

## RIGIDITY FOR COMPLETE WEINGARTEN HYPERSURFACES

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**ABSTRACT.** We classify, locally and globally, the ruled Weingarten hypersurfaces of the Euclidean space. As a consequence of the local classification and a rigidity theorem of Dajczer and Gromoll, it follows that a complete Weingarten hypersurface which does not contain an open subset of the form  $L^3 \times \mathbf{R}^{n-3}$ , where  $L^3$  is unbounded and  $n \geq 3$ , is rigid.

### INTRODUCTION

Recently Dajczer and Gromoll [DG]<sub>2</sub> showed that a complete hypersurface  $M^n$ ,  $n \geq 4$ , of the euclidean space  $\mathbf{R}^{n+1}$  is rigid, unless it contains an open subset  $U$  such that either  $U = L^3 \times \mathbf{R}^{n-3}$  with  $L^3$  unbounded or  $U$  is completely ruled. We recall that a *completely ruled* submanifold is a ruled submanifold with complete rulings. It is not known if there exists a nowhere ruled three-dimensional irreducible hypersurface which is not rigid (see [DG]<sub>2</sub>).

We observe that there is an abundance of hypersurfaces of the euclidean space which admit local isometric deformations. A classification of such hypersurfaces was obtained by Sbrana [S] and Cartan [C]. A special case is given by the minimal hypersurfaces of rank two discussed in [DG]<sub>1</sub>.

In this paper we consider the rigidity question for complete hypersurfaces  $M^n$  which satisfy the additional condition of being Weingarten, i.e. there exists a differentiable function relating the mean curvature and the scalar curvature of  $M$ . Our main result is the following.

**Theorem A.** *Let  $M^n$ ,  $n \geq 4$ , be a complete Weingarten immersed hypersurface of  $\mathbf{R}^{n+1}$ , which does not contain an open subset  $U = L^3 \times \mathbf{R}^{n-3}$  with  $L^3$  unbounded. Then  $M$  is rigid.*

The above result is an immediate consequence of the rigidity theorem of Dajczer and Gromoll and the following local classification of ruled Weingarten hypersurfaces.

**Theorem B.** *Let  $M^n$ ,  $n \geq 3$ , be a connected ruled Weingarten hypersurface of  $\mathbf{R}^{n+1}$ . Then  $M^n$  is either*

(i) *flat;*

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or it is an open subset of one of the following:

- (ii)  $Q^3 \times \mathbf{R}^{n-3}$ , where  $Q^3 \subset \mathbf{R}^4$  is a cone over a product of circles in  $S^3$ , or over a minimal ruled surface in  $S^3$ ;
- (iii)  $Q^2 \times \mathbf{R}^{n-2}$ , where  $Q^2 \subset \mathbf{R}^3$  is a ruled helicoidal surface or a hyperboloid of revolution.

The classification for  $n = 2$  was obtained in 1865 by Beltrami [B] and Dini [D], see (2.29). We observe that the classification of Theorem B is complete since the minimal ruled surfaces in  $S^3$  are given in [L], see (2.16).

Now if we assume  $M$  to be complete, we have

**Corollary C.** *Let  $M^n$ ,  $n \geq 3$ , be a complete connected ruled Weingarten hypersurface in  $\mathbf{R}^{n+1}$ . Then,  $M$  is either*

- (i) *a product  $Q^2 \times \mathbf{R}^{n-2}$ , where  $Q^2$  is a complete ruled helicoidal surface of a hyperboloid of revolution; or*
- (ii) *a cylinder over a complete curve.*

## 1. PRELIMINARES

Let  $M^n \subset \mathbf{R}^{n+1}$  be a connected orientable immersed hypersurface endowed with the induced metric. The *relative nullity* of the immersion at a point  $p \in M$ , is  $\ker A(p)$ , where  $A$  denotes the second fundamental form of the hypersurface. Suppose that the relative nullity has constant dimension  $\bar{\nu} = n - k$ . Then the Gauss map  $\phi: M^n \rightarrow S^n \subset \mathbf{R}^{n+1}$  is parallel along each leaf of the relative nullity foliation, and provides (locally) a *Gauss parametrization* of  $M$  as it was defined in [DG]<sub>1</sub>. More precisely, there exists an isometric immersion  $g: L^k \rightarrow S^n$ , which is a local parametrization of the image of the Gauss map  $\phi$ , and a differentiable function  $\gamma: L^k \rightarrow \mathbf{R}$  (support function) such that

$$(1.1) \quad \begin{aligned} X: U \subset \Lambda &\rightarrow M^n \subset \mathbf{R}^{n+1}, \\ (x, v) &\mapsto X(x, v) = \gamma(x)g(x) + \text{grad } \gamma(x) + v \end{aligned}$$

is a local parametrization of  $M^n$ , where  $\Lambda$  is the normal bundle of the immersion  $g$ .  $X$  is the so-called Gauss parametrization of  $M$ .

