

## ON KLEIN'S COMBINATION THEOREM. IV

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**ABSTRACT.** This paper contains an expansion of the combination theorems to cover the following problems. New rank 1 parabolic subgroups are produced, while, as in previous versions, all elliptic and parabolic elements are tracked. A proof is given that the combined group is analytically finite if and only if the original groups are; in the analytically finite case, we also give a formula for the hyperbolic area of the combined group (i.e., the hyperbolic area of the set of discontinuity on the 2-sphere modulo  $G$ ) in terms of the hyperbolic areas of the original groups. There is also a new variation on the first combination theorem in which the common subgroup has finite index in one of the two groups.

This is the fourth formulation of the generalizations of Klein's combination theorem. The first formulation was given in [M1 and M2], where the amalgamated and conjugated subgroups were cyclic. The next formulation appeared in [M3], where we considered more general subgroups, but still required precisely invariant closed discs. In the third generation [M5], we no longer required the entire closed discs to be precisely invariant; we permitted the boundary of the disc to intersect translates of itself, but only at limit points; this permitted us to create rank 2 parabolic subgroups from doubly cusped rank 1 parabolic subgroups, but did not permit the creation of new rank 1 parabolic subgroups. In this version, we permit translates of the boundary of the disc to touch, but not cross, at a discrete set of ordinary points, and thus permit the production of new rank 1 parabolic subgroups.

The second version of the first combination theorem essentially says the following. We are given two discontinuous groups of Möbius transformations,  $G_1$  and  $G_2$ , with a common subgroup  $J$ , where  $J$  is not equal to either  $G_1$  or  $G_2$ . We are also given a simple closed curve  $W$  dividing the extended complex plane  $\hat{\mathbb{C}}$  into two closed topological discs,  $B_1$  and  $B_2$ , where  $B_m$  is precisely invariant under  $J$  in  $G_m$  (i.e.,  $B_m$  is  $J$ -invariant, and if  $g \in G_m - J$ , then  $g(B_m) \cap B_m = \emptyset$ ). Then  $G = \langle G_1, G_2 \rangle$ , the group generated by  $G_1$  and  $G_2$ , is also discontinuous;  $G$  is the free product of  $G_1$  and  $G_2$ , amalgamated across  $J$ ; if we intelligently choose fundamental domains for  $G_1$  and  $G_2$ , then their intersection will be a fundamental domain for  $G$ ; every element of  $G$  that is not a conjugate of an element of either  $G_1$  or  $G_2$  is loxodromic (including hyperbolic).

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One can restate the basic hypothesis as follows:  $B_1$  and  $B_2$  are both  $J$ -invariant; the  $(G_1 - J)$ -translates of  $B_1$  are disjoint discs in  $B_2$ ; the  $(G_2 - J)$ -translates of  $B_2$  are disjoint discs in  $B_1$ .

In general terms, the second version of the second combination theorem is as follows. We are given a single group  $G_0$ , with two subgroups  $J_1$  and  $J_2$ , two closed discs  $B_1$  and  $B_2$ , which have disjoint projections to  $\Omega(G_0)/G_0$ , where  $J_m$  preserves  $B_m$ , and we are given a Möbius transformation  $f$  mapping the outside of  $B_1$  onto the inside of  $B_2$ , and conjugating  $J_1$  onto  $J_2$ . The conclusions are that  $G = \langle G_0, f \rangle$  is discontinuous;  $G$  is the HNN-extension of  $G_0$  by  $f$ ; if we intelligently choose a fundamental domain  $D$  for  $G_0$ , then  $D - (B_1 \cup B_2)$  is a fundamental domain for  $G$ ; every element of  $G$  that is not a conjugate of an element of  $G_0$  is loxodromic.

The statements given in [M5] are somewhat more general; we permit the translates of the closed discs to have common boundary points, but we require that these common boundary points be limit points of the stabilizers of both discs. This entails a slight change in the conclusions; there may now be new parabolic elements in the final group; these commute with conjugates of parabolic elements of  $J$ . We also have the important conclusion that the final group is geometrically finite if and only if the original groups are.

In this paper, we weaken the hypotheses further and permit the translates of the closed discs to have common boundary points that are ordinary points of the stabilizers of both discs, but we require that these points of intersection also be ordinary points of our original group. We also add the conclusion that the final group is analytically finite if and only if the original groups are analytically finite, and we give a formula for the hyperbolic area.

The basic topological object in the use of combination theorems is a simple closed curve bounding two closed discs, and its translates under a Kleinian group. Our usual requirement is that the simple closed curve be almost disjoint from all its translates; that is, if the curve is  $W$ , and  $g(W)$  is a translate, then  $g(W)$  lies entirely in one of the closed discs bounded by  $W$ . Of course, the two curves might intersect; the main difficulties that we encounter in this paper are concerned with controlling these points of intersection.

We also formulate a version of the first combination theorem for the special case that  $J$  has finite index in  $G_2$ ; this includes the case that  $G_2$  stabilizes  $W$ , but contains an element interchanging  $B_1$  and  $B_2$ . This case, which requires slightly different hypotheses and conclusions, is referred to as the variation on the first combination theorem (or first variation); it is treated in §III.

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## 0. PRELIMINARIES

0.1. We will need the following notation. If  $A$  is a set, then  $\text{Stab}(A) = \{g \in G: g(A) = A\}$  is the stabilizer of  $A$ .

We say that  $A$  is *precisely invariant* under the subgroup  $H \subset G$  if  $h(A) = A$  for all  $h \in H$ , and  $g(A) \cap A = \emptyset$  for all  $g \in G - H$ .

We use the following notational convention throughout. In each section there is a given group  $G$  that contains all the other groups under consideration. This group  $G$  will usually be understood, rather than stated; for example, we refer

to the stabilizer in  $G$  of a set  $A$  as  $\text{Stab}(A)$ , while we refer to the stabilizer of  $A$  in the subgroup  $H \subset G$  as  $\text{Stab}_H(A)$ . Similarly, we refer to a set  $B$  as being a translate of  $A$  when there is some element of  $G$  mapping  $A$  to  $B$ ; if we need to know that there is an element of  $H \subset G$  mapping  $A$  to  $B$ , then we refer to  $B$  as being an  $H$ -translate of  $A$ .

0.2.1. A simple closed curve  $W$  divides the extended complex plane  $\hat{\mathbb{C}}$  into two open topological discs; another simple closed curve  $W'$  is *almost disjoint* from  $W$  if it is disjoint from one of these open discs.

Similarly, the simple closed curve  $W$  *weakly separates* two sets if they lie in distinct closed topological discs bounded by  $W$ .

A simple closed curve that is almost disjoint from all its translates is said to be *precisely embedded*.

If  $W$  is precisely embedded, then the set of points on  $W$ , which also lie on some translate of  $W$  can, in general, be quite complicated; there can also be points on  $W$  that are points of accumulation of translates of  $W$ .

0.2.2. If  $x$  is a fixed point of a parabolic element of  $G$ , then we say that  $x$  is *doubly cusped* if there are two disjoint open circular discs whose union is precisely invariant under  $\text{Stab}(x)$ ; each of these discs is called a *cusped region* at  $x$ ; the union of the two discs is called a *doubly cusped region* at  $x$ .

If  $W$  is a curve, passing through the point  $x$ , then we say that  $W$  is *locally circular* at  $x$  if there is a neighborhood  $N$  of  $x$  so that  $W \cap N$  is a circular arc.

0.2.3. Let  $W$  be a precisely embedded simple closed curve with geometrically finite stabilizer  $J$ . Assume that we are given a  $J$ -invariant set of points  $\Theta \subset W \cap \Omega(J)$ , called *rimpoints*, where  $\Theta/J$  is a finite set, and  $W$  is locally circular at each point of  $\Theta$ . We assume that for every  $g \in G$ , and every point  $x \in (W \cap g(W))$ ,  $x$  and  $g^{-1}(x)$  are either both points of  $\Lambda(J)$ , or they are both points of  $\Theta$ . Assume further that every point of  $\Omega(J)$  is either a point of  $\Omega(G)$  or both a point of  $\Theta$  and a doubly cusped rank 1 parabolic fixed point of  $G$ . Under these circumstances, we say that  $(W, \Theta)$  is a  $(J, G)$ -*swirl*; if there is no danger of confusion, we will not specify the dependence on  $G$  and/or  $\Theta$ , and simply say that  $W$  is a swirl.

The requirement that a swirl be locally circular at the rimpoints is in practice hardly a restriction; one could weaken this requirement significantly, but then the proofs would require more care.

0.2.4. Let  $B$  be a topological disc with geometrically finite stabilizer  $J$ . Suppose that  $\text{int}(B)$ , the interior of  $B$ , is precisely invariant under  $J$ , and that  $W = \partial B$  is a swirl with set of rimpoints  $\Theta$ . Then we say that  $B$ , or  $(B, \Theta)$ , if we need to specify  $\Theta$ , is a *simple disc*. Here again, if we need to specify the groups, we will also say that  $(B, \Theta)$  is a  $(J, G)$ -simple disc.

If  $B$  is a simple disc, then, since  $\text{int}(B)$  is precisely invariant under  $J$  in  $G$ ,  $\partial B$  weakly separates  $B$  from every  $(G - J)$ -translate of  $B$ .

A swirl  $W$ , or the simple disc  $B$  bounded by  $W$ , is *strong* if, for every parabolic element of  $G$  whose fixed point  $x$  lies on  $W$ ,  $\text{Stab}(x)$  either has rank 2 or is doubly cusped. Note that if  $G$  is geometrically finite, then every swirl and every simple disc is necessarily strong.

**Proposition 0.3.** *Let  $B$  be a  $(J, G)$ -simple disc bounded by the swirl  $W$ . Then  $\Omega(J) \cap B = \Omega(G) \cap B$ .*

*Proof.* It follows from the definition of a swirl that the only other possibility is that there is a point  $x \in \Omega(J)$ , which is both a rimpoint and a doubly cusped parabolic fixed point of  $G$ . Let  $j$  be some nontrivial element of  $\text{Stab}(x)$ . Since  $j \notin J$ ,  $j(B) \cap B \subset \Theta \cap \Lambda(J)$ . It follows that  $B$  and  $j(B)$  are distinct discs that are tangent at  $x$ ; hence there are at most two of them. This contradicts the fact that  $\text{Stab}(x)$  has infinite order.  $\square$

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In the statement of the first combination theorem given in [M5], we required that  $(\text{int}(B_1), \text{int}(B_2))$  be a proper interactive pair. That  $(\text{int}(B_1), \text{int}(B_2))$  is an interactive pair is simply the statement that every element of  $G_1 - J$  maps  $\text{int}(B_1)$  into  $\text{int}(B_2)$ , and every element of  $G_2 - J$  maps  $\text{int}(B_2)$  into  $\text{int}(B_1)$ . The interactive pair is *proper* if there is either a point of  $\text{int}(B_2)$  that is not in a  $G_1$ -translate of  $\text{int}(B_1)$ , or there is a point of  $\text{int}(B_1)$  that is not in a  $G_2$ -translate of  $\text{int}(B_2)$ .

**Proposition.** *Let  $J$  be a subgroup of the Kleinian groups,  $G_1$  and  $G_2$ , where  $J$  is a finitely generated quasifuchsian group of the first kind with limit set the simple closed curve  $W$ . Assume that  $W$  divides  $\widehat{\mathbb{C}}$  into two closed topological discs,  $B_1$  and  $B_2$ , where  $\text{int}(B_m)$  is precisely invariant under  $J$  in  $G_m$ . Assume further that there is a  $g_0 \in G_1$  so that  $g_0(W) \neq W$ . Then the complement of the union of the  $G_1$ -translates of  $B_1$  in  $B_2$  contains infinitely many points; in particular,  $(\text{int}(B_1), \text{int}(B_2))$  is a proper interactive pair.*

*Proof.* Since  $J$  is of the first kind, and  $\text{int}(B_1)$  is precisely invariant under  $J$  in  $G_1$ ,  $\text{int}(B_1)$  is a component of  $G_1$ . Since  $g_0(W) \neq W$ ,  $g_0(B_1)$  is a distinct component of  $G_1$ , and  $g_0 J g_0^{-1} \neq J$ . It then follows from [M4] that  $J \cap g_0 J g_0^{-1}$  is a finitely generated subgroup of  $J$  of the second kind. Hence  $J \cap g_0 J g_0^{-1}$  has infinite index in  $J$ . Every element of  $J$ , which is not in  $g_0 J g_0^{-1}$ , moves  $g_0(B_1)$  to some other component of  $G_1$ ; hence there are infinitely many distinct translates of  $B_1$  in  $B_2$ .

It was shown by Abikoff [A] that since  $G_1$  has infinitely many components,  $G_1$  contains infinitely many distinct loxodromic elements stabilizing no component of  $G_1$ . The fixed points of these loxodromic elements must all lie in the complement of the union of the closures of the components of  $G_1$ . In particular, we have shown that there are infinitely many points in the complement of the union of the  $G_1$ -translates of  $B_1$ .  $\square$

0.5. There is a similar problem with the second combination theorem and interactive triples. Let  $G_0$  be a Kleinian group with two distinguished subgroups,  $J_1$  and  $J_2$ . Let  $X_1$ ,  $X_2$ , and  $Y$  be disjoint nonempty sets. Let  $f$  be a Möbius transformation where  $f J_1 f^{-1} = J_2$ . The triple  $(Y, X_1, X_2)$  is *interactive* if  $(X_1, X_2)$  is precisely invariant under  $(J_1, J_2)$  in  $G_0$  (that is,  $X_m$  is precisely invariant under  $J_m$  in  $G_0$ , and, for all  $g \in G_0$ ,  $g(X_1) \cap X_2 = \emptyset$ );  $f(Y \cup X_2) \subset X_2$ ; and  $f^{-1}(Y \cup X_1) \subset X_1$ .

Assume that we have an interactive triple,  $(Y, X_1, X_2)$ . Let  $Y_0$  be the complement in  $Y$  of the union of the  $G_0$ -translates of  $X_1 \cup X_2$ . The interactive triple is *proper* if  $Y_0 \neq \emptyset$ .

The basic setup for the second combination theorem is that we are given a Kleinian group  $G_0$  with two geometrically finite subgroups,  $J_1$  and  $J_2$ ; we are given two closed topological discs, with distinct boundaries,  $B_1$ , and  $B_2$ , where  $B_m$  is a  $(J_m, G)$ -simple disc; and we are given a transformation,  $f$ , mapping the exterior of  $B_1$  onto the interior of  $B_2$ , and conjugating  $J_1$  onto  $J_2$ . We also assume that  $(A, \text{int}(B_1), \text{int}(B_2))$  is an interactive triple, where  $A$  is the complement of  $B_1 \cup B_2$ . Let  $A_0$  be the complement of the union of the  $G_0$ -translates of  $(B_1) \cup (B_2)$ .

Since  $J_m$  preserves  $B_m$ , and is geometrically finite, it is quasifuchsian.

**Proposition 0.6.** *If  $J_1$  is a quasifuchsian group of the first kind, then  $A_0$  contains infinitely many points; in particular, the interactive triple  $(A, \text{int}(B_1), \text{int}(B_2))$  is proper.*

*Proof.* The requirement that  $(A, \text{int}(B_1), \text{int}(B_2))$  be an interactive triple includes the facts that  $\text{int}(B_m)$  is precisely invariant under  $J_m$  in  $G_0$ , and that every  $G_0$ -translate of  $\text{int}(B_1)$  is disjoint from  $\text{int}(B_2)$ ; this implies that  $\text{int}(B_1)$  and  $\text{int}(B_2)$  are distinct regions in  $\Omega(G_0)$ ; also, since  $J_1$  and  $J_2$  are of the first kind, they are components of  $G_0$ .

