## ON EXTENDING FREE GROUP ACTIONS ON SPHERES AND A CONJECTURE OF IWASAWA

BY

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ABSTRACT. A transfer map for Reidemeister torsion is defined and used to determine whether free actions of  $\mathbb{Z}/k$  on  $S^{2n+1}$ , n > 1, extend to free actions of  $\mathbb{Z}/hk$ . It is shown that for k odd, every free  $\mathbb{Z}/k$  action on  $S^{2n+1}$ , n > 1, extends to a free  $\mathbb{Z}/2k$  action. For prime p, extension of an arbitrary free  $\mathbb{Z}/p$  action to a free  $\mathbb{Z}/p^2$  action is reduced to a long-standing conjecture of Iwasawa.

**0.** Introduction and statement of results. In this paper we address the following basic question: Let  $\pi$  be a finite group,  $\rho$  a subgroup. When does a free action of  $\rho$  on  $S^{2n+1}$  extend to a free action of  $\pi$ ?

Some of our results apply in general, but we concentrate on the case where  $\pi$  is a cyclic group. In this case we answer the above question completely, reducing the issue to algebraic number theory. If  $\rho = 1$ , our results can be viewed as an addendum to [1] which solved this question for  $\rho = 1$  and  $\pi$  an odd order cyclic group. We will now briefly summarize our results.

We write an action of  $\pi$  as a map  $\mu$ :  $\pi \times S^{2n+1} \to S^{2n+1}$  and we say  $\mu_1$ ,  $\mu_2$  are equivalent if there is a homeomorphism  $f: S^{2n+1} \to S^{2n+1}$  such that  $f\mu_1(T, x) = \mu_2(T, f(x))$  for all  $(T, x) \in \pi \times S^{2n+1}$ . For  $\pi$  cyclic of order h, the prototype is of course the linear action of  $\mathbb{Z}/h$  on  $S^{2n+1} \subset \mathbb{C}^{n+1}$  given by  $\mu_0(T,(z_0, z_1, \ldots, z_n)) = \exp(2\pi i/h)(z_0, z_1, \ldots, z_n)$ . We write  $\Delta(\mu)$  for the Reidemeister torsion of the action  $\mu: \pi \times S^{2n+1} \to S^{2n+1}$ , following Milnor [4].  $\Delta(\mu)$  is a unit in the ring  $\mathbb{Q}R_{\pi}$ , defined by  $\mathbb{Q}\pi/(\Sigma)$ , where  $\Sigma$  denotes the sum of the elements of  $\pi$ . It is a basic invariant of the action. For the standard linear action mentioned above,  $\Delta(\mu_0) = (T-1)^{n+1}$  (see [4, p. 406]). Actually it is more convenient to keep tabs on the quotient

$$\Delta(\mu)/\Delta(\mu_0) = \Delta(\mu) \cdot (T-1)^{-n-1} \in \mathbf{Q} R_{\pi}^{\times}/(\pm \pi).$$

In fact, let  $R_{\pi} = \mathbf{Z}\pi/(\Sigma)$  with  $j: (R_{\pi})^{\times} \to \mathbf{Q}R_{\pi}^{\times}$  the natural inclusion map. Then Wall has shown [9, Theorem 14.E.3] that

0.1. LEMMA.  $\Delta(\mu)/\Delta(\mu_0) = j(u)$  for some unique unit  $u \in (R_\pi)^\times/(\pm \pi)$ . Moreover, given a unit  $u \in (R_\pi)^\times$  there is a cellular free action  $\mu$  on a finite complex homotopically equivalent to  $S^{2n+1}$  such that  $\Delta(\mu)/\Delta(\mu_0) = j(u) \mod(\pm \pi)$ .

For this reason, this unit  $u \in (R_{\pi})^{\times}/(\pm \pi)$  is called the associated unit of  $\mu$ .

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After a surgery calculation—carried out in Part I—we define, for each finite group  $\pi$  and subgroup  $\rho$ , a transfer map,

tr: 
$$K_1(R_\pi)/(\pm\pi) \to K_1(R_\rho)/(\pm\rho)$$
 and  
tr:  $K_1(\mathbf{Q}R_\pi)/(\pm\pi) \to K_1(\mathbf{Q}R_\rho)/(\pm\rho)$ 

and we prove that  $\operatorname{tr} \Delta(\mu) = \Delta(\bar{\mu})$  whenever  $\mu: \pi \times X \to X$  is a free action for which the torsion is defined, and  $\bar{\mu}$  is its restriction to  $\rho$ . This is Theorem 1.7, and we feel it should be of independent interest.

Now it is known that

LEMMA.  $K_1(R_{\pi}) = R_{\pi}^{\times}$  if  $\pi$  is a finite cyclic group.

(For a proof, see the Remark following 1.6.B.)

Hence, if  $\pi$  is a cyclic group our definition of the transfer provides a homomorphism

$$\operatorname{tr}: (R_{\pi})^{\times} / (\pm \pi) \to (R_{\rho})^{\times} / (\pm \rho).$$

We then prove

THEOREM 1. Let  $\bar{\mu}$  be a free action of  $\rho = \mathbf{Z}/k$  on  $S^{2n+1}$ ,  $n \ge 2$ . Let  $\bar{u} \in (R_{\rho})^{\times}/(\pm \rho)$  be its associated unit. Then  $\bar{\mu}$  extends to a free action of  $\mathbf{Z}/hk = \pi$  if and only if  $\bar{u}$  is in the image of  $\operatorname{tr}: (R_{\pi})^{\times}/(\pm \pi) \to (R_{\rho})^{\times}/(\pm \rho)$ .