For each  $(x, v) \in U \subset \Lambda$ , let  $\text{Hess } \gamma(x)$  denote the hessian of  $\gamma$  and  $B_v$  the second fundamental form of the immersion  $g$  at  $x \in L^k$ , relative to the normal vector  $v$ . Then the selfadjoint operator defined on the tangent space of  $L^k$  at  $x$ ,

$$(1.2) \quad P_{(x, v)} = \gamma(x)I + \text{Hess } \gamma(x) - B_v$$

is nonsingular. Moreover, the second fundamental form  $A_{(x, v)}$  of  $X$  at  $(x, v)$  is given by  $-P^{-1}$ , when restricted to the orthogonal complement of the relative nullity distribution. We refer to [DG]<sub>1</sub> for the above results.

For each vector field  $e: L^k \rightarrow \mathbf{R}^{n+1}$ , we may consider an associated vector field  $\bar{e}: U \subset \Lambda \rightarrow \mathbf{R}^{n+1}$  defined by

$$\bar{e}(x, v) = e(x), \quad \forall (x, v) \in U,$$

i.e.  $\bar{e}$  is the euclidean parallel transport of  $e(x)$  along the leaves of the relative nullity foliation of  $M$ . Therefore, if  $e$  is a vector field normal (resp. tangent) to the immersion  $g$ , then the associated vector field  $\bar{e}$  belongs (resp. is orthogonal) to the relative nullity distribution.

In what follows we consider hypersurfaces  $M^n \subset \mathbf{R}^{n+1}$  with constant index of relative nullity  $\bar{\nu} = n-2$ , locally parametrized as in (1.1). Moreover, we choose orthonormal vector fields  $e_1, \dots, e_n$ , locally defined on  $L^2$ , such that  $e_1(x), e_2(x)$  are tangent to the immersion  $g$  at  $x$  and  $e_3(x), \dots, e_n(x)$  generate the normal space of the immersion in  $S^n$ . Let  $\bar{e}_i(x, v) = e_i(x)$ ,  $1 \leq i \leq n$ ,  $(x, v) \in U \subset \Lambda$ , be the associated vector fields on  $M$ . With respect to this frame the second fundamental form of  $X$  at  $(x, v)$  is given by

$$(1.3) \quad A = \begin{pmatrix} -P_{(x,v)}^{-1} & 0 \\ 0 & 0 \end{pmatrix},$$

where  $P$  is defined by (1.2).

It follows that the mean curvature  $\bar{H}$  and the scalar curvature  $\bar{S}$  of  $M$  at  $(x, v)$  are given respectively by

$$(1.4) \quad \bar{H}(x, v) = -\text{tr } A = \frac{\text{tr } P}{\det P},$$

$$(1.5) \quad \bar{S}(x, v) = \frac{1}{\det P}.$$

**Lemma 1.6.** *Let  $M^n \subset \mathbf{R}^{n+1}$  be a ruled immersed hypersurface with constant index of relative nullity  $\bar{\nu} = n-2$ . Then the immersion  $g$  is a ruled surface in  $S^n$ .*

*Proof.* Let

$$X(s, \lambda, \mu_j) = c(s) + \lambda \xi(s) + \sum_{j=1}^{n-2} \mu_j \eta_j(s)$$

be a local parameterization of  $M$ , where  $c(s)$  is a curve orthogonal to the ruling,  $\eta_j$ ,  $1 \leq j \leq n-2$ , generate the relative nullity and  $\{\xi, \eta_j\}$  generate the ruling of  $M^n$ . Then the Gauss map depends only on the parameters  $s, \lambda$ , since  $\eta_j$  generate the relative nullity distribution. Moreover, for  $s = s_0$ , the Gauss map describes a curve which is orthogonal to the subspace generated by  $\xi(s_0), \eta_j(s_0)$ ,  $1 \leq j \leq n-2$ . Therefore it is contained in a great circle of  $S^n$ . Q.E.D.

**Fact 1.7.** It follows from the above lemma that if  $M$  is a ruled hypersurface then the frame considered earlier may be chosen such that  $e_1(x)$  is tangent to the ruling of the immersion  $g$ . Thus the second fundamental form  $\theta$  of  $g$  with

values in the normal bundle satisfies  $\theta(e_1, e_1) = 0$ . Therefore, the associated frame tangent to  $M$ ,  $\bar{e}_i(x, v) = e_i(x)$ , is such that  $\bar{e}_i$ ,  $3 \leq i \leq n$ , generate the relative nullity,  $\bar{e}_i$ ,  $2 \leq i \leq n$ , generate the ruling and  $\langle A\bar{e}_2, \bar{e}_2 \rangle = 0$ .