Since  $A \neq \emptyset$ ,  $\partial B_1 \neq \partial B_2$ ; it follows that  $J_1 \neq J_2$ . Assume for the sake of argument that there is a  $j \in J_1 - J_2$ . Then  $j(B_2)$  and  $B_2$  are distinct, and distinct from  $B_1$ . Since  $G_0$  has at least three components, it has infinitely many components. Hence, exactly as in the proof of Proposition 0.4, there are infinitely many loxodromic elements of  $G_0$  that stabilize no component; the fixed points of these loxodromic elements must lie in  $A_0$ .  $\square$

0.7. An *analytically finite* Kleinian group  $G$  satisfies the conclusion of Ahlfors' finiteness theorem; that is, it is either of the first kind, or elementary, or  $\Omega/G$  has finite hyperbolic area.

We will need the following conventions concerning area. If  $G$  is an analytically finite nonelementary Kleinian group of the second kind, then we define  $\text{area}(G)$  to be the hyperbolic area of  $\Omega/G$ . If  $G$  is elementary, then it is automatically analytically finite, but the natural metric is now either Euclidean or spherical. If  $G$  has either one or two limit points, then the natural metric is Euclidean; in this case, we set  $\text{area}(G) = 0$ . If  $G$  is finite, then the natural metric is spherical; in this case, we define  $\text{area}(G) = -4\pi/|G|$ ; this is the negative of the natural area. If  $G$  is of the first kind, then we also define  $\text{area}(G) = 0$ . With these definitions, the area is, up to multiplication by  $-2\pi$ , the virtual Euler characteristic; that is, the area is essentially the negative Euler characteristic of  $\Omega/G$ , up to multiplication by  $2\pi$ , except that the special points (i.e., the projections of the elliptic fixed points in  $\Omega$ ) are counted as 0-cells with special weights.

0.8. We will also need some Euclidean metric considerations;  $\text{dia}(X)$  refers to the spherical diameter of the set  $X$ .

**Proposition.** *Let  $W$  be a  $(J, G)$ -swirl, and let  $\{g_m(W)\}$  be a sequence of distinct translates of  $W$ . Then  $\text{dia}(g_m(W)) \rightarrow 0$ .*

*Proof.* If  $W \cap \Omega(J) = \emptyset$ , then  $W$  is a block; in this case the desired result is given in [M5, p. 142]. If  $W \cap \Omega(J) \neq \emptyset$ , which we now assume, then  $G$  is of the second kind. Normalize  $G$  so that  $\infty \in {}^\circ\Omega(G)$  (this is  $\Omega(G)$  with the fixed points of elliptic elements removed). As in [M5, pp. 142 ff.], since

$W$  is  $J$ -invariant, we can assume that  $a_m$ , the center of the isometric sphere for  $g_m$ , lies in the Ford region for  $J$ ; call it  $E$ . Then we have the obvious bound:  $\text{dia}(g_m(W)) \leq r_m^2/\delta_m$ , where  $r_m$  is the radius of the isometric sphere of  $g_m$ , and  $\delta_m$  is the distance from  $a_m$  to  $W$ . Since  $\sum r_m^6$  converges, there is nothing to prove unless the  $a_m$  accumulate at  $W$ . Suppose  $x$  is a limit point of the  $a_m$  on  $W$ . Since  $J$  is geometrically finite,  $\bar{E}$  meets  $W$  only at a finite number of parabolic fixed points of  $J$ , and at points of  $\Omega(J)$ . Since  $W$  is a swirl, and  $x \in \Lambda(G)$ , if  $x$  is not a parabolic fixed point of  $J$ , then it is necessarily both a rimpoint and a doubly cusped parabolic fixed point of  $G$ .

Let  $\lambda_m$  be the distance from  $a_m$  to  $x$ .

If  $x$  is a parabolic fixed point of  $J$ , then  $a_m$  approaches  $x$  inside a cusp, so the distance from  $a_m$  to  $W$  is commensurate with  $\lambda_m$ . Hence

$$\text{dia}(g_m(W)) \leq r_m^2/\delta_m \leq Kr_m^2/\lambda_m.$$

Since  $x$  is not a point of approximation, the quantity on the right tends to zero.

If  $x$  is a rimpoint, and a parabolic fixed point of  $G$  but not of  $J$ , then, since  $W$  is circular near  $x$ , we can write  $W$  as the union of two open arcs,  $W_1$  and  $W_2$ , where  $W_1$  lies inside a doubly cusped region near  $x$ , and  $W_2$  is disjoint from a smaller doubly cusped region. The  $a_m$  all lie outside the larger doubly cusped region, and accumulate at  $x$ . Since the larger doubly cusped region is precisely invariant under its stabilizer, any sequence of distinct translates of it has diameter tending to zero; hence  $g_m(W_1) \rightarrow 0$ . Since the  $a_m$  are bounded away from  $W_2$ , the argument above shows that  $\text{dia}(g_m(W_2)) \rightarrow 0$ . Hence  $\text{dia}(g_m(W)) \rightarrow 0$ .  $\square$

## I. THE FIRST COMBINATION THEOREM

**I.1.** Our basic hypotheses for the first combination theorem are as follows. We are given two Kleinian groups,  $G_1$  and  $G_2$ , with a common subgroup  $J$ , where  $J$  is geometrically finite and has index at least 2 in both  $G_1$  and  $G_2$ . We are also given a  $J$ -invariant simple closed curve  $W$ , together with a  $J$ -invariant set of rimpoints  $\Theta$  on  $W$ .  $W$  divides  $\hat{\mathbb{C}}$  into two closed topological discs,  $B_1$  and  $B_2$ ; we assume that  $(B_m, \Theta)$  is a  $(J, G_m)$ -simple disc. We also require that there be a  $g_1 \in G_1 - J$  with  $g_1(W) \neq W$ . We still need a condition on certain of the rimpoints.

If  $x \in \Theta$ , then there need not be any  $g \in G_m - J$  with  $g(x) = x$ ; if there is such an element, then we say that  $x$  is a *true*  $G_m$ -rimpoint. A rimpoint that is both a true  $G_1$ -rimpoint and a true  $G_2$ -rimpoint is called a *double* rimpoint; the others are called *single* rimpoints.

Choose some fundamental set  $E$  for the action of  $J$  on  $W \cap \Omega(J)$ . Since  $J$  is geometrically finite, and  $\Theta$  is a discrete subset of  $\Omega(J)$ , there are only finitely many rimpoints in  $E$ . Assume there is a double rimpoint  $x = x_1$  in  $E$ . Then there is a  $g_1 \in G_1 - J$  so that  $x_2 = g_1(x_1)$  is again a rimpoint in  $E$  (note that  $g_1$  might be an elliptic transformation with a fixed point at  $x_1$ ). If  $x_2$  is a single rimpoint, then there is nothing further to do; if  $x_2$  is again a double rimpoint, then there is a  $g_2 \in G_2 - J$  so that  $g_2(x_2)$  is also a rimpoint in  $E$ . We continue in this manner until we either reach a single rimpoint, or we return to  $x_1$ . In the former case, we have a *chain* of rimpoints; in the latter case, we have a *cycle* of rimpoints.

A rimpoint on  $W$  that is  $J$ -conjugate to a rimpoint lying in a cycle of rimpoints is called *preparabolic*; the others are *ordinary* rimpoints.

We remark that a chain or cycle of rimpoints might have only one point in it.

In the case of rimpoints, it is clear that we could have started the chain at a single rimpoint  $x_1$ , continued through double rimpoints,  $x_2, \dots, x_{n-1}$ , and finally arrived at a single rimpoint,  $x_n$ . From here on we assume that every chain of rimpoints starts and ends with single rimpoints; that is, we assume that every chain has maximal length.

For every preparabolic point  $x$ , we have constructed a transformation  $g = g_n \circ \dots \circ g_1$ , with fixed point  $x$ , where the  $g_m$  are alternately in  $G_1 - J$  and  $G_2 - J$ . This element is called a *cyclic stabilizer* at  $x$ . Since we permit rimpoints to be fixed points of elliptic elements in  $G_m$ , the cyclic stabilizer at  $x$  need not be unique.

**I.2.** We can also have cyclic stabilizers at parabolic fixed points of  $J$ ; that is, a parabolic fixed point  $x$  of  $J$  is a *parabolic  $G_m$ -rimpoint* if there is an element  $g \in G_m - J$  mapping  $x$  onto a point of  $B_m$ , necessarily a point on  $W$ . The *double parabolic rimpoints* are parabolic rimpoints for both  $G_1$  and  $G_2$ . Since  $J$  is geometrically finite, there are only finitely many double parabolic rimpoints modulo  $J$ . As above, these come in chains and cycles; a point on a cycle of double parabolic rimpoints is a *double preparabolic* point. Also as above, there is an associated *cyclic stabilizer* at each double preparabolic point. Since such a cyclic stabilizer fixes a parabolic fixed point of  $J$ , it cannot be unique.

Condition (B) below requires that every cyclic stabilizer be parabolic. We remark that this hypothesis is not needed for the cyclic stabilizers at double preparabolic points; that is, we prove that  $G$  is discrete and that  $G$  is the free product of  $G_1$  and  $G_2$ , amalgamated across  $J$ ; this rules out any other possibility for such a cyclic stabilizer.

**I.3.** Let  $D_m$  be a fundamental set for  $G_m$  (that is,  $D_m$  is a fundamental set for the action of  $G_m$  on  ${}^\circ\Omega(G_m)$ ) satisfying the following.  $D_m$  is maximal with respect to  $B_m$  (i.e.,  $D_m \cap B_m$  is a fundamental set for the action of  $J$  on  $B_m$ ), and, in the complement of  $\Theta$ ,  $D_1 \cap W = D_2 \cap W$ . The sets  $D_1$  and  $D_2$  satisfying these conditions are called *compatible fundamental sets*.

In previous versions of this combination theorem, we set  $D = (D_1 \cap B_2) \cup (D_2 \cap B_1)$ , and then showed that  $D$  is a fundamental set for  $G$ . In our case, this need not be true, we need to make modifications to account for the rimpoints.

Once we have chosen the fundamental domains  $D_1$  and  $D_2$ , the chains and cycles of rimpoints are well defined.

Set  $D' = (D_1 \cap B_2) \cup (D_2 \cap B_1)$ . If  $x_1, \dots, x_n$  is a cycle of double rimpoints, then condition (B) below asserts that each  $x_m$  is a parabolic fixed point in  $G$ ; hence  $x_m$  is not in  $\Omega(G)$ . We delete all preparabolic rimpoints from  $D'$ .

If we have a chain of rimpoints containing an elliptic fixed point, then every point of this chain is a fixed point of an elliptic element of  $G$ ; we delete all such points from  $D'$ .

If  $x_1, \dots, x_n$  is a chain of rimpoints, where no  $x_i$  is an elliptic fixed point, then these points are all equivalent modulo  $G$ ; hence we need only one of them in our fundamental set for  $G$ . We choose one single ordinary rimpoint in  $D'$ .

from each chain of ordinary rimpoints, and delete all the others from  $D'$ . After these additions and deletions, we are left with the *modified set*,  $D$ .

**I.4.** The major conclusions of the first combination theorem are given below. The conclusions are numbered so as to agree with the numbering in [M5], although some of the formulations have been modified. Also, conclusions (xii) and (xiii) are new.

#### STATEMENT OF THE FIRST COMBINATION THEOREM

**Theorem I** (the first combination theorem). *Let  $G_1$  and  $G_2$  be Kleinian groups with a geometrically finite common subgroup  $J$ , where the index of  $J$  is at least two in both groups. Assume the following.*

(A) *There is a  $J$ -invariant simple closed curve  $W$  dividing  $\widehat{\mathbb{C}}$  into two closed topological discs,  $B_1$  and  $B_2$ , and there is a set of rimpoints  $\Theta$  given on  $W$ , so that  $(B_m, \Theta)$  is a  $(J, G_m)$ -simple disc.*

(B) *Every cyclic stabilizer is parabolic.*

(C) *There is a  $g_m \in G_m$  with  $g_m(W) \neq W$ .*

*Let  $G = \langle G_1, G_2 \rangle$ , let  $D_1$  and  $D_2$  be compatible fundamental sets for  $G_1$  and  $G_2$ , respectively, and let  $D$  be the modified set for  $G$ . Then the following hold.*

(i)  $G = G_1 *_J G_2$ , (i.e.,  $G$  is the free product of  $G_1$  and  $G_2$ , with amalgamated subgroup  $J$ ).

(ii)  $G$  is discrete.

(iii) *Every element of  $G$  that is not a conjugate of an element of either  $G_1$  or  $G_2$ , or a conjugate of a power of a cyclic stabilizer, is loxodromic.*

(iv)  $(W, \Theta)$  is a  $(J, G)$ -swirl; it is strong if and only if  $B_1$  and  $B_2$  are both strong simple discs.

(vii) *The modified set  $D$  is a fundamental set for  $G$ .*

(viii) *Let  $S_m$  be the complement in  $B_{3-m}$  of the union of the  $G_m$ -translates of  $B_m$ . Then  $S_m$  is precisely invariant under  $G_m$ . Further,  $\Omega(G)/G$  is the union of  $S_1/G_1$  and  $S_2/G_2$ , where these two possibly disconnected surfaces (either or both of which might be empty) are joined along the projection of  $W \cap \Omega(G)$ .  $W \cap \Omega(G)$  is the complement of the cyclic rimpoints in  $W \cap \Omega(J)$ . Two points of  $W \cap \Omega(J)$  that are not rimpoints are  $G$ -equivalent if and only if they are  $J$ -equivalent.*

(ix) *Assume that  $G_1$  and  $G_2$  are both geometrically finite and that  $W \cap \Omega(J)$  is smooth. Then there is a spanning disc  $Q$  for  $W$  (that is,  $Q$  is a properly embedded topological disc in hyperbolic 3-space  $\mathbb{H}^3$  whose Euclidean boundary is  $W$ , where  $Q$  is precisely invariant under  $J$ ). Further,  $\mathbb{H}^3/G$  can be described as follows: Let  $B_m^3$  be the region in  $\mathbb{H}^3$ , bounded by the translates of  $Q$ , whose Euclidean boundary is  $B_m$ . Then  $\mathbb{H}^3/G$  is the union of  $B_1^3/G_1$  and  $B_2^3/G_2$ , where these two 3-orbifolds are joined along their common boundary,  $Q/J$ .*

(xi)  $G$  is geometrically finite if and only if  $G_1$  and  $G_2$  are both geometrically finite.

(xii)  $G$  is analytically finite if and only if  $G_1$  and  $G_2$  are both analytically finite.

(xiii) *If  $G$  is analytically finite, then*

$$\text{area}(G) = \text{area}(G_1) + \text{area}(G_2) - \text{area}(J).$$



## PROOF OF THE FIRST COMBINATION THEOREM

*Proof of (i).* If  $J$  is of the second kind, then there are points of  $D_1$  near  $W$  that do not lie in any  $G_1$ -translate of  $B_1$ ; hence  $(\text{int}(B_1), \text{int}(B_2))$  is a proper interactive pair. If  $J$  is of the first kind, then this result is the content of Proposition 0.4. The desired result now follows from [M5, Theorem VII.A.10].

*Proof of (ii).* It follows from conclusion (i) that every element of  $G - J$  can be written in the form  $g = g_n \circ \cdots \circ g_1$ , where the  $g_m$  are alternately in  $G_1 - J$  and  $G_2 - J$ ; the  $g_m$  are not in general uniquely defined, but the length,  $n$ , is. The word  $g_n \circ \cdots \circ g_1$  is called a  $(j, k)$ -form if  $g_n \in G_j - J$ , and  $g_1 \in G_k - J$ . It was shown in [M5, p. 138], that, since  $(\text{int}(B_1), \text{int}(B_2))$  is an interactive pair, if  $g = g_n \circ \cdots \circ g_1$  is a  $(j, k)$ -form then  $g(\text{int}(B_k)) \in \text{int}(B_{3-j})$ .

As in conclusion (viii), let  $S_m$  be the complement of the union of the  $G_m$ -translates of  $B_m$  in  $B_{3-m}$ .

