

## EXTENDING DISCRETE-VALUED FUNCTIONS

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**ABSTRACT.** In this paper, we show that for a separable metric space  $X$ , every continuous function from a subset  $S$  of  $X$  into a finite discrete space extends to a continuous function on  $X$  if and only if every continuous function from  $S$  into any discrete space extends to a continuous function on  $X$ . We also show that if there is no inner model having a measurable cardinal, then there is a metric space  $X$  with a subspace  $S$  such that every 2-valued continuous function from  $S$  extends to a continuous function on all of  $X$ , but not every discrete-valued continuous function on  $S$  extends to such a map on  $X$ . Furthermore, if Martin's Axiom is assumed, such a space can be constructed so that not even  $\omega$ -valued functions on  $S$  need extend. This last result uses a version of the Isbell-Mrowka space  $\Psi$  having a  $C^*$ -embedded infinite discrete subset. On the other hand, assuming the Product Measure Extension Axiom, no such  $\Psi$  exists.

### 0. INTRODUCTION

Suppose that  $X$  is a metric space and  $S$  is a subset of  $X$ . Then the following statements are equivalent: (i) Every continuous function  $f: S \rightarrow \mathbb{R}$  extends to a continuous function  $F: X \rightarrow \mathbb{R}$ , and (ii) Every continuous function  $f: S \rightarrow \mathbb{R}$  having a compact image extends to a continuous function  $F: X \rightarrow \mathbb{R}$ . (Each statement is equivalent to  $S$  being a closed subset of  $X$ . To see this, notice that (i) trivially implies (ii), and every closed set satisfies (i) by Tietze's Extension Theorem. Finally, if  $S$  is not closed in  $X$ , there is a sequence  $\sigma$  in  $S$  converging to a point  $p$  not in  $S$ . Then any function  $f: \sigma \rightarrow \{0, 1\}$  such that each fiber is infinite extends to a continuous  $F: S \rightarrow [0, 1]$ . If  $F$  is not onto, it can be composed with a continuous function from the range of  $F$  onto  $\{0, 1\}$ . In any case, we get a continuous function from  $S$  onto a compact set which does not extend continuously to  $p$ .) In this paper we deal with the question of what happens when  $\mathbb{R}$  is replaced by a discrete space. In particular, we discuss the following problem: Suppose that  $X$  is a metric space and  $S$  is a subset such that every continuous function  $f: S \rightarrow \{0, 1\}$  extends to a continuous function  $F: X \rightarrow \{0, 1\}$ . Does it follow that every continuous function from  $S$  to a discrete space extends to a continuous function defined on all of  $X$ ? We show that if  $X$  is separable, then the answer is "yes".

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However, if  $X$  fails to be separable, the situation becomes clouded by set-theoretic considerations. We show that if there is no inner model having a measurable cardinal, then there is a metric space and a subset such that every  $\{0, 1\}$ -valued continuous function extends, but not every function having a discrete image extends. Furthermore, assuming something weaker than Martin's Axiom, there is a metric space and a subset such that every  $\{0, 1\}$ -valued function extends, but not every  $\omega$ -valued function extends. The construction of both of these examples involves finding a first countable space which has a certain clopen reflection, and then applying a technique which gives a metric space having the same clopen reflection as that space. It is shown that assuming the Product Measure Extension Axiom, these examples cannot be constructed by this technique.

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## 1. PRELIMINARIES.

We will use standard set-theoretic and topological terminology. See [E], [GJ], [K], and [W] for notions not defined here. Cardinals (that is, initial ordinals) will be assumed to have the *discrete topology*. If  $X$  is a topological space,  $S$  is a subset of  $X$ , and  $\alpha$  is a cardinal number, then  $S$  is  $\alpha$ -*embedded* in  $X$  if every continuous function  $f: S \rightarrow \alpha$  extends to a continuous function  $F: X \rightarrow \alpha$ . Clearly, if  $\alpha \leq \gamma$  and  $S$  is  $\gamma$ -embedded in  $X$ , then  $S$  is  $\alpha$ -embedded in  $X$ . It follows from the Urysohn Extension Theorem that every 2-embedded discrete subset of a topological space is  $C^*$ -embedded.

Suppose that  $\alpha$  is an infinite cardinal. Then the *hedgehog with  $\alpha$  spines*, denoted  $H(\alpha)$ , is the subspace  $\{ce_\lambda \in l_2(\alpha): 0 \leq c \leq 1, e_\lambda \in \mathcal{B}\}$  of  $l_2(\alpha)$ , where  $\mathcal{B}$  is the standard orthonormal basis for the Hilbert space  $l_2(\alpha)$ . The convex hull of  $\{0, e_\lambda\}$  is called the  $\lambda$ th *spine*, and  $e_\lambda$  is called the *end* of the  $\lambda$ th spine.

