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CRYSTAL BASES FOR $U_q(\Gamma(\sigma_1, \sigma_2, \sigma_3))$

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ABSTRACT. We construct crystal bases for certain infinite dimensional representations of the q-deformation of the Lie superalgebra $\Gamma(\sigma_1, \sigma_2, \sigma_3)$.

1. Introduction

The notion of crystal bases has been generalized to the q-deformations of certain Lie superalgebras by [1], [11] and [14]. Since the lack of reducibility property in the superalgebra case, in order to generalize crystal base theory to the q-deformations of Lie superalgebras, one needs to modify the original definition of crystal base. In [1], the notion of pseudo-base was introduced. Roughly speaking, a crystal base for a module M in the Lie algebra case is a pair (L, B), where $L \subset M$ is a lattice over the subring $A \subset \mathbb{Q}(q)$ consisting of functions regular at q = 0 and B is a basis of L/qL over \mathbb{Q} . In the super case, one needs to allow B to contain both a \mathbb{Q} -basis and its negative, therefore B is not a basis, and the associated crystal is $B/\{\pm 1\}$.

In this paper, we use an approach similar to that of [1] to construct crystal bases for certain modules of the q-deformation of the universal enveloping algebra of the Lie superalgebra $\Gamma(\sigma_1, \sigma_2, \sigma_3)$. The notation $\Gamma(\sigma_1, \sigma_2, \sigma_3)$ actually stands for a one-parameter family of Lie superalgebras defined over the complex number field \mathbb{C} , though the corresponding q-deformations can be defined over the field $\mathbb{C}(q)$, for our purpose, we shall consider the deformations over the field $\mathbb{Q}(q)$. The construction of crystal bases in [1] depends a crucial fact about the Lie superalgebra gl(m,n): this Lie superalgebra possesses a natural vector representation V with a crystal base. This fact enables [1] to construct a crystal base theory for the simple objects in a certain category of gl(m,n)-modules obtained by taking tensor products of V, although modules in this category are not necessarily completely reducible. Unlike the algebra gl(m,n), the algebra $\Gamma(\sigma_1,\sigma_2,\sigma_3)$ does not have a natural vector representation. To construct a crystal base theory for $\Gamma(\sigma_1, \sigma_2, \sigma_3)$, we first construct a simple module V with a crystal base, then we show that certain simple modules possess crystal bases by studying tensor products of V. It turns out that finite dimensional nontrivial $\Gamma(\sigma_1, \sigma_2, \sigma_3)$ -modules do not have bases that behave well with respect to tensor products. The modules that we show to have crystal bases are infinite dimensional $\Gamma(\sigma_1, \sigma_2, \sigma_3)$ -modules.

2. Preliminary

We use the notation adopted in [12], [13]. Recall that $G = \Gamma(\sigma_1, \sigma_2, \sigma_3)$ is defined as a contragredient Lie superalgebra with three nonzero complex numbers $\sigma_1, \sigma_2, \sigma_3$

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satisfying $\sigma_1 + \sigma_2 + \sigma_3 = 0$, with generators e_i , f_i , h_i (i = 1, 2, 3) and the defining matrix $(a_{ij})_{3\times 3}$ given by

$$\begin{pmatrix} 0 & 2\sigma_2 & 2\sigma_3 \\ -1 & 2 & 0 \\ -1 & 0 & 2 \end{pmatrix}.$$

Let G_0 be the even part of G and let G_1 be the odd part of G, then $G_0 \cong sl(2) \otimes sl(2) \otimes sl(2)$. We denote the standard generators of G_0 by X_i, Y_i, H_i , i.e.

$$X_i = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, Y_i = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, H_i = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \qquad i = 1, 2, 3$$

We use linear functions $\epsilon_1, \epsilon_2, \epsilon_3$ to express the roots of G, where $\epsilon_i(H_j) = \delta_{ij}$. The set of even roots and the set of odd roots are

$$R_0 = \{\pm 2\epsilon_i : i = 1, 2, 3\}, \qquad R_1 = \{\pm \epsilon_1 \pm \epsilon_2 \pm \epsilon_3\}.$$

We choose $\alpha_1 = \epsilon_1 - \epsilon_2 - \epsilon_3$, $\alpha_2 = 2\epsilon_2$, $\alpha_3 = 2\epsilon_3$ to be a simple root system and denote the corresponding generators of G by e_i , f_i , h_i (i = 1, 2, 3). Note that $e_i = X_i$, $f_i = Y_i$, $h_i = H_i$ (i = 2, 3), and $h_1 = -\sigma_1 H_1 + \sigma_2 H_2 + \sigma_3 H_3$. Note also that

$$\rho = (\sum_{\alpha \in \Delta_0^+} \alpha - \sum_{\alpha \in \Delta_1^+} \alpha)/2 = -\epsilon_1 + \epsilon_2 + \epsilon_3 = -\alpha_1.$$

Let $H = \langle h_1, h_2, h_3 \rangle$. We denote an element $\lambda = m_1 \epsilon_1 + m_2 \epsilon_2 + m_3 \epsilon_3 \in H^*$ by (m_1, m_2, m_3) . We also use the numerical marks to denote the elements in H^* . If $\lambda(h_i) = a_i \ (i = 1, 2, 3)$, then we write $\lambda = [a_1, a_2, a_3]$. Let P be the set of integral weights in H^* , i.e. P is the set of $\lambda = [a_1, a_2, a_3]$ such that $a_i \in \mathbb{Z}$.