If p is a prime number it then turns out that the problem of extending a  $\mathbb{Z}/p^r$  action to a  $\mathbb{Z}/p^{r+1}$  action is closely related to an old conjecture of Iwasawa in [2]. This conjecture states that the norm map  $N: \mathbb{Z}(\zeta_{r+1})^{\times} \to \mathbb{Z}(\zeta_r)^{\times}$  is always onto, where  $\zeta_r$  denotes a primative  $p^r$ th root of unity. Iwasawa's conjecture would follow at once from Vandiver's conjecture (which says that p does not divide the second factor of the ideal class group of  $\mathbb{Z}(\zeta_1)$ ). Vandiver's conjecture is known to be true for all primes < 125,000. (Cf. [2, p. 556 and 12].)

The relationship of this to group actions is as follows: If  $\pi = \mathbf{Z}/p^{r+1}$ ,  $\rho = \mathbf{Z}/p^r$ , define a map  $\epsilon$ :  $R_{\pi} \to \mathbf{Z}(\zeta_r)$  by sending T to  $\zeta_r$ .  $\epsilon$  induces  $\epsilon_*$ :  $(R_{\rho})^{\times} \to \mathbf{Z}(\zeta_r)^{\times}$  sending  $(\pm \rho)$  to C, the group of roots of unity.

THEOREM 2. Let  $\bar{\mu}$  be a free action of  $\mathbb{Z}/p^r$  on  $S^{2n+1}$ ,  $n \ge 2$ . Let  $\bar{u}$  be its associated unit.

- (A) If  $\varepsilon_*(\bar{u})$  is not in the image of N:  $\mathbf{Z}(\zeta_{r+1})^{\times} \to \mathbf{Z}(\zeta_r)^{\times}/C$ , then  $\bar{\mu}$  does not extend to a  $\mathbf{Z}/p^{r+1}$  action.
- (B) Suppose r = 1, and suppose Iwasawa's conjecture holds for p. Then  $\overline{\mu}$  extends to a free  $\mathbb{Z}/p^2$  action on  $S^{2n+1}$ .

REMARK. Despite the explicit nature of Theorem 1, we can provide no example of a free  $\mathbb{Z}/k$  action which fails to extend to a free  $\mathbb{Z}/hk$  action. John Ewing has shown [11], using our results, that for each p, there is a  $\mathbb{Z}/p^r$  action which does not extend to a  $\mathbb{Z}/p^{r+1}$  action.

THEOREM 3. Let k be an odd integer. Every free  $\mathbb{Z}/k$  action on  $S^{2n+1}$ , n > 1, extends to a free  $\mathbb{Z}/2k$  action.

Here is an outline of the rest of the paper.

Part I of §1 is a surgery theoretic calculation showing that the natural transfer map of the two surgery exact sequences involved is surjective. Part II constructs the transfer map needed to study the Reidemeister torsion; we then use this to prove Theorem 1. §2 gives the proof of Theorems 2 and 3.

We would like to give a word of thanks here to John Ewing and to John Cruthirds for a number of very useful conversations.

## 1. The basic condition for extending actions.

PART I. Our goal in this part of §1 is to establish

PROPOSITION 1.1. Let  $L^{2n+1}$  be a finite Poincaré complex with  $\pi_1(L) = \mathbb{Z}/hk$  and universal cover  $\simeq S^{2n+1}$ . Let  $\overline{L}$  denote its h-fold cover. Then the transfer map,

$$\pi^*: S^s_{\operatorname{Top}}(L) \to S^s_{\operatorname{Top}}(\overline{L}),$$

is onto.

(As in Wall [9],  $S_{\text{Top}}^{s}(L)$  denotes the Top-structures on L.)

This will be done analyzing the following map of surgery exact sequences:

$$(1.2) \qquad \begin{array}{ccccc} \tilde{L}^{s}_{2n+2}(\mathbf{Z}/k) & \to & S^{s}_{\mathrm{Top}}(\bar{L}) & \to & NM(\bar{L}) & \stackrel{\bar{\sigma}}{\to} & L^{s}_{2n+1}(\mathbf{Z}/k) \\ \uparrow & \uparrow & \uparrow \pi^{*} & \uparrow \pi^{*} & \uparrow \mathrm{tr} \\ \tilde{L}^{s}_{2n+2}(\mathbf{Z}/hk) & \to & S^{s}_{\mathrm{Top}}(L) & \to & NM(L) & \stackrel{\bar{\sigma}}{\to} & L^{s}_{2n+1}(\mathbf{Z}/hk) \end{array}$$

Here  $\tilde{L}_n^s(G)$  = the cokernel of  $L_n^s(e) \to L_n^s(G)$ , and NM denotes the set of bordism classes of Top-normal maps.

It is well known that such an L is homotopically equivalent to some standard lens space  $L(hk, \theta_0, \theta, \dots, \theta_n)$  where  $\theta_i$  are integers prime to hk. Choose such a homotopy equivalence: it yields an element of NM(L) and its cover is an element of  $NM(\overline{L})$ . This choice then allows us to identify NM(L) with [L, G/Top] (see [6 or 9]) and  $\pi^*$ with the group homomorphism,  $\pi^*$ :  $[L, G/\text{Top}] \to [\overline{L}, G/\text{Top}]$ . Our first step is

LEMMA 1.3.  $\pi^*$ :  $[L, G/\text{Top}] \rightarrow [\overline{L}, G/\text{Top}]$  is an epimorphism. Its kernel is a finite group of order  $h^{[n/2]}$  unless k is odd and h is even. In this case its order is  $2^{[(n+1)/2]}h^{[n/2]}$ .

