

Update 1 of: Synthesis and Functionalization of Indoles Through Palladium-Catalyzed Reactions[†]

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1. INTRODUCTION

The substituted indole nucleus [indole is the acronym from *indigo* (the natural dye) and *oleum* (used for the isolation)] is a structural component of a vast number of biologically active natural and unnatural compounds. The synthesis and functionalization of indoles has been the object of research for over 100 years, and a variety of well-established classical methods are now available, to name a few of them, the Fisher indole synthesis, the Gassman synthesis of indoles from *N*-haloanilines, the Madelung cyclization of *N*-acyl-*o*-toluidines, the Bischler indole synthesis, the Batcho-Leimgruber synthesis of indoles from *o*-nitrotoluenes and dimethylformamide acetals, and the reductive cyclization of *o*-nitrobenzyl ketones.¹

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In the last 40 years or so, however, palladium-catalyzed reactions, generally tolerant of a wide range of functionalities and therefore applicable to complex molecules, have achieved an important place in the arsenal of the practicing organic chemist. Since the invention of an industrial process for the palladium-catalyzed production of acetaldehyde from ethylene in the presence of PdCl_2 and CuCl_2 , an ever-increasing number of organic transformations have been based on palladium catalysis. Almost every area of the organic synthesis has been deeply influenced by the profound potential of this versatile transition metal, modifying the way organic chemists design and realize synthetic processes.^{2,3} Because of its catalytic nature, palladium-catalyzed synthesis can provide access to fine chemicals, agrochemical and pharmaceutical intermediates, and active ingredients in fewer steps and with less waste than classical methods. Heterocyclic chemistry is no exception to this trend, and a great deal of studies have been directed toward the use of palladium catalysis in the synthesis and functionalization of heterocycles,^{4,5} including indoles. The impact of palladium chemistry of indoles in academic and medicinal chemistry communities has been extraordinary, as outlined by the number of studies developed in this area.

There are several review articles⁶ and books^{4,7} covering different or limited aspects of the palladium chemistry of indoles. Our aim is to try to provide a comprehensive and updated overview of recent developments in the palladium-catalyzed approaches to the preparation of indole derivatives with the emphasis on the rationale behind each synthetic procedure and the dependence of the results on a proper selection of reaction conditions. Palladium-catalyzed reactions are in fact strongly dependent on a number of factors such as the nature of stabilizing ligands (as well as their presence or absence), bases, additives, the combination of them, solvents, and temperature. All of these factors combine to afford a toolbox of tunable reaction conditions that make palladium chemistry so flexible and, to some extent, unpredictable, leaving room for an uninterrupted discovery of new, exciting chemistry despite the vast amount of studies developed so far.

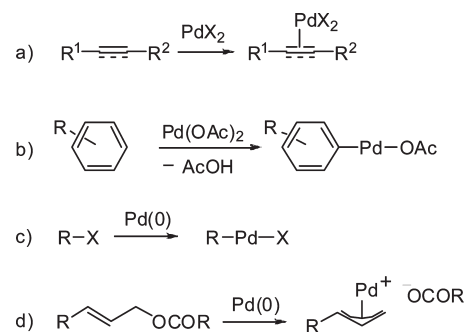
In general, synthetic procedures based on the use of a stoichiometric amount of palladium will not be treated. Even palladium-catalyzed procedures producing indole-related compounds such as indolines, oxindoles, indazoles, and related compounds or condensed polycyclic compounds such as carboline, carbazoles, and related compounds as well as multistep procedures where palladium catalysis is not directly involved in the construction of the indole ring will not be discussed. Only reactions leading to the direct functionalization of the indole core motif will be treated, and functionalizations involving intramolecular processes are also beyond the scope of this review.

Literature has been surveyed until the end of 2009.

2. Pd(II)- AND Pd(0)-CATALYZED REACTIONS, PHOSPHINE LIGANDS, AND ADDITIVES

Both palladium(II) salts and palladium(0) complexes have been used in indole chemistry. Palladium(II) salts are fairly electrophilic species and tend to react with electron-rich compounds such as alkenes, alkynes, and arenes. The most commonly used palladium(II) salts in general, and particularly in indole chemistry, are commercially available PdCl_2 and $\text{Pd}(\text{OAc})_2$, very often utilized as complexes of the type PdX_2L_2 (where L stands for a ligand) such as $\text{PdCl}_2(\text{PPh}_3)_2$, $\text{Pd}(\text{OAc})_2(\text{PPh}_3)_2$, and $\text{PdCl}_2(\text{MeCN})_2$. Complexes containing phosphine ligands are frequently formed in situ combining PdCl_2 or $\text{Pd}(\text{OAc})_2$ with phosphine ligands.

Scheme 1



The typical reaction of palladium(II) salts with alkenes or alkynes affords π -complexes (Scheme 1a), which because of the decreased electron density at the carbon–carbon multiple bond can undergo an intermolecular or intramolecular nucleophilic attack across the coordinated olefinic or acetylenic moiety. Intramolecular nucleophilic attack on π -palladium complexes by a heteroatom close to the carbon–carbon multiple bond is particularly useful for the synthesis of heterocycles.⁸ With nitrogen nucleophiles, the procedure has been extensively employed in the synthesis of indoles.

With arenes, palladium(II) salts—typically $\text{Pd}(\text{OAc})_2$, which may also be prepared in situ from PdCl_2 and AcONa —can produce palladation intermediates (compounds containing carbon–palladium σ -bonds), basically through an electrophilic substitution reaction (Scheme 1b). These palladation intermediates can give rise to homocoupling reactions,⁹ acetoxylation reactions,¹⁰ or, in the presence of alkenes, vinylic substitution reactions.¹¹ This $\text{C}_{\text{aryl}}\text{---H}$ activation chemistry has been used to functionalize selectively the pyrrole ring contained in the indole system.