The conditions on the σ_i 's imply that G depends on only one parameter (compare with [4, 2.5.2]). In order to construct our basic simple highest weight module with a crystal base, we need to assume (see Section 3) that the highest weight $\lambda = (m_1, m_2, m_3)$ of the module satisfies $(\lambda + \rho)(h_1) = 0$, i.e. $-m_1\sigma_1 + m_2\sigma_2 + m_3\sigma_3 = 0$. Thus the parameter and the highest weight are determined by each other, and if a result holds for one set of σ_i , we can adjust the corresponding highest weight for another set of σ_i to obtain a similar result (see the end of Section 3 for an example). In order to simplify our notation, we fix

$$\sigma_1 = \sigma_2 = \frac{1}{2}, \quad \sigma_3 = -1.$$

Under our assumption, the defining matrix is now

$$\begin{pmatrix} 0 & 1 & -2 \\ -1 & 2 & 0 \\ -1 & 0 & 2 \end{pmatrix}.$$

We use $\ell_1 = 1, \ell_2 = -1, \ell_3 = 2$ to symmetrize the matrix.

Let q be an indeterminate over \mathbb{Q} , let $q_i = q_i^{\ell_i}$ (i = 1, 2, 3), and let A be the subring of the quotient field $\mathbb{Q}(q)$ consisting of those f/g with $g(0) \neq 0$. We define the algebra \mathcal{U}' to be the \mathbb{Z}_2 -graded unital associative algebra over $\mathbb{Q}(q)$ generated by the elements E_i , F_i , $K_i^{\pm 1}$ (i = 1, 2, 3), with the parities given by

$$p(E_i) = p(F_i) = 0$$
, $i = 2, 3$; $p(K_i^{\pm 1}) = 0$, $i = 1, 2, 3$; $p(E_1) = p(F_1) = 1$,

and the following generating relations:

(2.1)
$$K_i K_j = K_j K_i, \quad K_i K_i^{-1} = K_i^{-1} K_i = 1, \quad 1 \le i, j \le 3;$$

(2.2)
$$K_i E_j K_i^{-1} = q_i^{a_{ij}} E_j, \quad K_i F_j K_i^{-1} = q_i^{-a_{ij}} F_j, \quad 1 \le i, j \le 3;$$

(2.3)
$$E_i F_j - (-1)^{ab} F_j E_i = \delta_{ij} \frac{K_i - K_i^{-1}}{q_i - q_i^{-1}}, a = p(E_i), b = p(F_j), 1 \le i, j \le 3;$$

$$(2.4) E_2 E_3 = E_3 E_2, F_2 F_3 = F_3 F_2;$$

(2.5)
$$E_i^2 E_1 - (q_i + q_i^{-1}) E_i E_1 E_i + E_1 E_i^2 = 0, \quad i = 2, 3;$$

$$F_i^2 F_1 - (q_i + q_i^{-1}) F_i F_1 F_i + F_1 F_i^2 = 0, \quad i = 2, 3;$$

$$(2.6) E_1^2 = F_1^2 = 0.$$

As in [1], to define the Hopf algebra structure, we use the parity operator σ on \mathcal{U}' , which is defined by $\sigma(x) = (-1)^{p(x)}x$ for the generators x of \mathcal{U}' . Let $\mathcal{U} = \mathcal{U}' \oplus \mathcal{U}'\sigma$ with the algebra structure given by $\sigma^2 = 1$ and $\sigma u\sigma = \sigma(u)$ for $u \in \mathcal{U}'$. Let $p(i) = p(E_i)$, (i = 1, 2, 3). The Hopf algebra structure on \mathcal{U} has the comultiplication Δ , the antipode S and the counit ε defined by

(2.7)
$$\Delta(\sigma) = \sigma \otimes \sigma, \qquad \Delta(K_i) = K_i \otimes K_i, \Delta(E_i) = E_i \otimes K_i^{-1} + \sigma^{p(i)} \otimes E_i, \Delta(F_i) = F_i \otimes 1 + \sigma^{p(i)} K_i \otimes F_i;$$

(2.8)
$$S(\sigma) = \sigma, S(E_i) = -\sigma^{p(i)} E_i K_i, S(F_i) = -\sigma^{p(i)} K_i^{-1} F_i, S(K_i) = K_i^{-1};$$

(2.9)
$$\varepsilon(E_i) = 0, \quad \varepsilon(F_i) = 0, \quad \varepsilon(\sigma) = \varepsilon(K_i) = 1.$$

There exists an algebra anti-automorphism η of \mathcal{U} defined by

(2.10)
$$\eta(\sigma) = \sigma, \eta(K_i) = K_i, \eta(E_i) = q_i F_i K_i^{-1}, \eta(F_i) = q_i^{-1} K_i E_i,$$

and $\eta(uv) = \eta(v)\eta(u)$, for all $u, v \in \mathcal{U}$. Note that $\eta^2 = id$ and

$$\Delta \circ \eta = (\eta \otimes \eta) \circ \Delta.$$

The adjoint action of \mathcal{U}' on itself is given by

(2.12)
$$ad_q x(y) = \sum_{i=1}^{n} (-1)^{p(b_i)p(y)} a_i y S(b_i),$$

where $\Delta x = \sum a_i \otimes b_i$.

Define a \mathbb{Q} -algebra anti-automorphism θ of \mathcal{U}' by

$$\theta(E_i) = F_i, \quad \theta(F_i) = E_i, \quad \theta(K_i) = K_i^{-1}, \quad \theta(q) = q^{-1},$$

and $\theta(uv) = \theta(v)\theta(u)$, for all $u, v \in \mathcal{U}'$.