**Lemma I.1.**  $S_m$  is precisely invariant under  $G_m$ .

*Proof.* It suffices to show that  $S_1$  is precisely invariant under  $G_1$ . Every element of  $g \in G$  is either an element of  $J \subset G_1$ , or an element of  $G_1 - J$ , or an element of  $G_2 - J$ , or  $g$  can be written as a  $(j, k)$ -form,  $g = g_n \circ \cdots \circ g_1$ , where  $n > 1$ .

Since  $S_1$  is the complement of a  $G_1$ -invariant set, it is also  $G_1$ -invariant.

If  $g \in G_2 - J$ , then  $g(S_1) \subset B_1$ , which is disjoint from  $S_1$ .

If  $g = g_n \circ \cdots \circ g_1$  is a  $(2, 2)$ -form, then, by the remark above,  $g(S_1) \subset g(B_2) \subset B_1$ , which is disjoint from  $S_1$ . If  $g$  is a  $(2, 1)$ -form, then  $g_n \circ \cdots \circ g_2$  is a  $(2, 2)$ -form, and  $g(S_1) = g_n \circ \cdots \circ g_2(g_1(S_1)) = g_n \circ \cdots \circ g_2(S_1)$ ; by the above, this is contained in  $B_1$ , which is disjoint from  $S_1$ .

If  $g = g_n \circ \cdots \circ g_1$  is either a  $(1, 1)$ -form or a  $(1, 2)$ -form, then  $g' = g_{n-1} \circ \cdots \circ g_1$  is either a  $(2, 1)$ -form or a  $(2, 2)$ -form. By the above,  $g'(S_1) \subset B_1$ . Then  $g(S_1) = g_n \circ g'(S_1) \subset g_n(B_1)$ , which is contained in the complement of  $S_1$ .  $\square$

Before returning to the proof of (ii), we make the following remark. Since there is some  $g_0 \in G_1$  with  $g_0(W) \neq W$ ,  $g_0(B_1)$  is properly contained in  $B_2$ . Since  $B_1$  is precisely invariant under  $J$  in  $G_1$ , for every  $g \in G_1 - J$ ,  $g(B_1)$  is properly contained in  $B_2$ .

We return to the proof of conclusion (ii). As a corollary of the above argument, we see that for any sequence  $\{g_m\}$  of distinct elements of  $G - J$ , where each  $g_m$  has length at least two,  $g_m(W)$  either lies inside a translate of  $B_1$  in  $B_2$ , or lies inside a translate of  $B_2$  in  $B_1$ . Since these are all topological discs, with disjoint interiors and nonempty complement, it is clear that  $g_m(W)$  cannot converge to  $W$ . Since  $G_1$  and  $G_2$  are both discrete, for no sequence of distinct elements of either  $G_1 - J$ , or  $G_2 - J$ , can we have  $g_m(W) \rightarrow W$ .

*Proof of (iii).* Let  $g$  be an element of  $G$ , where  $g$  is not conjugate to an element of either  $G_1$  or  $G_2$ . We assume that  $g$  has minimal length in its conjugacy class; in particular, we assume that  $g = g_n \circ \cdots \circ g_1$ , where  $g_{2m} \in G_2 - J$ ,  $g_{2m-1} \in G_1 - J$ , and  $n \geq 2$  is even. Since  $g$  has been written as a  $(2, 1)$ -form,  $g(B_1) \subset B_1$ ; as remarked above, since  $n \geq 2$ , this inclusion is proper, from which it follows that  $g$  has infinite order. We also conclude that  $g$  has a fixed point in  $g(B_1) \subset B_1$ . Since  $g^{-1}$  is a  $(1, 2)$ -form,  $g^{-1}(B_2) \subset B_2$ ; hence  $g$  also has a fixed point in  $g^{-1}(B_2) \subset B_2$ .

If  $g$  is parabolic, then its fixed point  $x$  lies on  $W \cap g(W)$ . Lying between  $W$  and  $g(W)$ , we also have  $g_n(W)$ ,  $g_n \circ g_{n-1}(W)$ ,  $\dots$ ,  $g_n \circ \dots \circ g_2(W)$ ; hence  $x$  also lies on all these translates of  $W$ . This can occur only if  $x$  is a translate of a preparabolic rimpoint and  $g$  is a corresponding cyclic stabilizer.

*Proof of (iv).* Since  $\text{int}(B_m)$  is precisely invariant under  $J$  in  $G_m$ , we already know that  $W$  is precisely embedded in  $G_m$ . Since  $S_m$  is precisely invariant under  $G_m$  in  $G$ , no translate of  $W$  crosses any  $G_m$ -translate of  $W$ . In particular, no translate of  $W$  crosses  $W$ ; i.e.,  $W$  is precisely embedded in  $G$ . It also follows, from the fact that  $S_m$  is precisely invariant under  $G_m$  that if some  $g(W) \neq W$  touches  $W$  at a point  $x$ , then there is either a  $G_1$ -translate of  $W$  touching  $W$  at  $x$ , or there is a  $G_2$ -translate of  $W$  touching  $W$  at  $x$ ; i.e.,  $x$  and  $g^{-1}(x)$  are either both points of  $\Theta$  or they are both limit points of  $J$ .

We already know that  $J$  is geometrically finite, and that  $J = \text{Stab}_{G_1}(W) = \text{Stab}_{G_2}(W)$ . It follows from the above that  $J = \text{Stab}(W)$ .

We also already know that  $\Theta/J$  is a finite set, and that  $W$  is locally circular at the points of  $\Theta$ . In order to show that  $W$  is a swirl, we still need to show that every point of  $W \cap \Omega(J) - \Theta$  lies in  $\Omega(G)$ , that the ordinary rimpoints lie in  $\Omega(G)$ , and that the preparabolic rimpoints, all of which are parabolic fixed points of  $G$ , are doubly cusped; this is the content of the next two lemmas.

**Lemma I.2.**  $(W \cap \Omega(J)) - \Theta \subset \Omega(G)$ .

*Proof.* Let  $x \in (W \cap \Omega(J)) - \Theta$ . Then, since  $B_1$  and  $B_2$  are both simple discs,  $x \in (\Omega(G_1) \cap \Omega(G_2))$ . Since  $x \in \Omega(G_m)$ , and  $x \notin \Theta$ , there is a neighborhood  $N$  of  $x$ , so that no  $(G_m - J)$ -translate of  $B_m$  intersects  $N$ . It follows that no nontrivial translate of  $W$  intersects  $N$ , from which it follows that  $x \in \Omega$ .  $\square$

**Lemma I.3.** *The ordinary rimpoints are contained in  $\Omega$ , and the preparabolic rimpoints are all doubly cusped parabolic fixed points of  $G$ .*

*Proof.* Let  $x_0, \dots, x_n$  be a chain or cycle of rimpoints.

In the case that it is a chain, we can assume without loss of generality that  $x_0$  and  $x_n$  are single rimpoints, and the others are double. In particular, we assume that there is no  $g \in G_2 - J$  with  $x_0 \in g(W) \cap W$ , but there is a  $g_1 \in G_1 - J$ , with  $x_1 = g_1(x_0) \in W$ . Then there is a  $g_2 \in G_2 - J$  with  $x_2 = g_2 \circ g_1(x_0) \in W$ , etc. Depending on whether  $n$  is even or odd, there is either no  $g \in G_1 - J$  with  $g(x_n) \in W$ , or there is no  $g \in G_2 - J$  with  $g(x_n) \in W$ ; for the sake of definiteness, we assume the former.

In any case, since  $B_m$  is a simple disc for  $J$  in  $G_m$ , the points  $x_0, \dots, x_n$  are all points of both  $\Omega(G_1)$  and  $\Omega(G_2)$ . Hence, for a chain,  $x_0$  has a neighborhood  $N_0$  that meets no  $(G_2 - J)$ -translate of  $W$ , and, aside from  $g_1^{-1}(W)$ , meets no  $(G_1 - J)$ -translate of  $W$ . Similarly, for  $i = 1, \dots, n-1$  in the case of a chain, and for all  $i$  in the case of a cycle,  $x_i$  has a neighborhood  $N_i$  that meets  $W$ , meets exactly one  $(G_1 - J)$ -translate of  $W$ , and meets exactly one  $(G_2 - J)$ -translate of  $W$ . Finally, again in the case of a chain,  $x_n$  has a neighborhood that meets no  $(G_1 - J)$ -translate of  $W$  and meets exactly one  $(G_2 - J)$ -translate of  $W$ . Let  $h_i = (g_1 \circ \dots \circ g_i)^{-1}$ ; let  $N'_i = h_i(N_i)$ ; then  $N = \bigcap N_i$  is a neighborhood of  $x_0$ .

In the case of a chain, we see exactly the  $n+1$  translates of  $W$ :  $W, h_1(W), \dots, h_n(W)$ , inside  $N$ ; these all touch without crossing at  $x_0$ . Since there is

no  $(G_2 - J)$ -translate of  $W$  in  $N_0$ , there is no  $G$ -translate of  $W$  in  $N \cap B_2$ . Similarly, there is no  $G$ -translate of  $W$  in  $N$  lying between any of the above translates of  $W$  meeting at  $x_0$ , and there is no  $G$ -translate of  $W$  in  $N$  lying on the other side of  $h_n(W)$ . We have shown that  $N$  is a neighborhood of  $x_0$  meeting only finitely many  $G$ -translates of  $W$ ; it follows that  $x_0 \in \Omega(G)$ .

In the case of a cycle, we see infinitely many translates of  $W$  inside  $N$ , but we pick out exactly the  $n + 1$  translates listed above. Then there is a parabolic transformation, the cyclic stabilizer, with fixed point at  $x_0$ , mapping  $W$  onto  $h_n(W)$ . We choose  $N$  sufficiently small so that these are both arcs of circles inside  $N$ , necessarily tangent. Inside  $N$ , aside from  $W_1, \dots, W_{n-1}$ , there are no  $G$ -translates of  $W$  lying between  $W$  and  $h_n(W)$ . It follows that there are no limit points of  $G$  in  $N$  lying between  $W$  and  $h_n(W)$ . Once we have a doubly cusped region containing no limit points, it follows from the Shimizu-Leutbecher lemma that there is a precisely invariant doubly cusped region contained inside it.  $\square$

The proof that  $(W, \Theta)$  is strong if and only if  $(B_1, \Theta)$  and  $(B_2, \Theta)$  are both strong is included in the proof of (xi).

*Proof of (vii).* We already know [M5, p. 138] that  $(D_1 \cap \text{int}(B_2)) \cup (D_2 \cap \text{int}(B_1))$  is precisely invariant under the identity in  $G$ . Since  $S_m$  is precisely invariant under  $G_m$ , we have the following picture of the action of  $G$  near  $W$ . All the translates of  $W$  lying in  $B_1$  lie inside some  $G_2$ -translate of  $B_2$ , and all the translates of  $W$  lying in  $B_2$  lie inside some  $G_1$ -translate of  $B_1$ . Hence there is a point  $x \in W \cap g(W)$ , for some  $g \in G$ , only if there is a  $\hat{g}$  of length 1 with  $x \in \hat{g}(W)$ . We conclude that  $D \cap W$  is precisely invariant under the identity in  $G$ .

Each point of  $D_1 \cap B_2$  is  $G_1$ -equivalent to a unique point of  $S_1 \cap \Omega(G_1)$ . Since every point of  $S_1 \cap \Omega(G_1)$  has a neighborhood that intersects no translate of  $W$ ,  $(D_1 \cap B_2) \subset \Omega$ . Likewise,  $(D_2 \cap B_1) \subset \Omega$ .

We already know from Lemma I.2 that, except for the rimpoints, every point of  $\Omega(J) \cap W$  is also in  $\Omega$ . We also know from Lemma I.3 that the ordinary rimpoints are in  $\Omega$ . We have shown that  $D \subset \Omega$ . Since we have exactly one point from each  $G$ -equivalence class of ordinary rimpoints in  $D$ , we have also shown that  $D$  is precisely invariant under the identity in  $G$ .

It remains only to show that every point of  $\Omega$  is equivalent to some point of  $\overline{D}$ . We already know that every point of  $(S_1 \cup S_2) \cap {}^\circ\Omega$  is equivalent to some point of  $D$ ; hence the same is true for every translate of these sets. The only points which are not translates of these sets are the infinite points; these are the points that are separated from  $W$  by an infinite sequence of distinct translates of  $W$ . Since  $W$  is a swirl, by Proposition 0.8, any sequence of distinct translates of  $W$  have spherical diameter tending to zero. Hence every infinite point is a limit point of  $G$ .

*Proof of (viii).* The first statement is just Lemma I.1. If we choose the fundamental sets  $D_1$  and  $D_2$  to be constrained (that is,  $\text{int}(D_m)$  is a fundamental domain for  $G_m$ ), then  $D$  is also constrained, from which the next statement follows. The statements about the  $G$ -equivalence of points of  $W$  have been proven above.

Before going on to conclusion (ix), we prove a lemma that will also be needed for the second combination theorem.

**Lemma I.4.** *Suppose  $W$  is a strong  $(J, G)$ -swirl, where  $W \cap \Omega(J)$  is smooth. Then there is a spanning disc  $Q$  for  $W$ ; that is,  $Q$  is a properly embedded disc in  $\mathbb{H}^3$ , where the Euclidean boundary of  $Q$  is  $W$ , and  $Q$  is precisely invariant under  $J = \text{Stab}(W)$ .*

*Proof.* It is clear that there is some disc  $Q$ , properly embedded in  $\mathbb{H}^3$ , whose Euclidean boundary is  $W$ .

If  $x \in W$  is a point of  $\Omega(J)$ , and  $x$  is not a rimpoint, then  $x \in \Omega(G)$ , and  $x$  lies on no nontrivial translate of  $W$ ; hence we can find a Euclidean neighborhood  $N$  of  $x$  in 3-space, so that  $Q \cap N$  is disjoint from every  $(G - J)$ -translate of  $Q$ .

If  $x \in W$  is an ordinary rimpoint, then  $W$  is circular near  $x$ , and there are finitely many translates of  $W$  meeting  $W$  at  $x$ . Choose a neighborhood  $N$  of  $x$ , exactly as in the proof of Lemma I.3. Normalize  $G$  so that  $x = \infty$ . Then  $N$  is the outside of the disc of some radius  $\rho$ . There are exactly  $n + 1$  distinct translates of  $W$  meeting  $N$ , and they appear there as  $n + 1$  parallel lines. We now require that, in  $N$ ,  $Q$  lies in the hyperbolic plane supported by  $W$ . We make this same requirement for every chain rimpoint. Then, since there are only finitely many rimpoints modulo  $J$ , we can choose the neighborhood  $N$  at each rimpoint so that all the translates of  $Q$  intersecting  $N$  appear, inside  $N$ , as parallel hyperbolic planes which are tangent at  $x$ . Having made this requirement, we see that, in  $N \cap \mathbb{H}^3$ , no translate of  $Q$  meets  $Q$ .

We next take up the case that  $x$  is a preparabolic rimpoint. Then, as above, we can find a neighborhood of  $x$  that meets only a finite number of translates of  $W$  modulo  $\text{Stab}(x)$ , which we know is doubly cusped rank 1 parabolic. Again, we can choose  $N$  so that, inside  $N$ , all translates of  $W$  are circular. Normalizing so that  $x = \infty$ , the translates of  $W$  inside  $N$  appear as an infinite collection of parallel lines. Inside  $N$ , we require  $Q$  to be the collection of hyperbolic planes supported by these lines. With this requirement, we see that, in  $N \cap \mathbb{H}^3$ , no translate of  $Q$  meets  $Q$ .

As in [M5, p. 145] we define  $Q$  near the parabolic fixed points of elements of  $J$  by the same vertical extension as above. We observe that, for  $Q$  defined thus far, if  $g(W) \neq W$ , then  $g(Q) \cap Q = \emptyset$ . Using the fact that  $J$  is strong, it is now easy to extend  $Q$  to be a  $J$ -invariant properly embedded disc, with the following properties: the Euclidean boundary of  $Q$  is  $W$ ; if  $g \in G$ , with  $g(W) \neq W$ , then the projection of  $g(Q) \cap Q$  is compact in  $\mathbb{H}^3/G$ . Observe that once we have done this, the construction of the required disc follows from the argument given in [M5, p. 145 ff.].

