic $\neq 2$.

If $p = 2$ the following example shows that Theorem 2 is as sharp as possible:

Example 5. Let $A_i = e_{i, i+1} - e_{i+1, i}$, $1 \leq i \leq n-1$. Then for any $t \leq n$, $S_{n+t-1}(A_1, \dots, A_{n-1}, e_{11}, \dots, e_{tt}) = e_{1n} \pm e_{n1}$.

Proof. Immediate.

9. Identities in matrix algebras with involution. Let Ω be any domain and let $(*)$ be the transpose on $M_n(\Omega)$ with respect to a suitable set of matrix units $\{e_{ij} \mid 1 \leq i \leq j \leq n\}$. Clearly $(*)$ is an antiautomorphism of degree 2, otherwise called an *involution*, and we let $(M_n(\Omega), *)$ denote this matrix algebra with involution.

Now let $\Omega\{X\} = \Omega\{X_{11}, X_{12}, \dots, X_{i1}, X_{i2}, \dots\}$ be the free noncommutative Ω -algebra generated over Ω by the countable set of noncommutative indeterminates $\{X_{11}, X_{12}, \dots, X_{i1}, X_{i2}, \dots\}$. $\Omega\{X\}$ can be given the involution $(*)$ defined by $\omega^* = \omega$, all ω in Ω , and $X_{i1}^* = X_{i2}$, $X_{i2}^* = X_{i1}$, all i . Let us write $X_i = X_{i1}$, $X_i^* = X_{i2}$. A homomorphism of $(\Omega\{X\}, *)$ to $(M_n(\Omega), *)$ is a homomorphism of $\Omega\{X\}$ to $M_n(\Omega)$ which preserves the involution. Suppose a nonzero element

$f(X_1, X_1^*, \dots, X_m, X_m^*)$ of $(\Omega\{X\}, *)$ is in the kernel of every homomorphism from $(\Omega\{X\}, *)$ to $(M_n(\Omega), *)$. Then we say f is an *identity* of $(M_n(\Omega), *)$. Identities in matrix algebras with involution have been a source of interest in recent years, and we shall see in this section how the results of this paper tie in with the theory of identities of $(M_n(\Omega), *)$ for arbitrary Ω .

Viewing f as a sum of monomials $f_r(X_1, X_1^*, \dots, X_m, X_m^*)$, we shall say the *degree of the i th indeterminate of f_r* is the sum of the degrees of X_i and of X_i^* in f_r . The *degree of f_r* is the sum of the degrees of the indeterminates of f_r , and the *degree of f* is the maximal degree of its monomials. The polynomial f is *multilinear* if each indeterminate occurring nontrivially in f has degree 1 in each monomial of f . There is a well-known procedure, given an identity f of $(M_n(\Omega), *)$, to obtain a multilinear identity \hat{f} of $(M_n(\Omega), *)$, with degree not greater than that of f .

Now let $g(Y_1, \dots, Y_k)$ be a polynomial with coefficients in Ω . If $g(A_1, \dots, A_k) = 0$ for all possible A_1, \dots, A_{k-t} in $M_n^-(\Omega)$ and A_{k-t+1}, \dots, A_k in $M_n^+(\Omega)$ we shall say g is a $(k-t, t)$ -identity of $M_n(\Omega)$ (with respect to $(*)$). Theorems 1 and 2 and Proposition 2, Corollaries 1 and 2 provide various standard $(k-t, t)$ -identities of $M_n(\Omega)$.

Suppose $\text{char } \Omega \neq 2$. Then any $(k-t, t)$ -identity $g(Y_1, \dots, Y_k)$ yields the identity $g(X_1 - X_1^*, \dots, X_{k-t} - X_{k-t}^*, X_{k-t+1} + X_{k-t+1}^*, \dots, X_k + X_k^*)$ of $(M_n(\Omega), *)$, since $X - X^*$ is antisymmetric in $\Omega\{X\}$ and $X + X^*$ is symmetric in $\Omega\{X\}$. If $g(Y_1, \dots, Y_k)$ is multilinear then so is $g(X_1 - X_1^*, \dots, X_{k-t} - X_{k-t}^*, X_{k-t+1} + X_{k-t+1}^*, \dots, X_k + X_k^*)$. Conversely, let $f(X_1, X_1^*, \dots, X_m, X_m^*)$ be a multilinear identity of $(M_n(\Omega), *)$. Then $f(X_1, X_1^*, \dots, X_m, X_m^*) = f_1(X_1, X_2, X_2^*, \dots, X_m, X_m^*) + f_2(X_1^*, X_2, X_2^*, \dots, X_m, X_m^*)$. Evidently $f_1(X_1^*, X_2^*, \dots, X_m, X_m^*) + f_2(X_1, X_2, X_2^*, \dots, X_m, X_m^*)$ is also an identity \hat{f} of $(M_n(\Omega), *)$. If $\hat{f} = f$ then $f(X_1, X_1^*, \dots, X_m, X_m^*) = f_1(X_1 + X_1^*, X_2, X_2^*, \dots, X_m, X_m^*)$. Otherwise $f - \hat{f} = f_1(X_1 - X_1^*, X_2, X_2^*, \dots, X_m, X_m^*) - f_2(X_1 - X_1^*, X_2, X_2^*, \dots, X_m, X_m^*)$ which is also an identity of $(M_n(\Omega), *)$. Continuing in this manner yields a multilinear identity $g(X_1 - X_1^*, \dots, X_{m-t} - X_{m-t}^*, X_{m-t+1} + X_{m-t+1}^*, \dots, X_m + X_m^*)$ for suitable t so $g(Y_1, \dots, Y_m)$ is a $(m-t, t)$ -identity of $M_n(\Omega)$ (with respect to $(*)$).

Thus we have a way of passing back and forth between multilinear identities of matrix algebras with transpose and polynomials of the type investigated in this paper. In particular, $S_{2n-2}(X_1 - X_1^*, \dots, X_{2n-2} - X_{2n-2}^*)$ is an identity in $(M_n(\Omega), *)$, $(*)$ the transpose involution, for domains Ω of characteristic $\neq 2$. On the other hand, $S_{2n-2}(X_{11} - X_{12}, \dots, X_{2n-2,1} - X_{2n-2,2})$ obviously is not an

identity of $M_n(\Omega)$ in the usual sense, so we have obtained identities of $(M_n(\Omega), *)$ which are not consequences of the identities of $M_n(\Omega)$. Viewed in this light, this paper becomes a study of standard identities of $(M_n(\Omega), *)$ which are not consequences of identities of $M_n(\Omega)$. Applications of this study and its analogous results when $(*)$ is an arbitrary involution may be found in [6].

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