Introduce the following elements of \mathcal{U}' :

(2.13)
$$E_{121} = ad_q E_3(E_1) = E_3 E_1 - q_3^{-1} E_1 E_3,$$

$$E_{112} = ad_q E_2(E_1) = E_2 E_1 - q_2^{-1} E_1 E_2,$$

$$E_{111} = ad_q E_3 ad_q E_2(E_1) = ad_q (E_3 E_2)(E_1),$$

$$E_0 = (q_2 + q_2^{-1}) E_1 E_{111} + (q_3 + q_3^{-1}) E_{111} E_1 + (q_3 q_2^{-1} - q_3^{-1} q_2) E_{121} E_{112},$$

and let

$$(2.14) F_{212} = \theta E_{121}, F_{221} = \theta E_{112}, F_{222} = \theta E_{111}, F_0 = \theta E_0.$$

Let \mathcal{U}^+ , \mathcal{U}^- , \mathcal{U}^0 be the subalgebras of \mathcal{U}' generated by the E_i , the F_i , and the $K_i^{\pm 1}$ (i = 1, 2, 3) respectively. Then $\mathcal{U}' = \mathcal{U}^- \mathcal{U}^0 \mathcal{U}^+$ and $\mathcal{U}' \cong \mathcal{U}^- \otimes \mathcal{U}^0 \otimes \mathcal{U}^+$ as $\mathbb{Q}(q)$ -vector spaces.

For $\delta = (\delta_1, \delta_2, \delta_3, \delta_4)$, where $\delta_i = 0$ or 1, and $m = (m_1, m_2, m_3), m_i \in \mathbb{Z}_{\geq 0}$, let

(2.15)
$$\begin{split} E^{(\delta,m)} &= E_{111}^{\delta_1} E_{121}^{\delta_2} E_{112}^{\delta_3} E_1^{\delta_4} E_0^{m_1} E_2^{m_2} E_3^{m_3} \\ F^{(\delta,m)} &= F_{222}^{\delta_1} F_{221}^{\delta_2} F_2^{\delta_3} F_1^{\delta_4} F_0^{m_1} F_2^{m_2} F_3^{m_3}. \end{split}$$

For $t = (t_1, t_2, t_3)$, $t_i \in \mathbb{Z}$, let $K^t = K_1^{t_1} K_2^{t_2} K_3^{t_3}$. Then by [13], a PBW type theorem holds, i.e., the K^t form a basis of \mathcal{U}^0 , the elements of the form $E^{(\delta,m)}$ (resp. $F^{(\delta,m)}$) form a basis of \mathcal{U}^+ (resp. \mathcal{U}^-), and the elements of the form $F^{(\delta,m)} K^t E^{(\delta',m')}$ form a basis of \mathcal{U}' .

Following [1], we define the category \mathcal{O}_{int} of \mathcal{U} -modules and the modified Kashiwara operators. The category \mathcal{O}_{int} consists of \mathcal{U} -modules M such that the following conditions hold:

(i) M is a weight module $M = \sum_{\lambda \in P} M_{\lambda}$, where

$$M_{\lambda} = \{ u \in M : K_i u = q_i^{\lambda(h_i)} u, i = 1, 2, 3 \}.$$

- (ii) dim $M_{\lambda} < \infty$ for any $\lambda \in P$.
- (iii) For i=2,3,M is locally \mathcal{U}_i -finite, where \mathcal{U}_i is the subalgebra of \mathcal{U} generated by $E_i, F_i, K_i^{\pm 1}$.
 - (iv) For any λ such that $M_{\lambda} \neq 0$, $\lambda(h_1) \geq 0$.
 - (v) For $\lambda \in P$ such that $\lambda(h_1) = 0$, $E_1 M_{\lambda} = F_1 M_{\lambda} = 0$.

The modified Kashiwara operators for the modules M in \mathcal{O}_{int} are defined as follows. For $i=2,3,\ n\geq 0$, let $F_i^{(n)}=F_i^n/[n]_i!$ be as usual. For $u\in M$ of weight λ , let

$$u = \sum_{k \ge 0, -\lambda(h_i)} F_i^{(k)} u_k$$

be the unique expression such that $E_i u_k = 0$ for each k.

For i = 3, we define

(2.16)
$$\tilde{E}_3 u = \sum_k F_3^{(k-1)} u_k, \qquad \tilde{F}_3 u = \sum_k F_3^{(k+1)} u_k.$$

For i=2, we let $\ell_k=(\lambda+k\alpha_2)(h_2)$ and define

(2.17)
$$\tilde{E}_2 u = \sum_k q_2^{\ell_k - 2k + 1} F_2^{(k-1)} u_k, \qquad \tilde{F}_2 u = \sum_k q_2^{-\ell_k + 2k + 1} F_2^{(k+1)} u_k.$$

For i = 1, we define $\tilde{E}_1 u = q^{-1} K_1 E_1 u$, $\tilde{F}_1 = F_1 u$.

Definition 2.1 ([1, Def. 2.3 and Def. 2.4]). Let $M \in \mathcal{O}_{int}$. A crystal base of M is a pair (L, B) such that:

- (A1) L generates M as a vector space over $\mathbb{Q}(q)$.
- (A2) $\sigma L = L$ and L has a weight decomposition $L = \bigoplus_{\lambda \in P} L_{\lambda}$ with $L_{\lambda} = L \cap M_{\lambda}$.
- (A3) $\tilde{E}_i L \subset L$ and $\tilde{F}_i L \subset L$ for i = 1, 2, 3.
- (C1) B is a subset of L/qL such that $\sigma b = \pm b$ for any $b \in B$, and B has a weight decomposition $B = \bigsqcup_{\lambda \in P} B_{\lambda}$ with $B_{\lambda} = B \cap (L_{\lambda}/qL_{\lambda})$.

- (C2) B is a pseudo-base of L/qL, that is, $B = B' \cup (-B')$ for a \mathbb{Q} base B' of L/qL.
 - (C3) $\tilde{E}_i B \subset B \sqcup \{0\}$ and $\tilde{F}_i B \subset B \sqcup \{0\}$, for i = 1, 2, 3.
 - (C4) For any $b, b' \in B$ and $i = 1, 2, 3, b = \tilde{F}_i b' \iff b = \tilde{E}_i b$.

