

## SUBORDINATION-PRESERVING INTEGRAL OPERATORS

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**ABSTRACT.** Let  $\beta$  and  $\gamma$  be complex numbers and let  $H$  be the space of functions regular in the unit disc. Subordination of functions  $f, g \in H$  is denoted by  $f < g$ . Let  $K \subset H$  and let the operator  $A: K \rightarrow H$  be defined by  $F = A(f)$ , where

$$F(z) = \left[ \frac{1}{z^\gamma} \int_0^z f^\beta(t) t^{\gamma-1} dt \right]^{1/\beta}.$$

The authors determine conditions under which

$$f < g \Rightarrow A(f) < A(g),$$

and then use this result to obtain new distortion theorems for some classes of regular functions.

**I. Introduction.** Let  $f(z)$  and  $g(z)$  be regular in the unit disc  $U$ . We say that  $f(z)$  is *subordinate* to  $g(z)$ , written  $f(z) < g(z)$  or  $f < g$ , if there exists a function  $w(z)$  regular in  $U$  which satisfies  $w(0) = 0$ ,  $|w(z)| < 1$  and  $f(z) = g(w(z))$ . If  $g(z)$  is univalent in  $U$ , then  $f < g$  if and only if  $f(0) = g(0)$  and  $f(U) \subset g(U)$  [9, p. 35].

Let  $H = H(U)$  be the space of regular functions defined in  $U$  and let  $K \subset H$ . Letting  $A$  be an operator  $A: K \rightarrow H$ , in this paper we consider conditions under which

$$(1) \quad f < g \Rightarrow A(f) < A(g).$$

That is, under what conditions is the operator  $A$  *subordination-preserving*? In this paper we shall describe several classes of integral operators for which (1) is satisfied.

Some examples of subordination-preserving integral operators have already appeared in the literature. In 1953 G. M. Goluzin [1] considered the operator  $A: \{f \in H | f(0) = 0\} \rightarrow H$  defined by  $F = A(f)$ , with

$$F(z) = \int_0^z \frac{f(t)}{t} dt.$$

He showed that if  $g(z)$  is convex ( $\operatorname{Re}[1 + zg''(z)/g'(z)] > 0$ ) then (1) is satisfied. In 1970 T. Suffridge [12, p. 777] extended this result to the case when  $g(z)$  is starlike ( $\operatorname{Re}[zg'(z)/g(z)] > 0$ ). In 1975 D. Hallenbeck and S. Ruscheweyh [2, p. 192] showed that (1) holds if  $g$  is convex,  $\gamma \neq 0$ ,  $\operatorname{Re} \gamma \geq 0$  and  $A: H \rightarrow H$  is defined by

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$F = A(f)$ , with

$$F(z) = z^{-\gamma} \int_0^z f(t) t^{\gamma-1} dt.$$

Another proof of this last result is given in [5, Example (b)].

In this paper we consider the integral operator  $A: K \rightarrow H$  defined by  $A(f) = F$ , with

$$(2) \quad A(f)(z) = F(z) = \left[ z^{-\gamma} \int_0^z f^\beta(t) t^{\gamma-1} dt \right]^{1/\beta}.$$

We show that this operator is subordination-preserving on appropriate subsets  $K \subset H$ , for suitable complex constants  $\beta$  and  $\gamma$ . As one application of these results we obtain several new distortion theorems for some classes of regular functions.

**II. Preliminaries.** We will make use of the following four lemmas. The first two concern differential subordinations, more general forms of which, plus some applications, may be found in [4 and 5].

**LEMMA 1** [4, p. 291]. *Let  $G(z)$  be regular and univalent on  $\bar{U}$ , and let  $F(z)$  be regular in  $U$  with  $F(0) = G(0)$ . Suppose there exists a point  $z_0 \in U$  such that  $F(|z| < |z_0|) \subset G(U)$  and  $F(z_0) = G(\xi_0)$ , with  $|\xi_0| = 1$ . Then  $z_0 F'(z_0) = m \xi_0 G'(\xi_0)$ , where  $m \geq 1$ .*

**LEMMA 2** [4, p. 298]. *Let  $p(z) = \beta + p_1 z + \dots$  be regular in  $U$  with  $p(z) \neq \beta$  and  $\operatorname{Re} \beta > 0$ . Let the function  $\Psi: C^2 \rightarrow C$  satisfy*

- (a)  $\Psi$  is continuous in a domain  $D$  of  $C^2$ ,
- (b)  $(\beta, 0) \in D$  and  $\operatorname{Re} \Psi(\beta, 0) > 0$ ,
- (c)  $\operatorname{Re} \Psi(ir, s) \leq 0$  when  $(ir, s) \in D$ , and

$$s \leq -|\beta - ir|^2 / (2 \operatorname{Re} \beta) \quad \text{for real } r, s.$$