*Proof of (ix).* By the above lemma, there is a precisely invariant spanning disc,  $Q$ . Since the Euclidean boundary of  $B_m^3$  is  $S_m$ , which is precisely invariant under  $G_m$ ,  $B_m^3$  is precisely invariant under  $G_m$ . The translates of  $Q$  divide  $\mathbb{H}^3$  into regions. By Proposition 0.8, the Euclidean diameter of any sequence of distinct translates of  $W$  tends to zero; the same proof yields that the Euclidean diameter of any sequence of distinct translates of  $Q$  tends to zero. Hence these translates of  $B_m^3$  cover all of  $\mathbb{H}^3$ . The result now follows.  $\square$

**Lemma I.5.**  *$S_m$  contains infinitely many points.*

*Proof.* It suffices to show that  $S_1$  contains infinitely many points. Since  $S_1$  is the set of points inside  $B_2$  disjoint from all  $(G_1 - J)$ -translates of  $B_1$ , this is

exactly the statement of Proposition 0.4, if  $J$  is of the first kind. If  $J$  is of the second kind, then there are points of  $\Omega(G) - \Theta$  on  $W$ ; nearby points in  $B_2$  must lie in  $S_1$ .  $\square$

*Proof of (xi).* We start with the assumption that  $G_1$  and  $G_2$  are both geometrically finite. It follows from the fact that  $S_m$  is precisely invariant under  $G_m$  that if  $P$  is a doubly cusped rank 1 parabolic subgroup of  $G_m$ , where the fixed point of  $P$  does not lie on  $W$ , or on any  $G_m$ -translate of  $W$ , then  $P$  is doubly cusped. If  $P$  is a doubly cusped rank 1 parabolic subgroup of  $G_m$ , whose fixed point  $z$  lies on  $W$ , then either  $z$  is also the fixed point of a cyclic stabilizer, in which case  $\text{Stab}_G(z)$  has rank 2, or not. If not, then, since  $z$  lies on  $W$ , and  $G_1$  and  $G_2$  are both geometrically finite,  $z$  is doubly cusped in both  $G_1$  and  $G_2$ . There are also only finitely many translates of  $W$  touching  $W$  at  $z$ , so there is a farthest one in  $B_1$ , call it  $W_1$ , and there is a farthest one in  $B_2$ , call it  $W_2$  (these correspond to the ends of the chain of parabolic rimpoints). Write  $W_m = g_m(W)$ ; since  $G_1$  and  $G_2$  are both geometrically finite,  $g_m^{-1}(z)$  is doubly cusped in  $G_{3-m}$ , from which it easily follows that  $z$  is doubly cusped.

The only other parabolic subgroups of  $G$  are the conjugates of cyclic stabilizers; we saw in Lemma I.3 that these are doubly cusped.

We note that the argument above also shows that if  $B_1$  and  $B_2$  are both strong simple discs, then  $W$  is a strong swirl. If say  $B_1$  is not strong, then there is a parabolic fixed point  $z \in W$ , where  $z$  is not doubly cusped in  $G_1$ . Since  $B_1$  contains a cusped region for every parabolic element of  $J \subset G_1$ , there can be no  $(G_1 - J)$ -translate of  $W$  at  $z$ . It follows that  $z$  is not the fixed point of a cyclic stabilizer; hence  $\text{Stab}(z)$  has rank 1 in  $G$ . Since  $z$  is not doubly cusped in  $G_1$ , it is surely not doubly cusped in  $G$ . We have shown that  $W$  is a strong swirl if and only if  $B_1$  and  $B_2$  are both strong simple discs; this concludes the proof of (iv).

We continue with the proof of (xi). Since  $G_m$  is geometrically finite, it is analytically finite; hence  $S_m$ , which is precisely invariant under  $G_m$ , projects to a surface of finite type, with its boundary arcs on the projection of  $W$ . It follows that every point in  $\bar{S}_m$  either lies on a translate of  $W$ , or is a point of  $\Omega(G_m) \cap S_m = \Omega(G) \cap S_m$ , or is a limit point of  $G_m$ . Every point on  $W$  is either a limit point of  $J$ , or a rimpoint, or a point of  $\Omega$ . We have shown that every point in  $\bar{S}_m$  is either a point of  $\Omega$ , or a rank 2 parabolic fixed point, or a doubly cusped rank 1 parabolic fixed point, or a point of approximation.

Let  $x$  be a limit point of  $G$ , where  $x$  is not a parabolic fixed point, and  $x$  is not a translate of any point in the closure of either  $S_1$  or  $S_2$ ; in particular,  $x$  does not lie on  $W$  or on any translate of  $W$ . This means that there is a sequence of translates of  $W$ , call it  $\{W_j\}$ , where  $W_1 = W$ , so that each  $W_j$  weakly separates  $x$  from  $W_{j-1}$ . We remark further that since  $\text{dia}(W_j) \rightarrow 0$ , and  $x$  does not lie on any one of the  $W_j$ , we actually have that  $x$  lies in the open disc bounded by  $W_j$ . Write  $W_j = g_j(W)$ , and set  $h_j = g_j^{-1}$ . Note that  $h_j(x)$  lies in either  $\text{int}(B_1)$  or  $\text{int}(B_2)$ , and  $W$  weakly separates  $h_j(x)$  from  $h_j(W)$ . For each  $j$ , find an element  $k_j \in J$ , with  $k_j \circ h_j(x) \in E$ , a constrained fundamental set for  $J$ .

We first take up the case that  $k_j \circ h_j(x)$  is bounded away from  $W$ . Then it is surely bounded away from  $k_j \circ h_j(W)$ . We choose a subsequence, which we call

by the same name, so that  $k_j \circ h_j(z)$  converges to some point  $y$ , uniformly on compact subsets of the complement of some limit point  $x'$ . Since  $k_j \circ h_j(W)$  is uniformly bounded away from  $k_j \circ h_j(x)$ , we must have that  $x = x'$ , and  $k_j \circ h_j(z) \rightarrow y$  for all  $z \neq x$ . It follows that  $x$  is a point of approximation.

Since  $J$  is geometrically infinite,  $E$  comes close to  $W$  only near parabolic fixed points of elements of  $J$ , and near points of  $\Omega(J)$ . If  $y$  is a parabolic fixed point of  $J$ , then  $y$  is either doubly cusped, in which case,  $k_j \circ h_j(x)$  is bounded away from  $y$ , or  $\text{Stab}(y)$  has rank 2.

The only points of  $\Omega(J)$  that are not in  $\Omega(G)$  are the preparabolic rimpoints, and these are all doubly cusped rank 1 parabolic fixed points of  $G$ . Assume that  $k_j \circ h_j(x)$  approaches the parabolic fixed point  $y$  where  $y$  is either a rimpoint on  $W$ , or a parabolic fixed point of  $J$  that has rank 2 in  $G$ . We can assume that  $k_j \circ h_j(x)$  approaches  $y$  from inside  $E \cap B_1$ ; the argument in the case that  $k_j \circ h_j(x) \in B_2$  is essentially the same. Then  $k_j \circ h_j(W)$  lies in  $B_2$ . If  $k_j \circ h_j(W)$  is bounded away from  $y$ , then we can use the argument above to conclude that  $x$  is a point of approximation. If  $k_j \circ h_j(W)$  also approaches  $y$ , then since  $k_j \circ h_j(x)$  does not approach tangentially, we can find  $p_j \in \text{Stab}(y)$  so that  $p_j \circ k_j \circ h_j(x)$  still lies in  $B_1$  and is bounded away from  $W$ , while  $p_j \circ k_j \circ h_j(W)$  is still in  $B_2$ ; in fact it is closer to  $y$ . We have shown in this case as well that  $x$  is a point of approximation. This concludes the proof that  $G$  is geometrically finite.

For the converse, assume that  $G$  is geometrically finite, but  $G_1$  is not. Let  $x$  be a parabolic fixed point of  $G_1$ . There is nothing to prove if  $\text{Stab}_G(x)$  has rank 2 in  $G_1$ . If  $\text{Stab}_G(x)$  has rank 1, then it is doubly cusped in  $G$ , hence doubly cusped in  $G_1$ . The only other possibility is that  $\text{Stab}_G(x)$  has rank 2, and  $\text{Stab}_{G_1}(x)$  has rank 1. This can occur only if  $x$  lies on some translate of  $W$ ; we can assume that  $x$  lies on  $W$  itself. We showed in Lemma I.3 that if  $x$  is a parabolic rimpoint, then  $\text{Stab}_G(x)$  has rank 1. Hence  $x$  is a parabolic fixed point both of an element of  $J$  and of a cyclic stabilizer. In particular, there is a  $g_1 \in G_1 - J$  so that  $g_1(B_1)$  touches  $W$  at  $x$ . We now have two disjoint precisely invariant topological discs at  $x$ . Since  $(B_1, \Theta)$  is a  $(J, G_1)$ -simple disc,  $x$  is doubly cusped in  $G_1$ .

If  $x$  is a limit point of  $G_1$ , then  $x$  lies in the closure of  $S_1$ , which is precisely invariant under  $G_1$ . If  $x$  is a point of approximation for  $G$ , then there is a sequence  $\{g_i\}$  of distinct elements of  $G$ , so that for all  $z \neq x$ ,  $g_i(z)$  and  $g_i(x)$  are bounded away from each other. By Lemma I.5, there are infinitely many points  $z \in S_2$  different from  $x$ . Note that  $g_i(x)$  and  $g_i(z)$  are both separated from  $W$  by the same set of translates of  $W$ . If the number of such translates goes to infinity with  $i$  (i.e., if the length,  $|g_i| \rightarrow \infty$  as  $i \rightarrow \infty$ ), then their spherical diameter tends to zero, so the spherical distance between  $g_i(x)$  and  $g_i(z)$  tends to zero. We have shown that the length  $|g_i|$  is bounded. The same argument shows that for  $i$  sufficiently large, the set of translates of  $W$  lying between  $W$  and  $g_i(x)$  is independent of  $i$ . It follows that for  $i$  sufficiently large,  $g_i(x)$  and  $g_i(z)$  lie in some fixed  $g(S_1)$ . Then there is a sequence  $\{h_i\}$  of elements of  $G_1 - J$  so that  $g_i = g \circ h_i$ . Since the spherical distance between  $g_i(x)$  and  $g_i(z)$  is bounded away from zero, so is the spherical distance between  $h_i(x)$  and  $h_i(z)$ . Since this is true for every  $z \in S_1$  other than  $x$ ,  $x$  is a point of approximation for  $G_1$ .

*Proof of (xii).* Assume first that  $G_1$  and  $G_2$  are analytically finite. By conclusion (viii), we can construct  $\Omega/G$  by deleting the projection of  $\text{int}(B_1)$  from  $\Omega(G_1)/G_1$ , and deleting the projection of  $\text{int}(B_2)$  from  $\Omega(G_2)/G_2$ , and then joining together the remaining possibly disconnected surfaces, call them  $X_1$  and  $X_2$ , along  $((W \cap \Omega(G)) - \Theta)/J \cup \Theta'/G$ , where  $\Theta'$  is the set of ordinary rimpoints. If  $X_1$  and  $X_2$  are both empty, then  $G$  is of the first kind, hence analytically finite. If they are not both empty, then, since  $J$  is geometrically finite, and there are only finitely many rimpoints on  $W$  modulo  $J$ ,  $(W \cap \Omega(G))/J$  consists of a finite number of arcs. Since  $G_m$  is analytically finite, the boundary of  $X_m$  consists of these finitely many arcs, together with the finitely many parabolic punctures on  $X_m$ ; also, there are only finitely many special points on  $X_m$ .

Gluing  $X_1$  to  $X_2$ , we obtain a new possibly disconnected surface  $X = \Omega/G$ , whose only boundary points are the finitely many parabolic punctures of  $X_1$ , the finitely many parabolic punctures of  $X_2$ , and the finitely many parabolic punctures coming from the preparabolic rimpoints on  $W$ . The only special points on  $X$  are the finitely many special points of  $X_1$ , the finitely many special points of  $X_2$ , and perhaps the projections of the ordinary rimpoints, of which again there are only finitely many. We have shown that  $G$  is analytically finite.

For the converse, assume that  $G$  is analytically finite, and construct  $X_1$  and  $X_2$  as above. Then  $\Omega(G_m)/G_m$  is  $X_m$  to which we adjoin  $\text{int}(B_m)/J$ . Since  $J$  is geometrically finite,  $X_m$  has finitely many boundary arcs, and  $\text{int}(B_m)/J$  has finitely generated fundamental group (as an orbifold). Since  $G$  is analytically finite,  $X_m$  is either empty, or has finitely generated fundamental group (as an orbifold). Joining these two orbifolds along their common boundaries leaves us with an orbifold with finitely generated fundamental group, where every boundary component is a parabolic puncture; from which it follows that either  $G_m$  is elementary, or  $\Omega(G_m)/G_m$  has finite hyperbolic area.

*Proof of (xiii).* Since  $G$  is analytically finite, we can find a possibly disconnected compact surface  $\hat{S}$ , where  $S = \Omega/G$  is conformally embedded in  $\hat{S}$ , and  $\hat{S} - S$  consists of a finite number of points. Except for a factor of  $-2\pi$ , the area of  $G$  is equal to the Euler characteristic of  $\hat{S}$ , where the special points are given special weight; that is, we count a special point of order  $\nu$  as having weight  $1/\nu$ , as opposed to an ordinary point, which has unit weight; we also regard parabolic punctures (i.e., the points of  $\hat{S} - S$ ) as being special points of order  $\infty$ , where  $1/\infty = 0$ .

We find a cell decomposition of  $\Omega(G_1)/G_1$ , where the special points and projections of the rimpoints are all 0-cells, and  $W \cap \Omega(G_1)$  projects onto a set of 1-cells. We likewise find a cell decomposition of  $\Omega(G_2)/G_2$ , where the special points are all 0-cells, and where  $W \cap \Omega(G_2) = W \cap \Omega(G_1)$  has the same invariant cell decomposition.

Note that  $B_m \cap \Omega(J) = (B_m \cap \Omega) \cup \Theta$ . Set  $\Omega_- = \Omega - \Theta$ . Then, by using precise invariance, we can write

$$\begin{aligned} \text{area}(G_m) &= \text{area}(S_m/G_m) + \text{area}(\text{int}(B_m)/J) \\ &\quad + \text{area}(W \cap \Omega_-/J) + \text{area}(\Theta/G_m). \end{aligned}$$

We also have

$$\begin{aligned} \text{area}(J) = & \text{area}(\text{int}(B_1)/J) + \text{area}(\text{int}(B_2)/J) \\ & + \text{area}(W \cap \Omega_-/J) + \text{area}(\Theta/J), \end{aligned}$$

and

$$\begin{aligned} \text{area}(G) = & \text{area}(S_1/G_1) + \text{area}(S_2/G_2) \\ & + \text{area}(W \cap \Omega_-/J) + \text{area}(\Theta/G). \end{aligned}$$

The above computation ignores the chains and cycles of parabolic fixed points of  $J$ , for these points all have weight zero in all the above computations.

Combining the above, we see that in order to prove our assertion; i.e., that

$$\text{area}(G_1) + \text{area}(G_2) = \text{area}(G) + \text{area}(J),$$

it suffices to show that

$$(*) \quad \text{area}(\Theta/G_1) + \text{area}(\Theta/G_2) = \text{area}(\Theta/G) + \text{area}(\Theta/J).$$

We prove  $(*)$  for each chain and cycle separately.

We need a slight change of notation for the computation of the contributions of the rimpoints to the different areas. We write  $\{x_1, \dots, x_n\}$  as a chain or cycle of rimpoints, where the points  $x_1, \dots, x_n$  are all distinct.