The crystal associated to the crystal base (L, B) is $B/\{\pm 1\}$.

Definition 2.2. We call a symmetric bilinear form (,) on a \mathcal{U} -module M η -invariant if it satisfies

$$(2.18) (um, m') = (m, \eta(u)m')$$

for all $m, m' \in M$ and $u \in \mathcal{U}$. We say that a crystal base (L, B) for a \mathcal{U} -module M is polarizable if there exists an η -invariant form (,) on M such that $(L, L) \subset A$, and with respect to the induced \mathbb{Q} -valued symmetric bilinear form $(,)_0$ on L/qL,

(2.19)
$$(b,b')_0 = \begin{cases} \pm 1, & \text{if } b' = \pm b, \\ 0, & \text{otherwise,} \end{cases} \text{ for all } b,b' \in B.$$

By [1, Thm. 2.12], a \mathcal{U} -module M with a polarizable crystal base is completely reducible.

We now consider the tensor products of \mathcal{U} -modules. Let (L, B) be a crystal base of a \mathcal{U} -module M. For $b \in B$ and i = 2, 3, we define

$$\varepsilon_i(b) = \max\{n \in \mathbb{Z}_{\geq 0} : \tilde{E}_i^n b \neq 0\},$$

$$\varphi_i(b) = \max\{n \in \mathbb{Z}_{\geq 0} : \tilde{F}_i^n b \neq 0\}.$$

Note that $\operatorname{wt}(b)(h_i) = \varphi_i(b) - \varepsilon_i(b), i = 2, 3.$

Let $M_1, M_2 \in \mathcal{O}_{int}$ and suppose that they have crystal bases (L_1, B_1) and (L_2, B_2) respectively. Set $L = L_1 \otimes_A L_2$ and $B = B_1 \otimes B_2$. Then (L, B) is a crystal base for $M_1 \otimes M_2$ and by [1, Prop. 2.8], the actions of \tilde{E}_i, \tilde{F}_i on $b_1 \otimes b_2$ $(b_1 \in B_1 \text{ and } b_2 \in B_2)$ are given by

(2.20)
$$\tilde{E}_{1}(b_{1} \otimes b_{2}) = \begin{cases}
\tilde{E}_{1}(b_{1}) \otimes b_{2}, & \text{if } wt(b_{1})(h_{1}) > 0, \\
\sigma b_{1} \otimes \tilde{E}_{1}(b_{2}), & \text{if } wt(b_{1})(h_{1}) = 0,
\end{cases}$$

$$\tilde{F}_{1}(b_{1} \otimes b_{2}) = \begin{cases}
\tilde{F}_{1}(b_{1}) \otimes b_{2}, & \text{if } wt(b_{1})(h_{1}) > 0, \\
\sigma b_{1} \otimes \tilde{F}_{1}(b_{2}), & \text{if } wt(b_{1})(h_{1}) = 0;
\end{cases}$$

(2.21)
$$\tilde{E}_{2}(b_{1}\otimes b_{2}) = \begin{cases}
b_{1}\otimes \tilde{E}_{2}(b_{2}), & \text{if } \varphi_{2}(b_{2}) \geq \varepsilon_{2}(b_{1}), \\
\tilde{E}_{2}(b_{1})\otimes b_{2}, & \text{if } \varphi_{2}(b_{2}) < \varepsilon_{2}(b_{1}),
\end{cases}$$

$$\tilde{F}_{2}(b_{1}\otimes b_{2}) = \begin{cases}
b_{1}\otimes \tilde{F}_{2}(b_{2}), & \text{if } \varphi_{2}(b_{2}) > \varepsilon_{2}(b_{1}), \\
\tilde{F}_{2}(b_{1})\otimes b_{2}, & \text{if } \varphi_{2}(b_{2}) \leq \varepsilon_{2}(b_{1});
\end{cases}$$

(2.22)
$$\tilde{E}_{3}(b_{1}\otimes b_{2}) = \begin{cases}
\tilde{E}_{3}(b_{1})\otimes b_{2}, & \text{if } \varphi_{3}(b_{1}) \geq \varepsilon_{3}(b_{2}), \\
b_{1}\otimes\tilde{E}_{3}(b_{2}), & \text{if } \varphi_{3}(b_{1}) < \varepsilon_{3}(b_{2}),
\end{cases}$$

$$\tilde{F}_{3}(b_{1}\otimes b_{2}) = \begin{cases}
\tilde{F}_{3}(b_{1})\otimes b_{2}, & \text{if } \varphi_{3}(b_{1}) > \varepsilon_{3}(b_{2}), \\
b_{1}\otimes\tilde{F}_{3}(b_{2}), & \text{if } \varphi_{3}(b_{1}) \leq \varepsilon_{3}(b_{2}).
\end{cases}$$

3. The basic module and the main result

Since a PBW type theorem holds for \mathcal{U}' , we can define highest weight modules for \mathcal{U} as usual. We call a \mathcal{U} -module M a highest weight module if there is a weight vector v of M such that $M = \mathcal{U}^-(v)$, if this is the case, we call v a highest weight vector. We call a weight vector v maximal if $\mathcal{U}^+(v) = 0$. For a highest weight module M with a highest weight vector v, the action of σ on M can be specified by the action of σ on v.

