

## VMO, ESV, AND TOEPLITZ OPERATORS ON THE BERGMAN SPACE

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**ABSTRACT.** This paper studies the largest  $C^*$ -subalgebra  $Q$  of  $L^\infty(\mathbf{D})$  such that the Toeplitz operators  $T_f$  on the Bergman space  $L_a^2(\mathbf{D})$  with symbols  $f$  in  $Q$  have a symbol calculus modulo the compact operators.  $Q$  is characterized by a condition of vanishing mean oscillation near the boundary. I also give several other necessary and sufficient conditions for a bounded function to be in  $Q$ . After decomposing  $Q$  in a “nice” way, I study the Fredholm theory of Toeplitz operators with symbols in  $Q$ . The essential spectrum of  $T_f$  ( $f \in Q$ ) is shown to be connected and computable in terms of the Stone-Čech compactification of  $\mathbf{D}$ . The results in this article partially answer a question posed in [3] and give several new necessary and sufficient conditions for a bounded analytic function on the open unit disc to be in the little Bloch space  $\mathcal{B}_0$ .

**1. Introduction.** Let  $\mathbf{D}$  be the open unit disc in the complex plane  $C$ . Consider the Bergman space  $L_a^2(\mathbf{D})$  of analytic functions in  $L^2(\mathbf{D}, dA)$ , where  $dA = \frac{1}{\pi} r dr d\theta$  is the normalized area measure on  $\mathbf{D}$ . For any function  $f$  in  $L^\infty(\mathbf{D}, dA)$ , the Toeplitz operator  $T_f: L_a^2(\mathbf{D}) \rightarrow L_a^2(\mathbf{D})$  and the Hankel operator  $H_f: L_a^2(\mathbf{D}) \rightarrow L^2(\mathbf{D})$  are defined by

$$T_f g = P(fg), \quad H_f g = (I - P)(fg), \quad g \in L_a^2(\mathbf{D}),$$

where  $P: L^2(\mathbf{D}) \rightarrow L_a^2(\mathbf{D})$  is the orthogonal projection. It is well known that Toeplitz operators and Hankel operators are related by

$$T_{|f|^2} - T_{\bar{f}} T_f = H_f^* H_f.$$

In [3], Sheldon Axler raised the question of characterizing the functions  $f \in L^\infty(\mathbf{D})$  such that  $H_f$  is compact. This is equivalent to characterizing functions  $f \in L^\infty(\mathbf{D})$  such that the semi-self-commutator  $T_{|f|^2} - T_{\bar{f}} T_f$  is compact. Axler answered a special case of this problem in [1]. He proved that for any analytic function  $f$  on  $\mathbf{D}$ ,  $H_{\bar{f}}$  is compact if and only if  $f \in \mathcal{B}_0$ , the “little Bloch” space.

Recall that for Toeplitz operators  $T_f$  and Hankel operators  $H_f$  ( $f \in L^\infty(S^1)$ ) on the Hardy space  $H^2$  of the unit circle  $S^1$ , it is well known [15] that  $H_f$  is compact if and only if  $f \in C(S^1) + H^\infty$ ;  $H_f$  and  $H_{\bar{f}}$  are compact if and only if  $f \in \text{VMO}(S^1)$  [22, 23]. For Toeplitz operators  $T_f$  and Hankel operators  $H_f$  ( $f \in L^\infty(C^n)$ ) on the Bergman space  $L_a^2(C^n, d\mu)$  of  $C^n$  with the Gaussian measure  $d\mu$ , L. A. Coburn and C. A. Berger in [7] proved that  $H_f$  is compact if and only if  $H_f$  and  $H_{\bar{f}}$  are compact if and only if  $f = f_1 + f_2$  with  $f_1 \in \text{ESV}(C^n)$  and  $T_{|f_2|}$  compact.

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In this paper, we introduce a new space  $\text{VMO}_\partial(\mathbf{D})$  of integrable functions on  $\mathbf{D}$  and use it to characterize the functions  $f \in L^\infty(\mathbf{D})$  such that  $H_f$  and  $H_{\bar{f}}$  are compact.  $\text{VMO}_\partial(\mathbf{D})$ , roughly speaking, is the space of integrable functions on  $\mathbf{D}$  with vanishing mean oscillation near the boundary of  $\mathbf{D}$ . The usual area  $\text{VMO}$  [10] fails to work in this situation because a Toeplitz operator  $T_f$  on  $L_a^2(\mathbf{D})$ , up to a compact perturbation, only depends on the behavior of  $f$  near the boundary of  $\mathbf{D}$  [2, 6, 9, 16]. The (mean) oscillation of  $f$  inside  $\mathbf{D}$  does not affect  $T_f$  in the Calkin algebra.

In §§3 and 4, we study the space  $\text{VMO}_\partial(\mathbf{D})$  and one of its important subspaces,  $\text{ESV}(\mathbf{D})$ . Several equivalent conditions for a function to be in  $\text{VMO}_\partial(\mathbf{D})$  or  $\text{ESV}(\mathbf{D})$  are proved. We also prove that for  $f \in H^\infty(\mathbf{D})$ ,  $f \in \text{VMO}_\partial(\mathbf{D})$  if and only if  $f \in \text{ESV}(\mathbf{D})$  if and only if  $f \in \mathcal{B}_0$ . The so-called Berezin symbol [7, 5] serves as a basic tool to study  $\text{VMO}_\partial(\mathbf{D})$  and Toeplitz operators. Some basic properties of Berezin symbol are first established in §2. §5 is devoted to the proof of the main theorem: For  $f \in L^\infty(\mathbf{D})$ ,  $H_f$  and  $H_{\bar{f}}$  are compact if and only if  $f$  is in  $\text{VMO}_\partial(\mathbf{D})$ . Notice that this theorem also solves the “symbol calculus” problem of finding the largest  $C^*$ -subalgebra  $Q$  of  $L^\infty(\mathbf{D})$  such that the map  $\xi: Q \rightarrow \mathcal{B}(L_a^2(\mathbf{D}))/\mathcal{K}$  defined by  $\xi(f) = T_f + \mathcal{K}$  is a  $C^*$ -algebra homomorphism, where  $\mathcal{K}$  is the compact ideal of the full algebra  $\mathcal{B}(L_a^2(\mathbf{D}))$  of bounded linear operators on  $L_a^2(\mathbf{D})$ .  $\mathcal{B}(L_a^2(\mathbf{D}))/\mathcal{K}$  is the Calkin algebra. The theorem simply says that  $Q = L^\infty(\mathbf{D}) \cap \text{VMO}_\partial(\mathbf{D})$ . In §6 we discuss the Fredholm theory of Toeplitz operators with symbols in  $Q$ . The conformal invariance of  $\text{VMO}_\partial$  is discussed in §7. §8 concludes the paper with some open problems and possible generalizations.

**2. The Berezin symbol of Toeplitz operators.** Recall that  $L_a^2(\mathbf{D})$  has reproducing kernel

$$K(z, \bar{w}) = 1/(1 - z\bar{w})^2.$$

For any  $w \in \mathbf{D}$ , we can define a unit vector  $k_w$  in  $L_a^2(\mathbf{D})$  by

$$k_w(z) = \frac{K(z, \bar{w})}{\sqrt{K(w, \bar{w})}} = \frac{1 - |w|^2}{(1 - z\bar{w})^2}, \quad z \in \mathbf{D}.$$

The  $k_w$ 's are called the normalized reproducing kernels.

Now given any bounded linear operator  $S$  on  $L_a^2(\mathbf{D})$ , we define a bounded continuous function  $\tilde{S}$  on  $\mathbf{D}$  [5] by

$$\tilde{S}(z) = \langle S k_z, k_z \rangle, \quad z \in \mathbf{D}.$$

$\tilde{S}$  is called the Berezin symbol of  $S$ . For any function  $f \in L^\infty(\mathbf{D}, dA)$ , we define  $\tilde{f} = \tilde{T}_f$ , so that

$$\tilde{f}(z) = \langle T_f k_z, k_z \rangle = \langle f k_z, k_z \rangle = \int_{\mathbf{D}} f(w) |k_z(w)|^2 dA(w).$$

We also call  $\tilde{f}$  the Berezin symbol of  $f$ . Notice that

$$|\tilde{f}(z)| = |\langle T_f k_z, k_z \rangle| \leq \|T_f k_z\| \|k_z\| \leq \|T_f\| \leq \|f\|_\infty,$$

so  $\|\tilde{f}\|_\infty \leq \|f\|_\infty$ . The map  $f \mapsto \tilde{f}: L^\infty(\mathbf{D}, dA) \rightarrow L^\infty(\mathbf{D}, dA)$  is linear, contractive (hence continuous), and order-preserving. It is easy to see that  $\tilde{\tilde{f}} = \tilde{f}$  for any

$f \in L^\infty(\mathbf{D})$ . Actually, we have  $\tilde{S}^* = \tilde{S}$  for any bounded linear operator  $S$  on  $L_a^2(\mathbf{D})$ .

Let  $H^\infty(\mathbf{D})$  denote the Banach algebra of bounded holomorphic functions on  $\mathbf{D}$ . We have

PROPOSITION 1. *For any  $f \in H^\infty(\mathbf{D})$  and  $z \in \mathbf{D}$ ,  $T_{\bar{f}}k_z = \bar{f}(z)k_z$ .*

PROOF. First recall the reproducing property of  $K(z, \bar{w})$ :

$$f(z) = \int_{\mathbf{D}} K(z, \bar{w}) f(w) dA(w)$$

for any  $f \in L_a^2(\mathbf{D})$  and  $z \in \mathbf{D}$ . The Toeplitz operator  $T_f$  is an integral operator:

$$(T_f g)(z) = \int_{\mathbf{D}} K(z, \bar{w}) f(w) g(w) dA(w)$$

for any  $f \in L^\infty(\mathbf{D})$  and  $g \in L_a^2(\mathbf{D})$ . Now if  $f \in H^\infty(\mathbf{D})$ , we have

$$\begin{aligned} (T_{\bar{f}} K(\cdot, \bar{z}))(w) &= \int_{\mathbf{D}} K(w, \bar{u}) K(u, \bar{z}) \bar{f}(u) dA(u) \\ &= \overline{\int_{\mathbf{D}} \overline{K(w, \bar{u})} \overline{K(u, \bar{z})} f(u) dA(u)} \\ &= \overline{\int_{\mathbf{D}} K(u, \bar{w}) K(z, \bar{u}) f(u) dA(u)} \\ &= \overline{K(z, \bar{w}) f(z)} = \bar{f}(z) K(w, \bar{z}), \end{aligned}$$

so

$$T_{\bar{f}} K(\cdot, \bar{z}) = \bar{f}(z) K(\cdot, \bar{z}).$$

Dividing both sides by  $\sqrt{K(z, \bar{z})}$ , we get  $T_{\bar{f}}k_z = \bar{f}(z)k_z$ .

PROPOSITION 2. *For any  $f \in H^\infty(\mathbf{D}) + \overline{H^\infty(\mathbf{D})}$ ,  $\tilde{f} = f$ .*

PROOF. Since the map  $f \mapsto \tilde{f}$  is linear and conjugation-preserving, it suffices to prove the result for  $f \in \overline{H^\infty(\mathbf{D})}$ . But in this case, we have  $T_f k_z = f(z)k_z$  by Proposition 1. Thus

$$\tilde{f}(z) = \langle T_f k_z, k_z \rangle = \langle f(z)k_z, k_z \rangle = f(z) \langle k_z, k_z \rangle = f(z).$$

PROPOSITION 3. *For any  $f \in L^\infty(\mathbf{D})$ , the following are equivalent:*

- (1)  $\lim_{|z| \rightarrow 1^-} (\widetilde{f g}(z) - \tilde{f}(z) \tilde{g}(z)) = 0$  for all  $g \in L^\infty(\mathbf{D})$ ;
- (2)  $\lim_{|z| \rightarrow 1^-} (|\widetilde{f}|^2(z) - |\tilde{f}(z)|^2) = 0$ .

PROOF. First it is easy to establish the following two identities:

$$\begin{aligned} |\widetilde{f}|^2(z) - |\tilde{f}(z)|^2 &= \frac{1}{2} \int_{\mathbf{D}} \int_{\mathbf{D}} |f(w) - f(u)|^2 |k_z(w)|^2 |k_z(u)|^2 dA(w) dA(u); \\ \widetilde{f g}(z) - \tilde{f}(z) \tilde{g}(z) &= \frac{1}{2} \int_{\mathbf{D}} \int_{\mathbf{D}} (f(u) - f(w))(g(u) - g(w)) |k_z(u)|^2 |k_z(w)|^2 dA(w) dA(u). \end{aligned}$$

Then the Cauchy-Schwarz inequality gives

$$|\widetilde{f g}(z) - \tilde{f}(z) \tilde{g}(z)|^2 \leq (|\widetilde{f}|^2(z) - |\tilde{f}(z)|^2)(|\widetilde{g}|^2(z) - |\tilde{g}(z)|^2).$$

Now the desired result follows easily from this inequality.

COROLLARY.

$$\tilde{Q} = \left\{ f \in L^\infty(\mathbf{D}) \mid \lim_{|z| \rightarrow 1^-} (|\widetilde{f}|^2(z) - |\tilde{f}(z)|^2) = 0 \right\}$$

is a  $C^*$ -subalgebra of  $L^\infty(\mathbf{D})$ .

PROOF. Let  $f_1, f_2 \in \tilde{Q}$ . Then for any  $g \in L^\infty(\mathbf{D})$ , we have

$$\widetilde{f_i g}(z) - \tilde{f}_i(z) \tilde{g}(z) \rightarrow 0 \quad \text{as } |z| \rightarrow 1^-, \quad i = 1, 2.$$

So

$$\begin{aligned} & (\widetilde{f_1 + f_2} g)(z) - \widetilde{(f_1 + f_2)}(z) \tilde{g}(z) \\ &= \widetilde{f_1 g}(z) - \tilde{f}_1(z) \tilde{g}(z) + \widetilde{f_2 g}(z) - \tilde{f}_2(z) \tilde{g}(z) \rightarrow 0 \end{aligned}$$

as  $|z| \rightarrow 1^-$ , and

$$\begin{aligned} & (\widetilde{f_1 f_2} g)(z) - \widetilde{f_1 f_2}(z) \tilde{g}(z) \\ &= \widetilde{f_1(f_2 g)}(z) - \tilde{f}_1(z) \widetilde{f_2 g}(z) + \tilde{f}_1(z) (\widetilde{f_2 g}(z) - \tilde{f}_2(z) \tilde{g}(z)) \\ &\quad - (\widetilde{f_1 f_2}(z) - \tilde{f}_1(z) \tilde{f}_2(z)) \tilde{g}(z) \rightarrow 0 \quad \text{as } |z| \rightarrow 1^-, \end{aligned}$$

thus  $f_1 + f_2$  and  $f_1 f_2$  are in  $\tilde{Q}$  by the previous proposition.  $\tilde{Q}$  is obviously selfadjoint and closed under scalar multiplication.  $\tilde{Q}$  is norm-closed since  $f \mapsto \tilde{f}$  is continuous. Therefore,  $\tilde{Q}$  is a  $C^*$ -subalgebra of  $L^\infty(\mathbf{D})$ .