If  $\{x_1, \dots, x_n\}$  is a chain of rimpoints, then these points project to one 0-cell on  $\Theta/G$ , and to  $n$  distinct 0-cells on  $\Theta/J$ . Assuming that none of the  $x_j$  are elliptic fixed points, they come in pairs; we can assume without loss of generality that  $x_1$  and  $x_2$  are paired in  $G_1$ ,  $x_2$  and  $x_3$  are paired in  $G_2$ , etc. Hence, if  $n$  is even, these points project to  $n/2$  distinct 0-cells in  $\Theta/G_1$ , and  $(n/2) + 1$  distinct 0-cells in  $\Theta/G_2$ ; if  $n$  is odd, there are  $(n+1)/2$  0-cells in both  $\Theta/G_1$  and  $\Theta/G_2$ . We have shown that this chain of rimpoints satisfies  $(*)$ .

Continuing with the case that we have a chain of rimpoints, now assume that one of the points is an elliptic fixed point; since  $W$  is precisely embedded, the stabilizer of this point necessarily has order 2. Note that a chain contains at most one elliptic fixed point. Then the contribution to  $\text{area}(\Theta/G)$  is  $1/2$ , and the contribution to  $\text{area}(\Theta/J)$  is  $n$ . We compute the contributions to  $\text{area}(\Theta/G_1) + \text{area}(\Theta/G_2)$  as follows. We can assume that  $x_1$  is the elliptic fixed point, and that the elliptic element lies in  $G_1 - J$ . If  $n$  is even, then the contribution to  $\text{area}(\Theta/G_1)$  is  $(n+1)/2$ , and the contribution to  $\text{area}(\Theta/G_2)$  is  $n/2$ ; if  $n$  is odd, then the contributions to  $\text{area}(\Theta/G_1)$  and  $\text{area}(\Theta/G_2)$  are  $n/2$  and  $(n+1)/2$ , respectively. In either case, we have shown that  $(*)$  holds.

We next take up the case of a cycle  $\{x_1, \dots, x_n\}$  of rimpoints, none of which are elliptic fixed points. Then the contributions to  $\text{area}(\Theta/G)$  and  $\text{area}(\Theta/J)$  are 0 and  $n$ , respectively. One easily sees that  $n$  is necessarily even, and that the cycle contributes  $n/2$  to the areas of both  $\Theta/G_1$  and  $\Theta/G_2$ .

Finally, we take up the case of a cycle, where there is an elliptic fixed point in the cycle. We can assume that  $x_1$  is a fixed point of some elliptic  $g_1 \in G_1 - J$ . Since  $x_1$  is a doubly cusped rank one parabolic fixed point in  $G$ , the order of  $g_1$  is necessarily 2. Hence  $g_1$  is the unique element of  $G_1 - J$  with  $g_1(x_1) \in W$ .

Since  $x_1$  lies in a cycle of rimpoints, there is a  $g_2 \in G_2 - J$  with  $x_2 = g_2(x_1) \in W$ . If  $x_2 = x_1$ , then, as above,  $g_2$  has order 2, and the cycle is complete. In this case, it is easy to verify  $(*)$ .



If  $x_2 \neq x_1$ , then we continue; note that, in this case,  $g_2$  is the unique element of  $G_2 - J$  with  $g_2(x_1) \in W$ . There is a  $g_3 \in G_1 - J$  with  $x_3 = g_3(x_2) \in W$ ; etc. Since we have a cycle of rimpoints, there is some first  $x_m = x_1$ . Then  $g_{m-1}$  cannot be equal to  $g_1$ , so we must have  $g_{m-1} = g_2^{-1}$ . Continuing backwards, we see that the cycle can be regarded as a chain, with  $x_1$  at one end, and some  $x_n$  at the other, where  $x_n$  is also a fixed point of an elliptic element of either  $G_1 - J$  or  $G_2 - J$ . In either case, we see that, as above, the contributions of this cycle to  $\text{area}(\Theta/G)$  and  $\text{area}(\Theta/J)$  are 0 and  $n$ , respectively. Since the elliptic elements with fixed points at  $x_1$  and  $x_n$  both have order 2, one easily sees that the cycle contributes  $n/2$  to the areas of both  $\Theta/G_1$  and  $\Theta/G_2$ .  $\square$

## II. THE SECOND COMBINATION THEOREM

**II.1.** The general setup for the second combination theorem is as follows. We are given a Kleinian group  $G_0$  with two distinguished subgroups,  $J_1$  and  $J_2$ ; we are given two closed topological discs,  $B_1$  and  $B_2$ , with boundary curves  $W_1$  and  $W_2$ , respectively; and we are given a set of rimpoints  $\Theta_m$  on  $W_m$  so that  $(B_m, \Theta_m)$  is a  $(J_m, G_0)$ -simple disc. We further assume that for every  $g \in G_0$ , every point of  $g(B_1) \cap B_2$  is either both a limit point of  $J_2$  and a  $g$ -image of a limit point of  $J_1$ , or a rimpoint of  $B_2$  and a  $g$ -image of a rimpoint of  $B_1$ ; in particular, the  $(G_0 - J_1)$ -translates of  $\text{int}(B_1)$  and the  $(G_0 - J_2)$ -translates of  $\text{int}(B_2)$  are all disjoint (i.e.,  $(\text{int}(B_1), \text{int}(B_2))$  is precisely invariant under  $(J_1, J_2)$  in  $G_0$ ). We also assume that we are given a transformation  $f$ , where  $f$  maps the exterior of  $B_1$  onto the interior of  $B_2$ ,  $f(\Theta_1) = \Theta_2$ , and  $f$  conjugates  $J_1$  onto  $J_2$ . Given these conditions, we say that the pair,  $(B_1, B_2)$ , is *jointly  $f$ -simple*.

**II.2.** Let  $z \in \Theta_1$ . We say that  $z$  is *occupied* if either there is a  $g \in G_0 - J_1$  so that  $z \in g(B_1)$ , or there is a  $g \in G_0$ , not necessarily nontrivial, so that  $z$  also lies in  $g(B_2)$ . Similarly, we say that  $z \in \Theta_2$  is *occupied* if either there is a  $g \in G_0 - J_2$  so that  $z \in g(B_2)$ , or there is a  $g \in G_0$  so that  $z \in g(B_1)$ . If both  $z \in \Theta_1$  and  $f(z) \in \Theta_2$  are occupied, then we say that  $z$  and  $f(z)$  are *double rimpoints*. A rimpoint that is not a double rimpoint is a *single rimpoint*.

Choose a fundamental set  $E_1$  for the action of  $J_1$  on  $W_1$ , and set  $E_2 = f(E_1)$ ; since  $f$  conjugates  $J_1$  onto  $J_2$ ,  $E_2$  is a fundamental set for the action of  $J_2$  on  $W_2$ . As in §I, the rimpoints in  $E_1$  and  $E_2$  fall into chains and cycles as follows. Start with a double rimpoint,  $z_1 \in E_1$ ; then there is a  $g_1 \in G_0 - J_1$ , so that  $z_1$  also lies in  $g_1^{-1}(E_1 \cup E_2)$ ; set  $z_2 = g_1(z_1)$ . If  $z_2 \in E_1$ , and  $z_3 = f(z_2)$  is not a double rimpoint, then we have reached the end of the chain; we have likewise reached the end of the chain if  $z_2 \in E_2$  and  $z_3 = f^{-1}(z_2)$  is not a double rimpoint. If  $z_3$  is a double rimpoint, then there is a  $g_2 \in G_0$  so that  $z_3$  also lies in  $g_2^{-1}(E_1 \cup E_2)$ . Set  $z_4 = g_2(z_3)$ , and continue. Since there are only finitely many rimpoints in  $E_1 \cup E_2$ , this process either ends after finitely many steps, in which case these points lie in a *chain* of rimpoints, or it is periodic, in which case they lie in a *cycle* of rimpoints.

The rimpoints lying in chains are called *ordinary* rimpoints; those lying in cycles are called *preparabolic* rimpoints.

Each chain of rimpoints has single rimpoints at its ends; all others are double rimpoints. We also have a *cyclic stabilizer* for each preparabolic rimpoint  $z$ ; this is the first element of the form:  $g = f^{e_n} \circ g_n \circ \cdots \circ f^{e_1} \circ g_1$ , as above, where

each  $\varepsilon_i$  is either 0 or  $\pm 1$ , and  $g(z) = z$ . As in §I, we permit fixed points of elliptic elements of  $G_0$  to be rimpoints. If there are such points in a cycle, then the cyclic stabilizer is not unique.

If  $z \in W_1$  is a rimpoint, then so is  $f(z) \in W_2$ ; it follows that every chain of rimpoints has at least two elements. However, a cycle of rimpoints might only have one element; in particular,  $f$  itself might be a cyclic stabilizer.

**II.3.** We can also have cyclic stabilizers for parabolic fixed points of  $J_1$  and/or  $J_2$ ; that is, a parabolic fixed point  $x$  of  $B_1$  is a *parabolic rimpoint* if there is an element  $g \in G_0 - J_1$  mapping  $x$  onto a parabolic fixed point on either  $W_1$  or  $W_2$ . Since  $f$  maps parabolic fixed points of  $J_1$  onto parabolic fixed points of  $J_2$ , these also fall into chains and cycles; for each such point  $x$  in a cycle of parabolic rimpoints, there are infinitely many *cyclic stabilizers* with fixed point  $x$ .

**II.4.** As in the first combination theorem, we have the additional assumption that every cyclic stabilizer with fixed point in  $\Theta_1$  or  $\Theta_2$  is parabolic.

We remark here, as in §I, that the cyclic stabilizers with fixed points at parabolic fixed points of either  $J_1$  or  $J_2$  are automatically parabolic.

**II.5.** Let  $D_0$  be a fundamental set for  $G_0$  satisfying the following conditions. For  $m = 1, 2$ ,  $D_0$  is maximal with respect to  $B_m$ ; that is,  $D_0 \cap B_m$  is a fundamental set for the action of  $J_m$  on  $B_m$ . We also require that  $f(D_0 \cap W_1) = D_0 \cap W_2$ . We call  $D_0$  satisfying these conditions a *coordinated fundamental set* for  $G_0$ .

Let  $D'$  be the intersection of  $D_0$  with the complement of  $\text{int}(B_1) \cup B_2$ . We need to delete certain of the rimpoints from  $D'$ .

Once we have chosen  $D_0$ , the chains and cycles of rimpoints are well defined.

If  $x_1, \dots, x_n$  is a cycle of double rimpoints, then each  $x_m$  is a parabolic fixed point in  $G$ ; hence  $x_m$  is not in  $\Omega$ . We delete all preparabolic rimpoints from  $D'$ .

We similarly delete all ordinary rimpoints from  $D'$ , if they are part of a chain of rimpoints where one of the points of the chain is an elliptic fixed point of  $G_0$ .

If  $x_1, \dots, x_n$  is a chain of rimpoints, where no  $x_m$  is an elliptic fixed point, then they are all equivalent; hence we need only one of them in  $D$ . We choose one of the single rimpoints lying in  $D'$ , and delete all the others.

We define the *adjusted set*  $D$  to be  $D'$  with the above rimpoints deleted.

**II.6.** The major conclusions of the second combination theorem are given below. The conclusions are numbered so as to agree with the numbering in [M5], although some of the formulations have been modified. Also, conclusions (xii) and (xiii) are new.

#### STATEMENT OF THE SECOND COMBINATION THEOREM

**Theorem II** (the second combination theorem). *Let  $J_1$  and  $J_2$  be geometrically finite subgroups of the Kleinian group,  $G_0$ . Assume the following.*

(A) *For  $m = 1, 2$ , there is a  $J_m$ -invariant closed topological disc  $B_m$ , with boundary loop  $W_m$ ; there is a set of rimpoints  $\Theta_m$  given on  $W_m$ ; and there is a Möbius transformation,  $f$ , mapping the exterior of  $B_1$  onto the interior of  $B_2$ , so that  $(B_1, B_2)$  is jointly  $f$ -simple (i.e.,  $(B_m, \Theta_m)$  is a  $(J_m, G_0)$ -simple disc;*

if there is an  $x \in B_1 \cap g(B_2)$ , for some  $g \in G_0$ , then either  $x \in \Lambda(J_1) \cap g(\Lambda(J_2))$ , or  $x \in \Theta_1 \cap g(\Theta_2)$ ;  $f$  conjugates  $J_1$  onto  $J_2$ ; and  $f(\Theta_1) = \Theta_2$ .

(B) Every cyclic stabilizer is parabolic.

(C)  $A = \widehat{\mathbb{C}} - (B_1 \cup B_2) \neq \emptyset$ .

Let  $D_0$  be a coordinated fundamental set for  $G_0$ ; let  $D$  be the corresponding adjusted set; let  $A_0$  be the complement of the union of the  $G_0$ -translates of  $(B_1 \cup B_2)$ ; and let  $G = \langle G_0, f \rangle$ . Then

(i)  $G = G_0 * f$  (i.e.,  $G$  is the HNN-extension of  $G_0$  by the element  $f$  conjugating the subgroup  $J_1$  onto the subgroup  $J_2$ ).

(ii)  $G$  is discrete.

(iii) Every element of  $G$  that is not a conjugate of an element of  $G_0$ , and is not a conjugate of a cyclic stabilizer, is loxodromic.

(iv)  $W_1$  is precisely embedded, and  $(W_1, \Theta_1)$  is a  $(J_1, G)$ -swirl; it is strong if and only if  $B_1$  and  $B_2$  are both strong simple discs.

(viii)  $D$  is a fundamental set for  $G$ .

(ix)  $A_0$  is precisely invariant under  $G_0$ . Let  $A_0^* = \overline{A_0} \cap \Omega(G_0)$ ; then  $\Omega/G = A_0^*/G_0$ , where the two possibly disconnected and possibly empty boundaries,  $(W_1 \cap \Omega(G))/J_1$  and  $(W_2 \cap \Omega(G))/J_2$  are identified by  $f$ .

(x)  $G$  is geometrically finite if and only if  $G_0$  is geometrically finite.

(xi) Assume that  $G_0$  is geometrically finite, and that  $W_1 \cap \Omega(J_1)$  is smooth. Then there is a spanning disc  $Q_m$  for  $W_m$ , where  $(Q_1, Q_2)$  is precisely invariant under  $(J_1, J_2)$ , and  $f(Q_1) = Q_2$ . Further,  $\mathbb{H}^3/G$  can be described as follows. Let  $A_0^3$  be the region in  $\mathbb{H}^3$ , bounded by the translates of  $Q_1 \cup Q_2$ , whose Euclidean boundary is  $A_0$ . Then  $\mathbb{H}^3/G$  is  $A_0^3/G_0$ , where the two boundaries,  $Q_1/J_1$  and  $Q_2/J_2$ , are identified by  $f$ .

(xii)  $G$  is analytically finite if and only if  $G_0$  is analytically finite.

(xiii) If  $G$  is analytically finite, then

$$\text{area}(G) = \text{area}(G_0) - \text{area}(J_1) = \text{area}(G_0) - \text{area}(J_2).$$

#### PROOF OF THE SECOND COMBINATION THEOREM

The proof closely follows that of §I; the main differences lie in the combinatorial group theory.

*Proof of (i).* By hypothesis (A),  $(\text{int}(B_1), \text{int}(B_2))$  is precisely invariant under  $(J_1, J_2)$  in  $G_0$ ; also  $f(A \cup \text{int}(B_2)) \subset \text{int}(B_2)$ , and  $f^{-1}(A \cup \text{int}(B_1)) \subset \text{int}(B_1)$ . By hypothesis (C),  $A \neq \emptyset$ . Hence  $(A, \text{int}(B_1), \text{int}(B_2))$  is an interactive triple.

If  $J_1$  is of the second kind, then  $A_0$  is obviously infinite. If  $J_1$  is of the first kind, then it follows from Proposition 0.6 that this triple is proper; it then follows from [M5, p. 160] that  $G$  is the HNN-extension of  $G_0$ .

It shown in [M5, p. 160] that  $A_0$  is precisely invariant under  $G_0$  in  $G$ .