By [4, Thm. 8] (compare with [8, Prop. 2.1]), the simple \mathcal{U} -module $L(\lambda)$ with highest weight $\lambda = m_1\epsilon_1 + m_2\epsilon_2 + m_3\epsilon_3$ is finite dimensional only if $m_i \in \mathbb{Z}_{\geq 0}$ (i = 1, 2, 3). Consider condition (iv) in the definition of the category \mathcal{O}_{int} . If $L(\lambda)$ is finite dimensional with

$$m_1 > 0$$
 and $\lambda(h_1) = -\frac{1}{2}m_1 + \frac{1}{2}m_2 - m_3 \ge 0$,

then $m_2 > 0$. By using the action of the Weyl group $W \cong \mathbb{Z}_2 \otimes \mathbb{Z}_2 \otimes \mathbb{Z}_2$ of G, we see that there is a weight μ of the module $L(\lambda)$ such that $\mu(h_1) < 0$. If $m_1 = 0$, then $L(\lambda)$ is finite dimensional if and only if $m_2 = m_3 = 0$, i.e., $L(\lambda)$ is the trivial module. Since condition (iv) (alternatively $\mu(h_1) \leq 0$ for all weights μ of a \mathcal{U} -module in the category) is needed in working with the tensor products of crystal bases, we should consider simple highest weight \mathcal{U} -modules with $m_1 < 0$.

Let $\lambda = (-2, 0, 1) = [0, 0, 1]$ (recall that the numbers inside (,) stand for the coefficients of the ϵ_i 's and the numbers in [,] stand for the numerical marks), denote the \mathcal{U} -module $L(\lambda)$ by \mathbf{V} . Let v_0 be a highest weight vector of \mathbf{V} and let the action σ on \mathbf{V} be given by $\sigma v_0 = v_0$. Note that \mathbf{V} is infinite dimensional since $\lambda(H_1) = -2$.

Proposition 3.1. The \mathcal{U} -module \mathbf{V} has a polarizable crystal base (\mathbf{L}, \mathbf{B}) with the associated crystal graph (the repeating block is 3, 1, 2, 1):

$$(3.1) \qquad \boxed{0} \xrightarrow{3} \boxed{1} \xrightarrow{1} \boxed{2} \xrightarrow{2} \boxed{3} \xrightarrow{1} \boxed{4} \xrightarrow{3} \boxed{5} \xrightarrow{1} \boxed{6} \xrightarrow{2} \boxed{7} \xrightarrow{1} \boxed{8} \cdots$$

Proof. Define a set of vectors $\{v_i\}_{i\geq 0}$ of **V** according to the action of the F_i 's described in the given crystal graph, i.e.

$$v_1 = F_3 v_0$$
, $v_2 = F_1 v_1$, $v_3 = F_2 v_2$, $v_4 = F_1 v_3$, ...

We claim that $\{v_i\}_{i\geq 0}$ is a basis of **V** with the action of $E_i, F_i \ (i=1,2,3)$ given by

(3.2)
$$F_1 v_i = \begin{cases} 0, & i \text{ even,} \\ v_{i+1}, & i \text{ odd,} \end{cases}$$
$$F_2 v_i = \begin{cases} 0, & i \neq 4n+2, \\ v_{i+1}, & i = 4n+2, \end{cases}$$
$$F_3 v_i = \begin{cases} 0, & i \neq 4n, \\ v_{i+1}, & i = 4n; \end{cases}$$

(3.3)
$$E_1 v_i = \begin{cases} 0, & i = 0 \text{ or odd,} \\ [n]v_{i-1}, & i = 4n, n \ge 1, \\ [n+2]v_{i-1}, & i = 4n+2, n \ge 0, \end{cases}$$

where $[n] = \frac{q^n - q^{-n}}{q - q^{-1}}$ (note that $\ell_1 = 1$ and $q_1 = q$).

(3.4)
$$E_2 v_i = \begin{cases} 0, & i \neq 4n+3, \\ v_{i-1}, & i = 4n+3, \end{cases} \quad E_3 v_i = \begin{cases} 0, & i \neq 4n+1, \\ v_{i-1}, & i = 4n+1. \end{cases}$$

These formulas will imply that the subspace of **V** spanned by $\{v_i\}_{i\geq 0}$ is a submodule of **V**, thus must be the whole **V** since **V** is simple. We verify these formulas at the first block:

$$v_0 \xrightarrow{3} v_1 \xrightarrow{1} v_2 \xrightarrow{2} v_3 \xrightarrow{1}$$
.

The verification at any other block is similar.

Consider v_0 . If $F_1v_0 \neq 0$, then since $E_iF_1v_0 = 0$ for i = 1, 2, 3, F_1v_0 generates a proper submodule of \mathbf{V} , contradicting the simplicity of \mathbf{V} . Similarly, $F_2v_0 = 0$. Also by $U_q(sl(2))$ -theory, $v_1 = F_3v_0 \neq 0$ and $F_3v_1 = 0$.

Consider v_1 . By (2.4) $F_2v_1 = F_2F_3v_0 = F_3F_2v_0 = 0$. Since **V** is infinite dimensional, $v_2 = F_1v_1 \neq 0$.

Consider v_2 . By (2.5)

$$F_3v_2 = F_3F_1F_3v_0 = \frac{1}{q_3 + q_3^{-1}}(F_3^2F_1 + F_1F_3^2)v_0 = 0.$$

Since $F_1^2 = 0$, $F_1v_2 = 0$. The weight of v_2 is $wt(v_2) = [2, 1, 0]$. By (2.3), $E_2v_2 = 0$, so $U_q(sl(2))$ -theory implies $v_3 = F_2v_2 \neq 0$ and $F_2v_3 = 0$.

Consider v_3 . By (2.4), $F_3v_3 = 0$, then $F_1v_3 \neq 0$.

Observe that the weights of the vectors in $\{v_i\}_{i>0}$ are given by

(3.5)
$$wt(v_{4n}) = [n, 0, 1], wt(v_{4n+1}) = [n+2, 0, -1], \\ wt(v_{4n+2}) = [n+2, 1, 0], wt(v_{4n+3}) = [n+1, -1, 0];$$

the actions of E_i (i = 1, 2, 3) are then clear.