*If  $(p(z), zp'(z)) \in D$  when  $z \in U$ , and  $\operatorname{Re} \Psi(p(z), zp'(z)) > 0$  when  $z \in U$ , then  $\operatorname{Re} p(z) > 0$  for all  $z \in U$ .*

The next lemma concerns subordination (or Loewner) chains. A function  $L(z, t)$ ,  $z \in U$ ,  $t \geq 0$ , is a *subordination chain* if  $L(\cdot, t)$  is regular and univalent in  $U$  for all  $t \geq 0$ ,  $L(z, \cdot)$  is continuously differentiable on  $R^+$  for all  $z \in U$ , and  $L(z, s) \prec L(z, t)$  when  $0 \leq s \leq t$  [9, p. 157].

**LEMMA 3** [9, p. 159]. *The function  $L(z, t) = a_1(t)z + \dots$ , with  $a_1(t) \neq 0$  for all  $t \geq 0$ , is a subordination chain if and only if*

$$\operatorname{Re} \left[ z \frac{\partial L}{\partial z} \bigg/ \frac{\partial L}{\partial t} \right] > 0$$

*for  $z \in U$  and  $t \geq 0$ .*

The last lemma is a modification of a result of K. Sakaguchi [10, Corollary 3]; it provides a sufficient condition for univalence.

LEMMA 4. Let  $\operatorname{Re} \beta > -\frac{1}{2}$ , and for  $h(z)$  regular in  $U$ , with  $h'(0) \neq 0$ , let

$$(3) \quad J(\beta, h) \equiv (\beta - 1) \frac{zh'(z)}{h(z)} + \left(1 + \frac{zh''(z)}{h'(z)}\right).$$

If  $\operatorname{Re} J(1, h) > -\frac{1}{2}$ , or  $\operatorname{Re} J(\beta, h) > -\frac{1}{2}$  when  $\beta \neq 1$  and  $h(0) = 0$ , then  $h(z)$  is univalent in  $U$ .

Before we establish our main results, we define the subsets  $K$  of  $H$  on which the integral operator  $A$  given by (2) is defined. Let  $\beta$  and  $\gamma$  be complex constants with  $\operatorname{Re} \beta > 0$  and  $\operatorname{Re} \gamma \geq 0$ . Let  $K_{\beta, \gamma}$  be defined as follows:

$$K = K_{\beta, \gamma} = \begin{cases} H & \text{if } \beta = 1, \gamma \neq 0, \\ \{f \in H \mid f(0) = 0\} & \text{if } \beta = 1, \gamma = 0, \\ \{f \in H \mid f(z) = z^j h(z), h(z) \neq 0, j \geq 1\} & \text{if } 1/\beta \in N - \{1\}, \\ \{f \in H \mid f(0) = 0, f'(0) \neq 0, \operatorname{Re}[\beta z f'/f + \gamma] > 0\} & \text{otherwise.} \end{cases}$$

A straightforward examination of the first three cases shows that  $A$  as given by (2) is well defined. That  $A$  is well defined in the last case is shown in [6, Corollary 1.1].

**III. Main Results.** Our first result deals with the special subclass  $K_{\beta, 0}$ , where  $\beta > 0$ . Note that  $K_{1, 0}$  is the set of regular functions with  $f(0) = 0$ ;  $K_{1/n, 0}$  with  $n \in N - \{1\}$  is the set of regular functions  $f(z) = z^j h(z)$ , where  $h(z) \neq 0$  and  $j \geq 1$ ; and  $K_{\beta, 0}$  with  $1/\beta \notin N$  is the class of starlike functions.

**THEOREM 1.** Let  $f \in K_{\beta, 0}$ , with  $\beta > 0$ , and let  $g(z) = b_1 z + b_2 z^2 + \cdots$  be starlike in  $U$ . If the operator  $A: K_{\beta, 0} \rightarrow H$  is defined by  $F = A(f)$ , where

$$(4) \quad F(z) = A(f)(z) = \left[ \int_0^z f^\beta(t) t^{-1} dt \right]^{1/\beta},$$

then  $f < g \Rightarrow A(f) < A(g)$ .

**PROOF.** Equation (4) can be rewritten as the following equation:

$$(5) \quad F(z) \left[ \beta \frac{zF'(z)}{F(z)} \right]^{1/\beta} = f(z).$$

The function  $G(z) \equiv A(g)(z)$  is an  $\alpha$ -convex function with  $\alpha = 1/\beta$ , and hence is regular and univalent in  $U$  [7, Theorem 5]. We now show  $F(z) < G(z)$ .

Case 1.  $G(z)$  is regular and univalent on  $\bar{U}$ .