*Proof of (ii).* Since  $A_0$  is precisely invariant under  $G_0$ , every translate of  $W_1$  lying in  $A$  is weakly separated from  $W_1$  by a  $G_0$ -translate of either  $W_1$  or  $W_2$ . Similarly, every translate of  $W_1$  lying between  $W_1$  and  $f^{-1}(W_1)$  is weakly separated from  $W_1$  by the  $f^{-1}$  image of a  $G_0$ -translate of either  $W_1$  or  $W_2$ . It follows that if we had a sequence of distinct elements  $\{g_n\}$  of  $G$ , with  $g_n \rightarrow 1$ , then, since  $g_n(W_1) \rightarrow W_1$ , we must have a sequence  $h_n$  of elements of either  $G_0$  or  $f^{-1}G_0f$ , with  $h_n(W_1) \rightarrow W_1$ . Since  $G_0$  is discrete, this cannot happen.

Before going on to the proof of conclusion (iii), we recall the following; see [M5, p. 159].

**Lemma II.1.** *Let  $(A, \text{int}(B_1), \text{int}(B_2))$  be a proper interactive triple, and let*

$$g = f^{\alpha_n} \circ g_n \circ \cdots \circ f^{\alpha_1} \circ g_1$$

*be a normal form (that is,  $g_m \in G_0$ , and only  $g_1$  can be the identity;  $\alpha_m$  is an integer, and only  $\alpha_n$  can be zero; if  $\alpha_m > 0$  and  $g_{m+1} \in J_2$ , then  $\alpha_{m+1} > 0$ ; if  $\alpha_m < 0$  and  $g_{m+1} \in J_1$ , then  $\alpha_{m+1} < 0$ ). Then the following conclusions hold.*

*If  $\alpha_n > 0$  and  $\alpha_1 > 0$ , then  $g(A \cup \text{int}(B_2)) \subset \text{int}(B_2)$ ;*

*if  $\alpha_n > 0$  and  $\alpha_1 < 0$ , then  $g(A \cup \text{int}(B_1)) \subset \text{int}(B_2)$ ;*

*if  $\alpha_n < 0$  and  $\alpha_1 > 0$ , then  $g(A \cup \text{int}(B_2)) \subset \text{int}(B_1)$ ;*

*if  $\alpha_n < 0$  and  $\alpha_1 < 0$ , then  $g(A \cup \text{int}(B_1)) \subset \text{int}(B_1)$ .*

Before going on with our proof, we make the following observation. Every element of  $G - G_0$  can be written either in one of the four normal forms listed above, or in the form  $g_1 \circ g_2$ , where  $g_1$  is a nontrivial element of  $G_0$ , and  $g_2$  is one of the above normal forms.

**Lemma II.2.**  *$A_0$  contains infinitely many points.*

*Proof.* If  $J_1$  is of the first kind, then so is  $J_2$ ; in this case the result is immediate from Lemma 0.6.

If  $J_1$  is of the second kind, then, since  $A \neq \emptyset$ ,  $W_1 \neq W_2$ . Since the limit points of  $J_m$ , and the points of  $\Theta_m$ , are nowhere dense on  $W_m$ , there must be a point  $x \in W_1$ , where  $x \notin W_2$ ,  $x \notin \Theta_1$ , and  $x \in \Omega(G_0)$ . Such a point lies on no translate of  $W_2$ , and lies on no translate of  $W_1$  other than  $W_1$  itself. Also, since  $x \in \Omega(G_0)$ , there is a neighborhood  $N$  of  $x$  that meets no  $G_0$ -translate of either  $W_1$  or  $W_2$  other than  $W_1$  itself. Since  $B_1$  and  $B_2$  are simple discs,  $N$  meets no translate of either  $B_1$  or  $B_2$  other than  $B_1$  itself. Hence there are infinitely many distinct points of  $A_0$  in  $N$ .  $\square$

*Proof of (iii).* Let  $g$  an element of  $G$ , where  $g$  is not conjugate to any element of  $G_0$ ; write  $g$  in normal form as above, and assume that this normal form has minimal length among all its conjugates; in particular,  $g_1 \neq 1$ , and  $\alpha_n \neq 0$ . We assume that  $\alpha_n > 0$ ; the case that  $\alpha_n < 0$  is treated analogously. There are now two cases to consider according as  $\alpha_1 > 0$  or  $\alpha_1 < 0$ .

If  $\alpha_1 > 0$ , then, by Lemma II.1,  $g(\text{int}(B_2)) \subset \text{int}(B_2)$ ; hence  $g$  has a fixed point in  $g(B_2)$ . Note that since  $A_0 \neq \emptyset$ , this inclusion is proper; in particular,  $g$  has infinite order.

If  $g$  is parabolic, then the fixed point of  $g$  lies on both  $W_2$  and  $g(W_2)$ . Of necessity, the fixed point of  $g$  also lies on every translate of either  $W_1$  or  $W_2$  lying between these two. It is now easy to see that  $g$  is a conjugate of a power of a cyclic stabilizer.

If  $\alpha_1 < 0$ , then if  $g_1$  were in  $J_2$ , we could reduce the length ( $= \sum |\alpha_m|$ ) by using the relation,  $f^{-1}J_2 = J_1f^{-1}$ , and conjugating by  $f$ ; hence  $g_1 \notin J_2$ . Then  $g_1(B_2) \subset A$ ; it then follows from Lemma II.1 that  $g(\text{int}(B_2)) \subset \text{int}(B_2)$ . This inclusion is also proper. Hence, as above,  $g$  can be parabolic only if it is a conjugate of a power of a cyclic stabilizer.

*Proof of (iv).* We already know that  $W_1$  and  $W_2$  are precisely embedded in  $G_0$ . Every element of  $G - G_0$  can be written in the form  $g = g_1 \circ g_2$ , where

$g_1 \in G_0$ , and  $g_2$  is one of the normal forms listed in Lemma II.2. If  $g_1$  is trivial, then it follows from Lemma II.1 that  $g(W_1) \in (B_1 \cup B_2)$ . If  $g_1 \neq 1$ , then  $g(W_1)$  is contained in some  $G_0$ -translate of  $(B_1 \cup B_2)$ . In any case,  $g(W_1)$  does not cross  $W_1$ ; hence  $W_1$  is precisely embedded.

We have also shown that every translate of  $W_1$  either lies inside  $B_1$ , or inside  $B_2$ , or inside a  $G_0$ -translate of  $B_1 \cup B_2$ . Looking on the side of  $W_1$  towards  $A$ , we see that a  $G$ -translate of  $W_1$  can touch  $W_1$  only at a point where a  $G_0$ -translate of either  $W_1$  or  $W_2$  touches it (the  $G_0$ -translate of  $W_2$  might be the identity). The exact same argument shows that a  $G$ -translate of  $W_2$ , lying on the same side of  $W_2$  as  $A$ , can touch  $W_2$  only at a point where there is a  $G_0$ -translate of either  $W_1$  or  $W_2$  touching it. Observe that  $f^{-1}$  maps  $W_2$  to  $W_1$ , and maps the side of  $W_2$  facing  $A$  onto the side of  $W_1$  facing away from  $A$ .

Now suppose there is a  $g \in G$  and there is a point  $x \in W_1 \cap g(W_1)$ . Then there is a  $g_0 \in G_0$  with either  $x \in W_1 \cap g_0(W_1)$ , or  $x \in W_1 \cap g_0(W_2)$ , or  $f(x) \in W_2 \cap g_0(W_1)$ , or  $f(x) \in W_2 \cap g_0(W_2)$ . In any case, such a point is either both a rimpoint on  $W_1$ , and the  $G_0$ -image of a rimpoint on either  $W_1$  or  $W_2$ , or both a point of  $\Lambda(J_1)$  and the image of a point of either  $\Lambda(J_1)$  or  $\Lambda(J_2)$ .

We already know that  $J_1 = \text{Stab}(W_1)$  is geometrically finite, and that  $W_1$  is circular near every point of  $\Theta_1$ . It remains to show that the points of  $\Omega(J) \cap W_1$  that are not rimpoints are in  $\Omega(G)$ ; that the ordinary rimpoints of  $\Theta_1$  are also points of  $\Omega(G)$ ; and that the preparabolic rimpoints are doubly cusped parabolic fixed points of elements of  $G$ .

If  $x \in (W_1 \cap \Omega(J))$  is not a rimpoint, then, since  $B_1$  is a  $(J_1, G_0)$ -simple disc,  $x \in \Omega(G_0)$ , and there is a neighborhood  $N_1$  of  $x$  whose intersection with  $A$  meets no  $G_0$ -translate of  $W_1$  or  $W_2$ . Similarly,  $f(x) \in W_2$  is a point of  $\Omega(G_0)$ , and it has a neighborhood  $N_2$  whose intersection with  $A$  meets no  $G_0$ -translate of either  $W_1$  or  $W_2$ . Then  $N = N_1 \cap f^{-1}(N_2)$  is a neighborhood of  $x$  which meets no  $G$ -translate of  $W_1$  other than  $W_1$  itself. Hence  $x \in \Omega(G)$ .

If  $x$  is an ordinary rimpoint on  $W_1$ , then  $x$  is one point in a chain of rimpoints,  $x_1, \dots, x_n$ , where  $x_1$  and  $x_n$  are single rimpoints; the others are double. For each  $i = 2, \dots, n$ , there is a transformation  $g_i \in G$ , with  $g_i(x_i) = x_{i-1}$ ; each  $g_i$  is either an element of  $G_0$ , or the transformation  $f$ , or the transformation  $f^{-1}$ . We set  $g_1 = 1$ . Then  $h_i = g_1 \circ \dots \circ g_i$  maps  $x_i$  to  $x_1$ .

We assume, for the sake of argument, that  $x_i \in W_1$ . Since  $x_1$  is a single rimpoint, either it has a neighborhood  $N_1$  whose intersection with  $A$  meets no  $G_0$ -translate of either  $W_1$  or  $W_2$ , or  $f(x_1)$  has a neighborhood  $N'_1$  whose intersection with  $A$  meets no  $G_0$ -translate of either  $W_1$  or  $W_2$ . In the latter case, set  $N_1 = f^{-1}(N'_1)$ . In any case,  $N_1$  meets no  $G$ -translate of either  $W_1$  or  $W_2$  on one of the two sides of  $W_1$ .

Each of  $x_2, \dots, x_{n-1}$  is a double rimpoint. Assume for the sake of argument that  $x_i$  lies on  $W_1$ . Then there is exactly one  $G_0$ -translate of either  $W_1$  or  $W_2$  lying inside  $A$  and touching  $W_1$  at  $x_i$ ; call it  $W_i^1$ . Since  $x_i \in \Omega(G_0)$ , it has a neighborhood  $N_i$  so that, in the region between  $W_1$  and  $W_i^1$ ,  $N_i$  meets no  $G_0$ -translate of either  $W_1$  or  $W_2$ ; we can choose  $N_i$  so that, inside  $N_i$ , these two translates of  $W_1$  and/or  $W_2$  appear as circular arcs. It follows that, in that same region,  $N_i$  meets no other  $G$ -translate of either  $W_1$  or  $W_2$ .

We do not know whether  $x_n$  lies on  $W_1$  or  $W_2$ ; however, exactly as in the

case of  $x_1, x_n$  has a neighborhood  $N_n$  which meets no translate of either  $W_1$  or  $W_2$  on one side of the curve on which it lies. One easily sees that  $N = \bigcap h_n(N_n)$ , is a neighborhood of  $x_1$  which meets the  $n$   $G$ -translates of  $W_1$  defined by the chain, and no others. It follows that  $x_1 \in \Omega(G)$ ; hence, since the  $x_i$  are all  $G$ -equivalent,  $x_i \in \Omega(G)$ .

Of course if  $x$  is a preparabolic rimpoint, then  $x \notin \Omega(G)$ , and there is a parabolic element of  $G$  with its fixed point at  $x$ .

Now suppose  $x = x_1$  is a preparabolic rimpoint. Exactly as above, we can assume that  $x_1 \in W_1$ , and we can find  $x_2, \dots, x_n$ , where these are now all double rimpoints, and we can find  $g_i$ , as above, with  $g_i(x_i) = x_{i-1}$ ,  $i = 2, \dots, n$ ; we set  $g_1 = 1$ , and we set  $h_i = g_1 \circ \dots \circ g_i$ . The point  $x_n$  lies on either  $W_1$  or  $W_2$ , call it  $W'$ .

We choose the neighborhoods  $N_i$  exactly as above, except that, since  $x_1$  and  $x_n$  are double rimpoints,  $N_1$  and  $N_n$  meet exactly two  $G_0$ -translates of  $W_1$  and/or  $W_2$ . We again set  $N = \bigcap h_i(N_i)$ . We observe that the cyclic stabilizer at  $x_1$  maps  $W_1$  onto  $h_n(W')$ , and that, inside  $N$ , these two translates of  $W_1$  appear as circular arcs, and, aside from the  $n - 1$  translates going through  $x_1$ , there are no  $G$ -translates of  $W_1$  inside  $N$ . It now easily follows that  $x_1$  is doubly cusped. This completes the proof that  $W_1$  is a  $(J_1, G)$ -swirl.

We delay the proof that  $(W_1, \Theta_1)$  is strong if and only if  $(B_1, \Theta_1)$  and  $(B_2, \Theta_2)$  are both strong until after the proof of (viii).

*Proof of (viii).* Since  $A_0$  is precisely invariant under  $G_0$ ,  $D \cap A_0$  is precisely invariant under the identity. We saw above that a translate of  $W_1$  intersects  $W_1$  only in limit points of  $J_1$  and rimpoints. We have chosen the intersection of  $D$  with  $W_1 \cup W_2$  so as to account for the identifications of the rimpoints. Hence  $D$  is precisely invariant under the identity.

It is immediate that if  $z$  is a point of  $D$  in the interior of  $A_0$ , then  $z \in \Omega$ . Since any sequence of  $G_0$ -translates of either  $W_1$  or  $W_2$  has spherical diameter tending to zero, every point of  $\partial A_0$  that is not on any translate of either  $W_1$  or  $W_2$  is a limit point of  $G_0$ ; hence such a point cannot be in  $D$ . The only possibility left is that  $z$  in  $D$  also lies on  $W_1$ . We saw above that if  $z$  is either not a rimpoint, or a chain rimpoint, then it lies in  $\Omega$ . We have shown that  $D \subset \Omega$ .

Since  $W_1$  is a swirl, we can apply Proposition 0.8 to conclude that every point of  $\widehat{C}$  either lies in a translate of  $\overline{A_0}$ , or is a limit point of  $G$ . It follows that every point of  $\Omega$  is equivalent to some point of  $D$ . This concludes the proof that  $D$  is a fundamental set for  $G$ .

We now conclude the proof of (iv). We still need to show that  $W_1$  is strong if and only if  $B_1$  and  $B_2$  are both strong. If  $B_1$  and  $B_2$  are both strong, then every parabolic fixed point of either  $J_1$  or  $J_2$  is doubly cusped in  $G_0$ . It easily follows that every point in a chain of such parabolic fixed points is doubly cusped in  $G$ , for there are only finitely many  $G$ -translates of  $W_1$  at such a point, and each of the extreme translates has a cusped region that intersects no translate of  $W_1$ . Hence the chains of parabolic fixed points of  $J_1$  and  $J_2$  are doubly cusped. Of course, the cycles of parabolic fixed points on  $W_1$  all have rank 2 stabilizers.