We denote the images of $\pm v_i$ in $\mathbf{L}/q\mathbf{L}$ also by $\pm v_i$ and let

$$\mathbf{L} = \bigoplus_{i>0} Av_i, \qquad \mathbf{B} = \{\pm v_i : i \ge 0\}.$$

To see that (\mathbf{L}, \mathbf{B}) is a crystal base for \mathbf{V} , we only need to verify conditions (A3), (C3) and (C4) in Definition 2.1. For i=2,3, by formulas (2.2)–(2.7), we see that \mathbf{V} is a direct sum of one- or two-dimensional modules for the subalgebra $\mathcal{U}_i = \langle E_i, F_i, K_i^{\pm 1} \rangle$, so conditions (A3), (C3) and (C4) clearly hold. For i=1, $\tilde{F}_1 = F_1$ and

(3.6)
$$\tilde{E}_{1}v_{i} = q^{-1}K_{1}E_{1}v_{i} = \begin{cases} 0, & i = 0 \text{ or odd,} \\ \frac{q^{2n}-1}{q^{2}-1}v_{i-1}, & i = 4n, n \ge 1, \\ \frac{q^{2n+4}-1}{q^{2}-1}v_{i-1}, & i = 4n+2, n \ge 0, \end{cases}$$

$$= \begin{cases} 0, & i = 0 \text{ or odd,} \\ v_{i-1}, & i = 4n, n \ge 1, \\ v_{i-1}, & i = 4n+2, n \ge 0, \end{cases} \pmod{q\mathbf{L}}.$$

Thus (A3), (C3) and (C4) also hold for \tilde{E}_1 and \tilde{F}_1 .

To show that (\mathbf{L}, \mathbf{B}) is polarizable, we define a symmetric bilinear form on \mathbf{V} by letting

$$(v_0, v_0) = 1$$
 and $(uv_0, u'v_0) = (v_0, \eta(u)u'v_0),$

for all $u, u' \in \mathcal{U}^- \oplus \mathcal{U}^- \sigma$. Then (,) is η -invariant and $(v_i, v_j) = 0$ if $i \neq j$. To prove that $(\mathbf{L}, \mathbf{L}) \subset A$, we use induction on n to prove that

$$(3.7) (v_{4n+i}, v_{4n+i}) \in A, \text{for } n \ge 0 \text{ and } 0 \le i \le 3.$$

For n = 0, since $K_3 v_0 = q_3^{\lambda(h_3)} v_0 = q_3 v_0$, we have

$$(v_1, v_1) = (F_3 v_0, F_3 v_0) = (v_0, \eta(F_3) F_3 v_0)$$

= $(v_0, q_2^{-1} K_3 E_3 F_3 v_0) = (v_0, v_0) = 1.$

Then

$$(v_2, v_2) = (F_1v_1, F_1v_1) = (v_1, q^{-1}K_1E_1F_1v_1) = (v_1, (q^2 + 1)v_1) = q^2 + 1.$$

Similarly to the case n = 0, we have $(v_3, v_3) = (v_2, v_2)$.

For n > 0, by formulas (3.3) and (3.4) we have

$$(v_{4n}, v_{4n}) = \frac{q^{2n} - 1}{q^2 - 1} (v_{4n-1}, v_{4n-1}), (v_{4n+1}, v_{4n+1}) = (v_{4n}, v_{4n}),$$

$$(v_{4n+2}, v_{4n+2}) = \frac{q^{2n+4} - 1}{q^2 - 1} (v_{4n+1}, v_{4n+1}), (v_{4n+3}, v_{4n+3}) = (v_{4n+2}, v_{4n+2}).$$

Thus by induction, we see that $(v_i, v_i) \in A$ $(i \ge 0)$, hence $(\mathbf{L}, \mathbf{L}) \subset A$. From these computations we also see that the induced \mathbb{Q} -valued symmetric bilinear form $(,)_0$ on $\mathbf{L}/q\mathbf{L}$ satisfies (2.19).

By the results in Section 2.4 of [1], we have the following corollary.

Corollary 3.2. For all integers n > 0, the \mathcal{U} -module $\mathbf{V}^{\otimes n}$ is completely reducible.

Now we can state our main result.

Theorem 3.3. For any $\mu = [m, 0, n]$ $(m, n \in \mathbb{Z}_{\geq 0})$, the simple \mathcal{U} -module $L(\mu)$ has a polarizable crystal base.

We will give the proof of Theorem 3.3 in the next section. Although we will see that there are simple \mathcal{U} -modules $L(\lambda)$ with $\lambda(h_2)>0$ which possess polarizable crystal bases, we cannot expect that all simple \mathcal{U} -modules $L(\lambda)$ with $\lambda(h_i)\geq 0$ (i=1,2,3) possess crystal bases for the same reason as discussed at the beginning of this section. It is clear that if we choose $\sigma_1=\sigma_3=\frac{1}{2}$ and $\sigma_2=-1$ instead, then similar results hold, in particular, the statement in Theorem 3.3 can be changed to

Theorem 3.3'. For any $\mu = [m, n, 0]$ $(m, n \in \mathbb{Z}_{\geq 0})$, the simple \mathcal{U} -module $L(\mu)$ has a polarizable crystal base.