An infinite collection  $\mathfrak{E}$  of infinite subsets of  $\omega$  is *almost disjoint* if every pair of distinct elements of  $\mathfrak{E}$  has finite intersection.  $\mathfrak{E}$  is a *maximal almost disjoint* (MAD) family if  $\mathfrak{E}$  is almost disjoint and is not a proper subset of any almost disjoint family of subsets of  $\omega$ . It is easy to see that  $\mathcal{E}$  is an almost disjoint family if and only if the collection  $\{(Cl_{\beta\omega} E) \setminus E: E \in \mathcal{E}\}$  is a disjoint collection of clopen subsets of  $\omega^*$ , and that  $\mathcal{E}$  is a MAD family if and only if  $\{(Cl_{\beta\omega} E) \setminus E: E \in \mathcal{E}\}$  is a disjoint collection of clopen subsets of  $\omega^*$  whose union is dense in  $\omega^*$ . Given a MAD family  $\mathcal{E}$ , there is a pseudocompact space  $\Psi_{\mathcal{E}}$  whose points are  $\omega \cup \{p_E: E \in \mathcal{E}\}$  where each element of  $\omega$  is isolated and a typical neighborhood of  $p_E$  is  $\{p_E\} \cup (E \setminus F)$  where  $F$  is a finite subset of  $E$ . Another way of describing  $\Psi_{\mathcal{E}}$  is that it is obtained from the subspace  $\omega \cup \{(Cl_{\beta\omega} E) \setminus E: E \in \mathcal{E}\}$  of  $\beta\omega$  by collapsing each element of  $\{(Cl_{\beta\omega} E) \setminus E: E \in \mathcal{E}\}$  to a single point. We call any space of the form  $\Psi_{\mathcal{E}}$  a version of  $\Psi$ .

If  $\alpha$  is a cardinal number, an  $\alpha$ -additive measure on a  $\sigma$ -algebra  $\Sigma$  of subsets of a set  $S$  is a nonnegative real-valued function  $\mu$  defined on  $\Sigma$  with the property that given any collection  $\mathcal{C}$  of fewer than  $\alpha$  pairwise disjoint elements of  $\Sigma$ , at most countably many elements, say  $C_1, C_2, \dots$ , satisfy  $\mu(C_k) > 0$ , and  $\mu(\bigcup C_k) = \sum \mu(C_k)$ . The Product Measure Extension Axiom, abbreviated PMEA, is the following statement: Given any set  $X$ , there is a  $\mathbb{C}$ -additive measure  $\mu$  on the power set  $\mathcal{P}(X)$  of  $X$  with the property that if  $F$  is any finite subset of  $X$ , then  $\mu\{A \subseteq X : F \subseteq A\} = 1/2^{|F|}$ . It is known that the consistency of the existence of a strongly compact cardinal implies the consistency of PMEA.

The organization of the paper is as follows. In §2, we prove that if  $X$  is a separable metric space and  $S$  is a subset of  $X$ , then  $S$  is 2-embedded in  $X$  if and only if  $S$  is  $\alpha$ -embedded in  $X$  for every cardinal  $\alpha$ . A consequence is that if  $X$  is a separable metric space,  $S$  is a subset of  $X$ , and  $Y$  is a subset of  $\mathbb{R}$ , then every continuous function  $f: S \rightarrow Y$  extends to a continuous function  $F: X \rightarrow Y$  if and only if every bounded continuous function  $f: S \rightarrow Y$  extends to a continuous function  $F: X \rightarrow Y$ . In §3, we discuss a process which we call hedgehogging a first countable space. The idea of hedgehogging a first countable space is to replace each point of the space with a hedgehog and topologizing the resulting set in such a way that the topology is metrizable and has the same zero-dimensional reflection as the original space. Hedgehogging certain familiar spaces gives consistent examples of metric spaces having 2-embedded subsets which are not  $\alpha$ -embedded for certain cardinals  $\alpha$ . We also construct a consistent example of a version of  $\Psi$  which has a 2-embedded infinite discrete subset. Hedgehogging this version of  $\Psi$  gives a consistent example of a metric space having a 2-embedded subset which is not  $\omega$ -embedded. In §4, we give some consequences of PMEA. In particular, we show that assuming PMEA, in many cases the zero-dimensional reflection of a space cannot have a 2-embedded subset which is not  $\alpha$ -embedded. It will follow that, assuming PMEA, in many cases a metric space, or more generally a first countable space, cannot have a 2-embedded subset which is not  $\alpha$ -embedded. Furthermore, assuming PMEA, a pseudocompact space of small character cannot have an infinite discrete  $C^*$ -embedded subset, so, in particular, there cannot be a version of  $\Psi$  having an infinite 2-embedded discrete subset.

## 2. SEPARABLE METRIC SPACES

In this section, we show that if  $S$  is a subset of a separable metric space  $X$ , then if every 2-valued continuous function from  $S$  extends to such a function from  $X$ , then for each cardinal  $\alpha$ , every  $\alpha$ -valued continuous function from  $S$  extends to such a function from  $X$ . It will be helpful to use the following observation: If  $\alpha$  is a cardinal number and  $X$  is any topological space, then a continuous function from  $X$  to  $\alpha$  gives rise to a partition of  $X$  into clopen subsets, one for each nonempty fiber. Conversely, every partition of a space into clopen subsets induces a continuous function into a discrete space by mapping

the elements of the partition to different points of the discrete space. Thus, for example, to say that each 2-valued continuous function from  $S$  extends to a 2-valued continuous function on  $X$  says exactly that every disconnection of  $S$  is the trace on  $S$  of a disconnection of  $X$ .