For such a frame, the second fundamental form of the immersion  $g$ , with respect to  $e_i$ ,  $3 \leq i \leq n$ , will be denoted by

$$(1.8) \quad B_i(x) = \begin{pmatrix} 0 & \beta_i \\ \beta_i & \lambda_i \end{pmatrix}, \quad 3 \leq i \leq n,$$

and the operator  $\gamma(x)I + \text{Hess } \gamma(x)$  will be denoted by

$$(1.9) \quad \gamma(x)I + \text{Hess } \gamma(x) = \begin{pmatrix} 0 & h \\ h & \alpha \end{pmatrix}.$$

Now we assume that the submanifold  $M^n \subset \mathbf{R}^{n+1}$  is Weingarten, i.e. there exists a differentiable function  $F(\bar{H}, \bar{S}) = 0$ . Taking exterior derivatives we obtain

$$\frac{\partial F}{\partial \bar{H}} d\bar{H} + \frac{\partial F}{\partial \bar{S}} d\bar{S} = 0.$$

Therefore, applying to vector fields tangent to  $M$ , we conclude that

$$(1.10) \quad d\bar{H} \wedge d\bar{S} = 0,$$

since the partial derivatives of  $F$  are not simultaneously zero.

**Fact 1.11.** Let  $M^n \subset \mathbf{R}^{n+1}$  be a ruled Weingarten hypersurface with constant index of relative nullity  $\bar{\nu} = n - 2$ . Then it follows from (1.4) to (1.10) that

$$(1.12) \quad d \left( \alpha(x) - \sum_{i=3}^n t_i \lambda_i(x) \right) \wedge d \left( h(x) - \sum_{j=3}^n t_j \beta_j(x) \right) = 0$$

for  $t_i \in \mathbf{R}$ .

## 2. PROOFS OF THE THEOREMS

For the proof of Theorem B we will need the following three propositions.

**Proposition 2.1.** *Let  $M^n \subset \mathbf{R}^{n+1}$  be a connected ruled Weingarten hypersurface without flat points. Suppose that the dimension of the first normal space of  $g$  is constant equal to 1. Then, there exists a totally geodesic submanifold  $S^3 \subset S^n$  such that  $g(L^2) \subset S^3$  is a ruled Weingarten surface which satisfies*

$$H^2 + c^2(K - 1) = 0,$$

where  $H$  and  $K$  are the mean and Gaussian curvature and  $c$  is a constant. Moreover,  $M^n$  is contained in a euclidean product  $Q^3 \times \mathbf{R}^{n-3}$ , where  $Q^3 \subset \mathbf{R}^4$  is a ruled Weingarten surface with index of relative nullity  $\nu = 1$ .

**Proposition 2.2.** *Let  $g: L^2 \rightarrow S^3$  be a connected ruled surface in  $S^3$  such that*

$$H^2 + c^2(K - 1) = 0.$$

Then either  $H = 0$  or  $H = c \neq 0$  and  $K = 0$ . In the latter case the immersed surface is contained in the product of two circles.

**Proposition 2.3.** Let  $M^3 \subset \mathbb{R}^4$  be a connected ruled Weingarten hypersurface, with index of relative nullity  $\bar{\nu} = 1$ . Suppose that the image of the Gauss map  $g(L^2)$  is either

- (i) a minimal surface in  $S^3$ ; or
- (ii) it is contained in the product of two circles.

Then  $M^3$  is an open subset of a cone over  $g(L^2)$ .

We need the following result. Recall that the first normal space of an immersion is the subspace generated by the second fundamental form.

**Lemma 2.4.** Let  $M^n$  be a ruled Weingarten hypersurface without flat points. Then, for each  $x \in L^2$ , the dimension of the first normal space  $N_1$  of  $g$  is less than or equal to 1.

*Proof.* The ruled hypersurface  $M$  has no flat points if and only if the index of relative nullity is constant  $\bar{\nu} = n - 2$ .

Since  $M^n$  is a ruled hypersurface, it follows from Lemma 1.6 that  $g(L^2)$  is a ruled surface. Let  $e_1(x), e_2(x)$  be a locally defined tangent frame to the immersion  $g$  such that  $e_1(x)$  is tangent to the ruling. Let  $N_1(x)$  be the first normal space of  $g$  at  $x$ . Since  $N_1$  is generated by  $\theta(e_1, e_2), \theta(e_2, e_2)$ , it follows that  $\dim N_1 \leq 2$ .