PROOF.  $[L, G/\text{Top}] = [L, G/\text{Top}_{(2)}] \oplus [L, G/\text{Top}_{(\text{odd})}]$  (since both localizations are finite) and this is isomorpic to  $\tilde{H}^{4*}(L; \mathbf{Z}_{(2)}) \oplus H^{4*+2}(L; \mathbf{Z}/2) \oplus \tilde{K}O^{\circ}(L)_{(\text{odd})}$ . Similarly for  $\overline{L}$ . So the lemma is an immediate consequence of the following three statements. We first write h as  $h = h_2 \cdot h_{odd}$  where  $h_2$  is a power of 2 and  $h_{odd}$  is odd.

- (i)  $\pi^*$ :  $\tilde{H}^{4*}(L; \mathbf{Z}_{(2)}) \to \tilde{H}^{4*}(\overline{L}; \mathbf{Z}_{(2)})$  is surjective; its kernel has order  $h_2^{[n/2]}$ . (ii)  $\pi^*$ :  $H^{4*+2}(L; \mathbf{Z}/2) \to H^{4*+2}(\overline{L}; \mathbf{Z}/2)$  is surjective; its kernel has order  $2^{[n+1/2]}$  if k is odd and h is even, and otherwise it is injective.
  - (iii)  $\pi^*$ :  $\tilde{K}O(L) \to \tilde{K}O(\overline{L})$  is onto; its kernel has order  $(h_{odd})^{\lfloor n/2 \rfloor}$ .

PROOF OF (i) AND (ii). It is an easy exercise (using the fibration  $S^{2n+1} \to \overline{L} \to K(\mathbf{Z}/k,1)$ ) to prove, for  $A = \mathbf{Z}_{(2)}$  or  $\mathbf{Z}/2$ , that  $H^{2*}(\overline{L};A) = (A/kA)[\overline{x}]/(\overline{x})^{n+1}$  where deg  $\overline{x} = 2$ , and similarly for L. The surjectivity of  $\pi^* \colon H^2(L;A) \to H^2(\overline{L};A)$  is trivial from the universal coefficient theorem and the behavior of  $\pi_*$  on the fundamental groups. From this it is clear that  $\pi^*$  is onto with kernel as specified in (i) and (ii).

To prove (iii) let C be the mapping cone of  $\pi$ . Proceeding as above, one easily computes:  $H^i(C; \mathbf{Z}_{(\text{odd})}) = \mathbf{Z}/h_{\text{odd}}$  if i is even and  $0 < i \le 2n + 2$ , and  $H^i(C; \mathbf{Z}_{(\text{odd})}) = 0$  otherwise. Hence the Atiyah-Hirzebruch spectral sequence for  $KO^*(C)_{(\text{odd})}$  collapses  $(E_2^{pq} = 0 \text{ if } p \text{ or } q \text{ is odd})$  and we get  $\tilde{K}O^1(C)_{(\text{odd})} = 0$ ,  $\tilde{K}O^\circ(C)_{(\text{odd})} = a$  group of order  $(h_{\text{odd}})^{\lfloor (n+1)/2 \rfloor}$   $(E_2^{4i,-4i} = \mathbf{Z}/(h_{\text{odd}}), 0 < i \le \lfloor (n+1)/2 \rfloor)$ . so we get an exact sequence

$$0 \to \tilde{K}O^{-1}(L)_{(\text{odd})} \stackrel{\pi^*}{\to} \tilde{K}O^{-1}(\overline{L})_{(\text{odd})} \to \tilde{K}O^{\circ}(C)_{(\text{odd})}$$
$$\to \tilde{K}O^{\circ}(L)_{(\text{odd})} \stackrel{\pi^*}{\to} \tilde{K}O^{\circ}(\overline{L})_{(\text{odd})} \to 0.$$

However,  $\tilde{K}O^{-1}(\bar{L})_{(\text{odd})} = 0$  if n is even (use the Atiyah-Hirzebruch spectral sequence), and for n odd  $\tilde{K}O^{-1}(\bar{L}) = \mathbf{Z}_{(\text{odd})}$  with  $\text{Im}(\pi^*)$  of index  $(h_{\text{odd}})$ . So for any n,  $\pi^*$  on the right is an epimorphism with kernel of order  $(h_{\text{odd}})^{\lfloor n/2 \rfloor}$ . This proves Lemma 1.3.

Now we return to diagram (1.2) and glue together some ideas of Petrie and Wall to observe:

LEMMA 1.4. The map

tr: 
$$\tilde{L}_{2n+2}^s(\mathbf{Z}/hk) \rightarrow \tilde{L}_{2n+2}^s(\mathbf{Z}/k)$$

is surjective. Also  $\tilde{L}^s_{2n+2}(\mathbf{Z}/k)$  acts freely on  $S^s_{\text{Top}}(\overline{L})$  and similarly for  $\mathbf{Z}/hk$  and L.