If  $F \not\prec 5G$  then there exists a  $z_0 \in U$  such that  $F(|z| < |z_0|) \subset G(U)$  and  $F(z_0) = G(\xi_0)$ , with  $|\xi_0| = 1$ . By applying Lemma 1 we find  $z_0 F'(z_0) = m \xi_0 G'(\xi_0)$ , where  $m \geq 1$ . Using this in (5) together with the fact that  $g(U)$  is starlike we obtain

$$f(z_0) = G(\xi_0) \left[ \frac{\beta m \xi_0 G'(\xi_0)}{G(\xi_0)} \right]^{1/\beta} = m^{1/\beta} g(\xi_0) \notin g(U).$$

But this contradicts  $f < g$  and so we must have  $F < G$ .

Case 2.  $G(z)$  is regular and univalent in  $U$ .

If we let  $f_r(z) = f(rz)$  and  $g_r(z) = g(rz)$ , for  $0 < r < 1$ , then  $F(rz) = A(f_r)(z)$  and  $G(rz) = A(g_r)(z)$ . Since  $f_r \prec g_r$  and  $G(rz)$  is regular and univalent in  $\bar{U}$  we can apply the results of Case 1 to obtain  $F(rz) \prec G(rz)$  for  $0 < r < 1$ . By letting  $r \rightarrow 1^-$  we obtain  $F(z) \prec G(z)$ . This completes our proof of the theorem.

If we let  $\beta = 1$  in Theorem 1 we obtain the result of Suffridge [12, p. 777] already noted above. We now consider other special cases and obtain the following distortion theorems.

**COROLLARY 1.1.** *Let  $f(z)$  be regular in  $U$  with  $f(z) = z^j h(z)$ ,  $h(z) \neq 0$  and  $j \geq 1$ . If  $f(z) \prec z/(1+z)^2$  then:*

(a)

$$\left[ \int_0^z \frac{\sqrt{f(t)}}{t} dt \right]^2 \prec [2 \arctan \sqrt{z}]^2$$

and

(b)

$$-\frac{\pi}{2} < -2 \arctan \sqrt{\rho} \leq \operatorname{Re} \int_0^z \frac{\sqrt{f(t)}}{t} dt \leq 2 \arctan \sqrt{\rho} \leq \frac{\pi}{2},$$

where  $|z| = \rho < 1$ .

**PROOF.** If we use  $\beta = \frac{1}{2}$  and  $g(z) = z/(1+z)^2$  in Theorem 1 then  $G(z) = A(g)(z) = (2 \arctan \sqrt{z})^2$  and result (a) is an immediate consequence of the theorem. If  $(p(z))^2 \prec (q(z))^2$  then  $p(U) \subset [-q(U)] \cup [q(U)]$ . Using this last result together with result (a) and the convexity of  $g(\rho z) = 2 \arctan \sqrt{\rho z}$ ,  $0 < \rho < 1$ , we obtain the result (b).

We note that  $g(U) = \mathbb{C} - \{\frac{1}{4}, \infty\}$ , the image of  $U$  via the Koebe function, while  $w = G(z)$  is an  $\alpha$ -convex function with  $\alpha = 2$  (and hence convex [7, p. 217]) and  $G(U)$  is the interior of the parabola  $u = \pi^2/4 - v^2/\pi^2$  ( $w = u + iv$ ).

**COROLLARY 1.2.** *If  $f(z)$  is starlike and  $f(z) \prec z/(1+z)^2$  then:*

(a)  $[\int_0^z f^2(t)/t dt]^{1/2} \prec z/[2(1+z^2)]^{1/2}$ ,

(b)  $\int_0^z f^2(t)/t dt \prec z^2/2(1+z^2)$ , and

(c)

$$\frac{-\rho^2}{2(1-\rho^2)} \leq \operatorname{Re} \int_0^z \frac{f^2(t)}{t} dt \leq \frac{\rho^2}{2(1+\rho^2)} < \frac{1}{4},$$

where  $|z| = \rho < 1$ .

**PROOF.** If we let  $\beta = 2$  and  $g(z) = z/(1+z^2)$  in Theorem 1, then  $G(z) = A(g)(z) = z/[2(1+z^2)]^{1/2}$  and result (a) follows. From (a) and the definition of subordination we obtain

$$\int_0^z \frac{f^2(t)}{t} dt = \frac{w^2(z)}{2(1+w^2(z))},$$

where  $w(z)$  is regular in  $U$ ,  $w(0) = 0$  and  $|w(z)| < 1$ . This last identity yields (b), which then leads to (c).

We note that  $g(U)$  is the domain  $\mathbf{C} - \{\frac{1}{2}, \infty) \cup (-\infty, -\frac{1}{2}]\}$  and  $G(U)$  is that domain containing the origin which is bounded by the hyperbola  $u^2 - v^2 = \frac{1}{4}$ .