Suppose  $\dim N_1(x) = 2$ . We choose  $e_3(x), e_4(x)$  generating  $N_1$  such that  $e_4$  is orthogonal to  $\theta(e_1, e_2)$ . Then the second fundamental form with respect to  $e_3$  and  $e_4$  in the tangent basis  $e_1, e_2$  is given respectively by

$$B_3 = \begin{pmatrix} 0 & \beta_3 \\ \beta_3 & \lambda_3 \end{pmatrix}, \quad B_4 = \begin{pmatrix} 0 & 0 \\ 0 & \lambda_4 \end{pmatrix}.$$

Since  $M^n$  is a Weingarten hypersurface it follows from (1.13) that  $\lambda_4 \beta_3 = 0$ . If  $\lambda_4 = 0$ , then  $B_4 = 0$ . If  $\beta_3 = 0$ , then  $\theta(e_1, e_2) = 0$ . In both cases we have a contradiction, since we assumed that  $\dim N_1 = 2$ . Q.E.D.

*Proof of Proposition 2.1.* Since  $M$  is a ruled hypersurface without flat points, the index of relative nullity is constant  $\bar{\nu} = n - 2$ . Let  $e_1(x), \dots, e_n(x)$  be an orthonormal frame defined locally on  $L^2$  as in Fact 1.7. Moreover, we can choose  $e_3(x)$  to generate the first normal space  $N_1$  of  $g$ . For such a frame, the second fundamental form of  $g$  (1.8) reduces to

$$(2.5) \quad B_3 = \begin{pmatrix} 0 & \beta \\ \beta & \lambda \end{pmatrix}, \quad B_i = 0, \quad 4 \leq i \leq n.$$

Since  $M$  is Weingarten, it follows from (1.12) that

$$(2.6) \quad d(\alpha - t\lambda) \wedge d(h - t\beta) = 0, \quad t \in \mathbb{R}.$$

Applying (2.6) to the pair  $(e_i, \partial/\partial t)$ ,  $i = 1, 2$ , we obtain

$$(2.7) \quad -\beta d\alpha + \lambda dh = 0,$$

$$(2.8) \quad \beta d\lambda - \lambda d\beta = 0.$$

It follows from (2.8) that there exist constants  $c_1, c_2$ , not simultaneously zero, such that

$$(2.9) \quad c_1\beta + c_2\lambda = 0.$$

Observe that  $c_2 \neq 0$ . In fact if  $c_2 = 0$ , then from (2.9) we have  $\beta = 0$ . Now,  $\dim N_1 = 1$  implies that  $\lambda \neq 0$  and (2.7) implies that  $h$  is a constant. Therefore, it follows from (1.5) that  $\bar{S} = -1/h^2$  is constant. However, Theorem 3.4 in [DG]<sub>1</sub> implies that  $\dim N_1 = 0$ , which is a contradiction.

Therefore, we have

$$(2.10) \quad \lambda = c\beta$$

and  $\beta \neq 0$  in  $L^2$ . Moreover, it follows from (2.7) that  $\alpha = ch + \bar{c}$ , where  $c$  and  $\bar{c}$  are constants.

Now we prove that the first normal space of the immersion  $g: L^2 \rightarrow S^n$  is parallel. In fact, let  $\eta$  be any vector field generated by  $e_4, \dots, e_n$ . Then it follows from the Codazzi equation that

$$B_{\nabla_{e_1}^\perp \eta} e_2 = B_{\nabla_{e_2}^\perp \eta} e_1,$$

where  $\nabla^\perp$  is the connection in the normal bundle. Hence

$$\langle \nabla_{e_1}^\perp \eta, e_3 \rangle B_3 e_2 = \langle \nabla_{e_2}^\perp \eta, e_3 \rangle B_3 e_1.$$

Using (2.5) we get

$$\langle \nabla_{e_1}^\perp \eta, e_3 \rangle \beta e_1 + [\langle \nabla_{e_1}^\perp \eta, e_3 \rangle \lambda - \langle \nabla_{e_2}^\perp \eta, e_3 \rangle \beta] e_2 = 0.$$

Since  $\beta \neq 0$  we conclude that

$$\langle \nabla_{e_1}^\perp \eta, e_3 \rangle = \langle \nabla_{e_2}^\perp \eta, e_3 \rangle = 0.$$

Hence the first normal space of the immersion  $g$  is parallel. It follows that there exists a totally geodesic submanifold  $S^3 \subset S^n$  which contains the image of  $g$ . Therefore, the normal bundle  $\Lambda$  of  $g$  splits into  $\Lambda = \Lambda_1 + \Lambda_{n-3}$ , where  $\Lambda_1$  is the normal bundle in  $S^3$  and the orthogonal complement  $\Lambda_{n-3}$  is parallel in  $\mathbf{R}^{n+1}$ . Hence,  $M^n$  splits as a consequence of the Gauss parametrization.