PROOF. Following Wall [8] we write  $\chi_k$ :  $L_{2n+2}^s(\mathbf{Z}/k) \to \mathbf{C}(\mathbf{Z}/k)$  for the signature map, where  $\mathbf{C}(\pi)$  denotes the representation ring of  $\pi$  (over  $\mathbf{C}$ ). According to [8], Im  $\chi_k = \{4(x+(-1)^{n+1}\overline{x}) \mid x \in \mathbf{C}(\mathbf{Z}/k)\}$ . Similarly for  $\chi_{hk}$ . The diagram

$$L^{s}_{2n+2}(\mathbf{Z}/k) \stackrel{\chi_{k}}{\to} \mathbf{C}(\mathbf{Z}/k)$$

$$\uparrow \text{ tr} \qquad \qquad \uparrow p$$

$$L^{s}_{2n+2}(\mathbf{Z}/hk) \stackrel{\chi_{hk}}{\to} \mathbf{C}(\mathbf{Z}/hk)$$

commutes where p denotes restriction. Since each representation of  $\mathbb{Z}/k$  is the restriction of a  $\mathbb{Z}/hk$  representation it is clear that  $p \operatorname{Im} \chi_{hk} = \operatorname{Im} \chi_k$ .

But according to Wall [8],  $\chi$  is injective for n odd and  $\operatorname{Ker} \chi = L_{2n+2}(e)$  for n even for these groups. It follows at once that tr is a surjection on  $\tilde{L}_{2n+2}^s(\mathbb{Z}/k)$ .

Now  $\tilde{L}^s_{2n+2}(\mathbf{Z}/k)$  is a free abelian, and Petrie [5, 2.3 and 2.10] shows that a subgroup of  $\tilde{L}^s_{2n+2}(\mathbf{Z}/k)$  acts freely on  $S^s_{\text{Top}}(\bar{L})$ . But the rank of this subroup equals the rank of  $\tilde{L}^s_{2n+2}(\mathbf{Z}/k)$  (as computed by Wall [7]). It follows then that  $\tilde{L}^s_{2n+2}(\mathbf{Z}/k)$  itself is acting freely. This completes the proof.

The final step in this section concerns the right side of (1.2), where we now look at the kernel of  $\sigma: NM(L) \to L^s_{2n+1}(\pi_1(L))$ . Recall NM(L) is a group via its identification with [L, G/Top].

LEMMA 1.5. The map  $\sigma$  is a homomorphism and the restriction of  $\pi^*$  to  $\pi^*$ : Ker  $\sigma \to \text{Ker } \bar{\sigma}$  is an epimorphism.

PROOF.  $L_{2n+1}^s(\mathbf{Z}/hk) = 0$  unless n is odd and hk even, and in this case  $L_{2n+1}^s(\mathbf{Z}/hk) = \mathbf{Z}/2$ , with the surgery obstruction given by

$$\sigma(f) = \langle a_1(1+a_2^2)'f^*k, [L] \rangle$$

(see [9, p. 210]). Here  $f \in [L, G/\text{Top}]$ , 2r = n + 1,  $a_i \in H^i(L; \mathbb{Z}/2)$  is the nonzero element, and  $k \in H^{4^*+2}(G/\text{Top}; \mathbb{Z}/2)$  is a certain primitive class. The primitivity of k yields immediately that  $\sigma$  is a homomorphism. To prove  $\pi^*\text{Ker }\sigma = \text{Ker }\bar{\sigma}$  we can assume, using 1.3, that n is odd and hk is even.

Case 1. k even. Then if  $\bar{f} \in \text{Ker } \bar{\sigma}$  and f is an element of [L, G/Top] such that  $\pi^* f = \bar{f}$  we see

$$\sigma(f) = \langle a_1(1 + a_2^2)^r f^*k, [L] \rangle$$

$$= \langle (\operatorname{tr} \bar{a}_1)(1 + a_2^2)^r f^*k, [L] \rangle \quad \operatorname{since} \operatorname{tr} \bar{a}_1 = a_1$$

$$= \langle \operatorname{tr} \left\{ \bar{a}_1 \cdot \pi^* \left( (1 + a_2^2)^r f^*k \right) \right\}, [L] \rangle \quad \operatorname{since} (\operatorname{tr} x) y = \operatorname{tr} (x \cdot \pi^* y)$$

$$= \langle \operatorname{tr} \left\{ \bar{a}_1 \cdot (1 + \bar{a}_2^2)^r \bar{f}^*k \right\}, [L] \rangle \quad \operatorname{since} \pi^* a_2 = \bar{a}_2$$

$$= \langle \bar{a}_1(1 + \bar{a}_2^2)^r \bar{f}^*k, [\bar{L}] \rangle \quad \operatorname{since} \operatorname{tr} [L] = [\bar{L}]$$

$$= \sigma(\bar{f}) = 0.$$

Hence  $f \in \text{Ker } \sigma$  and  $\pi^*$ :  $\text{Ker } \sigma \to \text{Ker } \bar{\sigma}$  is onto in this case.

Case 2. k odd. Then  $[\overline{L}, G/\text{Top}] = \text{Ker } \overline{\sigma}$  is an odd order group (as seen, for example, in the proof of 1.3) and the odd primary summand of [L, G/Top] must map onto it by Lemma 1.3. But this summand is in Ker  $\sigma$  since  $\sigma$  is a homomorphism. This completes the proof.