**COROLLARY 1.3.** *If  $f(z) = a_1 z + a_2 z^2 + \dots$  is starlike and if  $\operatorname{Re} f^2(z) < 1$  then:*

- (a)  $[\int_0^z f^2(t)/t dt]^{1/2} < [\log(1 + z^2)]^{1/2}$ , ( $\log 1 = 0$ ),
- (b)  $\int_0^z f^2(t)/t dt < \log(1 + z^2)$ ,
- (c)  $\ln(1 - \rho^2) \leq \operatorname{Re} \int_0^z f^2(t)/t dt \leq \ln(1 + \rho^2) < \ln 2$ , and
- (d)

$$\frac{-\pi}{2} < -\arctan \frac{\rho^2}{\sqrt{1 - \rho^4}} \leq \operatorname{Im} \int_0^z \frac{f^2(t)}{t} dt \leq \arctan \frac{\rho^2}{\sqrt{1 - \rho^4}} < \frac{\pi}{2},$$

where  $|z| = \rho < 1$ .

**PROOF.** The conditions  $f(z) < q(z) = \sqrt{2} z/(1 + z^2)^{1/2}$  and  $\operatorname{Re} f^2(z) < 1$  are equivalent. If we use  $\beta = 2$  and  $q(z) = \sqrt{2} z/(1 + z^2)^{1/2}$  in Theorem 1 then  $G(z) = A(g)(z) = [\log(1 + z^2)]^{1/2}$  and results (a) and (b) are consequences of the theorem. The function  $h(z) = \log(1 + z^2)$  is a convex function and we can easily determine the extremal values of  $\operatorname{Re} h(rz)$  and  $\operatorname{Im} h(rz)$  when  $|z| = 1$ . Combining these results with (b) we obtain the inequalities (c) and (d).

One example of the power of this last corollary occurs if we take  $f(z) = (4 \arctan z)/\pi$ . Here  $f(z) < \sqrt{2} z/(1 + z^2)^{1/2}$ , and hence

$$\begin{aligned} \int_0^z \frac{(\arctan t)^2}{t} dt &< \frac{\pi^2}{16} \log(1 + z^2), \\ \frac{\pi^2}{16} \ln(1 - \rho^2) &\leq \operatorname{Re} \int_0^z \frac{(\arctan t)^2}{t} dt \leq \frac{\pi^2}{16} \ln(1 + \rho^2) \leq \frac{\pi^2}{16} \ln 2, \end{aligned}$$

and

$$\begin{aligned} \frac{-\pi^3}{32} &< \frac{-\pi^2}{16} \arctan \frac{\rho}{\sqrt{1 - \rho^4}} \leq \operatorname{Im} \int_0^z \frac{(\arctan t)^2}{t} dt \\ &\leq \frac{\pi^2}{16} \arctan \frac{\rho}{\sqrt{1 - \rho^4}} \leq \frac{\pi^3}{32}. \end{aligned}$$

In our second main theorem we assume  $\beta$  and  $\gamma$  are complex constants, with  $\operatorname{Re} \beta > 0$  and  $\operatorname{Re} \gamma \geq 0$ , and we make use of the following constants:

$$(6) \quad \delta_0 = \frac{1}{2} \frac{|\beta + \gamma| - |\beta - \bar{\gamma}|}{|\beta + \gamma| + |\beta - \bar{\gamma}|} = \frac{2 \operatorname{Re} \beta \operatorname{Re} \gamma}{(|\beta + \gamma| + |\beta - \gamma|)^2}$$

and

$$(7) \quad \delta = \operatorname{Min}(\operatorname{Re} \gamma, \delta_0).$$

Note that  $0 \leq \delta_0 \leq \frac{1}{2}$ ,  $0 \leq \delta \leq \frac{1}{2}$ , and  $\delta = \delta_0 = 0$  when  $\operatorname{Re} \gamma = 0$ .

THEOREM 2. Let  $\operatorname{Re} \beta > 0$ ,  $\operatorname{Re} \gamma \geq 0$  and  $f, g \in K_{\beta, \gamma}$  with  $g'(0) \neq 0$  and let

$$(8) \quad \operatorname{Re} \left[ (\beta - 1) \frac{zg'(z)}{g(z)} + \left( 1 + \frac{zg''(z)}{g'(z)} \right) \right] > -\delta.$$

If the operator  $A: K_{\beta, \gamma} \rightarrow H$  is defined by  $F = A(f)$ , where

$$(9) \quad F(z) = A(f)(z) = \left[ \frac{1}{z^\gamma} \int_0^z f^\beta(t) t^{\gamma-1} dt \right]^{1/\beta},$$

then  $f < g \Rightarrow A(f) < A(g)$ .

PROOF. Since  $-\delta \geq -1/2$ , it follows from (8) and Lemma 4 that the function  $g$  is univalent.