For the converse, we assume that  $W_1$  is a strong  $(J_1, G)$ -swirl. We need only consider a parabolic fixed point,  $x \in W_1$ , where  $\text{Stab}_G(x)$  has rank 2,

and  $\text{Stab}_{G_0}(x)$  has rank 1. We choose an element  $p \in \text{Stab}_G(x)$ , so that  $p$ , together with  $\text{Stab}_{G_0}(x)$  generates  $\text{Stab}_G(x)$ . As above, there are finitely many translates of  $W_1$  between  $W_1$  and  $p(W_1)$ ; write these as  $g_1(W_1), \dots, g_n(W_1)$ . For each  $m = 1, \dots, n$ , there is a  $G_0$ -translate of either  $W_1$  or  $W_2$  touching  $W_1$  at  $g_m^{-1}(x)$ ; there is likewise a  $G_0$ -translate of either  $W_1$  or  $W_2$  touching  $W_2$  at  $f \circ g_m^{-1}(x)$ . Since  $B_1$  and  $B_2$  contain cusped regions at each of these points, each of these points is doubly cusped in  $G_0$ .

*Proof of (ix).* We have already shown that  $A_0$  is precisely invariant under  $G_0$ . The other statement now follows almost immediately from conclusion (viii).

*Proof of (x).* We first assume that  $G_0$  is geometrically finite. If  $P$  is a cusped rank 1 parabolic subgroup of  $G_0$ , where the fixed point of  $P$  does not lie on any  $G_0$ -translate of either  $W_1$  or  $W_2$ , then, since  $A_0$  is precisely invariant under  $G_0$ ,  $P$  is doubly cusped. Since  $G_0$  is geometrically finite,  $B_1$  and  $B_2$  are both strong simple discs; hence, by conclusion (iv),  $W_1$  is a strong  $(J_1, G)$ -rimblock. It follows that every parabolic fixed point on  $W_1$  either has rank 2 or is doubly cusped.

Let  $x$  be a limit point of  $G$  that is not a parabolic fixed point. Every point of  $\Omega(G_0) \cap \bar{A}_0$  is  $G_0$ -equivalent to either a point of  $D$  or to a point of either  $W_1$  or  $W_2$ ; we saw above that these points are all either in  $\Omega$  or parabolic fixed points of  $G$ . Hence  $x$  does not lie in any translate of  $\Omega(G_0) \cap \bar{A}_0$ .

If  $x$  is a point of approximation for  $G_0$ , then of course it is a point of approximation for  $G$ . We have shown that every point  $\bar{A}_0$  is either a point of  $\Omega$ , or a doubly cusped parabolic fixed point, or a rank 2 parabolic fixed point, or a point of approximation.

We next assume that  $x$  does not lie in any translate of  $\bar{A}_0$ . Then there is a sequence of translates of  $W_1$ , call it  $\{V_j\}$ , with  $V_1 = W_1$ , so that each  $V_j$  weakly separates  $x$  from  $V_{j-1}$ . It follows from Proposition 0.8 that the spherical diameter of the  $V_j$  tends to zero; hence  $V_j \rightarrow x$ . We also note that  $x$  does not lie on any one of the  $V_j$ . There are now two possibilities: we either write  $V_j$  as  $g_j(W_1)$ , where  $h_j(x) = g_j^{-1}(x)$  lies in  $\text{int}(B_1)$ , and  $W_1$  weakly separates  $h_j(x)$  from  $h_j(W_1)$ , or we write  $V_j$  as  $g_j(W_2)$ , where  $h_j(x) = g_j^{-1}(x)$  lies in  $\text{int}(B_2)$ , and  $W_2$  weakly separates  $h_j(x)$  from  $h_j(W_1)$ . The two cases are essentially equivalent; we assume without loss of generality that we are in the first case. For each  $j$ , find an element  $k_j \in J_1$ , with  $k_j \circ h_j(x) \in E_1$ , a constrained fundamental set for  $J_1$ . Note that if  $k_j \circ h_j(x)$  is bounded away from  $W_1$ , then it is surely bounded away from  $k_j \circ h_j(W_1)$ ; i.e., the spherical distance between  $k_j \circ h_j(x)$  and  $k_j \circ h_j(W_1)$  is bounded from below, from which it follows that  $x$  is a point of approximation. We now assume that  $k_j \circ h_j(x)$  approaches  $W_1$ .

Since  $J_1$  is a geometrically finite quasifuchsian group, we can assume that we have chosen the fundamental domain  $E_1$  so that its Euclidean boundary intersects  $W_1$  only at parabolic fixed points of elements of  $J_1$ , and at points of  $\Omega(J_1)$ . We can also assume that near the parabolic fixed points of  $J_1$ ,  $E_1$  lies inside a doubly cusped region. We first take up the case that  $k_j \circ h_j(x)$  approaches the parabolic fixed point  $z_0$  of  $J_1$ . There are now two possibilities:  $z_0$ , as a parabolic fixed point of  $G$ , either has rank 1 or has rank 2.

We first take up the case that  $z_0$  has rank 1. Since  $G_0$  is geometrically finite,

every rank 1 parabolic fixed point of  $G_0$  is doubly cusped; hence  $B_1$  and  $B_2$  are strong simple discs. It follows from conclusion (iv) that  $W_1$  is a strong  $(J_1, G)$ -swirl; in particular, every rank 1 parabolic fixed point of  $J_1$ , which also has rank 1 in  $G$ , is doubly cusped. A doubly cusped region near a parabolic fixed point can contain no limit points, hence there are no limit points of  $G$  inside  $E_1$  near any rank 1 parabolic fixed point of  $J_1$ . In particular, since  $x$  is a limit point of  $G$ ,  $k_j \circ h_j(x)$  cannot approach  $z_0$  from inside  $E_1$ .

If  $z_0$  is a rank 2 parabolic fixed point, then normalize  $G$  so that  $z_0 = \infty$ , and so that the parabolic stabilizer of  $\text{Stab}_{J_1}(z_0)$  is generated by  $g_1(z) = z + 1$ . Then there is an additional generator of  $\text{Stab}(z_0)$  of the form  $g_2(z) = z + \tau$ , where  $\Im(\tau) > 0$ . We can also assume that, for  $\Im(z)$  sufficiently large,  $\bar{E}_1$  is the strip  $\{|\Re(z)| \leq 1/2\}$ . Since  $k_j \circ h_j(x) \rightarrow \infty$  inside  $E_1$ , we can also assume that  $\Im(k_j \circ h_j(x)) \rightarrow +\infty$ . Then we can find integers  $\alpha_j < 0$  so that  $g_2^{\alpha_j} \circ k_j \circ h_j(x)$  is bounded, and bounded away from  $W_1$ , while  $g_2^{\alpha_j} \circ k_j \circ h_j(W_1)$ , which has smaller imaginary part than  $k_j \circ h_j(W_1)$ , is still separated from  $g_2^{\alpha_j} \circ k_j \circ h_j(x)$  by  $W_1$ . We have shown that  $x$  is a point of approximation in this case.

We next take up the case that  $k_j \circ h_j(x) \rightarrow y_0 \in \Omega(J_1) \cap W_1$ . Since each  $k_j \circ h_j(x)$  is a limit point of  $G$ , these points cannot accumulate at a point of  $\Omega(G)$ ; hence we need only look at points on the boundary of  $E_1$  that are limit points of  $G$ .

The only points of  $\Omega(J_1)$  that are not in  $\Omega(G)$  are the preparabolic rim-points. If  $k_j \circ h_j(x)$  approaches the preparabolic rimpoint  $y$  on  $W_1$  from inside  $E_1$ , then, exactly as above, we can find  $p_j \in \text{Stab}(y)$  so that  $p_j \circ k_j \circ h_j(x)$  is bounded away from  $W_1$ , while  $W_1$  still weakly separates  $p_j \circ k_j \circ h_j(x)$  from  $p_j \circ k_j \circ h_j(W_1)$ . We have shown in this case as well that  $x$  is a point of approximation. This completes that proof that if  $G_0$  is geometrically finite, then  $G$  is geometrically finite.

For the converse, assume that  $G$  is geometrically finite. Let  $x$  be a parabolic fixed point of  $G_0$ . There is nothing to prove if  $\text{Stab}_{G_0}(x)$  has rank 2; if  $\text{Stab}_G(x)$  has rank 1, then  $x$  is doubly cusped in  $G$ , so it is necessarily doubly cusped in  $G_0$ . The only other possibility is that  $\text{Stab}_G(x)$  has rank 2, while  $\text{Stab}_{G_0}(x)$  has rank 1. This can occur only if  $x$  is a translate of the fixed point of a cyclic stabilizer. We can assume that  $x$  lies on  $W_1$ . Then, as in [M5, p. 167], there is one cusped region for  $x$  in  $B_1$ . There is also a  $g \in G_0$  with  $g^{-1}(x)$  lying on either  $W_1$  or  $W_2$ ; then there is a second cusped region for  $x$  in either  $g(B_1)$  or  $g(B_2)$ . We have shown that every parabolic fixed point of  $G_0$  either has rank 2 or is doubly cusped.

Let  $x$  be a limit point of  $G_0$  that is not a parabolic fixed point; since  $A_0$  is  $G_0$ -invariant,  $x \in A_0$ . Then, since  $x$  is a point of approximation, there is a sequence  $\{g_j\}$  of distinct elements of  $G$ , and there are limit points  $x_0 \neq y_0$ , so that  $g_i(x) \rightarrow x_0$ , and  $g_j(z) \rightarrow y_0$ , for all  $z \neq x$ . Note that for  $z \in \bar{A}_0$ ,  $g_j(x)$  and  $g_j(z)$  are both weakly separated from  $W_1$  by the same set of translates of  $W_1$ . If there were infinitely many distinct such translates, then their spherical diameter would tend to zero, so the spherical distance between  $g_j(x)$  and  $g_j(z)$  would tend to zero. It follows that for  $j$  sufficiently large, there is a single element,  $g \in G$  so that  $g_j(\bar{A}_0) = g(\bar{A}_0)$ . Then we can write  $g_j = g \circ h_j$ , where  $h_j \in G_0$ . Since the spherical distance between  $g_j(x)$  and  $g_j(z)$  is bounded from below, so is the spherical distance between  $h_j(x)$  and  $h_j(z)$ . It follows that  $x$  is a point of approximation for  $G_0$ .



*Proof of (xi).* By Lemma I.5, there is a spanning disc  $Q_1$  for  $W_1$ , where  $Q_1$  is precisely invariant under  $J_1$ . It easily follows that  $A_0^3$ , the region in  $\mathbb{H}^3$  cut out by the translates of  $Q_1$  and having  $A_0$  as its Euclidean boundary, is precisely invariant under  $G_0$ . Since  $f(A_0^3)$  is the corresponding region on the other side of  $Q_1$ , the desired result now follows from Proposition 0.8.

*Proof of (xii).* This statement follows almost at once from conclusion (ix) together with the facts that  $J_1$  and  $J_2$  are both geometrically finite, and that  $B_1$  and  $B_2$  are both simple discs.

*Proof of (xiii).* As in the proof of the first combination theorem, we conformally embed  $\Omega(G)/G$  in a Riemann surface  $\bar{S}$  so that the complement of the image of  $\Omega(G)/G$  is a finite number of points. Then, except that some of the vertices have special weights, we can regard  $\text{area}(G)$  as being the Euler characteristic of  $\bar{S}$  multiplied by  $-2\pi$ .

Let  $\Theta = \Theta_1 \cup \Theta_2$ , and let  $\Omega_- = \Omega - \Theta$ .

We find a cell decomposition of  $\bar{S}$ , where the special points, points of  $\bar{S} - S$ , and points in the projection of  $\Theta$ , are all 0-cells; we also require that  $W_m \cap \Omega(G_0)$  projects onto some 1-cells, and that the projection of  $f$  maps the 1-cells of the projection of  $W_1$  onto the 1-cells in the projection of  $W_2$ .

Then we can write

$$\begin{aligned} \text{area}(G_0) = & \text{area}(A_0/G_0) + \text{area}(\text{int}(B_1)/J_1) + \text{area}(\text{int}(B_2)/J_2) \\ & + \text{area}((W_1 \cap \Omega_-)/J_1) + \text{area}((W_2 \cap \Omega_-)/J_2) \\ & + \text{area}(((W_1 \cup W_2) \cap \Theta)/G_0), \end{aligned}$$

and

$$\begin{aligned} \text{area}(J_1) = & \text{area}(\text{int}(B_1)/J_1) + \text{area}(\text{int}(B_2)/J_2) \\ & + \text{area}((W_1 \cap \Omega_-)/J_1) + \text{area}((W_1 \cap \Theta)/J_1). \end{aligned}$$

We can also write

$$\text{area}(G) = \text{area}(A_0/G_0) + \text{area}((W_1 \cap \Omega_-)/J_1) + \text{area}((W_1 \cap \Theta)/G).$$

We see from above that, in order to prove our assertion; i.e., that

$$\text{area}(G) = \text{area}(G_0) - \text{area}(J_1),$$

it suffices to show that

$$(*) \quad \text{area}(((W_1 \cup W_2) \cap \Theta)/G_0) = \text{area}((W_1 \cap \Theta)/G) + \text{area}((W_1 \cap \Theta)/J_1).$$

Let  $x_1, \dots, x_n$  be a chain of ordinary rimpoints in  $\Omega(G)$ . Since this is a chain, and not a cycle, at most one of these points is an elliptic fixed point in  $G_0$ ; since  $W_1$  is precisely embedded, the order of the elliptic fixed point is at most two. There are exactly  $n$   $G$ -translates of  $W_1$  passing through  $x_1$ , and there is a neighborhood  $N$  of  $x_1$  that intersects no other translate of  $W_1$ . Hence, near this point, the projection of  $W_1$  to  $\Omega(G)/G$  appears as  $n$  distinct tangent circles. Since the preimages of these circular arcs are disjoint, we can locally deform them into  $n$  parallel arcs. That is, we can deform  $W_1$  and  $W_2$  slightly near every point of the chain, and also near the  $f$  or  $f^{-1}$  image of the single rimpoints at the ends of the chain, to obtain new simple closed

curves,  $W'_1$  and  $W'_2$ . We also have new sets of rimpoints,  $\Theta'_1$  and  $\Theta'_2$ , which are the old rimpoints, with the points of this chain, and all their  $J_1$  and  $J_2$  translates, deleted. It is easy to see that we can make this deformation so that  $(W'_m, \Theta'_m)$  is still a  $(J_m, G_0)$ -swirl;  $f(W'_1) = W'_2$ ; and  $(W'_1, W'_2)$  is still jointly  $f$ -simple; i.e., the hypotheses of our combination theorem still hold with  $W_m$  replaced by  $W'_m$ . Since this deformation leaves unchanged  $\text{area}(G)$ ,  $\text{area}(G_0)$ , and  $\text{area}(J_1)$ , we need prove (\*) only for cycles of preparabolic rimpoints.

We first assume that we are given a cycle of rimpoints, none of which is an elliptic fixed point of  $G_0$ . Consider the  $n$  distinct points  $\{x_1, \dots, x_n\}$  in the cycle lying on  $W_1$ . There are also  $n$  distinct points in the cycle lying on  $W_2$ ; these are  $\{f(x_1), \dots, f(x_n)\}$ . Some of these points on  $W_2$  might also lie on  $W_1$ ; in fact, some of the  $f(x_i)$  might be equal to some of the  $x_j$ . These rimpoints are all parabolic fixed points in  $G$ , hence they contribute zero to the area of  $(W_1 \cap \Theta)/G$ ; we have to show that the contributions to the areas of  $(W_1 \cap \Theta)/G_0$  and  $(W_1 \cap \Theta)/J_1$  are equal. Each of these  $2n$  points lies on either  $W_1$  or  $W_2$ , and has a unique  $G_0$ -equivalent point, also in the cycle, and also lying either on  $W_1$  or  $W_2$ . Hence the contribution to the area of  $(W_1 \cap \Theta)/G_0 + (W_2 \cap \Theta)/G_0$  is exactly  $n$ . Of course the  $n$  points of the cycle on  $W_1$  all project to distinct points of  $\Omega(J_1)/J_1$ ; hence their contribution to the area of  $(W_1 \cap \Omega(J_1))/J_1$  is also  $n$ .