PROOF OF PROPOSITION 1.1. Using 1.3, 1.4 and 1.5 we see that diagram 1.2 reduces to:

$$\begin{array}{cccc} 0 & & & & 0 \\ \uparrow & & & \uparrow & \\ \tilde{L}^s_{2n+2}(\mathbf{Z}/k) & \rightarrow & S^s_{\mathrm{top}}(\overline{L}) & \rightarrow & \mathrm{Ker}\,\bar{\sigma} & \rightarrow 0 \\ \uparrow & & \uparrow & \pi^* & \uparrow & \pi^* \\ \tilde{L}^s_{2n+2}(\mathbf{Z}/hk) & \rightarrow & S^s_{\mathrm{top}}(L) & \rightarrow & \mathrm{Ker}\,\sigma & \rightarrow 0 \end{array}$$

Hence 1.1 becomes a simple diagram chase, and we are done.

PART II. We wish to make a statement concerning the behavior of the Reidmeister torsion under a transfer map so we will now define this transfer map.

1.6. Construction. Let A be a commutative ring (in practice  $A = \mathbf{Q}$  or  $\mathbf{Z}$ ). Set  $AR_{\pi} = A(\pi)/(\Sigma)$ ,  $AR_{\rho} = A(\rho)/(\overline{\Sigma})$ , where  $\rho$  is a subgroup of the finite group  $\pi$ ,

and  $\overline{\Sigma}$  is the sum of the elements of  $\rho$ . The multiplicative group  $\pm \pi$  in  $(A\pi)^{\times}$  determines a subgroup of  $K_1(AR_{\pi})$  denoted  $(\pm \pi)$ , the homomorphic image of  $\pm \pi$ . We construct here a homomorphism

tr: 
$$K_1(AR_{\pi})/(\pm\pi) \rightarrow K_1(AR_{\rho})/(\pm\rho)$$
.

 $A(\pi)$  is a free left  $A(\rho)$  module with base  $g_1,\ldots,g_h$ , a complete set of right coset representatives of  $\rho$  in  $\pi$ . Let  $T=\overline{\Sigma}\cdot A(\pi)$ , a right ideal.  $A(\pi)/T$  is a left  $A(\rho)$  module with  $\overline{\Sigma}\cdot (A(\pi)/t)=0$  so that  $A(\pi)/T$  becomes a left  $AR_\rho$  module. In fact,  $A(\pi)/T$  is a free left  $AR_\rho$  module with base  $g_1 \mod T \cdots g_h \mod T$ . This base defines an isomorphism  $A(\pi)/T \approx (AR_\rho)^h$  of left  $AR_\rho$  modules, and an induced isomorphism from  $\operatorname{End}(A(\pi)/T)$ , the ring of  $AR_\rho$  endomorphisms of  $A(\pi)/T$ , to the matrix ring  $M(h, AR_\rho)$ . Denote this

$$\lambda : \operatorname{End}(A(\pi)/T) \approx M(h, AR_a).$$

Now right multiplication by elements of  $A(\pi)$  defines a map  $A(\pi) \to \operatorname{End}(A(\pi)/T)$  sending  $\Sigma$  to 0. This induces a ring homomorphism  $r: AR_{\pi} \to \operatorname{End}(A(\pi)/T)$ .

So the ring homomorphism  $\lambda r: AR_{\pi} \to M(h, AR_{\rho})$  yields a ring homomorphism  $(\lambda r)_*: M(m, AR_{\pi}) \to M(mh, AR_{\rho})$  given by  $(\lambda r)_*(a_{ij}) = (\lambda r(a_{ij}))$  (a matrix of blocks). The induced homomorphism on the  $K_1$  level is denoted

$$\operatorname{tr}: K_1(AR_{\pi}) \to K_1(AR_{\alpha}).$$

We claim that  $\operatorname{tr}(\pm\pi) \subset (\pm\rho)$ . For, if  $x \in \pi$ , then for each coset representative  $g_i$  we have  $g_i x = \overline{x}(i) \cdot g_{j(x,i)}$  for some element  $\overline{x}(i) \in \rho$  and some integer j(x,i) between 1 and h. It follows that  $\lambda r(\pm x) = \pm P \cdot D(\overline{x}(1), \dots, \overline{x}(h))$  where P is a permutation matrix and D denotes a diagonal matrix. Hence,  $\operatorname{tr}(\pm x) = \pm \overline{x}(1) \cdot \overline{x}(2) \cdots \overline{x}(h) \in (\pm\rho)$ .

This leaves us with an induced map

tr: 
$$K_1(AR_{\pi})/(\pm\pi) \rightarrow K_1(AR_{\rho})/(\pm\rho)$$
.

The elementary properties below are easily checked.

1.6.A. If  $A \to A'$  is a ring homomorphism, the following diagram commutes:

$$K_1(AR_{\pi})/(\pm\pi)$$
  $\stackrel{\mathrm{tr}}{\rightarrow}$   $K_1(AR_{\rho})/(\pm\rho)$   $\downarrow f^*$   $\downarrow f^*$   $K_1(A'R_{\pi})/(\pm\pi)$   $\stackrel{\mathrm{tr}}{\rightarrow}$   $K_1(A'R_{\rho})/(\pm\rho)$ 

1.6.B. Suppose given a ring epimorphism  $\varphi: A(\pi) \to \Lambda$  with  $\overline{\Lambda} = \varphi(A(\rho))$  and with  $\varphi(\overline{\Sigma}) = 0$ . Suppose  $\Lambda$  is free over  $\overline{\Lambda}$  with base  $\varphi(g_1), \ldots, \varphi(g_h)$ . Then there is a commutative square:

$$\begin{array}{cccc} K_{1}(AR_{\pi}) & \stackrel{\varphi_{*}}{\to} & K_{1}(\Lambda) \\ & \downarrow \text{tr} & & \downarrow N \\ K_{1}(AR_{\rho}) & \stackrel{\overline{\varphi}_{*}}{\to} & K_{1}(\overline{\Lambda}) \end{array}$$

Here  $\overline{\varphi}$ :  $AR_{\rho} \to \overline{\Lambda}$  is the epimorphism induced by  $\varphi$ , and N is the "norm" map induced by the isomorphism of rings,  $M(n, \Lambda) \to M(nh, \overline{\Lambda})$  which this basis of  $\Lambda$  over  $\overline{\Lambda}$  defines.