If we let  $G = A(g)$ , then from (9) we obtain

$$(10) \quad G(z) \left[ \beta z \frac{G'(z)}{G(z)} + \gamma \right] = g(z).$$

We now show that  $G$  is univalent in  $U$ . According to Lemma 4 we can obtain the univalence of  $G$  by showing that

$$(11) \quad \operatorname{Re} J(\beta, G) > 0,$$

and  $G'(0) \neq 0$  when  $\beta = 1$ , or  $G'(0) \neq 0$  and  $G(0) = 0$  when  $\beta \neq 1$ . These normalization conditions on  $G$  follow from  $G = A(g)$  and the fact that  $g$  satisfies each of them. If we let  $P(z) = \beta^{-1}J(\beta, G(z))$ , then  $P(0) = 1$  and we can prove (11) by showing that  $\operatorname{Re} \beta P(z) > 0$ . By logarithmically differentiating (10) twice we obtain

$$(12) \quad P(z) + \frac{zP'(z)}{\beta P(z) + \gamma} = \beta^{-1}J(\beta, g(z)),$$

where  $J(\beta, g)$  is defined by (3). Since  $g(z)$  is univalent in  $U$ , the function  $J(\beta, g(z))$  will be regular in  $U$ . From (7) and (8) we obtain  $\operatorname{Re}[\beta(\beta^{-1}J(\beta, g(z))) + \gamma] > 0$ . Hence, as we have shown elsewhere [6, Theorem 1], the solution  $P(z)$  of the Briot-Bouquet differential equation (12) is regular and satisfies  $\operatorname{Re}[\beta P(z) + \gamma] > 0$ . Multiplying both sides of (12) by  $\beta$  and letting  $p(z) = \beta P(z)$  ( $= J(\beta, G(z))$ ) we obtain

$$p(z) + \frac{zp'(z)}{p(z) + \gamma} = J(\beta, g(z)),$$

where  $p(z)$  is regular in  $U$ ,  $p(0) = \beta$  and  $\operatorname{Re}[p(z) + \gamma] > 0$ . Combining this with (8) we obtain

$$\operatorname{Re} \left[ p(z) + \frac{zp'(z)}{p(z) + \gamma} + \delta \right] = \operatorname{Re} \psi(p(z), zp'(z)) > 0,$$

where  $\psi(r, s) = r + s/(r + \gamma) + \delta$ .

We now use Lemma 2 to prove that  $\operatorname{Re} p(z) > 0$ .  $\operatorname{Re} \psi(\beta, 0) = \operatorname{Re}(\beta + \delta) > 0$ , and so we only need to show that  $\operatorname{Re} \psi(ir, s) \leq 0$ , when  $s \leq -|\beta - ir|^2/(2 \operatorname{Re} \beta)$

and  $s$  and  $r$  are real. Now

$$\begin{aligned}
 (13) \quad \operatorname{Re} \psi(ir, s) &= \operatorname{Re} \frac{s}{ir + \gamma} + \delta = \frac{s \operatorname{Re} \gamma}{|\gamma|^2 + 2r \operatorname{Im} \gamma + r^2} + \delta \\
 &\leq \delta - \frac{\operatorname{Re} \gamma [|\beta|^2 - 2r \operatorname{Im} \beta + r^2]}{2 \operatorname{Re} \beta [|\gamma|^2 + 2r \operatorname{Im} \gamma + r^2]} \\
 &\equiv \frac{1}{D} [A + 2Br + Cr^2],
 \end{aligned}$$

where  $r$  and  $s$  are real and

$$\begin{aligned}
 A &= 2\delta |\gamma|^2 \operatorname{Re} \beta - |\beta|^2 \operatorname{Re} \gamma, \\
 B &= 2\delta \operatorname{Re} \beta \cdot \operatorname{Im} \gamma + \operatorname{Re} \gamma \cdot \operatorname{Im} \beta, \\
 C &= 2\delta \operatorname{Re} \beta - \operatorname{Re} \gamma.
 \end{aligned}$$

If  $\operatorname{Re} \gamma = 0$  then  $\delta = 0$  and from (13) we obtain  $\operatorname{Re} \psi(ir, s) = 0$ , for real  $r$  and  $s$ .

Suppose  $\operatorname{Re} \gamma > 0$ . We show that  $\operatorname{Re} \psi(ir, s) \leq 0$  by using (13) and showing that  $B^2 - AC \leq 0$  and  $C < 0$ . The condition  $B^2 - AC \leq 0$  is equivalent to

$$(14) \quad W(\delta) \equiv 4\delta^2 \operatorname{Re} \beta \cdot \operatorname{Re} \gamma - 2\delta [|\gamma|^2 + |\beta|^2 + 2 \operatorname{Im} \beta \cdot \operatorname{Im} \gamma] + \operatorname{Re} \beta \cdot \operatorname{Re} \gamma \geq 0.$$