Finally, from (2.5) we obtain that the mean curvature  $H$  and the Gaussian curvature  $K$  satisfies  $H = \lambda$  and  $K - 1 = \beta^2$ . Therefore, it follows from (2.10) that  $H^2 + c^2(K - 1) = 0$ . Q.E.D.

*Fact 2.11.* It follows from the preceding proof that if  $M^n$  satisfies the hypothesis of Proposition 1 then there is a frame locally defined on  $L^2$  for which

$$B_3 = \begin{pmatrix} 0 & \beta \\ \beta & \lambda \end{pmatrix}, \quad B_i = 0, \quad 4 \leq i \leq n,$$

$$\gamma I + \text{Hess } \gamma = \begin{pmatrix} 0 & h \\ h & \alpha \end{pmatrix},$$

where  $\lambda = c\beta$ ,  $\alpha = ch + \bar{c}$ , and  $c, \bar{c}$  are constants.

*Proof of Proposition 2.2.* If the constant  $c$  is zero, then  $H = 0$ . Otherwise, we will show that  $K = 0$  and hence  $H = c$ .

Let  $g: L^2 \rightarrow S^3$  be a parametrized ruled surface in  $S^3$ . We may consider

$$g(s, t) = \cos t \sigma(s) + \sin t e(s),$$

where  $\sigma(s)$  and  $e(s)$  are vectors in  $\mathbf{R}^4$  such that

$$|\sigma| = 1 = |e|, \quad \langle e, \sigma \rangle = 0, \quad \langle e', \sigma' \rangle = 0.$$

Moreover, we may choose the parameter  $s$  such that  $|e'| = 1$ . We introduce the following notation

$$\begin{aligned} p(s) &= \langle e', \sigma' \times e \times \sigma \rangle = (e' \sigma' e \sigma), \\ A(s) &= |\sigma'|^2 - \langle \sigma', e \rangle^2, \\ B(s) &= 1 - \langle \sigma', e \rangle^2, \\ G(s, t) &= A \cos^2 t + B \sin^2 t. \end{aligned} \tag{2.12}$$

We observe that  $p = \sqrt{AB}$ . Moreover, it follows by a straightforward computation that the mean and Gaussian curvature of the surface are given by

$$H = \frac{l - 2p \langle \sigma', e \rangle}{2G^{3/2}}, \quad K - 1 = -\frac{p^2}{G^2}, \tag{2.13}$$

where

$$\begin{aligned} l(s, t) &= \cos^2 t (\sigma'' \sigma' e \sigma) + \sin^2 t (e'' e' e \sigma) \\ &\quad + \sin t \cos t [(\sigma'' e' e \sigma)_+ (e'' \sigma' e \sigma)]. \end{aligned} \tag{2.14}$$

By hypothesis  $H^2 + c^2(K - 1) = 0$ , therefore, without loss of generality, we have

$$l - 2p \langle \sigma', e \rangle - 2cpG^{1/2} = 0.$$

Taking a derivative with respect to  $t$  we get

$$\partial l / \partial t - cpG^{-1/2} \partial G / \partial t = 0. \tag{2.15}$$

In particular for  $t = 0$ , it follows from (2.14) and (2.15) that

$$(\sigma'' e' e \sigma) + (e'' \sigma' e \sigma) = 0.$$

Hence (2.15) reduces to

$$2 \sin t \cos t [-(\sigma'' \sigma' e \sigma) + (e'' e' e \sigma)] - cpG^{-1/2} \partial G / \partial t = 0,$$

which is equivalent to

$$\left[ \frac{1}{AB} \left( \frac{d}{ds} (\sigma' \times e \times \sigma) e' e \sigma \right) - cG^{1/2} \right] \frac{\partial G}{\partial t} = 0, \quad \forall s, t.$$

Since  $c$  is a nonzero constant, it follows that

$$\partial G / \partial t = 0, \quad \forall s, t.$$

Therefore, using (2.12) we get  $A = B$ ,  $p = A = G$ . From (2.13) we get  $K = 0$  and hence  $H = c$ .

In order to show that the surface is contained in a product of two circles, we consider a local orthonormal frame field such that the second fundamental form is given by

$$B = \begin{pmatrix} 0 & \beta \\ \beta & \lambda \end{pmatrix}.$$

From  $K = 0$  and  $H = c$ , we have  $\det B = -1$  and  $\lambda = 2c$ , so that

$$B = \begin{pmatrix} 0 & 1 \\ 1 & 2c \end{pmatrix}.$$

We conclude the proof by using the uniqueness part of the fundamental theorem for surfaces in the sphere, see [S]. Q.E.D.