REMARK. If  $\pi$  is a finite cyclic group,  $SK_1(\mathbf{Z}\pi)=\{1\}$  (see [10, p. 623]). Hence,  $K_1(\mathbf{Z}\pi)=\mathbf{Z}\pi^{\times}$  and one sees at once that  $K_1(R_{\pi})=R_{\pi}^{\times}$ , by examining the exact sequence of the Cartesian square:

$$\mathbf{Z}\pi \stackrel{\varepsilon}{\to} \mathbf{Z}$$

$$\downarrow \qquad \qquad \downarrow$$

$$R_{\pi} \to \mathbf{Z}/|\pi|\mathbf{Z}$$

Also  $K_1(\mathbf{Q}R_{\pi}) = (\mathbf{Q}R_{\pi})^{\times}$  because  $\mathbf{Q}R_{\pi}$  is a product of fields.

Now we show how the torsion of a complex behaves under this transfer map.

So let L denote a finite CW complex with fundamental group  $\pi$ , a finite group, universal cover  $\tilde{L}$  and intermediate cover  $\tilde{L}$  corresponding to a subgroup  $\rho$  of  $\pi$ . We assume  $\pi$  acts trivially on  $H_*(\tilde{L}; \mathbf{Q})$ . In this case, Milnor [4, p. 405], defines its Reidemeister torsion  $\Delta(L)$  in  $K_1(\mathbf{Q}R_{\pi})/(\pm \pi)$ . We shall prove

THEOREM 1.7. 
$$\Delta(\overline{L}) = \operatorname{tr} \Delta(L)$$
.

PROOF. Recall from [4] how  $\Delta$  is defined. If  $C(\tilde{L})$  denotes the cellular chain complex then  $C(\tilde{L}) \otimes_{\mathbf{Z}_{\pi}} \mathbf{Q} R_{\pi}$  (hereafter denoted  $C_{\pi}$ ) is acyclic and based, over  $\mathbf{Q} R_{\pi}$ . Similarly for  $C(\tilde{L}) \otimes_{\mathbf{Z}_{\rho}} \mathbf{Q} R_{\rho}$  (written  $C_{\rho}$ ), over  $\mathbf{Q} R_{\rho}$ .  $\Delta(L) = \prod_{i} [c_{i}/c'_{i}]$  where  $c_{i}$  denotes the basis of cells for  $(C_{\pi})_{i}$  and  $c'_{i}$  denotes the basis determined by the acyclicity of  $C_{\pi}$ , and  $[c_{i}/c'_{i}]$  denotes the class of the change of basis matrix  $(c_{i}/c'_{i})$  in  $GL(m_{i}, \mathbf{Q} R_{\pi})$  ( $m_{i} = \operatorname{rank} C_{i}$ , over  $\mathbf{Z} \pi$ ).

Now if  $g_1, \ldots, g_h$  are a complete set of coset representatives of  $\rho$  in  $\pi$ , each basis  $c_i$  for  $(C_\pi)_i$  determines a basis for  $(C_\rho)_i$  over  $\mathbf{Q}R_\rho$ —namely:  $c_ig_1 \cup c_ig_2 \cup \cdots \cup c_ig_h$  which we write  $\bar{c}_i$ . Observe that  $\bar{c}_i'$ ,  $i=0,1,2,\ldots$ , are the bases for  $(C_\rho)_i$  determined by its acyclicity. Going back to 1.6, we check that  $(\lambda r)_*(c_i/c_i') = (\bar{c}_i/\bar{c}_i')$  in  $GL(m_ih,\mathbf{Q}R_\rho)$ . Hence  $\operatorname{tr}(L) = \prod_i \operatorname{tr}[c_i/c_i'] = \prod_i \lambda r_*(c_i/c_i') = \prod_i [\bar{c}_i/\bar{c}_i'] = \Delta(\bar{L})$  because, by its definition, it is clear that  $\bar{c}_i$  is the basis of cells for  $(C_\rho)_i$  over  $\mathbf{Q}R_\rho$ . This completes the proof.

1.8. PROOF OF THEOREM 1. First we show that if  $\bar{u}$  is the associated unit of an action  $\bar{\mu}$ :  $\mathbb{Z}/k \times S^{2n+1} \to S^{2n+1}$  which does extend to a free  $\mathbb{Z}/hk$  action  $\mu$ , we have  $\bar{u} = \operatorname{tr} u$  for some  $u \in (R_{\pi})^{\times}/(\pm \pi)$ . In fact u can be taken to be the associated unit of  $\mu$ . To see this we calculate

$$\Delta(\mu) = (T-1)^{n+1} j(u)$$
 and  $\Delta(\bar{\mu}) = (T^h - 1)^{n+1} j(\bar{u})$ 

by 0.1. By Theorem 1.7,  $\operatorname{tr} \Delta(\mu) = \Delta(\overline{\mu})$  and  $\operatorname{tr}(T-1)^{n+1} = (T^h-1)^{n+1}$  (since  $\Delta(\mu_0) = (T-1)^{n+1}$ ). Hence we see  $j\overline{u} = \operatorname{tr} j(u) = j\operatorname{tr} u$  by 1.6.A. But

$$j: R_{\rho}^{\times} / (\pm \rho) \rightarrow \mathbf{Q} R_{\rho}^{\times} / (\pm \rho)$$

is evidently injective. So tr  $u = \bar{u}$  as required.