Before going on, we have some remarks:

(1)  $k_z \rightarrow 0$  weakly in  $L_a^2(\mathbf{D})$  as  $|z| \rightarrow 1^-$ , so if  $S$  is a compact operator on  $L_a^2(\mathbf{D})$ , then

$$\tilde{S}(z) = \langle S k_z, k_z \rangle \rightarrow 0 \quad \text{as } |z| \rightarrow 1^-.$$

(2) If  $f$  is a polynomial in  $z$ , then it is well known that  $T_{|f|^2} - T_f T_{\bar{f}}$  is compact [9], so

$$|\widetilde{f}|^2(z) - |\tilde{f}(z)|^2 = \widetilde{|f|^2}(z) - |f(z)|^2 = \langle (T_{|f|^2} - T_f T_{\bar{f}}) k_z, k_z \rangle \rightarrow 0 \quad \text{as } |z| \rightarrow 1^-.$$

Propositions 1 and 2 are used here. Thus  $\tilde{Q}$  contains all the polynomials. By the Stone-Weierstrass theorem,  $\tilde{Q}$  contains  $C(\overline{\mathbf{D}})$ , the algebra of all continuous complex-valued functions on the closed disc  $\overline{\mathbf{D}}$ .

(3) Propositions 1–3 extend to general domains in  $C^n$  without change of proofs. However, for an arbitrary domain  $\Omega$  in  $C^n$ , one does not have  $k_z \rightarrow 0$  weakly as  $z$  goes to the boundary of  $\Omega$ .

Let  $\tilde{B} = \{f \in L^\infty(\mathbf{D}) \mid \tilde{f}(z) \rightarrow 0 \text{ as } |z| \rightarrow 1^-\}$ .

PROPOSITION 4.  $\tilde{Q} \cap \tilde{B}$  is a closed selfadjoint ideal of  $\tilde{Q}$ , and the following conditions are all equivalent:

- (1)  $f \in \tilde{Q} \cap \tilde{B}$ ;
- (2)  $|f| \in \tilde{B}$ ;
- (3)  $|f|^2 \in \tilde{B}$ .

PROOF. If  $f \in \tilde{Q} \cap \tilde{B}$  and  $g \in \tilde{Q}$ , then  $\widetilde{f g}(z) = (\widetilde{f g}(z) - \tilde{f}(z) \tilde{g}(z)) + \tilde{f}(z) \tilde{g}(z) \rightarrow 0$  as  $|z| \rightarrow 1$ , so  $f g \in \tilde{Q} \cap \tilde{B}$ . Thus  $\tilde{Q} \cap \tilde{B}$  is an ideal in  $\tilde{Q}$ . The selfadjointness and closedness (in the sup-norm topology) of  $\tilde{Q} \cap \tilde{B}$  are trivial.

Next we prove that (1)–(3) are all equivalent.

(2) $\Leftrightarrow$  (3) follows from the following inequalities:

$$\begin{aligned}\widetilde{|f|^2}(z) &= \int_{\mathbf{D}} |f(w)|^2 |k_z(w)|^2 dA(w) \\ &\leq \|f\|_{\infty} \int_{\mathbf{D}} |f(w)| |k_z(w)|^2 dA(w) = \|f\|_{\infty} \widetilde{|f|}(z); \\ \widetilde{|f|}(z) &= \int_{\mathbf{D}} |f(w)| |k_z(w)|^2 dA(w) \\ &\leq \sqrt{\int_{\mathbf{D}} |f(w)|^2 |k_z(w)|^2 dA(w)} = \sqrt{\widetilde{|f|^2}(z)}.\end{aligned}$$

(3)  $\Rightarrow$  (1). Suppose  $|f|^2 \in \tilde{B}$ , i.e.  $\widetilde{|f|^2}(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ . Then

$$0 \leq \widetilde{|f|^2}(z) - |\tilde{f}(z)|^2 \leq \widetilde{|f|^2}(z) \rightarrow 0 \quad (|z| \rightarrow 1^-),$$

so  $f \in \tilde{Q}$ . But  $|\tilde{f}(z)| \leq \widetilde{|f|}(z) \rightarrow 0$  ( $|z| \rightarrow 1^-$ ), so we have  $f \in \tilde{Q} \cap \tilde{B}$ .

(1)  $\Rightarrow$  (3). If  $f \in \tilde{Q} \cap \tilde{B}$ , then  $\tilde{f}(z) \rightarrow 0$  and  $\widetilde{|f|^2}(z) - |\tilde{f}(z)|^2 \rightarrow 0$  as  $|z| \rightarrow 1^-$ , so  $\widetilde{|f|^2}(z) \rightarrow 0$  ( $|z| \rightarrow 1^-$ ), i.e.  $|f|^2 \in \tilde{B}$ .

In [7], Coburn and Berger pointed out that for Toeplitz operators on  $L_a^2(C^n, d\mu)$ , where  $d\mu$  is the so-called Gaussian measure on  $C^n$ , the Berezin symbol  $\tilde{f}$  is just the solution of the heat equation on  $C^n = \mathbf{R}^{2n}$  at time  $t = \frac{1}{2}$  with initial values  $f$ . We expect that the same thing happens on the unit disc, but no such equation has been found yet.

**3.  $\text{VMO}_{\partial}(\mathbf{D})$ .** For any  $z \in \mathbf{D}$ , let

$$S_z = \{w \in \mathbf{D} \mid |w| \geq |z|, |\arg w - \arg z| \leq 1 - |z|\}.$$

Now we can give the definition of  $\text{VMO}_{\partial}(\mathbf{D})$ .

**DEFINITION.** A function  $f \in L^1(\mathbf{D}, dA)$  is in  $\text{VMO}_{\partial}(\mathbf{D})$  if

$$\lim_{|z| \rightarrow 1^-} \frac{1}{|S_z|} \int_{S_z} \left| f(w) - \frac{1}{|S_z|} \int_{S_z} f(u) dA(u) \right| A(w) = 0,$$

where  $|S_z| = (1 + |z|)(1 - |z|)^2$  is the measure of  $S_z$  and  $\text{VMO}_{\partial}$  stands for “vanishing mean oscillation near the boundary”.

The main theorem of this section is the equality

$$\tilde{Q} = L^{\infty}(\mathbf{D}) \cap \text{VMO}_{\partial}(\mathbf{D}).$$

**LEMMA 1.** *If  $\delta \in (0, 1)$  is close enough to 1, then  $|1 - z| \leq |1 - \delta e^{i(1-\delta)}|$  for all  $z$  in  $S_{\delta}$ .*

**PROOF.** Given  $z \in S_{\delta}$ , write  $z = re^{i\theta}$ . Then

$$\begin{aligned}|1 - \delta e^{i(1-\delta)}|^2 - |1 - z|^2 &= \delta^2 - 2\delta \cos(1 - \delta) - r^2 + 2r \cos \theta \\ &\geq \delta^2 - 2\delta \cos(1 - \delta) - r^2 + 2r \cos(1 - \delta) \\ &= (\delta - r)(\delta + r) - 2(\delta - r) \cos(1 - \delta) \\ &= (r - \delta)(2 \cos(1 - \delta) - \delta - r) \\ &\geq (r - \delta)(2 \cos(1 - \delta) - 1 - \delta).\end{aligned}$$

For  $\delta$  close enough to 1, we have

$$\cos(1 - \delta) \geq 1 - (1 - \delta)^2/2,$$

thus

$$2\cos(1 - \delta) - 1 - \delta \geq 2 - (1 - \delta)^2 - 1 - \delta = 2\delta - \delta^2 - \delta = \delta - \delta^2 > 0.$$

This completes the proof of the lemma.

LEMMA 2. *If  $\delta \in (0, 1)$  is very close to 1, then  $|1 - \delta e^{i(1-\delta)}| \leq 2(1 - \delta)$ .*

PROOF. The equality

$$|1 - \delta e^{i(1-\delta)}|^2 = 1 + \delta^2 - 2\delta \cos(1 - \delta)$$

and L'Hôpital's rule give us the limit

$$\lim_{\delta \rightarrow 1^-} \frac{|1 - \delta e^{i(1-\delta)}|^2}{(1 - \delta)^2} = 2.$$

So for  $\delta$  close enough to 1, we must have

$$|1 - \delta e^{i(1-\delta)}|^2 \leq 4(1 - \delta)^2.$$

LEMMA 3. *For any  $\varepsilon > 0$ , there are  $\sigma$  and  $\delta_0$  in  $(0, 1)$  such that*

$$\int_{\mathbf{D} - S_{\delta e^{i\theta}}} \frac{(1 - |z|^2)^2}{|1 - \bar{z}w|^4} dA(w) < \varepsilon$$

*whenever  $z = |z|e^{i\theta} \in \mathbf{D}$ ,  $0 < 1 - |z| < \delta_0$ , and  $1 - |z| = \sigma(1 - \delta)$ .*

PROOF. Let  $r = |z|$ . A change of variable gives

$$\int_{\mathbf{D} - S_{\delta e^{i\theta}}} \frac{(1 - |z|^2)^2}{|1 - \bar{z}w|^4} dA(w) = \int_{\mathbf{D} - S_\delta} \frac{(1 - r^2)^2}{|1 - rw|^4} dA(w) = \frac{1}{\pi} \text{Area } F(\mathbf{D} - S_\delta),$$

where  $F: \mathbf{D} \rightarrow \mathbf{D}$  is the map defined by  $F(w) = (r - w)/(1 - rw)$ .

Notice that we have used the fact that  $(1 - |z|^2)^2/|1 - \bar{z}w|^4$  is the Jacobian of the map  $w \mapsto (z - w)/(1 - \bar{z}w)$ .

Now suppose that  $\sigma$  is any number in  $(0, 1)$  and  $1 - r = \sigma(1 - \delta)$ . We want to estimate  $|1 - F(w)|$  for all  $w$  in  $\mathbf{D} - S_\delta$ . If  $w \in \mathbf{D} - S_\delta$ , then either  $|w| < \delta$  or  $|w| \geq \delta$  but  $|\arg w| > 1 - \delta$ .

Case 1.  $|w| < \delta$ . In this case, we have

$$\begin{aligned} |1 - F(w)| &= \left| 1 - \frac{r - w}{1 - rw} \right| = \frac{(1 - r)|1 + w|}{|1 - rw|} \\ &\leq \frac{2(1 - r)}{1 - r\delta} = \frac{2\sigma(1 - \delta)}{1 - \delta(1 - \sigma(1 - \delta))} \\ &= \frac{2\sigma(1 - \delta)}{(1 - \delta)(1 + \sigma\delta)} = \frac{2\sigma}{1 + \sigma\delta} \leq 2\sigma. \end{aligned}$$

Case 2.  $|w| \geq \delta$ ,  $|\arg w| > 1 - \delta$ . In this case, we have

$$\begin{aligned} |1 - rw|^2 &\geq 1 + r^2|w|^2 - 2r|w|\cos(1 - \delta) \\ &\geq 1 + r^2|w|^2 - 2r|w|(1 - (1 - \delta)^2/2 + (1 - \delta)^4/24) \\ &= (1 - r|w|)^2 + r|w|(1 - \delta)^2 - r|w|(1 - \delta)^4/12 \\ &\geq (1 - r)^2 + \delta r(1 - \delta)^2 - \frac{1}{12}(1 - \delta)^4 \\ &= (1 - \delta)^2(\sigma^2 + \delta r - \frac{1}{12}(1 - \delta)^2), \end{aligned}$$

thus

$$\begin{aligned} |1 - F(w)| &= \frac{(1-r)|1+w|}{|1-rw|} \leq \frac{2(1-\delta)\sigma}{|1-rw|} \\ &\leq \frac{2\sigma(1-\delta)}{(1-\delta)\sqrt{\sigma^2 + \delta r - \frac{1}{12}(1-\delta)^2}} = \frac{2\sigma}{\sqrt{\sigma^2 + \delta r - \frac{1}{12}(1-\delta)^2}}. \end{aligned}$$

Since we are only concerned with  $\delta$  close to 1, we may assume  $\delta > \frac{1}{2}$  ( $\Rightarrow r > \delta > \frac{1}{2}$ ). Thus

$$\sigma^2 + \delta r - \frac{1}{12}(1-\delta)^2 > \frac{1}{2} \cdot \frac{1}{2} - \frac{1}{12}(1-\frac{1}{2})^2 > \frac{1}{9},$$

which gives  $|1 - F(w)| \leq 6\sigma$ .

Combining Cases 1 and 2, we have proved that  $|1 - F(w)| \leq 6\sigma$  whenever  $w \in \mathbf{D} - S_\delta$ ,  $1 - r = \sigma(1 - \delta)$  ( $\delta > \frac{1}{2}$ ). Therefore, if  $\sigma$  is small enough,  $F(\mathbf{D} - S_\delta)$  is concentrated around 1, so Area  $F(\mathbf{D} - S_\delta)$  is small. This completes the proof of Lemma 3. [Note:  $\delta > \frac{1}{2} \Rightarrow 1 - r = \sigma(1 - \delta) < \frac{1}{2}\sigma$ , so  $\delta_0$  can be chosen to be  $\frac{1}{2}\sigma$ .]

LEMMA 4. For  $f \in L^\infty(\mathbf{D})$ , we have

$$(1) \quad \frac{1}{|S_z|} \int_{S_z} \left| f(w) - \frac{1}{|S_z|} \int_{S_z} f(u) dA(u) \right| dA(w) \leq \sqrt{\frac{1}{|S_z|^2} \int_{S_z} \int_{S_z} |f(w) - f(u)|^2 dA(w) dA(u);}$$

$$(2) \quad \frac{1}{|S_z|^2} \int_{S_z} \int_{S_z} |f(w) - f(u)|^2 dA(w) dA(u) \leq 4\|f\|_\infty \frac{1}{|S_z|} \int_{S_z} \left| f(w) - \frac{1}{|S_z|} \int_{S_z} f(u) dA(u) \right| dA(w).$$

PROOF. (1) follows from the Cauchy-Schwarz inequality, while (2) follows from the following identity:

$$\begin{aligned} \frac{1}{|S_z|^2} \int_{S_z} \int_{S_z} |f(w) - f(u)|^2 dA(w) dA(u) &= \frac{2}{|S_z|} \int_{S_z} \bar{f}(w) \left( f(w) - \frac{1}{|S_z|} \int_{S_z} f(u) dA(u) \right) dA(w) \\ &\quad + \frac{2}{|S_z|} \int_{S_z} \bar{f}(w) dA(w) \cdot \frac{1}{|S_z|} \int_{S_z} \left( f(w) - \frac{1}{|S_z|} \int_{S_z} f(u) dA(u) \right) dA(w). \end{aligned}$$

COROLLARY. For  $f \in L^\infty(\mathbf{D})$ ,  $f \in \text{VMO}_\partial(\mathbf{D})$  if and only if

$$\lim_{|z| \rightarrow 1^-} \frac{1}{|S_z|^2} \int_{S_z} \int_{S_z} |f(w) - f(u)|^2 dA(w) dA(u) = 0.$$

THEOREM 1.  $\tilde{\mathcal{Q}} = \text{VMO}_\partial(\mathbf{D}) \cap L^\infty(\mathbf{D})$ .