We now show that  $W(\delta) \geq 0$  for  $0 \leq \delta \leq \delta_0$ . From the identities

$$(15) \quad |\beta + \gamma|^2 - |\beta - \bar{\gamma}|^2 = 4 \operatorname{Re} \beta \cdot \operatorname{Re} \gamma$$

and

$$\begin{aligned}
 |\beta|^2 + |\gamma|^2 + 2 \operatorname{Im} \beta \cdot \operatorname{Im} \gamma &= |\beta + \gamma|^2 - 2 \operatorname{Re} \gamma \cdot \operatorname{Re} \gamma \\
 &= \frac{1}{2} [|\beta + \gamma|^2 + |\beta - \bar{\gamma}|^2]
 \end{aligned}$$

we find that the discriminant of (14) can be written in the form

$$\Delta = |\beta + \gamma|^2 |\beta - \bar{\gamma}|^2 \geq 0.$$

A calculation shows that in (14) we have  $W(\delta_0) = 0$ , where  $\delta_0 > 0$  is given by (6). Combining this with  $W(0) > 0$  and  $\Delta \geq 0$  we have  $W(\delta) \geq 0$  for  $0 \leq \delta \leq \delta_0$ . Hence, by (14),  $B^2 - AC \leq 0$ . From (15) we obtain

$$0 < |\beta + \gamma| - |\beta - \bar{\gamma}| \leq \operatorname{Re} \gamma,$$

and using this and  $0 \leq \delta \leq \delta_0$  in the definition of  $C$  we obtain

$$C \leq 2\delta_0 \operatorname{Re} \beta - \operatorname{Re} \gamma = \frac{1}{4 \operatorname{Re} \gamma} [(|\beta + \gamma| - |\beta - \bar{\gamma}|)^2 - 4(\operatorname{Re} \gamma)^2] < 0.$$

Hence  $\operatorname{Re} \psi(ir, s) \leq 0$ , and by applying Lemma 2 we obtain  $\operatorname{Re} p(z) > 0$ . Since  $p(z) = J(\beta, G(z))$ , this implies that (11) is satisfied and hence that  $G(z)$  is univalent.

We have shown that  $G(z)$  is univalent in  $U$ . We will assume that  $G(z)$  is univalent in  $\bar{U}$ . If not, we can continue the remainder of the proof with  $G(rz)$ ,  $0 < r < 1$ , and obtain our final result by letting  $r \rightarrow 1^-$ , as was done in Theorem 1.

We now need to show that  $F \prec G$ . In order to do this we first introduce the function

$$(16) \quad L(z, t) \equiv G(z) \left[ (1+t)\beta z \frac{G'(z)}{G(z)} + \gamma \right]^{1/\beta},$$

where  $t \geq 0$ . According to (10),  $L(z, 0) = g(z)$ . A simple calculation yields  $L'(0, t) = [1 + \beta t/(\beta + \gamma)]^{1/\beta} \neq 0$  and

$$(17) \quad z \frac{\partial L}{\partial z} \bigg/ \frac{\partial L}{\partial t} = \gamma + (1+t)J(\beta, G),$$

where  $J(\beta, G)$  is defined by (3). Since  $\operatorname{Re} \gamma \geq 0$ , and since (11) has been shown, from (17) and Lemma 3 we conclude that  $L(z, t)$  is a subordination chain. In particular, we have

$$(18) \quad g(z) = L(z, 0) \prec L(z, t) \quad \text{for all } t \geq 0.$$

Suppose  $F \not\prec 5G$ . Then there exists  $z_0 \in U$  such that  $F(z_0) = G(\xi_0)$ ,  $|\xi_0| = 1$  and  $F(|z| < |z_0|) \subset G(U)$ . Hence by Lemma 1 we have  $z_0 F'(z_0) = (1+t)\xi_0 G'(\xi_0)$  with  $t \geq 0$ . From (9), (16) and (18) we obtain

$$\begin{aligned} f(z_0) &= F(z_0) \left[ \beta \frac{z_0 F'(z_0)}{F(z_0)} + \gamma \right]^{1/\beta} = G(\xi_0) \left[ (1+t)\beta \xi_0 \frac{G'(\xi_0)}{G(\xi_0)} + \gamma \right]^{1/\beta} \\ &= L(\xi_0, t) \notin g(U), \end{aligned}$$

which contradicts the assumption  $f \prec g$ . Hence  $F \prec G$  and the proof of Theorem 2 is complete.

**COROLLARY 2.1.** *If  $f, g \in H$ ,  $g'(0) \neq 0$  and*

$$(19) \quad \operatorname{Re} \left( 1 + \frac{zg''(z)}{g'(z)} \right) > -\frac{1}{2}$$

*then*

$$f \prec g \Rightarrow \frac{1}{z} \int_0^z f(t) dt \prec \frac{1}{z} \int_0^z g(t) dt.$$

**PROOF.** If we let  $\beta = \gamma = 1$  then  $K_{1,1} = H$ , and from (6) and (7) we obtain  $\delta = \delta_0 = \frac{1}{2}$ . Applying Theorem 2 with these parameters, we obtain the corollary.