*Proof of Proposition 2.3. Part (i).* Since  $\nu = 1$ , there exists an immersion

$$g: L^2 \rightarrow G \subset S^3$$

and a local Gauss parametrization of  $M^3$  given by

$$X: \Lambda \rightarrow M^3 \subset R^4, \quad (x, v) \mapsto \gamma(x)g(x) + \text{grad } \gamma(x) + v,$$

where  $\Lambda$  is the normal bundle of the immersion  $g$  and  $\gamma: L^2 \rightarrow R$  is a differentiable function.

$M^3$  is a ruled hypersurface, therefore it follows from Lemma 1.6 that  $g(L^2)$  is a ruled surface in  $S^3$ . Since  $g(L^2)$  is also minimal, we have  $g$  locally given by

$$(2.16) \quad \begin{aligned} g(x_1, x_2) = & \cos x_1 (\cos kx_2, \sin kx_2, 0, 0) \\ & + \sin x_1 (0, 0, \cos x_2, \sin x_2), \end{aligned}$$

where  $k$  is a positive constant, see [L or BDJ]. Let us consider the orthonormal tangent frame

$$(2.17) \quad e_1 = \frac{\partial g}{\partial x_1}, \quad e_2 = \frac{1}{\sqrt{E}} \frac{\partial g}{\partial x_2},$$

where  $E = k^2 \cos^2 x_1 + \sin^2 x_1$ . Let  $e_3$  be a unitary normal vector field for the immersion  $g$ . Then the second fundamental form with respect to this frame is given by

$$(2.18) \quad B(x) = \begin{pmatrix} 0 & -k/E \\ -k/E & 0 \end{pmatrix}, \quad x = (x_1, x_2).$$

Let  $\bar{e}_i$  be the associated frame defined on  $M^3$ , i.e.

$$\bar{e}_i(x, v) = e_i(x), \quad 1 \leq i \leq 3, \quad (x, v) \in \Lambda.$$

Then the second fundamental form for  $M^3 \subset R^4$ , with respect to this frame, is given by

$$A(x, v) = \begin{pmatrix} -P_{(x,v)}^{-1} & 0 \\ 0 & 0 \end{pmatrix}, \quad (x, v) \in \Lambda,$$



where

$$P(x, v) = \gamma(x) + \text{Hess } \gamma(x) - \langle v, e_3 \rangle B(x).$$

Moreover, it follows from Fact 2.11 that  $\gamma I + \text{Hess } \gamma$  is of the form

$$\gamma I + \text{Hess } \gamma = \begin{pmatrix} 0 & h \\ h & \alpha \end{pmatrix},$$

where

$$0 = -ck/E, \quad \alpha = ch + \bar{c}.$$

Hence,  $c = 0$  and  $\alpha = \bar{c}$ .

Now, we want to determine  $\gamma: L^2 \rightarrow R$  such that

$$(2.19) \quad \gamma I + \text{Hess } \gamma = \begin{pmatrix} 0 & * \\ * & \bar{c} \end{pmatrix}.$$

It follows from (2.17) and (2.19) that  $\gamma$  must satisfy

$$(2.20) \quad \gamma + \frac{2}{x_1^2} = 0, \quad \gamma + \frac{1}{E} \left( \frac{\partial^2 \gamma}{\partial x_2^2} + \sin x_1 \cos x_1 (1 - k^2) \frac{\partial \gamma}{\partial x_1} \right) = \bar{c}.$$

From the first equation we get

$$\gamma = f(x_2) \cos x_1 + h(x_2) \sin x_1.$$

Substituting into (2.20) we get  $\bar{c} = 0$ . Therefore, the trace of  $P$  and hence the trace of  $A$  is zero, i.e.  $M^3$  is a minimal surface in  $R^4$ .

To conclude the proof in this case we use [BDJ].

*Part (ii).* By hypothesis  $g(L^2)$  is contained in the product of two circles, therefore the immersion  $g$  is locally given by

$$(2.21) \quad g(x_1, x_2) = r_1 \left( \sin \frac{x_1}{r_1}, \cos \frac{x_1}{r_1}, 0, 0 \right) + r_2 \left( 0, 0, \sin \frac{x_2}{r_2}, \cos \frac{x_2}{r_2} \right)$$

where  $r_1^2 + r_2^2 = 1$ .