We next take up the case that one of the points of our cycle, say  $x_1$ , is a fixed point of an elliptic element of  $G_0$ , necessarily of order 2. Write  $x_2 = f(x_1)$ , and continue with the cycle. Note that in order for the cycle to come back to  $x_1$ , it must reach some  $x_q \neq x_1$ , which is also a fixed point of a half-turn in  $G_0$ , and then return back to  $x_1$ , where all the points of the cycle between  $x_1$  and  $x_q$  are not elliptic fixed points. The computations are now essentially the same as above. The contribution of the cycle to the area of  $(W_1 \cap \Theta)/G$  is zero; the contribution to the area of  $(W_1 \cap \Theta)/J_1$  is  $n$ , where  $n$  is the number of distinct points of the cycle on  $W_1$ . Except for  $x_1$  and  $x_q$ , each of which is paired with itself, the other  $2n - 2$  points of the cycle on  $W_1$  and  $W_2$  are each paired by  $G_0$  with exactly one other point (this shows that  $q = n$ ). Hence the contribution to the area of  $((W_1 \cup W_2) \cap \Theta)/G_0$  is  $(n - 1) + 1/2(2) = n$ .

### III. THE FIRST VARIATION

In this variation on the first combination theorem, we have the same hypotheses for  $G_1$ , but somewhat different hypotheses for  $G_2$ . For  $g \in G_2$ , we permit significantly larger sets of the form  $g(W) \cap W$ , although we still require that  $\text{int}(B_2)$  be precisely invariant under  $J$  in  $G_2$  (in particular,  $W$  is precisely embedded), and we have the additional requirement that  $J$  have finite index in  $G_2$ .

Our basic hypotheses for the first variation are essentially as follows. We are given two Kleinian groups,  $G_1$  and  $G_2$ , with a common subgroup  $J$ , where  $J$  is geometrically finite and has index at least 2 in both  $G_1$  and  $G_2$ . We also assume that we are given a  $J$ -invariant simple closed curve  $W$ , together with a  $J$ -invariant set of rimpoints  $\Theta \subset W$ .  $W$  divides  $\widehat{\mathbb{C}}$  into two closed topological discs,  $B_1$  and  $B_2$ ; we assume that  $\text{int}(B_m)$  is precisely invariant under  $J$  in  $G_m$ , and we assume that  $(B_1, \Theta)$  is a  $(J, G_1)$ -simple disc. We also assume that  $J$  has finite index in  $G_2$ , and that for every  $g \in G_2$ , and for every  $x \in \Theta$ ,

either  $g(x) \notin W$ , or  $g(x) \in \Theta$ . We require that there be a  $g_1 \in G_1 - J$  with  $g_1(W) \neq W$ , and we require that every cyclic stabilizer be parabolic.

Note that since  $J$  has finite index in  $G_2$ ,  $\Lambda(G_2) = \Lambda(J) \subset W$ .

As in the first combination theorem, the conditions above imply that if there is a  $g \in G_m - J$ , and there is a point  $x \in W$ , with  $g(x) \in W$ , then, since  $g(B_m) \subset B_{3-m}$ ,  $x$  can be a parabolic fixed point of  $G_m$  only if it is a parabolic fixed point of  $J$ . That is,  $\Omega(G_m) \cap W = \Omega(J) \cap W$ .

**III.1.** Observe that our hypotheses includes the following two possibilities. For both examples,  $W$  consists of the two rays emanating from the origin,  $\{\arg(z) = \pm\pi/n\}$ . In the first example,  $J$  is trivial;  $G_1$  is a Fuchsian group of the second kind, acting on the upper half-plane, where  $\{\pi/n < \arg(z) < (2n-1)\pi/n\}$  is precisely invariant under the identity (but its closure need not be); and  $G_2 = \langle z \rightarrow e^{2\pi i/n} z \rangle$ . In the second example,  $J$  is hyperbolic cyclic, generated by  $z \rightarrow \lambda z$ ,  $\lambda > 1$ ;  $G_1$  is Fuchsian of the second kind, where  $\{\pi/n < \arg(z) < (2n-1)\pi/n\}$  is precisely invariant under  $J$  (but its closure need not be); and  $G_2$  is generated by  $g(z) = e^{2\pi i/n} \lambda^{1/n} z$  (see [M5, p. 193 ff.]).

Another use of this theorem is as follows.  $G_1$  is a Fuchsian group, acting on the upper half-plane, where  $G_1$  has an extension; that is, there is a Möbius transformation  $f$ , interchanging the upper and lower half-planes, where  $fG_1f^{-1} = G_1$ , and  $f^2 \in G_1$ . In this case,  $W$  is the limit circle of  $G_1$ ;  $G_2 = \langle f \rangle$ ; and  $J = \langle f^2 \rangle$ .

**III.2.** We define *single* and *double* rimpoints exactly as in §I; that is, a rimpoint  $x$  is a double rimpoint if there is both a  $g_1 \in G_1 - J$  with  $g_1(x) \in W$ , and there is a  $g_2 \in G_2 - J$  with  $g_2(x) \in W$ . We similarly define *chains* and *cycles* of rimpoints; the points in a chain of rimpoints are called *ordinary*; the points in a cycle of rimpoints are called *preparabolic*. We also define the *cyclic stabilizer* at a preparabolic rimpoint. As in §I, each chain starts and ends with a single rimpoint, while each rimpoint in a cycle is a double rimpoint.

We also define parabolic chains and cycles, and cyclic stabilizers for these points, exactly as in §I.

**III.3.** Let  $D_m$  be a fundamental set for  $G_m$  that is maximal with respect to  $B_m$ ; in particular, each rimpoint in  $\Omega(G_m)$  is  $G_m$ -equivalent to a unique rimpoint in  $D_m$ . We also require that  $D_2 \cap W \subset D_1$ .  $D_1$  and  $D_2$  satisfying these conditions are called *compatible* fundamental sets.

Set  $D' = (D_1 \cap \text{int}(B_2)) \cup (D_2 \cap B_1)$ . The *modified set*  $D$  is obtained from  $D'$  by deleting all the preparabolic rimpoints, and deleting all the ordinary rimpoints, but including exactly one ordinary rimpoint from each chain that includes no elliptic fixed points.

**III.4.** We note that the action of  $G_2$  on  $W$  induces an equivalence relation on  $\Omega(J) \cap W$ ; since  $J$  has finite index in  $G_2$ , there are only finitely many points in each equivalence class that are distinct modulo  $J$ . We define  $W/G_2$ , or, more precisely,  $(W \cap \Omega(G_2))/G_2$ , to be  $W \cap \Omega(J)$  factored by this equivalence relation.

**III.5.** The conclusions of the theorem below are numbered so as to agree with the numbering in §I.

## STATEMENT OF THE FIRST VARIATION

**Theorem III** (The first variation). *Let  $G_1$  and  $G_2$  be Kleinian groups with a geometrically finite common subgroup  $J$ , where  $[G_m : J] \geq 2$  and  $[G_2 : J] < \infty$ . Assume the following.*

(A) *There is a  $J$ -invariant simple closed curve  $W$  dividing  $\hat{\mathbb{C}}$  into two closed topological discs,  $B_1$  and  $B_2$ , where  $\text{int}(B_2)$  is precisely invariant under  $J$  in  $G_2$ , and there is a set of rimpoints  $\Theta$  given on  $W$  so that  $(B_1, \Theta)$  is a  $(J, G_1)$ -simple disc. Assume further that for every  $g \in G_2$ , and for every  $x \in \Theta$ , either  $g(x) \notin W$  or  $g(x) \in \Theta$ .*

(B) *Every cyclic stabilizer is parabolic.*

(C) *There is a  $g_1 \in G_1$  with  $g_1(W) \neq W$ .*

*Let  $G = \langle G_1, G_2 \rangle$ ; let  $D_1$  and  $D_2$  be integrated fundamental sets for  $G_1$  and  $G_2$ , respectively, and let  $D$  be the modified set for  $G$ . Then the following hold.*

(i)  $G = G_1 *_J G_2$ .

(ii)  $G$  is discrete.

(iii) *Every element of  $G$  that is not a conjugate of an element of either  $G_1$  or  $G_2$ , or a conjugate of a power of a cyclic stabilizer, is loxodromic.*

(iv)  $W$  is a precisely embedded simple closed curve.

(vii) *The modified set  $D$  is a fundamental set for  $G$ .*

(viii) *Let  $S_m$  be the complement in  $B_{3-m}$  of the union of the  $G_m$ -translates of  $B_m$ . Then  $S_m$  is precisely invariant under  $G_m$  in  $G$ . Further,  $\Omega(G)/G$  is the union of  $S_1/G_1$  and  $S_2/G_2$ , where these are joined along their common boundary,  $(W \cap \Omega(G))/G_2$ .  $W \cap \Omega(G)$  is the complement of the cyclic rimpoints in  $W \cap \Omega(J)$ .*

(ix) *Assume that  $W \cap \Omega(J)$  is smooth, and that  $G_1$  is geometrically finite. Then there is a spanning disc  $Q$  for  $W$ , where  $Q$  is precisely invariant under  $\text{Stab}(W)$ . Further,  $\mathbb{H}^3/G$  can be described as follows: Let  $B_m^3$  be the region in  $\mathbb{H}^3$  bounded by the translates of  $Q$ , whose Euclidean boundary is  $B_m$ . Then  $\mathbb{H}^3/G$  is the union of  $B_1^3$  and  $B_2^3$ , where these two are identified across their common boundary,  $Q/J$ .*

(xi)  $G$  is geometrically finite if and only if  $G_1$  is geometrically finite.

(xii)  $G$  is analytically finite if and only if  $G_1$  is analytically finite.

(xiii) *If  $G$  is analytically finite, then*

$$\text{area}(G) = \text{area}(G_1) + \text{area}(G_2) - \text{area}(J).$$

The proof of this theorem is essentially the same as the proof of Theorem I; we do not give a formal proof, but rather a discussion of how to modify the proof of Theorem I so as to be applicable here.

Before going on, we remark that since  $G_2$  is a finite extension of the geometrically finite group,  $J$ , it is also geometrically finite; hence also analytically finite.

## PROOF OF THE FIRST VARIATION

The proof given in §I that  $(\text{int}(B_1), \text{int}(B_2))$  is a proper interactive pair is valid here as well; since the proofs of conclusions (i), (ii), and (iii) in §I depend only on this fact, they are also valid here.

The proof of conclusion (iv) is the same as that in §I; that is, we first prove that  $S_m$  is precisely invariant under  $G_m$ . Here, however, we may have an element of  $G_2$  mapping a nontrivial arc of  $W \cap \Omega(J)$  onto another such arc, in which case  $W$  is not a swirl.

Set  $\widehat{W} = \bigcup_{g \in G_2} g(W)$ , and let  $\widehat{\Theta} = \bigcup_{g \in G_2} g(\Theta)$ . Then  $\widehat{W}$  is a finite union of translates of  $W$ ; hence it divides  $\widehat{C}$  into a finite number of regions. Since  $S_m$  is precisely invariant under  $G_m$ , and  $W$  is precisely embedded, it follows that every nontrivial translate of  $\widehat{W}$  is weakly separated from  $\widehat{W}$  by either a  $(G_1 - J)$ -translate of  $W$ , or by a translate of  $W$  of the form  $g_2 \circ g_1$ , where  $g_m \in G_m - J$ . It follows from this that a translate of  $\widehat{W}$  can touch  $\widehat{W}$  only at a limit point of  $G_2 = \text{Stab}(\widehat{W})$ , or at a point of  $\widehat{\Theta}$ .

Condition (A) tells us that we can form chains and cycles of rimpoints on  $W$  exactly as in §I; we can define the ordinary and preparabolic rimpoints accordingly. We also prove, exactly as in §I that the ordinary rimpoints are points of  $\Omega(G)$ , and, using condition (B), that the preparabolic rimpoints are doubly cusped parabolic fixed points of  $G$ .

It follows from the above that if  $\{g_m(\widehat{W})\}$  is a sequence of distinct translates of  $\widehat{W}$ , then, for every  $g_2 \in G_2$ ,  $\{g_m \circ g_2(W)\}$  is a sequence of translates of  $W$  satisfying the hypotheses of Proposition 0.8 (that is, they are almost disjoint, two of them intersect only at common limit points or at common rimpoints, and, except for the preparabolic rimpoints,  $\widehat{W} \cap \Omega(J) \subset \Omega(G)$ ). It follows that the spherical diameter of  $g_m(\widehat{W}) \rightarrow 0$ .

For the proof of (vii), as in §I, we observe that since  $S_m$  is precisely invariant under  $G_m$ ,  $D_m \cap S_m$  is precisely invariant under the identity. It follows that  $D$  is precisely invariant under the identity in  $G$ .

Since  $S_1$  is precisely invariant under  $G_1$ , a sequence of translates of  $W$  can accumulate to a point of  $W \cap \Omega(J)$  from inside  $B_2$  only at a rimpoint. Similarly, since  $J$  has finite index in  $G_2$ , if there is a sequence of distinct translates of  $W$  approaching  $W$  from inside  $B_1$ , then there is a set of the form  $g_2 \circ g_1(B_1)$ ,  $g_m \in G_m - J$ , and there is a subsequence of these translates of  $W$  all of which lie in this set. It follows that a sequence of distinct translates of  $W$  can approach  $W$  only at a limit point of  $J$ , or at a rimpoint. Since the ordinary rimpoints are all in  $\Omega$ ,  $D \subset \Omega$ .

We saw above that any sequence of distinct translates of  $W$  has spherical diameter tending to zero; hence, as in §I,  $\Omega(G) \subset \overline{S}_1 \cup \overline{S}_2$ . It follows that  $D$  is a fundamental set for  $G$ .

For conclusion (viii), we already know that  $S_m$  is precisely invariant under  $G_m$  in  $G$ . We also know from conclusion (vii) that every point of  $\Omega(G)$  is equivalent to either a point of  $S_1$ , or a point of  $S_2$ , or a point of  $W$ .

The only difficulty in proving conclusion (ix) is the construction of the spanning disc. We remark that since  $J$  has finite index in  $G_2$ , and  $W$  is precisely embedded under  $J$  in  $G_2$ , there is a spanning disc  $Q_2$  for  $W$  in  $G_2$ . We start with  $Q_2$ , and make the necessary modifications exactly as in §I.

We start the proof of the conclusion (xi) with the observation that the proof of Lemma I.5 shows that  $S_1$  contains infinitely many points; of course,  $S_2$  might be empty. The remainder of the proof of (xi) is essentially the same as that given in §I.

For conclusion (xii), we need only remark that  $G_2$  is automatically analytically finite, and that  $S_1/G_1$  and  $S_2/G_2$  are glued together along their common boundary, which, in this case, is  $(W \cap \Omega(G))/G_2$ .

For the area computation, we choose a cell decomposition for  $\Omega(G)/G$  as in §I, where the cell decomposition of the projection of  $W$  is invariant under the action of  $G_2$ . We again let  $\Omega_- = \Omega - \Theta$ .

Then, as in §I, we write

$$\begin{aligned} \text{area}(G_1) &= \text{area}(S_1/G_1) + \text{area}(\text{int}(B_1)/J) + \text{area}((W \cap \Omega_-)/J) + \text{area}(\Theta/G_1); \\ \text{area}(G_2) &= \text{area}(S_2/G_2) + \text{area}(\text{int}(B_2)/J) + \text{area}((W \cap \Omega_-)/G_2) + \text{area}(\Theta/G_2); \\ \text{area}(J) &= \text{area}(\text{int}(B_1)/J) + \text{area}(\text{int}(B_2)/J) + \text{area}(\Omega_-/J) + \text{area}(\Theta/J); \\ \text{area}(G) &= \text{area}(S_1/G_1) + \text{area}(S_2/G_2) + \text{area}(\Omega_-/G) + \text{area}(\Theta/G). \end{aligned}$$

Since

$$(W \cap \Omega_-)/G_1 = (W \cap \Omega_-)/J,$$

and

$$(W \cap \Omega_-)/G_2 = (W \cap \Omega_-)/G,$$

we need only show that

$$\Theta/G_1 + \Theta/G_2 = \Theta/G + \Theta/J.$$

This follows from the relevant area calculations given in §I.

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