**2.1. Proposition.** *Suppose that  $X$  is a separable metric space and  $S$  is a 2-embedded subset of  $X$ . Then  $S$  is  $\omega$ -embedded in  $X$ .*

*Proof.* Suppose that  $S$  is a 2-embedded subset of the separable metric space  $X$  and  $f: S \rightarrow \omega$  is a continuous function. For each  $n \in \omega$ , let  $C_n = f^{-1}(n)$ . Then  $\{C_n: n \in \omega\}$  is a partition of  $S$  into clopen subsets of  $S$ . We must show that there is a partition  $\{A_n: n \in \omega\}$  of  $X$  into clopen subsets of  $X$  such that for each  $n \in \omega$ ,  $A_n \cap S = C_n$ . Since every 2-valued continuous function on  $S$  extends to a 2-valued continuous function on  $X$ , for each  $n \in \omega$ , there exists a clopen subset  $W_n$  of  $X$  such that  $W_n \cap S = C_n$ . Let  $U_0 = W_0$  and for  $n > 0$ , let  $U_n = W_n \setminus \bigcup \{U_k: k < n\}$ . Then the sets  $U_n$  are pairwise disjoint clopen subsets of  $X$  such that  $U_n \cap S = C_n$  for all  $n$ . Let  $L$  be the boundary in  $X$  of the set  $\bigcup \{U_k: k \in \omega\}$ , that is,  $L = \{x \in X: \text{Every neighborhood of } x \text{ in } X \text{ intersects } U_n \text{ for infinitely many } n \in \omega\}$ . For  $x \in L$  and  $n = 1, 2, \dots$ , let  $B_n^x = \{k \in \omega: \text{Every clopen neighborhood of } C_k \text{ in } X \text{ intersects } S_{1/n}(x)\}$ , where  $S_\varepsilon(x)$  is the open  $\varepsilon$ -sphere of  $x$  in  $X$ . Notice that for  $x \in L$  and  $n$  a positive integer,  $B_n^x \supseteq B_{n+1}^x$ . We now show that given an  $x \in L$ , there is an  $m$  such that  $B_m^x$  is finite. For if not, we can find  $k_1, k_2, \dots$  such that  $k_i \neq k_j$  for  $i \neq j$  and  $k_i \in B_i^x$ . Define  $g: S \rightarrow 2$  by  $g(z) = 0$  if  $z \in C_{k_{2i}}$  for some  $i$ , and  $g(z) = 1$  otherwise. Let  $G: X \rightarrow 2$  be a continuous extension of  $g$ . Then either  $G(x) = 0$  or  $G(x) = 1$ . Since the argument is similar in both cases, assume that  $G(x) = 1$ . By continuity of  $G$ , there exists a positive integer  $n$  such that  $G$  is identically 0 on  $S_{1/n}(x)$ . By the definitions of  $B_m^x$  and the  $k_i$ 's, there exists an integer  $i$  such that every clopen neighborhood of  $C_{k_{2i+1}}$  intersects  $S_{1/n}(x)$ , a contradiction of the fact that  $G$  is identically 0 on  $S_{1/n}(x)$  and identically 1 on  $C_{k_{2i+1}}$ . Therefore, there exists an integer  $m$  such that  $B_m^x$  is finite, say  $B_m^x \subseteq \{0, 1, \dots, m_0^x\}$ . Let  $V_x = S_{1/m}(x) \setminus \bigcup \{U_i: 0 \leq i \leq m_0^x\}$ . Then  $V_x$  is a neighborhood of  $x$  in  $X$  such that there exist clopen neighborhoods  $W_n^x$  of  $C_n$  in  $X$  with  $V_x \cap \bigcup_{n \in \omega} (W_n^x) = \emptyset$ . By intersecting the sets  $W_n^x$  with  $U_n$ , we may assume that  $W_n^x \subset U_n$  for all  $x \in L$  and for all  $n \in \omega$ . The open cover  $\{V_x: x \in L\}$  has a countable subcover, say  $\{V_{x_0}, V_{x_1}, \dots\}$ . Let  $R_k = \bigcap \{W_k^{x_i}: i = 1, \dots, k\}$ . Then  $\bigcup_{k \in \omega} R_k$  is clopen, so  $F: X \rightarrow \omega$  given by  $F(x) = k$  if  $x \in S_k$ ,  $F(x) = 0$  otherwise is a continuous extension of  $f$ .  $\square$

We remark that the above proof works if  $X$  is assumed to be any Lindelöf first countable space. At first glance, it might seem that hereditary Lindelöfness is required because a countable subcover is required for an open cover of the subset  $L$  of  $X$ . However,  $L$  is closed in  $X$ , so  $L$  is Lindelöf if  $X$  is.