Let us consider the orthonormal frame field defined by

$$(2.22) \quad e_1 = r_1 \frac{\partial g}{\partial x_1} - r_2 \frac{\partial g}{\partial x_2}, \quad e_2 = r_2 \frac{\partial g}{\partial x_1} + r_1 \frac{\partial g}{\partial x_2}.$$

Then the second fundamental form of the immersion  $g$  with respect to  $e_1, e_2$  is given by

$$B = \begin{pmatrix} 0 & 1 \\ 1 & \frac{r_2^2 - r_1^2}{r_1 r_2} \end{pmatrix}.$$

Let  $e_3$  be a unitary normal vector field for the immersion  $g$  and

$$\bar{e}_i(x, v) = e_i(x), \quad 1 \leq i \leq 3, \quad (x, v) \in \Lambda,$$

the associated frame defined on  $M^3$ . Then the second fundamental form for  $M^3 \subset R^4$ , with respect to this frame, is given by

$$A(x, v) = \begin{pmatrix} -P_{(x,v)}^{-1} & 0 \\ 0 & 0 \end{pmatrix}$$

where  $P(x, v) = \gamma(x) + \text{Hess } \gamma(x) - \langle v, e_3 \rangle B$ .

Moreover, it follows from Fact 2.11 that with respect to this frame

$$\gamma I + \text{Hess } \gamma = \begin{pmatrix} 0 & h \\ h & \alpha \end{pmatrix},$$

where

$$c = \frac{r_2^2 - r_1^2}{r_1 r_2}, \quad \alpha = ch + \bar{c}.$$

We want to determine  $\gamma: L^2 \rightarrow \mathbf{R}$  which satisfies the above conditions. It follows from (2.22) that  $\gamma$  must satisfy

$$(2.23) \quad \begin{aligned} \gamma + r_1^2 \frac{\partial^2 \gamma}{\partial x_1^2} - 2r_1 r_2 \frac{\partial^2 \gamma}{\partial x_1 \partial x_2} + r_2^2 \frac{\partial^2 \gamma}{\partial x_2^2} &= 0, \\ \gamma + r_1^2 \frac{\partial^2 \gamma}{\partial x_1^2} + \frac{r_2^4 + r_1^4}{r_1 r_2} \frac{\partial^2 \gamma}{\partial x_1 \partial x_2} + r_2^2 \frac{\partial^2 \gamma}{\partial x_2^2} &= \bar{c}. \end{aligned}$$

Subtracting the above equation we get

$$\frac{1}{r_1 r_2} \frac{\partial^2 \gamma}{\partial x_1 \partial x_2} = \bar{c}.$$

Therefore

$$(2.24) \quad \gamma(x_1, x_2) = \bar{c} r_1 r_2 x_1 x_2 + \gamma_1(x_1) + \gamma_2(x_2),$$

where  $\gamma_1$  and  $\gamma_2$  are functions which depend only on  $x_1$  and  $x_2$  respectively. Substituting (2.24) into (2.23), we obtain

$$(2.25) \quad \gamma_1 + r_1^2 \frac{d^2 \gamma_1}{dx_1^2} + \gamma_2 + r_2^2 \frac{d^2 \gamma_2}{dx_2^2} + \bar{c} r_1 r_2 x_1 x_2 = 2r_1^2 r_2^2 \bar{c}.$$

Taking derivatives with respect to  $x_1$ , and then with respect to  $x_2$  we conclude that  $\bar{c} = 0$ . Therefore, (2.24) reduces to

$$(2.26) \quad \gamma(x_1, x_2) = \gamma_1(x_1) + \gamma_2(x_2),$$

where  $\gamma_1$  and  $\gamma_2$  satisfy the following equations

$$(2.27) \quad \gamma_1 + r_1^2 \frac{\partial^2 \gamma_1}{\partial x_1^2} = a, \quad \gamma_2 + r_2^2 \frac{\partial^2 \gamma_2}{\partial x_2^2} = a,$$

where  $a$  is a constant.

Now we want to show that the Gauss parametrization of  $M^3$  describes a cone over  $G$ . In fact

$$X(x_1, x_2, s) = \gamma(r_1 u_1 + r_2 v_1) + \frac{d\gamma_1}{dx_1} v_1 + \frac{d\gamma_2}{dx_2} v_2 + s(-r_2 u_1 + r_1 u_2),$$

where

$$\begin{aligned} u_1 &= \left( \sin \frac{x_1}{r_1}, \cos \frac{x_1}{r_1}, 0, 0 \right), \\ u_2 &= \left( 0, 0, \sin \frac{x_2}{r_2}, \cos \frac{x_2}{r_2} \right), \\ v_1 &= \partial X / \partial x_1, \quad v_2 = \partial X / \partial x_2. \end{aligned}$$

It follows from (2.26) and (2.27) that  $X(x_1, x_2, s(x_1, x_2))$  is constant for

$$s(x_1, x_2) = \frac{a}{r_1 r_2} - \frac{r_2}{r_1} \gamma_1 + \frac{r_1}{r_2} \gamma_2,$$

which concludes the proof of case (ii). Q.E.D.

Finally, we prove Theorem B using the preceding results.