PROOF. First we prove the inclusion  $\tilde{\mathcal{Q}} \subset \text{VMO}_\partial(\mathbf{D})$ . Given  $z = |z|e^{i\theta} \in \mathbf{D}$  and  $f \in \tilde{\mathcal{Q}}$ ,

$$\begin{aligned} |\widetilde{f}^2(z) - \tilde{f}(z)|^2 &= \frac{1}{2}(1 - |z|^2)^4 \int_{\mathbf{D}} \int_{\mathbf{D}} \frac{|f(w) - f(u)|^2}{|1 - \bar{z}w|^4 |1 - \bar{z}u|^4} dA(w) dA(u) \\ &\geq \frac{1}{2}(1 - |z|^2)^4 \int_{S_z} \int_{S_z} \frac{|f(w) - f(u)|^2}{|1 - \bar{z}w|^4 |1 - \bar{z}u|^4} dA(w) dA(u). \end{aligned}$$

For  $w, u \in S_z$ , we have  $\bar{z}w, \bar{z}u \in S_{|z|^2}$ . Thus if  $|z|$  is close enough to 1,

$$|1 - \bar{z}w| \leq |1 - |z|^2 e^{i(1-|z|^2)}| \leq 2(1 - |z|^2)$$

by Lemmas 1 and 2. Similarly,  $|1 - \bar{z}u| \leq 2(1 - |z|^2)$ . So

$$|\widetilde{|f|^2}(z) - \tilde{f}(z)|^2 \geq \frac{1}{29} \cdot \frac{1}{(1 - |z|^2)^4} \int_{S_z} \int_{S_z} |f(w) - f(u)|^2 dA(w) dA(u).$$

Notice that

$$|S_z|^2 = (1 + |z|)^2(1 - |z|)^4 \sim (1 - |z|^2)^4.$$

So we have

$$|\widetilde{|f|^2}(z) - \tilde{f}(z)|^2 \rightarrow 0 \Rightarrow \lim_{|z| \rightarrow 1} \frac{1}{|S_z|^2} \int_{S_z} \int_{S_z} |f(w) - f(u)|^2 dA(w) dA(u) = 0,$$

which means  $f \in \tilde{Q} \Rightarrow f \in \text{VMO}_{\partial}(\mathbf{D})$  by Lemma 4.

Next we prove the other inclusion:

$$\text{VMO}_{\partial}(\mathbf{D}) \cap L^{\infty}(\mathbf{D}) \subset \tilde{Q}.$$

Given  $z = |z|e^{i\theta} \in \mathbf{D}$ ,  $\delta \in (0, 1)$ , and  $f \in \text{VMO}_{\partial}(\mathbf{D}) \cap L^{\infty}(\mathbf{D})$ ,

$$\begin{aligned} |\widetilde{|f|^2}(z) - \tilde{f}(z)|^2 &= \frac{1}{2} \int_{\mathbf{D}} \int_{\mathbf{D}} \frac{(1 - |z|^2)^4 |f(w) - f(u)|^2}{|1 - z\bar{w}|^4 |1 - z\bar{u}|^4} dA(w) dA(u) \\ &= \frac{1}{2} (1 - |z|^2)^4 \int_{S_{\delta e^{i\theta}}} \int_{S_{\delta e^{i\theta}}} \frac{|f(w) - f(u)|^2}{|1 - \bar{z}w|^4 |1 - \bar{z}u|^4} dA(w) dA(u) \\ &\quad + \frac{1}{2} (1 - |z|^2)^4 \left[ \int_{\mathbf{D} - S_{\delta e^{i\theta}}} \int_{\mathbf{D}} + \int_{\mathbf{D}} \int_{\mathbf{D} - S_{\delta e^{i\theta}}} - \int_{\mathbf{D} - S_{\delta e^{i\theta}}} \int_{\mathbf{D} - S_{\delta e^{i\theta}}} \right] \\ &\quad \cdot \frac{|f(w) - f(u)|^2}{|1 - \bar{z}w|^4 |1 - \bar{z}u|^4} dA(w) dA(u) \\ &\leq \frac{1}{2} (1 - |z|^2)^4 \int_{S_{\delta e^{i\theta}}} \int_{S_{\delta e^{i\theta}}} \frac{|f(w) - f(u)|^2}{(1 - |z|)^4 (1 - |z|)^4} dA(w) dA(u) \\ &\quad + \frac{1}{2} (1 - |z|^2)^4 \left[ \int_{\mathbf{D}} \int_{\mathbf{D} - S_{\delta e^{i\theta}}} + \int_{\mathbf{D} - S_{\delta e^{i\theta}}} \int_{\mathbf{D}} \right] \\ &\quad \cdot \frac{4\|f\|_{\infty}^2}{|1 - \bar{z}w|^4 |1 - \bar{z}u|^4} dA(w) dA(u) \\ &= \frac{1}{2} \left( \frac{1 + |z|}{1 - |z|} \right)^4 \int_{S_{\delta e^{i\theta}}} \int_{S_{\delta e^{i\theta}}} |f(w) - f(u)|^2 dA(u) dA(w) \\ &\quad + 4\|f\|_{\infty}^2 (1 - |z|^2)^2 \int_{\mathbf{D} - S_{\delta e^{i\theta}}} \frac{dA(w)}{|1 - \bar{z}w|^4} \\ &= \frac{(1 + |z|)^4 (1 + \delta)^2}{2} \left( \frac{1 - \delta}{1 - |z|} \right)^4 \frac{1}{|S_{\delta e^{i\theta}}|^2} \\ &\quad \cdot \int_{S_{\delta e^{i\theta}}} \int_{S_{\delta e^{i\theta}}} |f(w) - f(u)|^2 dA(w) dA(u) \\ &\quad + 4\|f\|_{\infty} \int_{\mathbf{D} - S_{\delta e^{i\theta}}} \frac{(1 - |z|^2)^2}{|1 - \bar{z}w|^4} dA(w). \end{aligned}$$



Now given any  $\varepsilon > 0$ , by Lemma 3, there exist  $\sigma \in (0, 1)$  and  $\delta_0 \in (0, 1)$  such that

$$\int_{\mathbf{D}-S_{\delta e^{i\theta}}} \frac{(1-|z|^2)^2}{|1-\bar{z}w|^4} dA(w) < \varepsilon$$

whenever  $0 < 1 - |z| < \delta_0$ ,  $1 - |z| = (1 - \delta)\sigma$ . Thus

$$\begin{aligned} & |\widetilde{f}|^2(z) - |\tilde{f}(z)|^2 \\ & \leq 4\|f\|_\infty^2 \varepsilon + \frac{(1+|z|)^4(1+\delta)^2}{2\sigma^4} \frac{1}{|S_{\delta e^{i\theta}}|^2} \int_{S_{\delta e^{i\theta}}} \int_{S_{\delta e^{i\theta}}} |f(w) - f(u)|^2 dA(w) dA(u) \end{aligned}$$

whenever  $0 < 1 - |z| < \delta_0$  and  $1 - |z| = (1 - \delta)\sigma$ .

Now using Lemma 4 we get

$$\overline{\lim}_{|z| \rightarrow 1^-} (|\widetilde{f}|^2(z) - |\tilde{f}(z)|^2) \leq 4\|f\|_\infty^2 \varepsilon.$$

(Note:  $|z| \rightarrow 1 \Rightarrow \delta \rightarrow 1$ ,  $\sigma$  is fixed.) Since  $\varepsilon$  is arbitrary, we have

$$\lim_{|z| \rightarrow 1^-} (|\widetilde{f}|^2(z) - |\tilde{f}(z)|^2) = 0,$$

and so  $f \in \tilde{Q}$ . This completes the proof of Theorem 1.

**THEOREM 2.** For  $f \in L^\infty(\mathbf{D}, dA)$ ,  $f \in \tilde{Q} \cap \tilde{B}$  iff

$$(1) \quad \lim_{|z| \rightarrow 1^-} \frac{1}{|S_z|} \int_{S_z} |f(w)| dA(w) = 0.$$

**PROOF.** Suppose that (1) holds. Consider

$$|\widetilde{f}|(z) = (1 - |z|^2)^2 \int_{\mathbf{D}} \frac{|f(w)|}{|1 - z\bar{w}|^4} dA(w), \quad z = |z|e^{i\theta}.$$

Given  $\varepsilon > 0$ , by Lemma 3 there are  $\sigma \in (0, 1)$  and  $\delta_0 \in (0, 1)$  such that

$$\int_{\mathbf{D}-S_{\delta e^{i\theta}}} \frac{(1-|z|^2)^2}{|1-\bar{z}w|^4} dA(w) < \varepsilon$$

whenever  $0 < 1 - |z| < \delta_0$  and  $1 - |z| = (1 - \delta)\sigma$ , so

$$\begin{aligned} |\widetilde{f}|(z) & \leq \|f\|_\infty \varepsilon + (1 - |z|^2)^2 \int_{S_{\delta e^{i\theta}}} \frac{|f(w)|}{|1 - \bar{z}w|^4} dA(w) \\ & \leq \|f\|_\infty \varepsilon + \frac{(1 - |z|^2)^2}{(1 - |z|)^4} \int_{S_{\delta e^{i\theta}}} |f(w)| dA(w) \end{aligned}$$

whenever  $0 < 1 - |z| < \delta_0$  and  $1 - |z| = (1 - \delta)\sigma$ . Since

$$\frac{(1 - |z|^2)^2}{(1 - |z|)^4} = \frac{(1 + |z|)^2}{(1 - |z|)^2} = \frac{(1 + |z|)^2}{\sigma^2(1 - \delta)^2} \leq \frac{4}{\sigma^2(1 - \delta)^2}$$

and  $|S_{\delta e^{i\theta}}| = (1 + \delta)(1 - \delta)^2$ , thus

$$(2) \quad |\widetilde{f}|(z) \leq \|f\|_\infty \varepsilon + \frac{4(1 + \delta)}{\sigma^2 |S_{\delta e^{i\theta}}|} \int_{S_{\delta e^{i\theta}}} |f(w)| dA(w)$$

whenever  $0 < 1 - |z| < \delta_0$  and  $1 - |z| = \sigma(1 - \delta)$ . Let  $|z| \rightarrow 1^-$  in (2). Then

$$\lim_{|z| \rightarrow 1^-} \widetilde{|f|}(z) \leq \|f\|_\infty \varepsilon.$$

Since  $\varepsilon$  is arbitrary, we have  $|f| \in \tilde{B}$ , thus  $f \in \tilde{Q} \cap \tilde{B}$ .

Conversely, if  $f \in \tilde{Q} \cap \tilde{B}$ , then  $|f| \in \tilde{B}$ . But

$$\begin{aligned} \widetilde{|f|}(z) &= (1 - |z|^2)^2 \int_{\mathbf{D}} \frac{|f(w)|}{|1 - \bar{z}w|^4} dA(w) \\ &\geq (1 - |z|^2)^2 \int_{S_z} \frac{|f(w)|}{|1 - \bar{z}w|^4} dA(w), \end{aligned}$$

and  $|1 - \bar{z}w| \leq 2(1 - |z|^2)$  for  $|z|$  close enough to 1 and  $w \in S_z$  by Lemmas 1 and 2. So there is  $\delta \in (0, 1)$  such that

$$(3) \quad \widetilde{|f|}(z) \geq \frac{1}{2^4(1 - |z|^2)^2} \int_{S_z} |f(w)| dA(w)$$

for all  $\delta < |z| < 1$ . Notice that  $(1 - |z|^2)^2 = (1 + |z|)|S_z|$ . So (3) says that  $|\widetilde{|f|}(z)| \rightarrow 0$  ( $|z| \rightarrow 1^-$ ) implies

$$\frac{1}{|S_z|} \int_{S_z} |f(w)| dA(w) \rightarrow 0 \quad (|z| \rightarrow 1^-).$$

This completes the proof of Theorem 2.

**4. ESV( $\mathbf{D}$ ).** Let  $f$  be in  $L^\infty(\mathbf{D}, dA)$ . We say  $f$  is in  $\text{ESV}(\mathbf{D})$  if for any  $\varepsilon > 0$  and  $\sigma \in (0, 1)$ , there is  $\delta_0 > 0$  such that  $|f(z) - f(w)| < \varepsilon$  whenever  $|z|, |w| \in [1 - \delta, 1 - \sigma\delta]$ ,  $\delta < \delta_0$  and  $|\arg z - \arg w| \leq \max(1 - |z|, 1 - |w|)$ .

Recall that for  $z \in \mathbf{D}$ ,

$$S_z = \{w \in \mathbf{D} \mid |w| \geq |z|, |\arg z - \arg w| \leq 1 - |z|\}.$$

Then it is clear that  $f \in \text{ESV}(\mathbf{D})$  if and only if for any  $\varepsilon > 0$ ,  $\sigma \in (0, 1)$ , there exists  $\delta_0 > 0$  such that  $|f(z) - f(w)| < \varepsilon$  whenever  $w \in S_z$  and  $|z|, |w| \in [1 - \delta, 1 - \sigma\delta]$ ,  $\delta < \delta_0$ .

It is also easy to see that  $f \in \text{ESV}(\mathbf{D})$  if and only if for any  $\varepsilon > 0$ ,  $\sigma \in (0, 1)$  and for any positive number  $k$ , there exists a positive number  $\delta_0$  such that  $|f(z) - f(w)| < \varepsilon$  whenever  $|z|, |w| \in [1 - \delta, 1 - \sigma\delta]$ ,  $\delta < \delta_0$ , and  $|\arg z - \arg w| \leq k \max(1 - |z|, 1 - |w|)$ . In particular, if

$$S'_z = \{w \in \mathbf{D} \mid |w| \geq |z|, |\arg w - \arg z| \leq (1 - |z|)/2\},$$

then  $f \in \text{ESV}(\mathbf{D})$  if and only if for any  $\varepsilon > 0$ , and  $\sigma \in (0, 1)$ , there exists  $\delta_0 > 0$  such that  $|f(z) - f(w)| < \varepsilon$  whenever  $w \in S'_z$  and  $|z|, |w| \in [1 - \delta, 1 - \sigma\delta]$ ,  $\delta < \delta_0$ .

The notation  $\text{ESV}$  is borrowed from [6] and [7], where it stands for “eventually slowly varying”. In [22] and [23], Sarason also introduced the concept of  $\text{ESV}$  in a special case, but used a different notation, namely,  $\text{SO}$ , standing for “slowly oscillating”.  $\text{ESV}$  is indeed an oscillation condition. It is stronger than the mean-oscillation condition as shown in Theorem 5.

$\text{ESV}(\mathbf{D})$  is a relatively large class of functions in  $L^\infty(\mathbf{D}, dA)$ . It is easy to see that  $C(\overline{\mathbf{D}})$  is strictly contained in it.

Let  $f \in L^\infty(\mathbf{D})$  and  $z \in \mathbf{D}$ , and define

$$\hat{f}(z) = \frac{1}{|S'_z|} \int_{S'_z} f(w) dA(w).$$

REMARK. By the corollary to Lemma 4, it is easy to see that  $f \in \tilde{Q}$  if and only if  $|\hat{f}|^2(z) - |\hat{f}(z)|^2 \rightarrow 0$  as  $|z| \rightarrow 1^-$ .

THEOREM 3. *If  $f \in \tilde{Q} = \text{VMO}_\partial(\mathbf{D}) \cap L^\infty(\mathbf{D})$ , then  $\hat{f} \in \text{ESV}(\mathbf{D})$ .*

PROOF. Given  $\varepsilon > 0$ ,  $\sigma \in (0, 1)$ , choose  $\delta_0 > 0$  such that

$$\frac{1}{|S_z|^2} \int_{S_z} \int_{S_z} |f(w) - f(u)| dA(w) dA(u) < \frac{\varepsilon \sigma^2}{8}$$

whenever  $0 < 1 - |z| < \delta_0$ .