We also remark that a separable metric space hereditarily has countable cellularity. Therefore, no subset of a separable metric space admits a continuous map onto an uncountable discrete space. Therefore, Proposition 2.1 actually gives the result that a 2-embedded subset of a separable metric space is  $\alpha$ -embedded for every cardinal  $\alpha$ . Furthermore, it is easy to see that if a subset of any space is  $\gamma$ -embedded for some cardinal  $\gamma$ , then it is  $\alpha$ -embedded for every  $\alpha < \gamma$ .

Before giving the next result, we point out an example. Let  $X$  be the Euclidean plane, let  $S$  be the closure in  $X$  of the graph of the function  $s: (0, \infty) \rightarrow \mathbb{R}$  given by  $s(x) = \frac{\sin(1/x)}{x}$ , and let  $Y = S$ . Then if  $f: S \rightarrow Y$  is a bounded continuous function, the image of  $f$  will be a subset of either the graph of  $s$  or of the  $y$ -axis. It follows from Tietze's Extension Theorem that  $f$  has an extension to a continuous function  $F: X \rightarrow Y$ . On the other hand, the identity function  $\iota: S \rightarrow Y$  does not have an extension to a continuous function from  $X$  to  $Y$ , because  $S$  is not arcwise connected, whereas  $X$  is. The point of the next result is that this sort of example cannot exist if  $Y$  is a subset of  $\mathbb{R}$ .

**2.2. Proposition.** *Suppose that  $X$  is a separable metric space and  $S$  is a subset of  $X$ . Let  $Y$  be a subset of  $\mathbb{R}$  such that every bounded continuous function  $f: S \rightarrow Y$  extends to a continuous function  $F: X \rightarrow Y$ . Then every continuous function  $f: S \rightarrow Y$  extends to a continuous function  $F: X \rightarrow Y$ .*

*Proof.* We may obviously assume that  $Y$  is an unbounded proper subset of  $\mathbb{R}$ . Since for any given  $r \in Y$ , the space  $\{y - r: y \in Y\}$  is homeomorphic to  $Y$ , we may assume that  $0 \in Y$ . Suppose that  $f: S \rightarrow Y$  is continuous. We may assume that  $f$  is bounded below by 0, because if any such function extends to a continuous function on  $X$ , then we can extend  $f^+ = 0 \vee f$  to  $F^+: X \rightarrow Y$  and  $f^- = 0 \vee (-f)$  to  $F^-: X \rightarrow Y$ . Then  $F = F^+ - F^-$  will be a continuous extension of  $f$ . Under the assumption that  $f$  is bounded below by 0, we consider two cases.

*Case (i).* If  $Y$  contains an interval of the form  $(b, \infty)$  where  $b > 0$ , then  $S$  is closed in  $X$ , because in this case, the assumption that every bounded continuous function from  $S$  to  $Y$  extends to a continuous function on  $X$  implies that  $S$  is  $C^*$ -embedded in  $X$ . Let  $h: Y \rightarrow [0, b+2)$  be a homeomorphism such that  $h(y) = y$  for each  $y \in Y \cap [0, b+1]$ . Then  $h^{-1}(Y) \subset Y$ , so  $h \circ f$  maps  $S$  into a bounded subset of  $Y$ . By assumption,  $h \circ f$  extends to a continuous function  $g: X \rightarrow Y$ . Then  $G: X \rightarrow [0, b+2]$  given by  $G = g \wedge (b+2)$  is also a continuous extension of  $h \circ f$ . By the normality of  $X$ , we can find a continuous function  $k: X \rightarrow [\frac{b+1}{b+2}, 1]$  such that  $k(x) = 1$  for each  $x$  in  $G^{-1}[0, b+1]$  and  $k(x) = \frac{b+1}{b+2}$  for each  $x$  in  $G^{-1}(\{b+2\})$ . Then  $k \cdot G$  maps  $X$  into  $[0, b+2)$  and the restriction of  $k \cdot G$  to  $S$  is  $h \circ f$ . Now let  $F = h^{-1} \circ (k \cdot G)$ . Then  $F$  maps  $X$  to  $Y$ ,  $F$  is continuous, and  $F$  extends  $f$ .

*Case (ii).* Suppose that  $Y$  contains no interval which is cofinal in  $\mathbb{R}$ . Then  $Y$  can be written as the union of a discrete family of nonempty clopen subsets,