Note that this result improves the result of D. Hallenbeck and S. Ruscheweyh [2, p. 192] who proved the conclusion with (19) replaced by  $\operatorname{Re}(1 + zg''(z)/g'(z)) > 0$ .

**REMARK.** If  $g$  is univalent in  $U$  it is easy to show that (19) holds for  $|z| < r_0 = 4 - \sqrt{13}$ . Using  $f(r_0 z) \prec g(r_0 z)$  from Corollary 2.1 we deduce that *if  $g$  is univalent in  $U$  and  $f \prec g$  then*

$$\frac{1}{z} \int_0^z f(t) dt \prec \frac{1}{z} \int_0^z g(t) dt$$

at least for  $|z| < 4 - \sqrt{13} = 0.3944\dots$ . This improves a result of R. Singh and S. Singh [11, Theorem 2] who proved this result for  $|z| < 2 - \sqrt{3} = 0.268\dots$

We now apply Corollary 2.1 to obtain two mean-value results for regular functions.



*Result 1.* Taking  $g(z) = 1/(1+z)^2$ , we can easily deduce that  $g'(0) \neq 0$ ,

$$\operatorname{Re}[1 + zg''(z)/g'(z)] = \operatorname{Re}[(1-2z)/(1+z)] > -\frac{1}{2}$$

and  $G(z) = z^{-1} \int_0^z g(t) dt = 1/(1+z)$ . Now using Corollary 2.1 we obtain

$$f(z) \prec \frac{1}{(1+z)^2} \Rightarrow \frac{1}{z} \int_0^z f(t) dt \prec \frac{1}{1+z},$$

when  $f(z)$  is regular in  $U$ . Replacing  $f$  by  $f'$  we obtain

$$f'(z) \prec \frac{1}{(1+z)^2} \Rightarrow \frac{f(z)}{z} \prec \frac{1}{1+z},$$

or

$$\operatorname{Re} \sqrt{f'(z)} > \frac{1}{2} \Rightarrow \operatorname{Re} \frac{f(z)}{z} > \frac{1}{2}.$$

This last result was obtained by Y. Komatu [3, Theorem 2] using a suitable Herglotz representation.

*Result 2.* If we take  $g(z) = z/\sqrt{1+z^2}$ , then  $g'(0) \neq 0$ ,  $\operatorname{Re}[1 + zg''(z)/g'(z)] > -\frac{1}{2}$  and from Corollary 2.1 we obtain

$$f(z) \prec \frac{z}{\sqrt{1+z^2}} \Rightarrow \frac{1}{z} \int_0^z f(t) dt \prec \frac{z}{1+\sqrt{1+z^2}} = G(z),$$

when  $f(z)$  is regular in  $U$ . The set  $g(U)$  is the domain containing the origin and bounded by the hyperbola  $u^2 - v^2 = \frac{1}{2}$ , while  $G(U) = \{|w-1| < \sqrt{2}, |w+1| < \sqrt{2}\}$ . If we replace  $f$  by  $f'$  we obtain

$$f'(z) \prec \frac{z}{\sqrt{1+z^2}} \Rightarrow \frac{f(z)}{z} \prec \frac{z}{1+\sqrt{1+z^2}}$$

or

$$\operatorname{Re}[f'(z)]^2 < \frac{1}{2} \Rightarrow \left( \left| \frac{f(z)}{z} - 1 \right| < \sqrt{2} \right) \quad \text{and} \quad \left( \left| \frac{f(z)}{z} + 1 \right| < \sqrt{2} \right).$$

We close this paper with three additional distortion results that can be obtained from Theorem 2.

**EXAMPLE 1.** If we choose  $\beta = \gamma = \frac{1}{2}$  and  $g(z) = z/(1+z)$ , then the hypotheses of Theorem 2 are satisfied. Hence for  $f \in K_{1/2,1/2} = \{f \in H, f(z) = z^j h(z), h(z) \neq 0, j \geq 1\}$  we obtain

$$\operatorname{Re} f(z) < \frac{1}{2} \Rightarrow \frac{1}{z} \left[ \int_0^z \left[ \frac{f(t)}{t} \right]^{1/2} dt \right]^2 \prec \frac{4z}{(1+\sqrt{1+z})^2}.$$

This last subordination implies the existence of a regular function  $u(z)$  with  $u(0) = 0$  and  $|u(z)| < 1$  such that

$$\frac{1}{z} \left[ \int_0^z \left[ \frac{f(t)}{t} \right]^{1/2} dt \right]^2 = \frac{4u(z)}{1+\sqrt{1+u(z)^2}}.$$