Now if  $1 - \delta \leq |z_1| \leq |z_2| \leq 1 - \sigma\delta$ ,  $\delta < \delta_0$ , and

$$|\arg z_1 - \arg z_2| \leq \frac{1}{2} \max(1 - |z_1|, 1 - |z_2|) = \frac{1}{2}(1 - |z_1|),$$

then  $S'_{z_2} \subset S_{z_1}$ , so we have

$$\begin{aligned} |\hat{f}(z_1) - \hat{f}(z_2)| &= \left| \frac{1}{|S'_{z_1}| |S'_{z_2}|} \int_{S'_{z_1}} \int_{S'_{z_2}} (f(u) - f(w)) dA(u) dA(w) \right| \\ &\leq \frac{1}{|S'_{z_1}| |S'_{z_2}|} \int_{S'_{z_1}} \int_{S'_{z_2}} |f(u) - f(w)| dA(u) dA(w) \\ &\leq \frac{1}{|S'_{z_1}| |S'_{z_2}|} \int_{S_{z_1}} \int_{S_{z_1}} |f(u) - f(w)| dA(u) dA(w) \\ &= \frac{4}{|S_{z_1}| |S'_{z_2}|} \int_{S_{z_1}} \int_{S_{z_1}} |f(u) - f(w)| dA(u) dA(w). \end{aligned}$$

But

$$\frac{|S_{z_1}|}{|S_{z_2}|} = \frac{(1 + |z_1|)(1 - |z_1|)^2}{(1 + |z_2|)(1 - |z_2|)^2} \leq 2 \left( \frac{1 - |z_1|}{1 - |z_2|} \right)^2 \leq \frac{2}{\sigma^2}.$$

(Note:  $1 - \delta \leq |z_1| \leq |z_2| \leq 1 - \sigma\delta \Rightarrow (1 - |z_1|)/(1 - |z_2|) \leq 1/\sigma$ .) So we have

$$|\hat{f}(z_1) - \hat{f}(z_2)| \leq \frac{8}{\sigma^2} \cdot \frac{1}{|S_{z_1}|^2} \int_{S_{z_1}} \int_{S_{z_1}} |f(u) - f(w)| dA(u) dA(w) \leq \frac{8}{\sigma^2} \cdot \frac{\sigma^2 \varepsilon}{8} = \varepsilon.$$

Thus  $\hat{f}$  is in  $\text{ESV}(\mathbf{D})$ .

LEMMA 5. *If  $f \in \text{ESV}(\mathbf{D})$ , then*

$$\lim_{|z| \rightarrow 1^-} \frac{1}{|S_z|} \int_{S_z} |f(z) - f(u)| dA(u) = 0.$$

PROOF. For  $0 < |z| < \delta < 1$ , let

$$A_1 = \{w \in S_z \mid |w| \leq \delta\},$$

$$A_2 = \{w \in S_z \mid |w| \geq \delta\}.$$

Then  $S_z = A_1 \cup A_2$  and

$$\begin{aligned} & \frac{1}{|S_z|} \int_{S_z} |f(z) - f(u)| dA(u) \\ & \leq \frac{1}{|A_1|} \int_{A_1} |f(z) - f(u)| dA(u) + \frac{1}{|S_z|} \int_{A_2} |f(z) - f(u)| dA(u). \end{aligned}$$

Given any  $\varepsilon \in (0, 1)$ , choose  $\delta_0 \in (0, 1)$  such that

$$(*) \quad |f(r_1 e^{i\theta_1}) - f(r_2 e^{i\theta_2})| < \varepsilon$$

whenever  $r_1, r_2 \in [1 - r, 1 - \varepsilon r]$ ,  $r \leq \delta_0$ , and  $|\theta_1 - \theta_2| \leq \max(1 - r_1, 1 - r_2)$ .

Now let  $|z| > 1 - \delta_0$  and  $\delta = 1 - \varepsilon(1 - |z|)$ . Then  $|z| < \delta < 1$ . For any  $u \in A_1$ ,  $|f(z) - f(u)| < \varepsilon$  by (\*). So for each  $|z| > 1 - \delta_0$ , we have

$$\begin{aligned} \frac{1}{|S_z|} \int_{S_z} |f(z) - f(u)| dA(u) & \leq \varepsilon + \frac{1}{|S_z|} \int_{A_2} |f(z) - f(u)| dA(u) \\ & \leq \varepsilon + 2|A_2|/|S_z| \|f\|_\infty. \end{aligned}$$

Since

$$\begin{aligned} |S_z| & = (1 + |z|)(1 - |z|)^2, \\ |A_2| & = (1 - |z|)(1 - \delta^2) = \varepsilon(1 - |z|)^2(2 - \varepsilon(1 - |z|)), \\ \frac{|A_2|}{|S_z|} & \leq \frac{2\varepsilon(1 - |z|)^2}{(1 + |z|)(1 - |z|)^2} \leq 2\varepsilon, \end{aligned}$$

$|z| > 1 - \delta_0$  implies

$$\frac{1}{|S_z|} \int_{S_z} |f(z) - f(u)| dA(u) \leq (1 + 4\|f\|_\infty)\varepsilon.$$

This completes the proof of Lemma 5.

**THEOREM 4.** *If  $f \in \tilde{Q} = \text{VMO}_\partial(\mathbf{D}) \cap L^\infty(\mathbf{D})$ , then  $f - \hat{f} \in \tilde{Q} \cap \tilde{B}$ .*

**PROOF.** Let  $g = f - \hat{f}$ . Then

$$\begin{aligned} \frac{1}{|S_z|} \int_{S_z} |g(w)| dA(w) & \leq \frac{1}{|S_z|} \int_{S_z} |f(w) - \hat{f}(z)| dA(w) \\ & \quad + \frac{1}{|S_z|} \int_{S_z} |\hat{f}(z) - \hat{f}(w)| dA(w). \end{aligned}$$

The second term goes to 0 as  $|z| \rightarrow 1^-$  by Lemma 5 and Theorem 3. Next we estimate the first term.

$$\begin{aligned} & \frac{1}{|S_z|} \int_{S_z} |f(w) - \hat{f}(z)| dA(w) \\ & = \frac{1}{|S_z|} \int_{S_z} \left| \frac{1}{|S'_z|} \int_{S'_z} (f(w) - f(u)) dA(u) \right| dA(w) \\ & \leq \frac{1}{|S_z||S'_z|} \int_{S_z} \int_{S'_z} |f(w) - f(u)| dA(u) \\ & \leq \frac{2}{|S_z|^2} \int_{S_z} \int_{S_z} |f(w) - f(u)| dA(w) dA(u), \end{aligned}$$

this goes to 0 as  $|z| \rightarrow 1$  since  $f$  is in  $\text{VMO}_\partial(\mathbf{D})$ .

LEMMA 6.  $\text{ESV}(\mathbf{D}) \subset \tilde{Q} = \text{VMO}_{\partial}(\mathbf{D}) \cap L^{\infty}(\mathbf{D})$ .

PROOF. Let  $f \in \text{ESV}(\mathbf{D})$ . Then  $|f|^2 \in \text{ESV}(\mathbf{D})$  since  $\text{ESV}(\mathbf{D})$  is a  $C^*$ -subalgebra of  $L^{\infty}(\mathbf{D})$ . By the corollary to Lemma 5, we have  $f(z) - \hat{f}(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ ,  $|\hat{f}|^2(z) - |f|^2(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ . Moreover,

$$\begin{aligned} \left| |\hat{f}(z)|^2 - |f(z)|^2 \right| &= (|\hat{f}(z)| + |f(z)|) \left| |\hat{f}(z)| - |f(z)| \right| \\ &\leq 2\|f\|_{\infty} |\hat{f}(z) - f(z)| \rightarrow 0 \quad \text{as } |z| \rightarrow 1^-. \end{aligned}$$

So

$$|\widehat{|f|^2}(z) - |\hat{f}(z)|^2| = |\widehat{|f|^2}(z) - |f(z)|^2| + (|f(z)|^2 - |\hat{f}(z)|^2) \rightarrow 0 \quad \text{as } |z| \rightarrow 1^-,$$

and we have  $f \in \text{VMO}_{\partial}(\mathbf{D})$ . Since  $\text{ESV}(\mathbf{D}) \subset L^{\infty}(\mathbf{D})$ ,  $f \in \text{VMO}_{\partial}(\mathbf{D}) \cap L^{\infty}(\mathbf{D}) = \tilde{Q}$ .

Summarizing the above results, we have proved the following theorem.

THEOREM 5.  $\tilde{Q} = \text{ESV}(\mathbf{D}) + \tilde{Q} \cap \tilde{B}$ . A decomposition is given by  $f = \hat{f} + (f - \hat{f})$ .

COROLLARY 1.

$$\text{ESV}(\mathbf{D}) \cap \tilde{B} = \{f \in L^{\infty}(\mathbf{D}, dA) \mid f(z) \rightarrow 0 \text{ as } |z| \rightarrow 1^-\}.$$

PROOF. If  $f(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ , then obviously  $f \in \text{ESV}(\mathbf{D})$  (just by definition). On the other hand,

$$\tilde{f}(z) = \int_{\mathbf{D}} f \left( \frac{z-w}{1-\bar{z}w} \right) dA(w) \rightarrow 0 \quad (|z| \rightarrow 1^-)$$

by the dominated convergence theorem. So  $f \in \tilde{B}$ , and hence  $f \in \text{ESV}(\mathbf{D}) \cap \tilde{B}$ .

Conversely, if  $f \in \text{ESV}(\mathbf{D}) \cap \tilde{B}$ , then  $f(z) - \hat{f}(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$  and

$$\begin{aligned} |\hat{f}(z)| &\leq \frac{1}{|S'_z|} \int_{S'_z} |f(w)| dA(w) \\ &\leq \frac{2}{|S_z|} \int_{S_z} |f(w)| dA(w) \rightarrow 0 \quad \text{as } |z| \rightarrow 1^- \end{aligned}$$

since  $f \in \tilde{Q} \cap \tilde{B}$ . Therefore,  $f(z) = (f(z) - \hat{f}(z)) + \hat{f}(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ .

COROLLARY 2. For  $f \in \tilde{Q} = \text{VMO}_{\partial}(\mathbf{D}) \cap L^{\infty}(\mathbf{D})$ ,  $f \in \text{ESV}(\mathbf{D})$  iff  $f(z) - \hat{f}(z) \rightarrow 0$  ( $|z| \rightarrow 1^-$ ).

PROOF. The “only if” part follows from the corollary to Lemma 5. If  $f(z) - \hat{f}(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ , then  $f - \hat{f} \in \text{ESV}(\mathbf{D})$ . So  $f = (f - \hat{f}) + \hat{f} \in \text{ESV}(\mathbf{D})$ .

THEOREM 6. If  $f \in \text{ESV}(\mathbf{D})$ , then  $f(z) - \tilde{f}(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ .

PROOF.

$$\begin{aligned} f(z) - \tilde{f}(z) &= (1 - |z|^2)^2 \int_{\mathbf{D}} \frac{f(z) - f(w)}{|1 - \bar{z}w|^4} dA(w), \\ |f(z) - \tilde{f}(z)| &\leq (1 - |z|^2)^2 \int_{\mathbf{D}} \frac{|f(z) - f(w)|}{|1 - \bar{z}w|^4} dA(w). \end{aligned}$$

Given  $\varepsilon > 0$ , by Lemma 3, there are  $\sigma$  and  $\delta_0$  in  $(0,1)$  such that

$$\int_{\mathbf{D}-S_{\delta_0 e^{i\theta}}} \frac{(1-|z|^2)^2}{|1-\bar{z}w|^4} dA(w) < \varepsilon \quad (z = |z|e^{i\theta})$$

whenever  $0 < 1-|z| < \delta_0$  and  $1-|z| = (1-\delta)\sigma$ . But  $f \in \text{ESV}(\mathbf{D})$ , so there exists  $\delta_1 \in (0,1)$  such that

$$(4) \quad |f(r_1 e^{i\theta_1}) - f(r_2 e^{i\theta_2})| < \varepsilon \sigma^2$$

whenever  $r_1, r_2 \in [1-\lambda, 1-\sigma\lambda]$ ,  $\lambda < \delta_1$ , and  $|\theta_1 - \theta_2| \leq \max(1-r_1, 1-r_2)$ .

Let  $\delta_2 = \min(\delta_0, \delta_1)$ . Then for  $0 < 1-|z| < \delta_2$  and  $1-|z| = \sigma(1-\delta)$ , we have

$$\begin{aligned} |f(z) - \tilde{f}(z)| &\leq 2\|f\|_\infty \int_{\mathbf{D}-S_{\delta_0 e^{i\theta}}} \frac{(1-|z|^2)^2}{|1-\bar{z}w|^4} dA(w) \\ &\quad + (1-|z|^2)^2 \int_{S_{\delta_0 e^{i\theta}}} \frac{|f(z) - f(w)|}{|1-\bar{z}w|^4} dA(w) \\ &\leq 2\|f\|_\infty \varepsilon + \frac{(1-|z|^2)^2}{(1-|z|)^4} \int_{S_{\delta_0 e^{i\theta}}} |f(z) - f(w)| dA(w) \\ &\leq 2\|f\|_\infty \varepsilon + \frac{4}{(1-|z|)^2} \int_{S_{\delta_0 e^{i\theta}}} |f(w) - f(\delta e^{i\theta})| dA(w) \\ &\quad + \frac{4}{(1-|z|)^2} \int_{S_{\delta_0 e^{i\theta}}} |f(z) - f(\delta e^{i\theta})| dA(w). \end{aligned}$$

Since  $|f(z) - f(\delta e^{i\theta})| < \varepsilon \sigma^2$  by (4), and

$$\frac{|S_{\delta_0 e^{i\theta}}|}{(1-|z|)^2} = \frac{(1+\delta)(1-\delta)^2}{(1-|z|)^2} = \frac{1+\delta}{\sigma^2} \leq \frac{2}{\sigma^2},$$

we must have

$$|f(z) - \tilde{f}(z)| \leq 2\|f\|_\infty \varepsilon + \frac{\delta}{\sigma^2} \cdot \frac{1}{|S_{\delta_0 e^{i\theta}}|} \int_{S_{\delta_0 e^{i\theta}}} |f(w) - f(\delta e^{i\theta})| dA(w) + \delta \varepsilon$$

( $0 < 1-|z| < \delta_2$ ).

Because

$$\lim_{|z| \rightarrow 1^-} \frac{1}{|S_{\delta_0 e^{i\theta}}|} \int_{S_{\delta_0 e^{i\theta}}} |f(w) - f(\delta e^{i\theta})| dA(w) = 0$$

by Lemma 5, we have

$$\overline{\lim}_{|z| \rightarrow 1^-} |f(z) - \tilde{f}(z)| \leq 2\|f\|_\infty \varepsilon + \delta \varepsilon.$$

This completes the proof of  $\lim_{|z| \rightarrow 1^-} (f(z) - \tilde{f}(z)) = 0$  for any  $f \in \text{ESV}(\mathbf{D})$ .

**THEOREM 7.** For  $f \in \tilde{Q} = \text{VMO}_\partial(\mathbf{D}) \cap L^\infty(\mathbf{D}, dA)$ , we have

- (1)  $\tilde{f} \in \text{ESV}(\mathbf{D})$ ;
- (2)  $f - \tilde{f} \in \tilde{Q} \cap \tilde{B}$ .

**PROOF.** (1). By Theorem 5,  $f = f_1 + f_2$ , where  $f_1 \in \text{ESV}(\mathbf{D})$  and  $f_2 \in \tilde{Q} \cap \tilde{B}$ . Taking the Berezin symbol of  $f$ , we get  $\tilde{f} = \tilde{f}_1 + \tilde{f}_2$ .

Now  $f_2 \in \tilde{Q} \cap \tilde{B}$  implies  $\tilde{f}_2(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ , so  $\tilde{f}_2 \in \text{ESV}(\mathbf{D})$ .  $f_1 \in \text{ESV}(\mathbf{D})$  implies  $f_1(z) - \tilde{f}_1(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ , so  $f_1 - \tilde{f}_1 \in \text{ESV}$ . Thus

$$\tilde{f}_1 = (\tilde{f}_1 - f_1) + f_1 \in \text{ESV}(\mathbf{D}).$$

Hence

$$\tilde{f} = \tilde{f}_1 + \tilde{f}_2 \in \text{ESV}(\mathbf{D}).$$

(2).  $f - \tilde{f} = f_1 + f_2 - \tilde{f}_1 - \tilde{f}_2 = (f_1 - \tilde{f}_1) + f_2 - \tilde{f}_2$ .  $f_1(z) - \tilde{f}_1(z) \rightarrow 0$  (as  $|z| \rightarrow 1^-$ ) implies  $f_1 - \tilde{f}_1 \in \tilde{Q} \cap \tilde{B}$ .  $\tilde{f}_2(z) \rightarrow 0$  (as  $|z| \rightarrow 1^-$ ) implies  $\tilde{f}_2 \in \tilde{Q} \cap \tilde{B}$ . So  $f - \tilde{f} \in \tilde{Q} \cap \tilde{B}$ .