4. Proof of Theorem 3.3

Consider the decomposition of $\mathbf{V} \otimes \mathbf{V}$. The actions of \tilde{E}_i and \tilde{F}_i on $\mathbf{B} \otimes \mathbf{B}$ can be obtained from (2.20)–(2.22) and (3.1). We have

(4.1)
$$\tilde{E}_{1}(v_{i} \otimes v_{j}) = \begin{cases}
\tilde{E}_{1}(v_{i}) \otimes v_{j}, & i \neq 0, \\
\sigma v_{0} \otimes \tilde{E}_{1}(v_{j}), & i = 0,
\end{cases}$$

$$\tilde{F}_{1}(v_{i} \otimes v_{j}) = \begin{cases}
\tilde{F}_{1}(v_{i}) \otimes v_{j}, & i \neq 0, \\
\sigma v_{0} \otimes \tilde{F}_{1}(v_{j}), & i = 0.
\end{cases}$$

(4.3)
$$\tilde{E}_{3}(v_{i} \otimes v_{j}) = \begin{cases} \tilde{E}_{3}(v_{i}) \otimes v_{j}, & \text{otherwise,} \\ v_{i} \otimes \tilde{E}_{3}(v_{j}), & \text{if } i \neq 4m, j = 4n + 1, \end{cases}$$
$$\tilde{F}_{3}(v_{i} \otimes v_{j}) = \begin{cases} \tilde{F}_{3}(v_{i}) \otimes v_{j}, & \text{if } i = 4m, j \neq 4n + 1, \\ v_{i} \otimes \tilde{F}_{3}(v_{j}), & \text{otherwise.} \end{cases}$$

Let

$$HW(\mathbf{B} \otimes \mathbf{B}) = \{x \in \mathbf{B} \otimes \mathbf{B} : \tilde{E}_i x = 0, i = 1, 2, 3\}.$$

The elements of $HW(\mathbf{B} \otimes \mathbf{B})$ provide a set of linear independent maximal vectors in $\mathbf{V} \otimes \mathbf{V}$.

Proposition 4.1. We have

$$HW(\mathbf{B} \otimes \mathbf{B}) = \{v_0 \otimes v_i : j = 4n + 1, n > 0\} \cup \{v_{4m+3} \otimes v_{4n+2} : m, n > 0\}.$$

Proof. We first consider elements of the form $v_0 \otimes v_j$. By (4.1), $\tilde{E}_1(v_0 \otimes v_j) = 0$ if and only if $\tilde{E}_1v_j = 0$, that is, j = 0 or j is odd (see (3.3)). The case j = 0 is clear, consider the case j is odd. By (4.2), (4.3), (3.4) we have $\tilde{E}_2(v_0 \otimes v_j) = v_0 \otimes \tilde{E}_2(v_j) = 0 \Leftrightarrow j = 4n + 1$ and $\tilde{E}_3(v_0 \otimes v_j) = \tilde{E}_3(v_0) \otimes v_j = 0$. Therefore $v_0 \otimes v_{4n+1} \in HW(\mathbf{B} \otimes \mathbf{B})$.

Then we consider the case $i \neq 0$. We have $\tilde{E}_1(v_i \otimes v_j) = 0 \Leftrightarrow \tilde{E}_1(v_i) = 0 \Leftrightarrow i$ is odd. For i = 4n + 1, formulas (4.3) and (3.4) imply that

$$\tilde{E}_3(v_i \otimes v_j) = \begin{cases} v_i \otimes \tilde{E}_3(v_j), & j = 4m+1, \\ \tilde{E}_3(v_i) \otimes v_j, & j \neq 4m+1, \end{cases} \neq 0.$$

For i = 4n + 3, we have

$$\tilde{E}_2(v_i \otimes v_j) = \begin{cases} \tilde{E}_2(v_i) \otimes v_j, & j \neq 4m+2, \\ v_i \otimes \tilde{E}_2(v_j), & j = 4m+2, \end{cases} = 0 \Leftrightarrow j = 4m+2.$$

and $\tilde{E}_3(v_{4n+3}\otimes v_{4m+2})=\tilde{E}_3(v_{4n+3})\otimes v_{4m+2}=0$. Therefore the desired result follows.

Note that

$$wt(v_0 \otimes v_0) = (-4, 0, 2) = [0, 0, 2],$$

$$(4.4) wt(v_0 \otimes v_{4n+1}) = (-2n - 4, 0, 0) = [n + 2, 0, 0],$$

$$wt(v_{4m+3} \otimes v_{4n+2}) = (-2m - 2n - 6, 0, 0) = [m + n + 3, 0, 0].$$

Lemma 4.2. Let $\lambda_1 = (-2,0,0) = [1,0,0]$, then the *U*-module $L(\lambda_1)$ has a polarizable crystal base.

Remark. Since the highest weight of **V** is $\lambda = [0,0,1]$, we see that Theorem 3.3 follows immediately from Lemma 4.2.

Proof. Let $\mu = wt(v_0 \otimes v_1) = (-4,0,0) = [2,0,0]$. Then by Proposition 4.1 and the results in Section 2.4 of [1], the \mathcal{U} -module $L(\mu)$ has a polarizable crystal base. Let $M(\mu)$ be the Verma module (see [2, p. 72]) with the highest weight μ and let $M(\lambda_1)$ be the Verma module with the highest weight λ_1 . Let $v_{\mu} \in M(\mu)$ (resp. $v_{\lambda_1} \in M(\lambda_1)$) be a highest weight vector. Then

$$L(\mu) \cong M(\mu)/\langle F_2 v_\mu, F_3 v_\mu \rangle, \qquad L(\lambda_1) \cong M(\lambda_1)/\langle F_2 v_{\lambda_1}, F_3 v_{\lambda_1} \rangle.$$

Let v be either v_{μ} or v_{λ_1} . Then

$$F^{(\delta,m)}v = F_{222}^{\delta_1}F_{212}^{\delta_2}F_{221}^{\delta_3}F_1^{\delta_4}F_0^mv, \quad \delta_i = 0, 1, \quad m \in \mathbb{Z}_{\geq 0},$$

form a basis of $L(\mu)$ or $L(\lambda_1)$. The weights of these elements are

(4.5)
$$wt(F^{(\delta,m)}v) = wt(v) - (\delta_1 + \delta_2 + \delta_3 + \delta_4 + 2m)\epsilon_1 + (-\delta_1 + \delta_2 - \delta_3 + \delta_4)\epsilon_2 + (-\delta_1 - \delta_2 + \delta_3 + \delta_4)\epsilon_3.$$

Thus $wt(F^{(\delta,m)}v_{\lambda_1})(h_1) = m+1+\delta_1+2\delta_2-\delta_3 \geq 0$ and $L(\lambda_1) \in \mathcal{O}_{int}$.