$C_n$ ,  $n \in \omega$ , of  $Y$ , where every element of  $C_{n+1}$  is larger than every element of  $C_n$  for each  $n$ . Choose  $y_n \in C_n$ . Then  $\Omega = \{y_n : n \in \omega\}$  is homeomorphic to  $\omega$ . If  $g: S \rightarrow \Omega$  is continuous and assumes only finitely many values, then  $g$  extends to a continuous function  $G: X \rightarrow \Omega$ . To see this, notice that such a  $g$  extends to a continuous  $g': X \rightarrow Y$  by assumption, so if  $h: Y \rightarrow \Omega$  is given by  $h(y) = y_n$  for  $y \in C_n$ , then we can let  $G = h \circ g'$ . Therefore, by Proposition 2.1, every continuous function  $g: S \rightarrow \Omega$  extends to a continuous function  $G: X \rightarrow \Omega$ . Translating this into terms of clopen partitions, it means that any partition of  $S$  into clopen sets arises as the trace on  $S$  of a partition of  $X$  into clopen sets. Now let  $B_n = f^+(C_n)$ . Then  $\{B_n : n \in \omega\}$  is a partition of  $S$  into clopen sets, so there is a partition  $\{A_n : n \in \omega\}$  of  $X$  into clopen sets so that  $A_n \cap X = B_n$  for all  $n$ . For  $n \in \omega$ , let  $f_n: S \rightarrow Y$  be defined by  $f_n(x) = f(x)$  if  $x \in B_n$ ,  $f_n(x) = 0$  otherwise. Then each  $f_n$  is bounded and continuous so by assumption, each  $f_n$  extends to a continuous function  $F_n: X \rightarrow Y$ . Now define  $F: X \rightarrow Y$  by  $F(x) = F_n(x)$  if  $x \in A_n$ . Then  $F$  is a continuous extension of  $f$ .  $\square$

### 3. HEDGEHOGGING FIRST COUNTABLE SPACES

In this section, we show how to replace the points of a space with hedgehogs in such a way that the clopen structure of the new space is the same as the clopen structure of the original space, and the new space is metrizable. More precisely, we define a metrizable topology on  $X \times H$ , where  $H$  is a hedgehog, such that the zero-dimensional reflection of  $X \times H$  is the same as the zero-dimensional reflection of  $X$ . (The zero-dimensional reflection of a space is obtained from a space by identifying each quasicomponent of the space to a point and giving the resulting set the topology obtained by declaring a set open if its inverse image under the identification function is clopen.)

Let  $X$  be a first countable space and let  $\xi = |X|$ . Let  $H = H(\xi)$  be the hedgehog on  $\xi$  spines and label the spines of  $H$  as  $S_x$ ,  $x \in X$ . Denote the end of the spine  $S_x$  as  $p_x$ . Define a topology on  $X \times H$  as follows. A neighborhood of  $(x, y)$  where  $y \neq p_x$  is  $\{x\} \times V$  where  $V$  is a neighborhood of  $y$  in  $H$ . A neighborhood of  $(x, p_x)$  is  $U \times V$  where  $U$  is a neighborhood of  $x$  and  $V$  is a neighborhood of  $p_x$ . We will denote the resulting space  $\mathcal{H}(X)$ , and call this space the *hedgehog of  $X$* .

We will use Frink's Metrization Theorem (see [N]): A Hausdorff space  $Z$  is metrizable if and only if for each  $p \in Z$ , there is a countable nested open neighborhood base  $\{U_p(n) : n \in \omega\}$  at  $p$  such that for each  $n \in \omega$ , there exists an  $m \in \omega$  such that  $U_p(m) \cap U_q(m) \neq \emptyset$  implies that  $U_q(m) \subseteq U_p(n)$ . We will be applying this theorem to  $\mathcal{H}(X)$  for  $X$  a first countable space.

**3.1. Proposition.** *If  $X$  is first countable, then  $\mathcal{H}(X)$  is metrizable.*

*Proof.* For each  $p \in X$ , let  $\{V_p(n) : n \in \omega\}$  be a nested local base at  $p$  in  $X$ , and for each  $q \in H$  and  $n \in \omega$ , let  $S_q(n)$  denote  $\{h \in H : \text{the distance in } H \text{ from } h \text{ to } q \text{ is less than } \frac{1}{n+1}\}$ . Then for  $(x, y) \in \mathcal{H}(X)$ , define a nested local

base  $\{U_{(x,y)}(n): n \in \omega\}$  as follows:

- (i) If  $y \neq p_x$ ,  $U_{(x,y)}(n) = \{x\} \times S_y(n)$ , and
- (ii) If  $y = p_x$ ,  $U_{(x,y)} = V_x(n) \times S_y(n)$ .

Observe that  $\{x\} \times H$  is just a copy of the metric space  $H$  and for  $y \neq p_x$ ,  $U_{(x,y)}(n)$  is just the set of points in  $\{x\} \times H$  at a distance of less than  $\frac{1}{n+1}$  from  $(x, y)$ .

We will show that the  $U$ 's satisfy the conditions of Frink's Theorem. Suppose that  $(x, y) \in \mathcal{H}(X)$  and  $n \in \omega$  are given. First suppose  $y \notin S_x$ . Then let  $\tilde{y}$  be the point of  $X$  such that  $y \in S_{\tilde{y}}$ . (If  $y = 0$ , choose  $\tilde{y}$  arbitrarily.) Choose  $r \geq n$  so that  $V_{\tilde{y}}(r)$  does not contain  $x$ . Then if  $U_{(x',y')}(m) \cap U_{(x,y)}(m) \neq \emptyset$ , it follows that  $x' = x$  and  $y' = p_x$ . Now choose  $m$  so that  $\frac{3}{m+1} < \frac{1}{r+1}$ . If  $U_{(x,y')}(m) \cap U_{(x,y)}(m) \neq \emptyset$ , then the distance in  $\{x\} \times H$  from a point  $(a, b)$  in  $U_{(x,y')}$  to  $(x, y)$  is less than  $\frac{3}{m+1}$  which is less than  $\frac{1}{r+1}$ . Since  $U_{(x,y)}(r)$  is the set of points whose  $\{x\} \times H$  distance to  $(x, y)$  is less than  $\frac{1}{r+1}$ , it follows that  $U_{(x,y')}(m) \subseteq U_{(x,y)}(r)$ .