**COROLLARY 1.** *If  $f \in \tilde{Q}$ , then  $\tilde{f}(z) - \hat{f}(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ .*

**PROOF.** If  $f \in \tilde{Q}$ , then  $\tilde{f}$  and  $\hat{f}$  are  $\text{ESV}(\mathbf{D})$  by Theorems 3 and 7, so  $\tilde{f} - \hat{f}$  are  $\text{ESV}(\mathbf{D})$ . On the other hand,

$$\tilde{f} - \hat{f} = (\tilde{f} - f) + (f - \hat{f}) \in \tilde{Q} \cap \tilde{B}$$

by Theorems 4 and 7. Therefore,

$$\tilde{f} - \hat{f} \in \text{ESV}(\mathbf{D}) \cap \tilde{Q} \cap \tilde{B} = \text{ESV}(\mathbf{D}) \cap \tilde{B}.$$

Applying Corollary 1 to Theorem 5, we get  $\tilde{f}(z) - \hat{f}(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ .

**COROLLARY 2.** *For  $f \in \tilde{Q}$ , we have  $f \in \text{ESV}(\mathbf{D})$  iff  $f(z) - \tilde{f}(z) \rightarrow 0$  as  $|z| \rightarrow 1$ .*

**PROOF.** If  $f \in \text{ESV}(\mathbf{D})$ , then  $f(z) - \tilde{f}(z) \rightarrow 0$  ( $|z| \rightarrow 1$ ) by Theorem 6. If  $f(z) - \tilde{f}(z) \rightarrow 0$ , then  $f - \tilde{f} \in \text{ESV}(\mathbf{D})$ , but  $\tilde{f} \in \text{ESV}(\mathbf{D})$  by Theorem 7, so  $f = (f - \tilde{f}) + \tilde{f} \in \text{ESV}(\mathbf{D})$ .

**REMARK.** For the identity  $\tilde{Q} = \text{ESV}(\mathbf{D}) + \tilde{Q} \cap \tilde{B}$ , we have found two canonical decompositions:

$$f = \tilde{f} + (f - \tilde{f}) \quad \text{and} \quad f = \hat{f} + (f - \hat{f}).$$

**THEOREM 8.** *For  $f \in L^\infty(\mathbf{D})$ , we have  $f \in \text{ESV}(\mathbf{D})$  iff  $\|f(z) - f \circ b_z\|_{L^2} \rightarrow 0$  as  $|z| \rightarrow 1^-$ , where  $b_z(w) = (z - w)/(1 - \bar{z}w)$ , and the norm is just the usual  $L^2$ -norm.*

**PROOF.** For  $f \in L^\infty(\mathbf{D})$ , it is easy to check the following identity:

$$(5) \quad \|f(z) - f \circ b_z\|_{L^2}^2 = |\widetilde{f|^2}(z) - |\tilde{f}(z)|^2 + |\tilde{f}(z) - f(z)|^2.$$

If the left-hand side of (5) goes to 0 as  $|z| \rightarrow 1^-$ , then  $|\widetilde{f|^2}(z) - |\tilde{f}(z)|^2 \rightarrow 0$  ( $|z| \rightarrow 1$ ) and  $|\tilde{f}(z) - f(z)| \rightarrow 0$ . The first limit says that  $f$  is in  $\tilde{Q}$ , the second limit and Corollary 2 to Theorem 7 imply that  $f$  is in  $\text{ESV}(\mathbf{D})$ .

Conversely, if  $f \in \text{ESV}(\mathbf{D}) \subset \tilde{Q}$ , the  $|\widetilde{f|^2}(z) - |\tilde{f}(z)|^2 \rightarrow 0$  and  $|f(z) - \tilde{f}(z)| \rightarrow 0$  as  $|z| \rightarrow 1^-$ , so the left-hand side of (5) goes to 0 as  $|z| \rightarrow 1^-$ .

**LEMMA 7.** *There is an absolute constant  $C$  such that*

$$\int_{\mathbf{D}} |f(z) - f(0)|^2 dA(z) \leq C \int_{\mathbf{D}} (1 - |z|^2)^2 |f'(z)|^2 dA(z)$$

for all  $f \in H^\infty(\mathbf{D})$ .

PROOF. Using Green's formula, we can easily prove (see p. 236 of [13])

$$\int_{|z|<r} |f'(z)|^2 \log \frac{r}{|z|} dA(z) = \frac{1}{4\pi} \int_0^{2\pi} |f(re^{i\theta}) - f(0)|^2 d\theta.$$

It is also known (p. 237 of [13]) that

$$\int_{|z|<r} |f'(z)|^2 \log \frac{r}{|z|} dA(z) \leq C \int_{|z|<r} |f'(z)|^2 \left(1 - \left|\frac{z}{r}\right|^2\right) dA(z)$$

for all  $f \in H^\infty(\mathbf{D})$ , where  $C$  is an absolute constant, i.e.  $C$  does not depend on  $f$ . Now integrating the above inequality with respect to  $r$   $dr$ , we get

$$\frac{1}{4\pi} \int_0^1 r dr \int_0^{2\pi} |f(re^{i\theta}) - f(0)|^2 d\theta \leq C \int_0^1 r dr \int_{|z|<r} |f'(z)|^2 \left(1 - \left|\frac{z}{r}\right|^2\right) dA(z),$$

or

$$\frac{1}{4} \int_{\mathbf{D}} |f(z) - f(0)|^2 dA(z) \leq \frac{C}{2} \int_{\mathbf{D}} [1 - |z|^2 + |z|^2 \log |z|^2] |f'(z)|^2 dA(z).$$

Power series expansion shows that

$$1 - |z|^2 + |z|^2 \log |z|^2 \leq (1 - |z|^2)^2,$$

so we have

$$\int_{\mathbf{D}} |f(z) - f(0)|^2 dA(z) \leq 2C \int_{\mathbf{D}} |f'(z)|^2 (1 - |z|^2)^2 dA(z).$$

THEOREM 9. For  $f \in H^\infty(\mathbf{D})$ , the following are all equivalent:

- (1)  $f \in \text{ESV}(\mathbf{D})$ ;
- (2)  $f \in \text{VMO}_\partial(\mathbf{D})$ ;
- (3)  $f \in \tilde{Q}$ ;
- (4)  $f \in \mathcal{B}_0$ , where  $\mathcal{B}_0$  is the "little Bloch" space consisting of all the analytic functions  $g$  on  $\mathbf{D}$  such that  $|g'(z)|(1 - |z|^2) \rightarrow 0$  as  $|z| \rightarrow 1^-$ .

PROOF. (2) and (3) are equivalent by Theorem 1. That (1) implies (3) follows from the fact that  $\text{ESV}(\mathbf{D}) \subset \tilde{Q}$ . If  $f \in \tilde{Q}$ , then

$$\|f(z) - f \circ b_z\|_{L^2}^2 = |\widetilde{f}|^2(z) - |\tilde{f}(z)|^2 + |\tilde{f}(z) - f(z)|^2 \rightarrow 0$$

as  $|z| \rightarrow 1^-$  since  $\tilde{f} = f$  for  $f \in H^\infty(\mathbf{D})$ , so  $f \in \text{ESV}(\mathbf{D})$  by Theorem 8. Thus we have proved that (3) implies (1).

Next we prove the equivalence of (3) and (4).

If we replace  $f$  by  $f \circ b_{z_0}$  in Lemma 7, then the inequality becomes

$$|\widetilde{f}|^2(z_0) - |\tilde{f}(z_0)|^2 \leq C \int_{\mathbf{D}} \left(1 - \left|\frac{z_0 - z}{1 - \bar{z}_0 z}\right|^2\right)^2 \left|f'\left(\frac{z_0 - z}{1 - \bar{z}_0 z}\right)\right|^2 dA(z).$$

Now if  $f \in \mathcal{B}_0$ , then

$$\left(1 - \left|\frac{z_0 - z}{1 - \bar{z}_0 z}\right|^2\right)^2 \left|f'\left(\frac{z_0 - z}{1 - \bar{z}_0 z}\right)\right|^2 \rightarrow 0$$



as  $|z_0| \rightarrow 1^-$  for any fixed  $z \in \mathbf{D}$ . Thus by the dominated convergence theorem, we have  $|\widetilde{f|^2}(z_0) - |\tilde{f}(z_0)|^2 \rightarrow 0$  as  $|z_0| \rightarrow 1^-$ . This shows that (4) implies (3).

To prove (3) implies (4), we use the Bergman formula

$$f(z) - f(0) = \int_{\mathbf{D}} \frac{f(w) - f(0)}{(1 - z\bar{w})^2} dA(w), \quad f \in H^\infty(\mathbf{D}).$$

Taking derivative on both sides, we get

$$f'(z) = \int_{\mathbf{D}} \frac{2\bar{w}(f(w) - f(0))}{(1 - z\bar{w})^3} dA(w).$$

Let  $z = 0$ , then

$$|f'(0)|^2 \leq 4 \int_{\mathbf{D}} |f(w) - f(0)|^2 dA(w), \quad f \in H^\infty(\mathbf{D}).$$

Replacing  $f$  by  $f \circ b_z$ , we get

$$|f'(z)|^2(1 - |z|^2)^2 \leq 4(|\widetilde{f|^2}(z) - |\tilde{f}(z)|^2), \quad z \in \mathbf{D}.$$

This completes the proof of Theorem 9.

**5. Symbol calculus of Toeplitz operators.** In this section, we are going to determine the largest  $C^*$ -subalgebra  $Q$  of  $L^\infty(\mathbf{D}, dA)$  such that the map  $\xi: Q \rightarrow \mathcal{B}(L_a^2(\mathbf{D}))/\mathcal{K}$ , defined by  $\xi(f) = T_f + \mathcal{K}$ , is a  $C^*$ -algebra homomorphism, where  $\mathcal{K}$  is the compact ideal of the full algebra  $\mathcal{B}(L_a^2(\mathbf{D}))$  of bounded linear operators on  $L_a^2(\mathbf{D})$ . First we establish the existence of such an algebra.

Let

$$\begin{aligned} \Gamma &= \{f \in L^\infty(\mathbf{D}) \mid T_g T_f - T_{gf} \in \mathcal{K} \text{ for all } g \in L^\infty(\mathbf{D})\}, \\ Q &= \Gamma \cap \bar{\Gamma}, \\ B &= \{f \in L^\infty(\mathbf{D}) \mid T_f \in \mathcal{K}\}. \end{aligned}$$

**PROPOSITION 5.** *For  $f \in L^\infty(\mathbf{D})$ , the following are all equivalent:*

- (1)  $f \in \Gamma$ ;
- (2)  $H_f$  is compact;
- (3)  $T_{|f|^2} - T_{\bar{f}} T_f$  is compact.

**PROOF.** The proof is the same as in [6].

**PROPOSITION 6.** *For  $f \in L^\infty(\mathbf{D})$ , the following are all equivalent:*

- (1)  $f \in Q$ ;
- (2)  $H_f$  and  $H_{\bar{f}}$  are compact;
- (3)  $T_{|f|^2} - T_f T_{\bar{f}}$  and  $T_{|f|^2} - T_{\bar{f}} T_f$  are compact.

**PROOF.** The proof follows from Proposition 5.

**PROPOSITION 7.**  *$Q$  is a  $C^*$ -subalgebra of  $L^\infty(\mathbf{D})$ ;  $Q \cap B$  is a closed selfadjoint ideal of  $Q$ .*

**PROOF.** The proof is the same as in [6] and [7].

**REMARK.** Propositions 6 and 7 imply that  $Q$  is the largest  $C^*$ -subalgebra of  $L^\infty(\mathbf{D})$  such that the map  $\xi: Q \rightarrow \mathcal{B}(L_a^2(\mathbf{D}))/\mathcal{K}$  is a  $C^*$ -algebra homomorphism.

The kernel of this homomorphism is  $Q \cap B$ . Thus if we let  $\tau(Q)$  denote the  $C^*$ -subalgebra of  $\mathcal{B}(L_a^2(\mathbf{D}))$  generated by all the operators  $T_f$  with  $f \in Q$ , then

$$(6) \quad Q/Q \cap B \cong \tau(Q)/\mathcal{K}$$

as  $C^*$ -algebras. (6) is traditionally called the symbol calculus of Toeplitz operators. So far  $Q$  has only been defined abstractly. Next we want to determine  $Q$ . The main theorem is that for a function  $f \in L^\infty(\mathbf{D})$ ,  $f \in Q$  if and only if  $f \in \text{VMO}_\partial(\mathbf{D})$ ;  $f \in Q \cap B$  if and only if  $f \in \tilde{Q} \cap \tilde{B}$ .

**PROPOSITION 8** (C. A. BERGER). *The operator  $P: L^\infty(\mathbf{D}) \rightarrow L_a^2(\mathbf{D})$  is compact.*

**PROOF.** Given a bounded sequence  $\{f_n\}$  in  $L^\infty(\mathbf{D})$ , say,  $\|f_n\|_\infty \leq M$  ( $n = 1, 2, \dots$ ). We want to find a subsequence  $\{f_{n_k}\}$  such that  $\{Pf_{n_k}\}$  converges in  $L_a^2(\mathbf{D})$ .

Recall that

$$Pf_n(z) = \int_{\mathbf{D}} \frac{f_n(w)}{(1 - z\bar{w})^2} dA(w), \quad z \in \mathbf{D}.$$

Now if  $|z| \leq \delta < 1$ , then

$$|Pf_n(z)| \leq \int_{\mathbf{D}} \frac{M dA(w)}{|1 - z\bar{w}|^2} \leq \frac{M}{(1 - \delta)^2}, \quad n = 1, 2, \dots$$

So  $\{Pf_n\}$  is uniformly bounded on every compact subset of  $\mathbf{D}$ . Since  $\{Pf_n\}$  is a sequence of analytic functions on  $\mathbf{D}$ , by Arzela's theorem, there is a subsequence  $\{Pf_{n_k}\}$  which converges to  $h \in L_a^2(\mathbf{D})$  uniformly on every compact subset of  $\mathbf{D}$ . (Note:  $h \in L_a^2(\mathbf{D})$  by Fatou's lemma.) It remains to prove that

$$(7) \quad \|Pf_{n_k} - h\|_{L^2} \rightarrow 0 \quad (k \rightarrow +\infty).$$

For any  $z \in \mathbf{D}$ , we have

$$|Pf_n(z)| \leq M \int_{\mathbf{D}} \frac{dA(w)}{|1 - z\bar{w}|^2} = -\frac{M}{2|z|^2} \ln(1 - |z|^2).$$

Since  $\int_{\mathbf{D}} (\frac{1}{2}|z|^{-2} \ln(1 - |z|^2))^2 dA(z) < +\infty$ , (7) follows from the dominated convergence theorem.