*Proof of Theorem B.* Let  $\overline{M} = \{p \in M; \overline{S}(p) \neq 0\}$ . Since  $M$  is a ruled hypersurface, the sectional curvature  $\overline{K}$  at points of  $\overline{M}$  is not identically zero. It follows from Lemma 2.4 applied to  $\overline{M}$  that at each point of the image of the Gauss map the first normal space  $N_1$  has dimension  $\leq 1$ . We have  $\overline{M} = \overline{M}_0 \cup \overline{M}_1$ , where at  $\overline{M}_0$  the Gauss map is totally geodesic in  $S^n$  and  $\overline{M}_1$  is the open subset of points where  $N_1$  has dimension 1.

Let  $V_1$  be a connected component of  $\overline{M}_1$ , let  $X: U \subset \Lambda \rightarrow V_1 \subset R^{n+1}$  be a Gauss parametrization and let  $g: L^2 \rightarrow S^n$  be the associated local parametrization of the Gauss map of  $V_1$ . It follows from Proposition 2.1 that there exists a totally geodesic submanifold  $S^3 \subset S^n$  such that  $g(L^2) \subset S^3$  is a ruled Weingarten surface which satisfies  $H^2 + c^2(K - 1) = 0$ . Moreover,  $V_1$  is contained in a euclidean product  $Q^3 \times R^{n-3}$ , where  $Q^3 \subset R^4$  is a ruled Weingarten surface with constant index of relative nullity  $\nu = 1$ .

Using Proposition 2.2, we obtain that either  $g$  is a minimal immersion in  $S^3$  or  $K = 0$ ,  $H = c$ , and the image of  $g$  is contained in the product of two circles of  $S^3$ . It follows from Proposition 2.3, that  $Q^3$  is an open subset of a cone over the image of  $g$ , i.e.  $V_1$  satisfies (ii).

Let  $V_0$  be a connected open subset of  $\overline{M}_0$ . We have a Gauss parametrization for  $V_0$  and  $g$  the associated local parametrization of the image of the Gauss map of  $V_0$ . Since  $g$  is totally geodesic in  $S^n$ , the normal bundle  $\Lambda$  of the immersion  $g$  is parallel in  $R^{n+1}$ . Hence, using the Gauss parametrization we obtain that  $V_0$  is an open subset of  $Q^2 \times R^{n-2}$ , where  $Q^2 \subset R^3$  is a ruled Weingarten surface. It follows from the classical result of Beltrami [B] and Dini [D] that  $Q^2$  is a ruled helicoidal surface or a hyperboloid of revolution, i.e.  $V_0$  satisfies (iii).

We now observe that the boundary of  $V_0$  does not intersect the boundary of  $V_1$ , since the determinant of the second fundamental form of the image of the Gauss map of  $V_1$  in  $S^3$  is bounded away from zero. Moreover, the boundaries of  $V_0$  and of  $V_1$  do not contain points where the scalar curvature  $\overline{S}$  is zero. Since  $M$  is connected, this concludes the proof of the theorem. Q.E.D.

*Remark 2.28.* The ruled Weingarten surfaces  $Q^2 \subset R^3$  classified by Beltrami and Dini are given by

$$(2.29) \quad X(s, t) = (a \cos s + ct \sin s, a \sin s - ct \cos s, bs + \sqrt{1 - c^2}t)$$

where  $a, b, c$  are constants.

*Proof of Corollary C.* We use Theorem B. If  $M$  is complete, then it cannot be a cone. If  $M$  splits as in (iii), then  $M = Q^2 \times \mathbf{R}^2$ , where  $Q^2$  is a complete ruled helicoidal surface or a hyperboloid of revolution. If  $M$  is flat, it follows from [HN] that  $M$  is a cylinder over a complete curve. Q.E.D.

*Proof of Theorem A.* If  $M^n \subset \mathbf{R}^{n+1}$ ,  $n \geq 4$ , is a complete hypersurface, it follows from [DG]<sub>2</sub> that  $M$  is rigid, unless it contains an open subset  $U$  which is completely ruled.

We will show that the existence of such a subset  $U$  contradicts the hypothesis of Theorem A. In fact, if we apply Theorem B to each connected component  $U_0$  of  $U$ , we conclude that  $U_0$  is completely ruled and flat. We consider a connected component of  $U_0$  where the nullity is  $n - 1$ . Then the ruling coincides with the nullity and therefore the nullity is complete. The argument used in [HN] implies that this component of  $U_0$  is a cylinder over a curve (not necessarily complete). Moreover, each connected component of  $U_0$  where the nullity is  $n$  is totally geodesic. Hence, in both cases we obtain open subsets of type  $L^3 \times \mathbf{R}^{n-3}$ , with  $L^3$  unbounded, which is a contradiction. Therefore,  $M$  is rigid. Q.E.D.

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