If we let  $v(z) = u(z)/z$  then  $v(z)$  is regular in  $U$ ,  $|v(z)| \leq 1$  and we have

$$\left[ \int_0^z \left[ \frac{f(t)}{t} \right]^{1/2} dt \right]^2 = \frac{4z^2 v(z)}{(1 + \sqrt{1 + zv(z)})^2}.$$

For a fixed  $z_0 \in U$  there exists a determination of  $\sqrt{v(z_0)} = \xi_0 \in U$  such that

$$\int_0^{z_0} \left[ \frac{f(t)}{t} \right]^{1/2} dt = \frac{2z_0 \xi_0}{1 + \sqrt{1 + z_0 \xi_0^2}}.$$

In particular, for  $z_0 = r$ ,  $0 < r < 1$ , we have

$$(20) \quad \int_0^r \left[ \frac{f(t)}{t} \right]^{1/2} dt = \frac{2r \xi_0}{1 + \sqrt{1 + r \xi_0^2}}.$$

The function  $\psi(w) = 2rw/(1 + \sqrt{1 + rw^2})$ , with  $w \in U$  and  $0 < r < 1$ , is convex and  $\psi(\bar{U})$  is symmetric with respect to the real and imaginary axes (see Result 2). Hence from (20) we deduce that  $\operatorname{Re} f(z) < \frac{1}{2}$  implies

$$\begin{aligned} -2(\sqrt{2} - 1) &\leq -2(\sqrt{1+r} - 1) \leq \operatorname{Re} \int_0^r \left[ \frac{f(t)}{t} \right]^{1/2} dt \\ &\leq 2(\sqrt{1+r} - 1) \leq 2(\sqrt{2} - 1) \end{aligned}$$

and

$$-2 \leq -2(1 - \sqrt{1-r}) \leq \operatorname{Im} \int_0^r \left[ \frac{f(t)}{t} \right]^{1/2} dt \leq 2(1 - \sqrt{1-r}) \leq 2,$$

for  $0 < r \leq 1$ . These results are sharp.

EXAMPLE 2. If we let  $\beta = 2$ ,  $\gamma = 1$  and  $g(z) = z/(1 + z^2)^{1/2}$  then the conditions of Theorem 2 will be satisfied. Hence if  $f(z)$  is regular with  $f(0) = 0$ ,  $f'(0) \neq 0$ , and  $\operatorname{Re} zf'(z)/f(z) > -\frac{1}{2}$  (i.e.,  $f \in K_{2,1}$ ) then

$$f(z) < \frac{z}{(1 + z^2)^{1/2}} \Rightarrow \left[ \frac{1}{z} \int_0^z f^2(t) dt \right]^{1/2} < \left[ 1 - \frac{\arctan z}{z} \right]^{1/2},$$

which implies

$$(21) \quad \operatorname{Re} f^2(z) < \frac{1}{2} \Rightarrow \frac{1}{z} \int_0^z f^2(t) dt < 1 - \frac{\arctan z}{z}.$$

The function  $p_1(z) = 1 - (\arctan z)/z = z^2/3 - z^4/5 + \cdots$  is bivalent, while the function

$$p_2(z) = 1 - \frac{\arctan \sqrt{z}}{\sqrt{z}} = \frac{1}{2\sqrt{z}} \int_0^z \frac{t}{1+t} t^{-1/2} dt$$

is convex and univalent [8, Theorem 5]. Since  $p_2(z^2)$  is also convex and since  $p_1(z) = p_2(z^2)$ , from (21) we obtain the following sharp results: if  $\operatorname{Re} f^2(z) < \frac{1}{2}$  and  $f \in K_{2,1}$  then

$$1 - \frac{\operatorname{arctanh} \rho}{\rho} \leq \operatorname{Re} \frac{1}{z} \int_0^z f^2(t) dt \leq 1 - \frac{\arctan \rho}{\rho} < 1 - \frac{\pi}{4} \quad \text{for } |z| = \rho < 1.$$

For our final example we allow  $\beta$  and  $\gamma$  to assume complex values.

EXAMPLE 3. Let  $\beta = e^{i\alpha}$ ,  $\gamma = 1 - e^{i\alpha}$ , with  $\pi/2 < \alpha < \pi/2$ , and let  $f, g \in K_{\beta, \gamma}$ . From (6) and (7) we obtain

$$\delta = \delta_0 = (1 - |2 \cos \alpha - 1|)/(2 - 2|2 \cos \alpha - 1|).$$

If  $g$  satisfies (8) and  $f \prec g$  then by Theorem 2 we have

$$z \left[ \frac{1}{z} \int_0^z \left( \frac{f(t)}{t} \right)^{e^{i\alpha}} dt \right]^{e^{-i\alpha}} \prec z \left[ \frac{1}{z} \int_0^z \left( \frac{g(t)}{t} \right)^{e^{i\alpha}} dt \right]^{e^{-i\alpha}}.$$

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