**LEMMA 8.** *If  $\{f_n\}$  is a sequence of real-valued functions in  $L^2(\mathbf{D})$  such that  $\|f_n - h\|_{L^2} \rightarrow 0$  ( $n \rightarrow +\infty$ ) for some  $h \in L_a^2(\mathbf{D})$ , then  $h$  is a constant.*

**PROOF.** Write  $h = u + iv$ . Then

$$|f_n(z) - h(z)|^2 = (f_n(z) - u(z))^2 + (v(z))^2,$$

so

$$\|f_n - h\|_{L^2}^2 = \|f_n - u\|_{L^2}^2 + \|v\|_{L^2}^2 \geq \|v\|_{L^2}^2.$$

Let  $n \rightarrow +\infty$ , we have  $v = 0$ . Thus  $h$  is real-valued. Since  $h$  is analytic,  $h$  must be a constant.

THEOREM 10.  $Q \subset \tilde{Q} = \text{VMO}_{\partial}(\mathbf{D}) \cap L^{\infty}(\mathbf{D})$ .

PROOF. Given  $f \in Q$ , we want to prove  $|\widetilde{f|^2}(z) - |\tilde{f}(z)|^2 \rightarrow 0$  ( $|z| \rightarrow 1^-$ ). Since  $Q$  and  $\tilde{Q}$  are selfadjoint, we might as well assume that  $f$  is real-valued.

It is easy to check that

$$|\widetilde{f|^2}(z) - |\tilde{f}(z)|^2 = \|\tilde{f}(z) - f \circ b_z\|_{L^2}^2 \geq 0,$$

where  $b_z(w) = (z - w)/(1 - \bar{z}w)$ . We prove the theorem by contradiction.

Suppose

$$\lim_{|z| \rightarrow 1^-} \|\tilde{f}(z) - f \circ b_z\|_{L^2}^2 > 0.$$

Then there exists  $\rho > 0$  and  $|z_n| \rightarrow 1^-$  such that

$$(8) \quad \|\tilde{f}(z_n) - f \circ b_{z_n}\|_{L^2}^2 > \rho, \quad n = 1, 2, \dots$$

Because  $f \in Q$ ,  $H_f = (I - P)M_fP$  is compact, so

$$(9) \quad \|(I - P)f k_z\|_{L^2} \rightarrow 0 \quad (|z| \rightarrow 1^-)$$

since  $k_z \rightarrow 0$  weakly as  $|z| \rightarrow 1^-$ .

For each  $z \in \mathbf{D}$ , define a unitary operator  $U_z$  on  $L^2(\mathbf{D})$  as follows:

$$U_z f(w) = \frac{1 - |z|^2}{(1 - \bar{z}w)^2} f\left(\frac{z - w}{1 - \bar{z}w}\right), \quad w \in \mathbf{D}, f \in L^2(\mathbf{D}).$$

It is easy to check that  $U_z^* = U_z$  and  $L_a^2(\mathbf{D})$  is a reducing subspace of  $U_z$ , so  $U_z P = P U_z$ .

Now using the equality  $f k_z = U_z(f \circ b_z)$  and (9), we get

$$\|(I - P)U_z(f \circ b_z)\|_{L^2} \rightarrow 0 \quad (\text{as } |z| \rightarrow 1^-).$$

But  $(I - P)U_z = U_z(I - P)$  and  $U_z$  is unitary, so we must have

$$(10) \quad \|(I - P)f \circ b_z\|_{L^2} \rightarrow 0 \quad (\text{as } |z| \rightarrow 1^-).$$

Notice that  $\|f \circ b_z\|_{\infty} = \|f\|_{\infty}$  for all  $z \in \mathbf{D}$ , so by Proposition 8, there is a subsequence  $\{z_{n_k}\}$  of  $\{z_n\}$  and  $h \in L_a^2(\mathbf{D})$  such that

$$(11) \quad \|P(f \circ b_{z_{n_k}}) - h\|_{L^2} \rightarrow 0 \quad (k \rightarrow +\infty).$$

Now (10) + (11) implies that

$$(12) \quad \|f \circ b_{z_{n_k}} - h\|_{L^2} \rightarrow 0 \quad (k \rightarrow +\infty).$$

By Lemma 8,  $h$  is a constant. Therefore,

$$\tilde{f}(z_{n_k}) = \langle f \circ b_{z_{n_k}}, 1 \rangle \rightarrow \langle h, 1 \rangle = h$$

as  $k \rightarrow +\infty$ . Thus

$$\begin{aligned} \|f \circ b_{z_{n_k}} - \tilde{f}(z_{n_k})\|_{L^2} &\leq \|f \circ b_{z_{n_k}} - h\|_{L^2} + \|h - \tilde{f}(z_{n_k})\|_{L^2} \\ &= \|f \circ b_{z_{n_k}} - h\|_{L^2} + |\tilde{f}(z_{n_k}) - h| \rightarrow 0 \quad \text{as } k \rightarrow +\infty, \end{aligned}$$

a contradiction to (8).

REMARK. The proof of Theorem 10 is a modification of the corresponding result in an early version of [7].

PROPOSITION 9. *Let*

$$M_p = \sup_{z \in \mathbf{D}} \int_{\mathbf{D}} \frac{dA(w)}{|1 - \bar{z}w|^p (1 - |w|^2)^{p/2}}.$$

*Then  $M_p < +\infty$  for  $p < \frac{4}{3}$ .*

PROOF. See [1], or 1.4.10 of [21].

LEMMA 9. *Let  $\mathbf{D}_\delta = \{z \in \mathbf{D} \mid |z| < \delta\}$ ,  $\delta \in (0, 1)$ . Then  $M_{\chi_{\mathbf{D}_\delta}} H_f$  is Hilbert-Schmidt as an operator from  $L_a^2(\mathbf{D})$  to  $L^2(\mathbf{D})$  for all  $f$  in  $L^\infty(\mathbf{D})$ .*

PROOF. For  $|z| \geq \delta$ ,  $M_{\chi_{\mathbf{D}_\delta}} H_f g(z) = 0$ . For  $|z| < \delta$ ,

$$M_{\chi_{\mathbf{D}_\delta}} H_f g(z) = H_f g(z) = \int_{\mathbf{D}} \frac{f(z) - f(w)}{(1 - z\bar{w})^2} g(w) dA(w).$$

Thus for all  $z \in \mathbf{D}$  and  $g \in L_a^2(\mathbf{D})$ ,

$$(13) \quad |M_{\chi_{\mathbf{D}_\delta}} H_f g(z)| \leq \int_{\mathbf{D}} \frac{|f(z) - f(w)|}{(1 - \delta)^2} |g(w)| dA(w).$$

The operator  $A$  on  $L^2(\mathbf{D})$  defined by

$$Ag(z) = \int_{\mathbf{D}} |f(z) - f(w)| g(w) dA(w)$$

is Hilbert-Schmidt since the integral kernel is in  $L^2(\mathbf{D} \times \mathbf{D})$ , so  $M_{\chi_{\mathbf{D}_\delta}} H_f$  is Hilbert-Schmidt by (13).

THEOREM 11.  $\text{ESV}(\mathbf{D}) \subset \mathcal{Q}$ .

PROOF. Let  $f \in \text{ESV}(\mathbf{D})$ . Then by Theorem 8,  $\|f(z) - f \circ b_z\|_{L^2} \rightarrow 0$  as  $|z| \rightarrow 1^-$ . Given  $\varepsilon > 0$ , choose  $\delta \in (0, 1)$  such that  $\|f(z) - f \circ b_z\|_{L^2} < \varepsilon^3$  for all  $\delta \leq |z| < 1$ . Then for all  $\delta \leq |z| < 1$ , we have

$$\begin{aligned} \int_{\mathbf{D}} \frac{|f(z) - f(w)|}{|1 - z\bar{w}|^2 \sqrt{1 - |w|^2}} dA(w) &= \frac{1}{\sqrt{1 - |z|^2}} \int_{\mathbf{D}} \frac{|f(z) - f \circ b_z(w)|}{|1 - \bar{z}w| \sqrt{1 - |w|^2}} dA(w) \\ &\leq \frac{M}{\sqrt{1 - |z|^2}} \left( \int_{\mathbf{D}} |f(z) - f \circ b_z(w)|^6 dA(w) \right)^{1/6} \\ &\leq \frac{M(2\|f\|_\infty)^{2/3}}{\sqrt{1 - |z|^2}} \left( \int_{\mathbf{D}} |f(z) - f \circ b_z(w)|^2 dA(w) \right)^{1/6} \\ &= \frac{M(2\|f\|_\infty)^{2/3}}{\sqrt{1 - |z|^2}} \|f(z) - f \circ b_z\|_{L^2}^{1/3} < \frac{M(2\|f\|_\infty)^{2/3} \varepsilon}{\sqrt{1 - |z|^2}}, \end{aligned}$$

where  $M = M_{6/5}$  in Proposition 9.

It is easy to check that

$$H_f g(z) = \int_{\mathbf{D}} \frac{f(z) - f(w)}{(1 - z\bar{w})^2} g(w) dA(w), \quad g \in L_a^2.$$

So

$$|H_f g(z)| \leq \int_{\mathbf{D}} \frac{|f(z) - f(w)|}{|1 - z\bar{w}|^2} |g(w)| dA(w).$$

The Cauchy-Schwarz inequality shows that

$$\begin{aligned} |H_f g(z)|^2 &\leq \int_{\mathbf{D}} \frac{|f(z) - f(w)|}{|1 - z\bar{w}|^2 \sqrt{1 - |w|^2}} dA(w) \\ &\quad \cdot \int_{\mathbf{D}} \frac{|f(z) - f(w)|}{|1 - z\bar{w}|^2} \sqrt{1 - |w|^2} |g(w)|^2 dA(w). \end{aligned}$$

Thus for all  $\delta \leq |z| < 1$ ,

$$\begin{aligned} |H_f g(z)|^2 &\leq \frac{M(2\|f\|_\infty)^{2/3}}{\sqrt{1 - |z|^2}} \varepsilon \int_{\mathbf{D}} \frac{|f(z) - f(w)|}{|1 - z\bar{w}|^2} \sqrt{1 - |w|^2} |g(w)|^2 dA \\ &\leq \frac{M(2\|f\|_\infty)^{5/3}}{\sqrt{1 - |z|^2}} \varepsilon \int_{\mathbf{D}} \frac{\sqrt{1 - |w|^2} |g(w)|^2}{|1 - z\bar{w}|^2} dA(w). \end{aligned}$$

Write  $\bar{M} = M(2\|f\|_\infty)^{5/3}$ . Then

$$\begin{aligned} &\int_{|z| \geq \delta} |H_f g(z)|^2 dA(z) \\ &\leq \bar{M} \varepsilon \int_{\mathbf{D}} \sqrt{1 - |w|^2} |g(w)|^2 dA(w) \cdot \int_{\mathbf{D}} \frac{dA(z)}{\sqrt{1 - |z|^2} |1 - \bar{w}z|^2} \\ &= \bar{M} \varepsilon \int_{\mathbf{D}} |g(w)|^2 dA(w) \cdot \int_{\mathbf{D}} \frac{dA(z)}{|1 - \bar{w}z| \sqrt{1 - |z|^2}} \\ &\leq \bar{M} M_1 \varepsilon \int_{\mathbf{D}} |g(w)|^2 dA(w) = \bar{M} M_1 \varepsilon \|g\|^2. \end{aligned}$$

This implies that  $\|M_{\chi_{\mathbf{D}-\mathbf{D}_\delta}} H_f\| \leq \bar{M} M_1 \varepsilon$ , namely,  $\|H_f - M_{\chi_{\mathbf{D}_\delta}} H_f\| \leq \bar{M} M_1 \varepsilon$ . Since  $M_{\chi_{\mathbf{D}_\delta}} H_f$  is compact and  $\varepsilon$  is arbitrary,  $H_f$  is compact, and so  $f \in \Gamma$ . Because  $f$  is arbitrary and  $\text{ESV}(\mathbf{D})$  is selfadjoint, we have proved  $\text{ESV}(\mathbf{D}) \subset \Gamma \cap \bar{\Gamma} = Q$ .

**THEOREM 12.**  $\tilde{Q} \cap \tilde{B} \subset Q \cap B$ .

**PROOF.** Given  $f \in \tilde{Q} \cap \tilde{B}$ , we have  $|f| \in \tilde{B}$ . To prove  $f \in Q \cap B$ , it suffices to prove  $|f| \in B$ , that is,  $T_{|f|}$  is compact.

Recall that

$$T_{|f|} g(z) = \int_{\mathbf{D}} \frac{|f(w)|}{(1 - z\bar{w})^2} g(w) dA(w)$$

for  $g \in L_a^2(\mathbf{D})$  and  $z \in \mathbf{D}$ . So the Cauchy-Schwarz inequality gives

$$|T_{|f|} g(z)|^2 \leq \int_{\mathbf{D}} \frac{|f(w)|^2 dA(w)}{|1 - z\bar{w}|^2 \sqrt{1 - |w|^2}} \int_{\mathbf{D}} \frac{\sqrt{1 - |w|^2} |g(w)|^2}{|1 - z\bar{w}|^2} dA(w).$$

But

$$\begin{aligned} \int_{\mathbf{D}} \frac{|f(w)|^2 dA(w)}{|1 - z\bar{w}|^2 \sqrt{1 - |w|^2}} &= \frac{1}{\sqrt{1 - |z|^2}} \int_{\mathbf{D}} \frac{|f \circ b_z(w)|^2}{|1 - z\bar{w}| \sqrt{1 - |w|^2}} dA(w) \\ &\leq \frac{M_{6/5}}{\sqrt{1 - |z|^2}} \left( \int_{\mathbf{D}} |f \circ b_z(w)|^{12} dA(w) \right)^{1/6} \\ &\leq \frac{M_{6/5} \|f\|_\infty^{11/6}}{\sqrt{1 - |z|^2}} \left( \int_{\mathbf{D}} |f \circ b_z(w)| dA(w) \right)^{1/6}, \end{aligned}$$

and we have

$$|T_{|f|}g(z)|^2 \leq \frac{\overline{M}}{\sqrt{1-|z|^2}} (\widetilde{|f|}(z))^{1/6} \int_{\mathbf{D}} \frac{\sqrt{1-|w|^2} |g(w)|^2}{|1-z\bar{w}|^2} dA(w),$$

where  $\overline{M} = M_{6/5} \|f\|_{\infty}^{11/6}$ .

Given  $\varepsilon > 0$ , choose  $\delta \in (0, 1)$  such that  $|\widetilde{|f|}(z)| < \varepsilon^6$  whenever  $\delta < |z| < 1$ . Then

$$\begin{aligned} \int_{|z| \geq \delta} |T_{|f|}g(z)|^2 dA(z) &\leq \overline{M}\varepsilon \int_{\mathbf{D}} |g(w)|^2 \sqrt{1-|w|^2} dA(w) \\ &\quad \cdot \int_{\mathbf{D}} \frac{dA(z)}{|1-z\bar{w}|^2 \sqrt{1-|z|^2}} \\ &= \overline{M}\varepsilon \int_{\mathbf{D}} |g(w)|^2 dA(w) \int_{\mathbf{D}} \frac{dA(z)}{|1-z\bar{w}| \sqrt{1-|z|^2}} \\ &\leq \overline{M}M_1\varepsilon \int_{\mathbf{D}} |g(w)|^2 dA(w) = \overline{M}M_1\varepsilon \|g\|_{L^2}^2. \end{aligned}$$

So  $\|M_{\chi_{\mathbf{D}-\mathbf{D}_\delta}} T_{|f|}\|^2 \leq \overline{M}M_1\varepsilon$ , that is,

$$\|T_{|f|} - M_{\chi_{\mathbf{D}_\delta}} T_{|f|}\|^2 \leq \overline{M}M_1\varepsilon.$$

Since  $M_{\chi_{\mathbf{D}_\delta}} T_{|f|}$  is compact as an operator from  $L_a^2(\mathbf{D})$  to  $L^2(\mathbf{D})$  and  $\varepsilon$  is arbitrary,  $T_{|f|}$  must be compact.