Now suppose  $y \in S_x$  and  $y \neq p_x$ . This case is similar to the previous one, only this time choose  $r$  so that the  $H$ -distance from  $y$  to  $p_x$  is greater than  $\frac{2}{r+1}$ . (This guarantees that if  $U_{(x',y')}(r) \cap U_{(x,y)}(r) \neq \emptyset$ , then  $y' \neq p_{x'}$ .) Now proceed as in the previous case. Finally, if  $y = p_x$ , choose  $m$  so that  $\frac{3}{m+1} < \frac{1}{r+1}$ . If  $U_{(x',y')}(m) \cap U_{(x,y)}(m) \neq \emptyset$  and  $(x', y') \neq (x, y)$ , then  $y' \neq p_{x'}$  (since, in that case  $U_{(x',y')} \subseteq V_{x'}(m) \times S_{y'}$ , but  $S_{x'} \cap S_x = \emptyset$ ). Thus,  $U_{(x',y')}(m) = \{x'\} \times S_{y'}(m)$ ,  $x' \in V_x(m)$ , and  $S_y(m) \cap S_{y'}(m) \neq \emptyset$ , so  $S_{y'}(m) \subseteq S_y(r)$ ; therefore,  $U_{(x',y')}(m) \subseteq V_x(m) \times S_y(r) \subseteq U_{(x,y)}(r)$ .  $\square$

**3.2. Lemma.** *If  $D$  is a discrete subset of the space  $X$  and  $\alpha$  is a cardinal number, then  $D$  is  $\alpha$ -embedded in  $X$  if and only if  $\{(x, p_x): x \in D\}$  is  $\alpha$ -embedded in  $\mathcal{H}(X)$ .*

*Proof.* For each  $f: D \rightarrow \alpha$  let  $h_f: \{(x, p_x): x \in D\} \rightarrow \alpha$  be defined by  $h_f((x, p_x)) = f(x)$ . The lemma will be proved if we show that  $f$  extends to a continuous function  $F: X \rightarrow \alpha$  if and only if  $h_f$  extends to  $H_f: \mathcal{H}(X) \rightarrow \alpha$ . If  $f$  extends to  $F: X \rightarrow \alpha$ , define  $H_f: \mathcal{H}(X) \rightarrow \alpha$  by  $H_f((x, y)) = F(x)$ .

Now suppose  $H_f: \mathcal{H}(X) \rightarrow \alpha$  extends  $h_f$ . Observe that  $H_f$  is constant on each  $\{x\} \times H$  because  $\alpha$  is discrete and  $\{x\} \times H$  is connected. Thus  $F(x) = H_f((x, y))$  where  $(x, y)$  is any element of  $\{x\} \times H$  is well-defined.  $F$  is continuous because if  $F(x) = \delta$ , then  $H_f^{-1}\{\delta\}$  contains a neighborhood  $V_x(n) \times S_{p_x}(n)$  of  $(x, p_x)$ , since  $H_f$  is continuous and thus contains  $V_x(n) \times H$ . Therefore,  $F(V_x(n)) = \delta$ .  $\square$

Combining 3.1 and 3.2 allows us to find examples of metric spaces with 2-embedded subsets which are not  $\alpha$ -embedded by finding first countable spaces with these properties. In particular, assuming that no inner model has a measurable cardinal, Fleissner has constructed a normal Moore space which

has a family  $\{C_\lambda: \lambda \in \alpha\}$  of closed subsets which exhibits noncollectionwise normality [F]. The  $C_\lambda$ 's are totally disconnected. If we cone over each  $C_\lambda$ , that is, replace each  $C_\lambda$  by  $C_\lambda \times [0, 1]$  with  $C_\lambda \times \{1\}$  identified to a point for each  $\lambda$ , we get a 2-embedded subset which for some infinite cardinal  $\alpha$  is not  $\alpha$ -embedded, namely, the "points" of the cones. From this example, we get the following.

**3.3. Example.** If there is no inner model with a measurable cardinal, then there is a metric space  $X$ , a cardinal  $\alpha$ , and a subset of  $X$  which is 2-embedded in  $X$  but not  $\alpha$ -embedded in  $X$ .