Conversely, now we suppose  $\bar{\mu}$  is an action with associated unit  $\bar{u}$  and that  $\bar{u} = \text{tr } u$  for some unit in  $(R_{\pi})^{\times}/(\pm \pi)$ . By 0.1, there is a finite complex L with fundamental

group  $\pi$ , universal cover  $\cong S^{2n+1}$ , such that  $\Delta(L) = (T-1)^{n+1} j(u)$ . Let  $\overline{L}$  be the h-fold cover of L. By Theorem 1.7,  $\Delta(\overline{L}) = (T^h-1)^{n+1} j(\overline{u}) = \Delta(\overline{M})$ , where  $\overline{M}$  is the orbit manifold of the action  $\overline{\mu} \colon \rho \times S^{2n+1} \to S^{2n+1}$ . But this implies that  $\overline{M}$  is simple homotopy equivalent to  $\overline{L}$  via a map  $\overline{f} \colon \overline{M} \to \overline{L}$  say. Hence  $(\overline{M}, \overline{f}) \in S^s_{\text{Top}}(\overline{L})$ . But by Proposition 1.1, there is an (M, f) in  $S^s_{\text{Top}}(L)$  whose cover is  $(\overline{M}, \overline{f})$ . This means that M is the orbit space of a free action of  $\pi$  on M. Its restriction to an action of  $\rho$  is just  $\overline{\mu}$ . Thus  $\overline{\mu}$  extends as required. This completes the proof of Theorem 1.

**2. Proof of Theorem 2.** We now turn to free actions of cyclic groups of order  $p^r$  with the aim of proving Theorem 2.

PROOF OF THEOREM 2(A). By Theorem 1,  $\bar{\mu}$  extends to a free action  $\mu$  only if  $\bar{u} = \text{tr } u$  for some unit u in  $(R_{\pi})^{\times}$ . But by 1.6.B the diagram below commutes. So  $\bar{\mu}$  does not extend unless  $\varepsilon_{\star}(\bar{u})$  is in the image of N.

$$(R_{\pi})^{\times} \stackrel{\varepsilon}{\to} \mathbf{Z}(\zeta_{r+1})^{\times}$$

$$\downarrow \text{tr} \qquad \qquad \downarrow N$$

$$(R_{\rho})^{\times} \stackrel{\varepsilon}{\to} \mathbf{Z}(\zeta_{r})^{\times}$$

PROOF OF THEOREM 2(B). If  $\pi = \mathbb{Z}/p^2$ , and  $\zeta_i =$  the primitive  $p^i$ th root of unity, we have the exact sequence,

$$1 \to R_{\pi}^{\times} \stackrel{\lambda_1 \oplus \lambda_2}{\to} Z(\zeta_1)^{\times} \oplus Z(\zeta_2)^{\times} \stackrel{k}{\to} (Z(\zeta_1)/(p))^{\times},$$

 $\lambda_i f(T) = f(\zeta_i), \quad k(f_1(\zeta_1), f_2(\zeta_2)) = f_1(\zeta_1)^{-1} f_2(\zeta_1) \mod p \quad \text{(obtained by noting } \mathbf{Z}[T]/\Phi_1 \cdot \Phi_2 = R_{\pi}, \mathbf{Z}[T]/(\Phi_1, \Phi_2) = \mathbf{Z}(\zeta_1)/(p)).$ 

Now  $R_{\rho} = \mathbf{Z}(\zeta_1)$  and it is easy to see from the definition of tr that  $\mathrm{tr} = N \circ \lambda_2$ :  $R_{\pi}^{\times} \to \mathbf{Z}(\zeta_2)^{\times} \to \mathbf{Z}(\zeta_1)^{\times}$  where N is a norm map as in 1.6.B. Iwasawa's conjecture asserts that N:  $\mathbf{Z}(\zeta_2)^{\times} \to \mathbf{Z}(\zeta_1)^{\times}$  is surjective. So if Iwasawa's conjecture holds, we need only prove that tr is onto (by Theorem 1). So let  $\bar{u} \in \mathbf{Z}(\zeta_1)^{\times}$ . We are given that  $\bar{u} = N(f(\zeta_2))$  for some unit  $f(\zeta_2)$  in  $\mathbf{Z}(\zeta_2)^{\times}$ . Consider  $z = (\bar{u}, f(\zeta_2)) \in \mathbf{Z}(\zeta_1)^{\times} \oplus \mathbf{Z}(\zeta_2)^{\times}$ . It is well known that  $N(a) \equiv a^p \mod p$  if  $a \in \mathbf{Z}(\zeta_2)$ , so we see that

$$k(z) = \left(Nf(\zeta_2)^{-1}f(\zeta_1)\right) \mod p \equiv \left(f(\zeta_2)^p\right)^{-1}f(\zeta_2^p) \mod p$$
$$\equiv \left(f(\zeta_2)^p\right)^{-1}f(\zeta_2)^p \mod p = 1.$$

So  $z \in \operatorname{Ker} k = \operatorname{Im} \lambda_1 \oplus \lambda_2$ . This implies there is an element  $u \in R_{\pi}^{\times}$  such that  $\lambda_1(u) = \bar{u}$ ,  $\lambda_2(u) = f(\zeta_2)$  and so tr  $u = N\lambda_2(u) = Nf(\zeta_2) = \bar{u}$ . Thus tr is onto and we are done.