Formula (4.5) implies that the decomposition of $L(\mu)$ and $L(\lambda_1)$ into simple \mathcal{U}_{i} -modules (i = 2, 3) are the same, and $L(\mu) \cong L(\lambda_1)$ as \mathcal{U}_{i} -modules (i = 2, 3).

The corresponding weights of $L(\mu)$ and $L(\lambda_1)$ have different numerical marks on h_1 , however, the difference is just 1. Therefore, by applying an argument similar to the proof of Proposition 3.1 (in particular, the computations (3.3) and (3.6)), we see that if (L_{μ}, B_{μ}) is a polarizable crystal base for $L(\mu)$ with

$$L_{\mu} = \{ F_{i_1} \cdots F_{i_k} v_{\mu} : (i_1 \cdots i_k) \in J \},$$

where J is a certain set of sequences formed by the numbers in $\{1, 2, 3\}$, then

$$L_{\lambda_1} = \{ F_{i_1} \cdots F_{i_k} v_{\lambda_1} : (i_1 \cdots i_k) \in J \},$$

with the corresponding B_{λ_1} will define a polarizable crystal base for $L(\lambda_1)$.

5. Crystal graphs

From the proof of Lemma 4.2 we see that we can identify the crystal graph of L([m,0,0]) (m>0) with the crystal graph of L([2,0,0]). We also identify the crystal graph of L([2,0,0]) with the connected component generated by $\boxed{0} \otimes \boxed{1}$ in the crystal graph of $\mathbf{V} \otimes \mathbf{V}$. Write

$$i \otimes j = i$$
, $i, j \ge 0$.

The crystal graph of L([2,0,0]) is given by the diagram

where the blocks B_i (i = 0, 1, 2, ...) are given by

and the blocks B'_{j} (j = 1, 2, ...) are given by

$$(5.3) \qquad \xrightarrow{1} \boxed{\frac{4j}{3}} \xrightarrow{3} \boxed{\frac{4j+1}{3}} \xrightarrow{1} \boxed{\frac{4j+2}{3}} \xrightarrow{2} \boxed{\frac{4j+3}{3}} \xrightarrow{1} .$$

These graphs can be verified by using (4.1)-(4.3) and (3.1).

The crystal graph of L([0,0,n]) can be identified with the connected component generated by $\boxed{0} \otimes \boxed{0} \otimes \cdots \otimes \boxed{0}$ (*n* copies) in the crystal graph of $\mathbf{V}^{\otimes n}$, and is given by

$$\underbrace{0 \otimes 0 \otimes \cdots \otimes 0}_{n \text{ copies}} \xrightarrow{3} B_1 \xrightarrow{3} B_2 \xrightarrow{3} \cdots,$$

where the blocks B_i (i = 0, 1, 2, ...) are given by

in which

$$\boxed{s,t} = \boxed{4i+t} \otimes \boxed{1} \otimes \cdots \otimes \boxed{1} \otimes \boxed{0} \otimes \cdots \otimes \boxed{0}, \qquad 1 \leq s \leq n, \quad 1 \leq t \leq 4.$$

The crystal graph of L([m, 0, n]) (m, n > 0) can be identified with the connected component generated by

$$\underbrace{0 \otimes 0 \otimes \cdots \otimes 0}_{n \text{ copies}} \otimes \underbrace{\frac{0}{1}}_{}$$

in the crystal graph of $L([0,0,n])\otimes L([m,0,0])$ (or $L([0,0,n])\otimes L([2,0,0])$). We should just give the crystal graph in the case n=1 as an example.

Example. The crystal graph of L([m, 0, 1]) is given by

where the blocks C_i (i = 0, 1, 2, ...) are given by

$$\xrightarrow{3} \boxed{4i+1} \otimes \boxed{\frac{0}{1}} \xrightarrow{1} \boxed{4i+2} \otimes \boxed{\frac{0}{1}} \xrightarrow{2} \boxed{4i+3} \otimes \boxed{\frac{0}{1}} \xrightarrow{1} \boxed{4i+4} \otimes \boxed{\frac{0}{1}} \xrightarrow{3},$$

the block D is given by

and the blocks D_i (i = 0, 1, 2, ...) are given by

in which

$$\boxed{0,t} = \boxed{4i+t} \otimes \boxed{\frac{0}{4}}, \qquad 1 \le t \le 3,$$

$$\boxed{1,t} = \boxed{4i+t} \otimes \boxed{\frac{1}{4}}, \qquad 1 \le t \le 4,$$

$$\boxed{2,t} = \boxed{4i+t} \otimes \boxed{\frac{1}{5}}, \qquad 1 \le t \le 4.$$

Remark. From graph (5.1) we see that

$$\tilde{E}_i\left(\boxed{\frac{0}{1}}\otimes\boxed{\frac{0}{2}}\right)=0, \qquad i=1,2,3.$$

So $\boxed{\frac{0}{1}} \otimes \boxed{\frac{0}{2}}$ generates a connected component in the crystal graph of $L([2,0,0]) \otimes L([2,0,0])$. Since

$$wt\left(\boxed{\frac{0}{1}}\otimes\boxed{\frac{0}{2}}\right) = [4,1,1],$$

we see that there are $L(\nu)$ with $\nu(h_2) > 0$ which possess polarizable crystal bases.

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