Example 3.3 does not give a metric space which has a 2-embedded subset which is not  $\omega$ -embedded. To get such an example from 3.1 and 3.2, we need a first countable space having a 2-embedded subset which is not  $\omega$ -embedded. Recall that  $\mathfrak{p}$  is the minimal cardinality of a centered family of clopen subsets of  $\omega^*$  whose intersection has empty interior in  $\omega^*$ . We will use a hypothesis which follows from the hypothesis that  $\mathfrak{p} = \mathfrak{c}$ , to construct a version of  $\Psi$  having this property. (Of course, the hypothesis  $\mathfrak{p} = \mathfrak{c}$  follows from Martin's Axiom.) We note that since  $\Psi$  is pseudocompact, it suffices to find a version of  $\Psi$  having an infinite, discrete, 2-embedded subset. For the definitions of the cardinals  $\mathfrak{b}$ , and  $\mathfrak{s}$ , see [vD].

**3.4. Proposition.** *Assuming  $\mathfrak{b} = \mathfrak{s} = \mathfrak{c}$ , there is a MAD family  $\mathcal{E}$  such that every countable set of nonisolated points of  $\Psi_{\mathcal{E}}$  is 2-embedded in  $\Psi_{\mathcal{E}}$ .*

*Proof.* Let  $\{A_\alpha: \alpha < \mathfrak{c}\}$  list all countable subsets of  $\mathfrak{c}$  such that  $A_n \subseteq \omega$  for all finite  $n$ ,  $A_\alpha \subseteq \alpha$  for all infinite  $\alpha$ , and  $A_\alpha$  is listed  $\mathfrak{c}$  times for all  $\alpha$ . Let  $\{Z_\alpha: \alpha < \mathfrak{c}\}$  list the infinite subsets of  $\omega$ . Let  $\{S_n: n \in \omega\}$  be an almost disjoint collection of infinite subsets of  $\omega$ . For each  $\alpha \leq \omega$ , let  $D_\alpha$  be a subset of  $\omega$  almost containing all  $S_n$  with  $n \in A_\alpha$  and almost disjoint from all  $S_n$  with  $n \notin A_\alpha$ .

We will find collections  $\{S_\alpha: \alpha < \mathfrak{c}\}$  and  $\{D_\alpha: \alpha < \mathfrak{c}\}$  extending those above and satisfying, for all  $\gamma$  such that  $\omega \leq \gamma < \mathfrak{c}$ :

(i)  $\{S_\alpha: \alpha < \gamma\}$  is an almost disjoint collection such that for  $\omega \leq \alpha < \gamma$ ,  $S_\alpha \subseteq Z_\alpha$ , and  $S_\alpha$  is nonempty if and only if  $S_\alpha$  is infinite and  $Z_\alpha \cap S_\beta$  is finite for each  $\beta < \alpha$  and, for  $\beta < \alpha$ ,  $S_\alpha$  is almost contained in or is almost disjoint from  $D_\beta$ .

(ii) For each  $\alpha \leq \mathfrak{c}$ ,  $D_\alpha \subseteq \omega$  and  $D_\alpha$  almost contains all  $S_\beta$  with  $\beta \in A_\alpha$  and is almost disjoint from all  $S_\beta$  with  $\beta \in \alpha \setminus A_\alpha$ .

Proceeding inductively, suppose  $\gamma < \mathfrak{c}$  and  $\{S_\alpha: \alpha < \gamma\}$  and  $\{D_\alpha: \alpha < \gamma\}$  are as above. If  $Z_\gamma \cap S_\beta$  is infinite for some  $\beta < \gamma$  then let  $S_\gamma = \emptyset$ . Otherwise, using  $\mathfrak{s} = \mathfrak{c}$ , there is an infinite subset  $S_\gamma$  of  $Z_\gamma$  such that for each  $\alpha < \gamma$ ,  $S_\gamma$  is either almost contained in or almost disjoint from  $D_\alpha$ . Using  $\mathfrak{b} = \mathfrak{c}$ , and Theorem 3.3 of [vD], we can choose  $D_\gamma$  to satisfy condition (ii).

*Claim (1).*  $\mathcal{E} = \{S_\alpha: \alpha < \mathfrak{c}\}$  is a MAD family. For suppose that  $X$  is an infinite subset of  $\omega$ . Then  $X = Z_\alpha$  for some  $\alpha < \mathfrak{c}$ . If  $S_\alpha = \emptyset$ , by (i)



$\omega = |Z_\alpha \cap S_\beta| = |X \cap S_\beta|$  for some  $\beta < \alpha$ . By (i)  $S_\alpha$  is empty or infinite; in either case,  $X$  has infinite intersection with an element of  $\mathcal{E}$ .

*Claim (2).* If  $N$  is a countable subset of the nonisolated points of  $\Psi_{\mathcal{E}}$ , then  $N$  is 2-embedded in  $\Psi_{\mathcal{E}}$ . To prove this, notice first that  $N$  can be written as  $\{S_\alpha: \alpha \in H\}$  for some countable subset  $H$  of  $\mathfrak{c}$ . Let  $\beta = \sup\{\alpha: \alpha \in H\}$ . Let  $f: N \rightarrow \{0, 1\}$  be a function. Then  $N = N_0 \cup N_1$  where  $N_i = f^{-1}\{i\}$  for  $i = 0, 1$ , and there are sets  $H_0$  and  $H_1$  such that  $N_i = \{S_\alpha: \alpha \in H_i\}$  for  $i = 0, 1$ . There is  $\gamma \geq \beta$  with  $A_\gamma = H_0$ ; it follows that  $H_1 \subseteq \gamma \setminus A_\gamma$ . Thus  $D_\gamma$  almost contains each element of  $N_0$  and is almost disjoint from each member of  $N_1$ . Since each  $S_\alpha$  is almost contained in  $D_\gamma$  or almost disjoint from it,  $\text{Cl } D_\alpha$  is a clopen subset of  $\Psi_{\mathcal{E}}$ . Thus,  $F: \Psi_{\mathcal{E}} \rightarrow \{0, 1\}$  defined by  $F(x) = 0$  if  $x \in \text{Cl } D_\gamma$  and  $F(x) = 1$  otherwise is a continuous extension of  $f$ .  $\square$