Now we restate and prove

THEOREM 3. If k is an odd integer, n > 1, any free  $\mathbb{Z}/k$  action on  $S^{2n+1}$  extends to a free  $\mathbb{Z}/2k$  action.

PROOF. According to Theorem 1 we have to prove that tr:  $(R_{\pi})^{\times} \to (R_{\rho})^{\times}$  is onto, where  $\pi = \mathbb{Z}/2k$ ,  $\rho = \mathbb{Z}/k$ . But there is an exact sequence of units (used earlier),

$$1 \to (\mathbf{Z}\rho)^{\times} \stackrel{i_*}{\to} (R_{\rho})^{\times} \stackrel{\epsilon_*}{\to} (\mathbf{Z}/k)^{\times} / (\pm 1),$$

where  $\varepsilon_*$  is induced by the augmentation  $\varepsilon$ :  $R\rho \to \mathbb{Z}/k$ . Hence it is enough to prove: (a) Im  $i_* \subset \text{Im tr}$  where  $i_*$ :  $(\mathbb{Z}\rho)^\times \to (R_\rho)^\times$  is the map induced by projection and (b)  $\varepsilon_* \circ \text{tr}$  is onto.

The proof of (b) is elementary: Each unit of  $(\mathbf{Z}/k)^{\times}$  is of the form  $2r+1 \mod k$  for some integer such that 0 < 2r+1 < 2k. We claim that, in  $(R\pi)^{\times}$  the unit  $u = (T^{2r+1}-1)/(T-1)$  satisfies  $\varepsilon_*$  tr u = 2r+1. For  $u = 1+T+\cdots+T^{2r}=\alpha+T^k\beta$  where  $\alpha = 1+T^2+\cdots+T^{2r}$ ,  $\beta = (T^{k+1}+T^{k+3}+\cdots+T^{k+2r-1})$ . Note  $\alpha$  and  $\beta$  lie in the image of  $\mathbf{Z}\rho$ . Since  $\pi = \rho \times \mathbf{Z}/2$ , it is an elementary exercise to see tr  $u = \alpha^2 - \beta^2 \mod \overline{\Sigma}$ . Set  $\gamma = \alpha - T^{2r}$ , and note tr  $u = (\gamma + T^{2r})^2 - T^2(\gamma^2) = (1-T^2)\gamma^2 + 2\gamma T^{2r} + T^{4r}$ . Hence  $\varepsilon_*$  tr  $u = 0 \cdot \varepsilon(\gamma^2) + 2\varepsilon(\gamma) + 1 = 2r + 1$  since  $\varepsilon(\gamma) = r$ . This proves (b).

To prove (a) we observe that the diagram

$$\begin{array}{ccc} \mathbf{Z}(\pi)^{\times} & \to & (R\pi)^{\times} \\ \downarrow^{N} & & \downarrow^{\text{tr}} \\ \mathbf{Z}(\rho)^{\times} & \stackrel{i}{\to} & (R_{\rho})^{\times} \end{array}$$

commutes, where N is the norm map, so we can concentrate on proving that N is onto. Now  $\mathbb{Z}\pi \approx A(\mathbb{Z}/2)$  where  $A = \mathbb{Z}(\rho)$  so we show N:  $A(\mathbb{Z}/2)^{\times} \to A^{\times}$  is onto. Each element of  $A(\mathbb{Z}/2)$  can be written a + bS, where  $a, b \in A$ ,  $S \in \mathbb{Z}/2$ . Also N is given by  $N(a + bS) = a^2 - b^2$ . An element v = a + bS is a unit of  $A(\mathbb{Z}/2)$  if and only if a + b = u and a - b = u' are units of A; conversely, given units u, u' in  $A^{\times}$  with  $u \equiv u' \mod 2A$  they determine a unit v uniquely. Note N(v) = uu'.

Now, the diagram

$$A^{\times} \stackrel{r}{\rightarrow} A/2A^{\times}$$

$$\downarrow S \qquad \downarrow F$$

$$A^{\times} \stackrel{r}{\rightarrow} A/2A^{\times}$$

commutes where r is reduction,  $S(x) = x^2$  and F is given by the Frobenius map  $F(x) = x^2$ . F:  $A/2A \to A/2A$  is an isomorphism of rings because A/2A is a product of finite fields of characteristic  $2(A = \mathbf{Z}_{(\rho)})$ . It follows that  $\operatorname{Im} r = \operatorname{Im} F \circ r$ . Now we prove N:  $A(\mathbf{Z}/2)^{\times} \to A^{\times}$  is onto. Let  $w \in A^{\times}$ . By the last paragraph,  $r(w) = r(u^2)$  for some  $u \in A^{\times}$ . Write w as w = uu' for some  $u' \in A^{\times}$ . Then  $r(u'/u) = r(w/u^2) = 1$  so  $u'/u \equiv 1 \operatorname{mod} 2A$ . It follows that  $u \equiv u' \operatorname{mod} 2A$  and so, as seen above, these determine a unit v = (u + u')/2 + S(u - u')/2, in  $A(\mathbf{Z}/2)^{\times}$  with Nv = uu' = w as required. This completes the proof.

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