REMARK. Since  $k_z \rightarrow 0$  weakly as  $|z| \rightarrow 1^-$ , we have  $Q \cap B \subset \tilde{Q} \cap \tilde{B}$  trivially.

Theorems 11 and 12 and the decomposition  $\tilde{Q} = \text{ESV}(\mathbf{D}) + \tilde{Q} \cap \tilde{B}$  show that  $\tilde{Q} \subset Q$ . In summary, we have proved the following main theorem.

**THEOREM 13.** (1)  $Q = \tilde{Q} = \text{VMO}_{\partial}(\mathbf{D}) \cap L^\infty(\mathbf{D})$ .

(2)  $Q \cap B = \tilde{Q} \cap \tilde{B}$ .

**COROLLARY 1** (S. AXLER [1]). *Let  $f \in H^\infty(\mathbf{D})$ . Then  $H_{\tilde{f}}$  is compact if and only if  $f$  is in the “little Bloch” space  $\mathcal{B}_0$ .*

**PROOF.** It follows from Theorems 9 and 13 and the fact that  $H_f = 0$ .

**COROLLARY 2.**  *$Q$  and  $Q \cap B$  are invariant under Möbius transformations.*

**PROOF.** This follows from the facts that  $Q = \tilde{Q}$  and  $Q \cap B = \tilde{Q} \cap \tilde{B}$  and  $\tilde{f}(b_\lambda(z)) = \widetilde{f \circ b_\lambda}(z)$  (simply a change of variable formula), where the  $b_\lambda$ 's are Möbius transformations.

**6. Fredholm theory of Toeplitz operators with symbols in  $Q$ .** The isomorphism  $Q/Q \cap B \cong \tau(Q)/\mathcal{K}$  and the decomposition  $Q = \text{ESV} + Q \cap B$  will serve as basic tools for our study of Fredholm theory of Toeplitz operators with symbols in  $Q$ . Let  $\text{BC}(\mathbf{D})$  be the  $C^*$ -algebra of all bounded continuous functions on  $\mathbf{D}$ , and  $C_0(\mathbf{D})$  be the space of continuous functions  $f$  on  $\mathbf{D}$  with the property that  $f(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ . Consider the algebra  $\text{BCESV}$  defined as  $\text{BC}(\mathbf{D}) \cap \text{ESV}$ . Since  $\tilde{f} \in \text{BC}(\mathbf{D})$  for any  $f \in L^\infty(\mathbf{D})$ , the equality  $f = \tilde{f} + (f - \tilde{f})$  gives a decomposition

$$Q = \text{BCESV} + Q \cap B.$$

Notice that  $\text{BCESV} \cap (Q \cap B) = C_0(\mathbf{D})$ , so we have

$$Q/Q \cap B = (\text{BCESV} + Q \cap B)/Q \cap B \cong \text{BCESV}/C_0(\mathbf{D}).$$

Also we should mention that

$$Q/Q \cap B \cong \text{ESV}/V_0(\mathbf{D}),$$

where  $V_0(\mathbf{D})$  consists of all functions  $f$  in  $L^\infty(\mathbf{D})$  with  $f(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ .

Let  $[23] \beta\mathbf{D}$  be the Stone-C ech compactification of  $\mathbf{D}$ . Any bounded continuous functions  $f$  on  $\mathbf{D}$  has a unique continuous extension to  $\beta\mathbf{D}$ : we also denote this extension of  $f$  to  $\beta\mathbf{D}$  by  $f$ , so there should be no confusion about this.

**THEOREM 14.** *If  $f \in Q$ , then  $\sigma_e(T_f) = \tilde{f}(\beta\mathbf{D} - \mathbf{D})$ , where  $\sigma_e(T_f)$  is the essential spectrum of  $T_f$ .*

**PROOF.** Since  $f \in Q$ , we know  $T_{f-\tilde{f}}$  is compact. Thus  $\sigma_e(T_f) = \sigma_e(T_{\tilde{f}})$ .  $\tilde{f}$  is in  $\text{BCESV}$ . Mimicking [7], we can prove that for any  $g \in \text{BCESV}$ ,  $g + C_0(\mathbf{D})$  is invertible in  $\text{BCESV}/C_0(\mathbf{D})$  if and only if there are  $\delta, \varepsilon$  in  $(0,1)$  such that  $|g(z)| \geq \varepsilon$  for all  $\delta \leq |z| < 1$ . By the symbol calculus

$$\text{BCESV}/C_0(\mathbf{D}) \cong \tau(\text{BCESV})/\mathcal{K},$$

$T_g + \mathcal{K}$  is invertible in  $\tau(\text{BCESV})/\mathcal{K}$  if and only if there are  $\delta, \varepsilon$  in  $(0,1)$  such that  $|g(z)| \geq \varepsilon$  for all  $\delta \leq |z| < 1$ . Therefore

$$\sigma_e(T_{\tilde{f}}) = \bigcap_{\delta \in (0,1)} \overline{\tilde{f}(\mathbf{D} - \mathbf{D}_\delta)},$$

where  $\mathbf{D}_\delta = \{z \in \mathbf{D} \mid |z| < \delta\}$ . The compactness of  $\beta\mathbf{D}$  and the continuity of  $\tilde{f}$  yield  $\tilde{f}(\overline{\mathbf{D} - \mathbf{D}_\delta}) = \tilde{f}(\mathbf{D} - \mathbf{D}_\delta) = \tilde{f}(\beta\mathbf{D} - \mathbf{D}_\delta)$ . So we get

$$\sigma_e(T_{\tilde{f}}) = \bigcap_{\delta \in (0,1)} \tilde{f}(\beta\mathbf{D} - \mathbf{D}_\delta).$$

On the other hand, if  $\lambda \in \bigcap_{\delta \in (0,1)} \tilde{f}(\beta\mathbf{D} - \mathbf{D}_\delta)$ , then  $\lambda = \tilde{f}(z_\delta)$ ,  $z_\delta \in \beta\mathbf{D} - \mathbf{D}_\delta$ ,  $\delta \in (0,1)$ . Consider the sequence  $\{z_{1-1/n}\}$ . The compactness of  $\beta\mathbf{D}$  implies that there exists a subsequence  $\{z_{1-1/n_k}\}$  and  $z \in \beta\mathbf{D}$  such that  $z_{1-1/n_k} \rightarrow z$  as  $k \rightarrow +\infty$ . It is clear that  $z \in \beta\mathbf{D} - \mathbf{D}$  since  $\mathbf{D}$  is open in  $\beta\mathbf{D}$ . The continuity of  $\tilde{f}$  and the equality  $\lambda = \tilde{f}(z_\delta)$  give  $\lambda = \tilde{f}(z) \in \tilde{f}(\beta\mathbf{D} - \mathbf{D})$ . Hence  $\tilde{f}(\beta\mathbf{D} - \mathbf{D}) = \bigcap_{\delta \in (0,1)} \tilde{f}(\beta\mathbf{D} - \mathbf{D}_\delta)$ , and the proof is complete.

**COROLLARY 1.** *For  $f \in Q$ ,  $T_f$  is Fredholm if and only if  $\tilde{f}$  is nonvanishing on  $\{z \mid z \in \mathbf{D}, |z| \geq \delta\}$  for some  $\delta \in (0,1)$ .*

**COROLLARY 2.** *If  $f \in \text{BCESV}$ , then  $\sigma_e(T_f) = f(\beta\mathbf{D} - \mathbf{D})$ , hence  $T_f$  is Fredholm if and only if  $f$  is nonvanishing on  $\{z \mid z \in \mathbf{D}, |z| \geq \delta\}$  for some  $\delta \in (0,1)$ .*

**PROOF.** For  $f \in \text{BCESV}$ ,  $f - \tilde{f}$  is in  $C_0(\mathbf{D})$ , so  $f(\beta\mathbf{D} - \mathbf{D}) = \tilde{f}(\beta\mathbf{D} - \mathbf{D})$ .

**COROLLARY 3.** *If  $f \in Q$ , then  $\sigma_e(T_f)$  is connected.*

**PROOF.**  $\sigma_e(T_f) = \bigcap_{\delta \in (0,1)} \overline{\tilde{f}(\mathbf{D} - \mathbf{D}_\delta)}$  is the intersection of a nested family of compact connected sets, so it is connected. See [7].

REMARK. As  $C^*$ -algebras,  $C(\beta\mathbf{D})$  is isomorphic to  $BC(\mathbf{D})$ . Under the isomorphism,  $C_0(\mathbf{D})$  is the closed ideal of  $C(\beta\mathbf{D})$  consisting of functions  $f$  on  $\beta\mathbf{D}$  such that  $f$  is identically zero on  $\beta\mathbf{D} - \mathbf{D}$ .

If  $f$  is in BCESV and  $T_f$  is Fredholm, then we know that there are  $\delta, \varepsilon \in (0, 1)$  such that  $|f(z)| \geq \varepsilon$  for all  $\delta \leq |z| < 1$ . For any  $r \in (\delta, 1)$ , we have a continuous map  $f_r : \partial\mathbf{D} \rightarrow C - \{0\}$  defined by  $f_r(e^{i\theta}) = f(re^{i\theta})$ . Given any two  $r_1, r_2 \in [\delta, 1)$ ,  $f_{r_1}$  and  $f_{r_2}$  are homotopic in the obvious way. So the winding numbers of  $f_{r_1}$  and  $f_{r_2}$  are equal and independent of the choice of  $\delta$ . Denote the common winding number by  $\mathcal{N}_f$ . Then by monodromy as used in [7], we can prove

THEOREM 15. *If  $f \in Q$  and  $T_f$  is Fredholm, then  $\text{Ind}(T_f) = -\mathcal{N}_{\bar{f}}$ , where  $\text{Ind}(T_f)$  is the Fredholm index of  $T_f$ , i.e.  $\text{Ind}(T_f) = \text{dimension of kernel } T_f - \text{dimension of kernel } T_{\bar{f}}$ .*

REMARK. BCESV has played a significant role in our analysis. It seems interesting to know the structure of BCESV as a  $C^*$ -algebra. BCESV contains  $C(\overline{\mathbf{D}})$  as a proper  $C^*$ -subalgebra. Let  $\mathcal{M}$  be the maximal ideal space of BCESV.  $\mathcal{M}$  is connected since for any  $f \in \text{BCESV}$ ,  $\sigma(f) = \overline{f(\mathbf{D})}$  is connected (so there is no idempotent in BCESV with spectrum  $\{0, 1\}$ ). For any  $\lambda \in \mathbf{D}$ , the evaluation functional on BCESV at  $\lambda$  is in  $\mathcal{M}$ , denoted by  $F_\lambda$ . The map  $\lambda \mapsto F_\lambda$  is a one-to-one map of  $\mathbf{D}$  into  $\mathcal{M}$ . Let  $\mathcal{D}$  be the image of this map. We put the induced topology on  $\mathcal{D}$ . Let  $f_0 \in \text{BCESV}$  be the function  $f_0(z) = z$  for all  $z \in \mathbf{D}$ . Then we have the following

THEOREM 16. *Let  $F \in \mathcal{M}$  be a multiplicative linear functional on BCESV. Then  $F \in \mathcal{D}$  if and only if  $|F(f_0)| < 1$ .*

PROOF. The “only if” part is obvious. We prove the “if” part.

Suppose  $|F(f_0)| < 1$ . Let  $z_0 = F(f_0) \in \mathbf{D}$ . We want to prove  $F(f) = f(z_0)$  for all  $f$  in BCESV. By the Stone-Weierstrass approximation theorem, it is easy to show that  $F(f) = f(z_0)$  for all  $f$  in  $C(\overline{\mathbf{D}})$ . Choose a function  $\varphi \in C(\overline{\mathbf{D}})$  so that  $\varphi \equiv 1$  on a neighborhood  $U \subset \mathbf{D}$  of  $z_0$  and  $\varphi \equiv 0$  on a neighborhood  $V$  of  $\partial\mathbf{D}$ . Now for any  $f \in \text{BCESV}$ ,  $f\varphi \in C(\overline{\mathbf{D}})$ . Thus  $F(f\varphi) = (f\varphi)(z_0) = f(z_0)\varphi(z_0)$ . On the other hand, the multiplicativity of  $F$  given  $F(f\varphi) = F(f)F(\varphi) = F(f)\varphi(z_0) = F(f)$ . Hence  $F(f) = f(z_0)$  for all  $f \in \text{BCESV}$ .

COROLLARY.  $\mathcal{D}$  is open in  $\mathcal{M}$ , and hence  $\text{BCESV}/C_0(\mathbf{D}) \cong C(\mathcal{M} - \mathcal{D})$ .

PROOF. The map  $F \mapsto F(f_0)$  from  $\mathcal{M}$  to  $C$  is continuous. By the above theorem,  $\mathcal{D}$  is the inverse image of  $\mathbf{D}$  under this map, so  $\mathcal{D}$  is open in  $\mathcal{M}$ .

REMARK. This corollary says that  $\mathcal{M} - \mathcal{D}$  is homeomorphic to the maximal ideal space of  $\text{BCESV}/C_0(\mathbf{D}) \cong \tau(Q)/K$ .

REMARK.  $\mathcal{M} - \mathcal{D}$  is connected since  $\text{BCESV}/C_0(\mathbf{D})$  has no idempotent element with spectrum  $\{0, 1\}$  by Corollary 3 to Theorem 14.

**7. A conformal invariant description of  $\text{VMO}_\partial$ .** In this section, we are going to give another characterization of  $\text{VMO}_\partial$ . Also we will describe the relationship between  $\text{VMO}_\partial(\mathbf{D})$  and the usual  $\text{VMO}(\mathbf{D})$ .

For  $z_0 \in \mathbf{D}$  and  $r \in (0, 1)$ , let

$$D(z_0, r) = \{z \in \mathbf{D} : |(z_0 - z)/(1 - \bar{z}_0 z)| < r\}.$$



$D(z_0, r)$  is called the pseudohyperbolic disc centered at  $z_0$  with radius  $r$ . It is actually a Euclidean disc (see [13]) contained in  $\mathbf{D}$  with center

$$c = \frac{1 - r^2}{1 - r^2|z_0|^2} z_0$$

and radius

$$R = r \frac{1 - |z_0|^2}{1 - r^2|z_0|^2}.$$

Thus the normalized Lebesgue measure of  $D(z_0, r)$  is

$$|D(z_0, r)| = r^2(1 - |z_0|^2)^2 / (1 - r^2|z_0|^2)^2.$$

**THEOREM 17.** *For  $f \in L^\infty(\mathbf{D}, dA)$ , we have  $f \in \text{VMO}_\partial$  if and only if*

$$\lim_{|z| \rightarrow 1} \frac{1}{|D(z, r)|} \int_{D(z, r)} \left| f(w) - \frac{1}{|D(z, r)|} \int_{D(z, r)} f(u) dA(u) \right| dA(w) = 0$$

for each  $r \in (0, 1)$ .

**PROOF.** Let

$$I(z, r) = \frac{1}{|D(z, r)|^2} \int_{D(z, r)} \int_{D(z, r)} |f(u) - f(w)|^2 dA(w) dA(u).$$

Since  $f$  is bounded, it suffices to show that  $f \in \text{VMO}_\partial \Leftrightarrow I(z, r) \rightarrow 0$  as  $|z| \rightarrow 1$  for each  $r \in (0, 1)$ .