We remark that a consequence of Urysohn's Extension Theorem is that a discrete 2-embedded subset of a topological space is  $C^*$ -embedded. Hence, Proposition 3.4 establishes the consistency of the existence of a version of  $\Psi$  having an infinite,  $C^*$ -embedded discrete subset.

**3.5. Corollary.** *Assuming  $\mathfrak{b} = \mathfrak{s} = \mathfrak{c}$  there is a metric space which has a 2-embedded subset which is not  $\omega$ -embedded.*

#### 4. A CONSEQUENCE OF PMEA

In §3, we saw that, given the right set theoretic assumptions, it is possible to find a metric space having a subset which is 2-embedded but not  $\omega$ -embedded. The key to the construction is the construction of a pseudocompact first countable space which has an infinite 2-embedded subset. In this section, we show that assuming PMEA, no such pseudocompact space can exist. In fact, a somewhat stronger result holds.

**4.1. Theorem.** *Assuming PMEA, a space  $X$  of character less than  $\mathfrak{c}$  is pseudocompact if and only if  $X$  has no infinite  $C^*$ -embedded discrete subset.*

*Proof.*  $X$  is pseudocompact if and only if  $X$  has no  $C$ -embedded copy of  $\omega$ . (See [GJ].) Hence, a space which has no  $C^*$ -embedded copy of  $\omega$  is pseudocompact.

Now suppose  $P = \{p_i: i \in \omega\}$  is a  $C^*$ -embedded discrete subset of  $X$ . We will find a discrete family  $\{\mathcal{O}_i: i \in \omega\}$  of open sets with  $p_i \in \mathcal{O}_i$  for all  $i \in \omega$ . For each  $S \in \mathcal{P}(P)$ , let  $f_S: X \rightarrow [0, 1]$  be such that  $f_S|S = 0$  and  $f_S|(P \setminus S) = 1$ , and for each  $x \in X$  choose a neighborhood  $N_S(x)$  of  $x$  such that the diameter of  $f_S(N_S(x)) < 1/4$ . Let  $\mu$  denote the measure guaranteed by PMEA. Using PMEA and the fact that the character of  $X$  is less than  $\mathfrak{c}$ , we can find a neighborhood  $N(x)$  of  $x$  such that  $\mu(\{S: N(x) \subseteq N_S(x)\}) > 15/16$ . We claim that  $\{N(p_i): i \in \omega\}$  is a discrete family. Suppose  $N(x) \cap N(p_i) \neq \emptyset$ . Then  $\mu(\{S: f_S(N(x)) \text{ is within } 1/2 \text{ of } f_S(p_i)\}) \geq 7/8$ . For distinct  $p_i, p_j$ ,  $\mu(\{S: f_S(p_i) \neq f_S(p_j)\}) = 1/2$ . Thus  $\mu(\{S: f_S(N(x)) \text{ misses the } 1/2\text{-neighborhood of } f_S(p_j)\}) \geq 3/8$ . So  $N(x) \cap N(p_j) = \emptyset$ . Thus  $\{N(p_i): i \in \omega\}$  is discrete.  $\square$

**4.2. Corollary.** *Assuming PMEA, no first countable pseudocompact space has an infinite 2-embedded discrete subset.*

Of course, it does not follow from 4.2 that assuming PMEA every 2-embedded subset of a metric space is  $\omega$ -embedded, but only that an example cannot be constructed using the method of §3. We do not know if there is a ZFC example of a metric space having a 2-embedded subset which is not  $\alpha$ -embedded for some  $\alpha$ .

We point out that the full strength of PMEA was not used to prove 4.1, but rather the statement that the product measure on  $2^\omega$  extends to a  $\mathbf{c}$ -additive measure defined on the collection of all subsets of  $2^\omega$ . This statement is equivalent to the statement that Lebesgue measure on the real line extends to a  $\mathbf{c}$ -additive measure  $\mu$  with the property that every subset of  $\mathbb{R}$  is  $\mu$ -measurable.

We close with a question. We have seen that if there is no inner model having a measurable cardinal, then there is a metric space having a 2-embedded subset which is not  $\alpha$ -embedded. Is there a ZFC example of a metric space having a 2-embedded subset which is not  $\alpha$ -embedded? Specifically, is there a ZFC example of a metric space having a 2-embedded subset which is not  $\omega$ -embedded?

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