A change of variable shows that

$$\begin{aligned} I(z, r) &= \frac{1}{|D(z, r)|^2} \int_{|w| \leq r} \int_{|u| \leq r} \left| f\left(\frac{z-w}{1-\bar{z}w}\right) - f\left(\frac{z-u}{1-\bar{z}u}\right) \right|^2 \\ &\quad \cdot \frac{(1-|z|^2)^4 dA(w) dA(u)}{|1-\bar{z}w|^4 |1-\bar{z}u|^4} \\ &\leq \frac{1}{|D(z, r)|^2} \frac{(1-|z|^2)^4}{(1-r)^8} \int_{\mathbf{D}} \int_{\mathbf{D}} \left| f\left(\frac{z-w}{1-\bar{z}w}\right) - f\left(\frac{z-u}{1-\bar{z}u}\right) \right|^2 dA(w) dA(u) \\ &= \frac{2(1-r^2|z|^2)^4}{r^4(1-r)^8} \left( |\widetilde{f}|^2(z) - |\tilde{f}(z)|^2 \right) \\ &\leq \frac{2}{r^4(1-r)^8} \left( |\widetilde{f}|^2(z) - |\tilde{f}(z)|^2 \right). \end{aligned}$$

Thus  $f \in \text{VMO}_\partial \Rightarrow f \in \tilde{Q} \Rightarrow I(z, r) \rightarrow 0$  as  $|z| \rightarrow 1$  for each  $r \in (0, 1)$ .

On the other hand,

$$\begin{aligned} I(z, r) &\geq \frac{(1-|z|^2)^4}{2^8 |D(z, r)|^2} \int_{|w| \leq r} \int_{|u| \leq r} \left| f\left(\frac{z-w}{1-\bar{z}w}\right) - f\left(\frac{z-u}{1-\bar{z}u}\right) \right|^2 dA(w) dA(u) \\ &= \frac{(1-r^2|z|^2)^4}{2^8 r^4} \left[ \int_{\mathbf{D}} \int_{\mathbf{D}} - \int_{\mathbf{D}} \int_{\mathbf{D}-\mathbf{D}_r} - \int_{\mathbf{D}-\mathbf{D}_r} \int_{\mathbf{D}_r} \right] \\ &\geq \frac{(1-r^2|z|^2)^4}{2^8 r^4} \left[ \int_{\mathbf{D}} \int_{\mathbf{D}} -8 \|f\|_\infty^2 |\mathbf{D} - \mathbf{D}_r| \right], \end{aligned}$$

that is,

$$2(|\widehat{f}|^2(z) - |\tilde{f}(z)|^2) \leq \frac{2^8 r^4}{(1 - r^2|z|^2)^4} I(z, r) + 8\|f\|_\infty^2 |\mathbf{D} - \mathbf{D}_r|.$$

Now if  $I(z, r) \rightarrow 0$  as  $|z| \rightarrow 1$  for each  $r \in (0, 1)$ , then

$$2 \varlimsup_{|z| \rightarrow 1} (|\widehat{f}|^2(z) - |\tilde{f}(z)|^2) \leq 8\|f\|_\infty^2 |\mathbf{D} - \mathbf{D}_r|$$

for each  $r \in (0, 1)$ . Letting  $r \rightarrow 1$  yields

$$\lim_{|z| \rightarrow 1} (|\widehat{f}|^2(z) - |\tilde{f}(z)|^2) = 0,$$

namely,  $f \in \tilde{Q} = \text{VMO}_\partial \cap L^\infty$ .

**COROLLARY 1.** *For  $f \in L^\infty(\mathbf{D}, dA)$ , we have  $f \in Q \cap B$  if and only if*

$$\lim_{|z| \rightarrow 1} \frac{1}{|D(z, r)|} \int_{D(z, r)} |f(w)| dA(w) = 0$$

for each  $r \in (0, 1)$ .

The proof of Corollary 1 is very similar to that of the theorem, so we omit it.

For any  $f \in L^\infty(\mathbf{D}, dA)$ , define a continuous function  $\hat{f}_r(z)$  on  $\mathbf{D}$  as follows:

$$\hat{f}_r(z) = \frac{1}{|D(z, r)|} \int_{D(z, r)} f(u) dA(u).$$

Then we have

**COROLLARY 2.** *For  $f \in L^\infty(\mathbf{D}, dA)$ , we have*

$$f \in Q \Leftrightarrow |\widehat{f}|_r^2(z) - |\hat{f}_r(z)|^2 \rightarrow 0 \quad (|z| \rightarrow 1) \quad \text{for any } r \in (0, 1),$$

$$f \in Q \cap B \Leftrightarrow |\widehat{f}|_r(z) \rightarrow 0 \quad (|z| \rightarrow 1) \quad \text{for any } r \in (0, 1).$$

**PROOF.** The second equivalence is just the above Corollary 1. The first equivalence follows from the identity

$$I(z, r) = 2(|\widehat{f}|_r^2(z) - |\hat{f}_r(z)|^2).$$

**THEOREM 18.** *For  $f \in L^\infty(\mathbf{D}, dA)$ , we have*

$$f \in Q \Leftrightarrow \lim_{|z| \rightarrow 1} \frac{1}{|D(z, r)|} \int_{D(z, r)} |f(w) - \tilde{f}(z)|^2 dA(w) = 0,$$

$$f \in \text{ESV} \Leftrightarrow \lim_{|z| \rightarrow 1} \frac{1}{|D(z, r)|} \int_{D(z, r)} |f(w) - f(z)|^2 dA(w) = 0.$$

**PROOF.** Recall that

$$f \in Q \Leftrightarrow \|f \circ b_z - \tilde{f}(z)\|_{L^2} \rightarrow 0 \quad \text{as } |z| \rightarrow 1,$$

$$f \in \text{ESV} \Leftrightarrow \|f \circ b_z - f(z)\|_{L^2} \rightarrow 0 \quad \text{as } |z| \rightarrow 1.$$

Now the theorem can be proved by using the same techniques as in the proof of Theorem 17.

COROLLARY 1. For  $f \in Q$ , we have

$$f \in \text{ESV} \Leftrightarrow \hat{f}_r(z) - f(z) \rightarrow 0 \quad \text{as } |z| \rightarrow 1 \text{ for each } r \in (0, 1).$$

PROOF. The proof follows from Corollary 2 to Theorem 17 and the identity

$$\frac{1}{|D(z, r)|} \int_{D(z, r)} |f(w) - f(z)|^2 dA(w) = |\widehat{f}_r^2(z) - |\hat{f}_r(z)|^2 + |\hat{f}_r(z) - f(z)|^2.$$

COROLLARY 2. For  $f \in Q$ , we have

$$\hat{f}_r(z) - \tilde{f}(z) \rightarrow 0 \quad \text{as } |z| \rightarrow 1 \text{ for each } r \in (0, 1).$$

PROOF.

$$|\hat{f}_r(z) - \tilde{f}(z)| \leq \frac{1}{|D(z, r)|} \int_{D(z, r)} |f(w) - \tilde{f}(z)| dA(w).$$

Now the assertion follows from Theorem 18 and the Schwarz inequality.

COROLLARY 3. For  $f \in Q$ , we have  $\hat{f}_r \in \text{ESV}$  and  $f - \hat{f}_r \in Q \cap B$ .

PROOF. It follows from Corollary 2 and the fact that  $\tilde{f} \in \text{ESV}$  and  $f - \tilde{f} \in Q \cap B$ .

COROLLARY 4. Given  $f \in L^\infty(\mathbf{D})$ , we have

$$f \in \text{ESV} \Leftrightarrow \lim_{|z| \rightarrow 1^-} \sup_{w \in D(z, r)} |f(z) - f(w)| = 0$$

for all  $r \in (0, 1)$ .

PROOF. “ $\Leftarrow$ ” follows from the second statement of the theorem.

To prove “ $\Rightarrow$ ”, given any  $r \in (0, \frac{1}{2})$  and consider  $\hat{f}_r(z)$  on  $\mathbf{D}$ . Suppose  $w \in D(z, r)$ . Then

$$\begin{aligned} |\hat{f}_r(z) - \hat{f}_r(w)| &\leq \frac{1}{|D(z, r)||D(w, r)|} \int_{D(z, r)} \int_{D(w, r)} |f(u) - f(v)| dA(u) dA(v) \\ &\leq \frac{|D(z, 2r)|^2}{|D(z, r)||D(w, r)|} \frac{1}{|D(z, 2r)|^2} \cdot \int_{D(z, 2r)} \int_{D(z, 2r)} |f(u) - f(v)| dA(u) dA(v). \end{aligned}$$

Since  $f \in \text{ESV} \Rightarrow f \in \text{VMO}_\partial(\mathbf{D})$ , we have

$$\lim_{|z| \rightarrow 1^-} \sup_{w \in D(z, r)} |\hat{f}_r(z) - \hat{f}_r(w)| = 0 \quad (r \in (0, \frac{1}{2})),$$

but  $f(z) - \hat{f}_r(z) \rightarrow 0$  as  $|z| \rightarrow 1^-$ , hence

$$\lim_{|z| \rightarrow 1^-} \sup_{w \in D(z, r)} |f(z) - f(w)| = 0 \quad (r \in (0, \frac{1}{2})).$$

By a finite covering argument, we get

$$\lim_{|z| \rightarrow 1^-} \sup_{w \in D(z, r)} |f(z) - f(w)| = 0$$

for all  $r \in (0, 1)$ .

Finally, we discuss the relationship between  $\text{VMO}_\partial(\mathbf{D})$  and the usual area  $\text{VMO}(\mathbf{D})$ . Recall that  $f \in \text{VMO}(\mathbf{D})$  if and only if given  $\varepsilon > 0$ , there is  $\delta \in (0, 1)$  such that

$$\frac{1}{|D|} \int_D \left| f(w) - \frac{1}{|D|} \int_D f(u) dA(u) \right| dA(w) < \varepsilon$$

whenever  $D$  is a disc contained in  $\mathbf{D}$  with radius  $\leq \delta$ . For any  $r \in (0, 1)$ , the pseudohyperbolic disc  $D(z, r)$  centered at  $z$  is a Euclidean disc contained in  $\mathbf{D}$  with radius

$$R = r \frac{1 - |z|^2}{1 - r^2|z|^2}$$

which goes to 0 as  $|z| \rightarrow 1$ . Thus if  $f \in \text{VMO}(\mathbf{D})$ , then for any  $r \in (0, 1)$  we have

$$\lim_{|z| \rightarrow 1} \frac{1}{|D(z, r)|} \int_{D(z, r)} \left| f(w) - \frac{1}{|D(z, r)|} \int_{D(z, r)} f(u) dA(u) \right| dA(w) = 0.$$

**THEOREM 19.** *If  $f \in L^\infty(\mathbf{D})$ , then  $f \in \text{VMO}(\mathbf{D}) \Rightarrow f \in \text{VMO}_\partial(\mathbf{D})$ .*

**REMARK.** The converse of Theorem 19 is obviously false. For example, if  $f$  is the characteristic function of any closed square contained in  $\mathbf{D}$ , then  $f \in Q \cap B \subset \text{VMO}_\partial(\mathbf{D})$  while  $f \notin \text{VMO}(\mathbf{D})$ . Even for bounded continuous functions  $f$  on  $\mathbf{D}$ , the converse of Theorem 19 does not hold. However, if  $f \in H^\infty(\mathbf{D})$ , then  $f \in \text{VMO}_\partial(\mathbf{D}) \Leftrightarrow f \in \text{VMO}(\mathbf{D})$ .

**8. Open questions and possible generalizations.** All the results in this paper are concerned with essentially bounded functions on  $\mathbf{D}$ . It is clear that many concepts and techniques apply to unbounded functions. First we make some definitions.

**DEFINITION 1.** A function  $f \in L^1(\mathbf{D})$  is said to be in  $\text{BMO}_\partial(\mathbf{D})$  if

$$\sup_{z \in \mathbf{D}} \frac{1}{|S_z|} \int_{S_z} \left| f(w) - \frac{1}{|S_z|} \int_{S_z} f(u) dA(u) \right| dA(w) < +\infty.$$

It is obvious that  $\text{VMO}_\partial(\mathbf{D}) \subset \text{BMO}_\partial(\mathbf{D})$ .

For a function  $f \in L^2(\mathbf{D}, dA)$ , the Toeplitz operator  $T_f$  is an unbounded operator in general. However, we always have  $k_z \in \mathcal{D}(T_f)$ . Thus, the Berezin symbol  $\tilde{f}$  is well defined in this case. Also  $\hat{f}$  is well defined. Our first problem is to generalize Theorem 1:

**Problem 1.** For  $f \in L^2(\mathbf{D}, dA)$ , prove that the following are all equivalent:

- (a)  $H_f$  and  $H_{\tilde{f}}$  are compact;
- (b)  $T_{|f|^2} - T_f T_{\tilde{f}}$  and  $T_{|\tilde{f}|^2} - T_{\tilde{f}} T_f$  are compact;
- (c)  $f \in \text{VMO}_\partial(\mathbf{D})$ ;
- (d)  $|\widehat{f}|^2(z) - |\tilde{f}(z)|^2 \rightarrow 0$  as  $|z| \rightarrow 1$ ;
- (e)  $|\widehat{f}|^2(z) - |\hat{f}(z)|^2 \rightarrow 0$  as  $|z| \rightarrow 1$ .

An analogous problem is

**Problem 2.** For  $f \in L^2(\mathbf{D}, dA)$ , prove that the following are all equivalent:

- (a)  $H_f$  and  $H_{\tilde{f}}$  are bounded;
- (b)  $T_{|f|^2} - T_f T_{\tilde{f}}$  and  $T_{|\tilde{f}|^2} - T_{\tilde{f}} T_f$  are bounded;
- (c)  $f \in \text{BMO}_\partial(\mathbf{D})$ ;
- (d)  $|\widehat{f}|^2(z) - |\tilde{f}(z)|^2$  is bounded on  $\mathbf{D}$ ;
- (e)  $|\widehat{f}|^2(z) - |\hat{f}(z)|^2$  is bounded on  $\mathbf{D}$ .

For  $f \in L^2(\mathbf{D}, dA)$ , let

$$\begin{aligned}\|f\|_1 &= \sup_{z \in \mathbf{D}} \frac{1}{|S_z|} \int_{S_z} \left| f(w) - \frac{1}{|S_z|} \int_{S_z} f(u) dA(u) \right| dA(w), \\ \|f\|_2 &= \sup_{z \in \mathbf{D}} \frac{1}{|S_z|} \sqrt{\int_{S_z} \int_{S_z} |f(u) - f(w)|^2 dA(u) dA(w)}, \\ \|f\|_3 &= \sup_{z \in \mathbf{D}} \sqrt{|\widetilde{f}|^2(z) - |\tilde{f}(z)|^2}.\end{aligned}$$

**Problem 3.** Show that  $\|\cdot\|_i$  ( $i = 1, 2, 3$ ) are complete norms on  $\text{BMO}_{\partial}(\mathbf{D})$  modulo the constant functions and show that they are equivalent.

In the theory of BMO and VMO [13], Fefferman's duality theorem is one of the most important and deepest results, so it is very natural to propose:

**Problem 4.** Formulate and prove a duality theorem about  $\text{BMO}_{\partial}(\mathbf{D})$ .

New characterizations of  $\text{BMO}_{\partial}(\mathbf{D})$  and  $\text{VMO}_{\partial}(\mathbf{D})$  are also worth further investigation.

Finally, I am very curious about the possible generalizations of the above concepts and results to general strongly pseudo-convex domains  $\Omega$  in  $\mathbf{C}^n$ . The definitions of Berezin symbol,  $Q$ , and  $\tilde{Q}$  can be carried over word by word. It seems to me that a reasonable definition of  $\text{BMO}_{\partial}(\Omega)$  and  $\text{VMO}_{\partial}(\Omega)$  as well as  $\text{ESV}(\Omega)$  should involve the geometry of  $\Omega$  and  $\partial\Omega$ . A connection between geometry and operator theory is expected in the further study of this direction.

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