In many Pd(II) salt-catalyzed reactions, Pd(II) species are reduced to Pd(0) species at the end of each cycle. Consequently, to make the reaction catalytic with respect to Pd(II), the presence of oxidants such as CuCl_2 , $\text{Cu}(\text{OAc})_2$, benzoquinone, *tert*-butyl hydroperoxide (TBHP), MnO_2 , or HNO_3 is required to allow for the conversion of Pd(0) into Pd(II) in situ.

Palladium(0) complexes contain a d^{10} palladium and are usually nucleophilic. Most of the catalytic processes based on their utilization involve, in the initial step, their reaction with a variety of covalent polar and nonpolar X–Y bonds (for example, H–H, N–H, O–H, C–H, C–halogen, or C–O). Coordinatively unsaturated Pd(0) complexes react with X–Y bonds via an oxidative addition process producing X–Pd(II)–Y derivatives (containing an electrophilic palladium), which, depending on reaction conditions, can undergo a variety of transformations. In general, oxidative addition is favored by increasing the electron density on palladium. The usually observed rate of oxidative addition with $\text{C}_{\text{aryl}}\text{---halogen}$ bonds increases according to the following order:¹² $\text{C---F} < \text{C---Cl} < \text{C---Br} < \text{C---I}$ (with aryl fluorides being almost inert). Vinyl triflates undergo facile oxidative addition, while the reactivity of aryl triflates is more or less between that of aryl iodides and aryl bromides.

In the presence of monodentate ligands, the *cis* complex is likely to be the initially formed oxidative addition complex that subsequently isomerizes to the thermodynamically stable *trans* complex. As to the influence of ligands on the oxidative addition reaction, in general, oxidative addition is favored by σ -donor ligands coordinated to the palladium center. With bidentate ligands, the *cis* complex is the usual intermediate, though Buchwald et al.¹³ have recently shown that xantphos [9,9-dimethyl-4,6-bis(diphenylphosphino)xanthene], a

Scheme 2



rigid bidentate ligand with a wide natural bite angle,¹⁴ can be trans-chelating in palladium complexes. A great deal of indole chemistry is based on the oxidative addition of vinyl, aryl, heteroaryl halides, or triflates to generate addition intermediates containing σ -carbon–palladium(II) bonds (Scheme 1c) in an initial step of their catalytic process, including the reactions involving indolyl halides and triflates.

The reaction of palladium(0) complexes with allylic esters, typically acetates or carbonates, affords π -allylic palladium complexes (Scheme 1d) that can undergo a nucleophilic attack at one of the allylic termini to afford allylation products.¹⁵

Two of the most commonly used palladium(0) complexes are the commercially available $\text{Pd}(\text{PPh}_3)_4$, unstable in air and light sensitive, and $\text{Pd}_2(\text{dba})_3$ (dba = dibenzylideneacetone), the storage and manipulation of which is much easier than that for $\text{Pd}(\text{PPh}_3)_4$. When $\text{Pd}(\text{PPh}_3)_4$ is used, the coordinatively unsaturated, catalytically active $\text{Pd}(\text{PPh}_3)_2$ (a 14-electron species) is generated via a two-step equilibrium process involving the initial loss of a phosphine ligand to give $\text{Pd}(\text{PPh}_3)_3$ followed by the loss of a second phosphine ligand. In the $\text{Pd}_2(\text{dba})_3$ complex, each palladium is coordinated to three olefinic double bonds. In practice, two dba molecules are involved in the coordination of one palladium atom. In some cases, $\text{Pd}_2(\text{dba})_3$ was utilized without the addition of phosphine ligands. Most frequently, however, it is used as a source of $\text{Pd}(0)$ to prepare palladium–phosphine complexes in situ by a ligand exchange reaction with a vast range of monodentate and bidentate phosphines (Scheme 2). This preparation of palladium–phosphine complexes in situ is based on the assumption that dba, being a weaker ligand than phosphine, should be easily and completely removed from the coordination sphere of palladium to give active $\text{Pd}(0)\text{L}_2$ species. It has been shown that this assumption is at least an oversimplified view.¹⁶ Nevertheless, the preparation of palladium–phosphine complexes via this protocol may represent a ready and convenient entry into the generation of “tailor-made” catalyst systems. For example, it is particularly useful when the reaction requires the use of $\text{Pd}(0)$ complexes containing chiral or electron-rich bulky ligands (the latter have been found to be the ligands of choice in the oxidative addition of aryl bromides, chlorides, and, more recently, sulfonates). On the other hand, though there are examples of reactions carried out under “ligand-free” conditions, ligands are frequently required to generate soluble palladium catalysts and to modulate the reactivity of palladium complexes. Phosphine ligands are by far the most commonly used ligands in indole chemistry.

Palladium on charcoal or other supported palladium metal catalysts have also been employed as a source of $\text{Pd}(0)$. Reactions are considered to occur under heterogeneous conditions but may involve soluble palladium complexes, which are formed via leaching of palladium into solution (which depends on the catalyst system being used and reaction conditions). The involvement of dissolution/precipitation processes during the reaction when supported palladium catalysts are utilized is the object of an ongoing debate.¹⁷ The leaching of palladium into solution may be a major phenomenon when palladium on charcoal is employed in the presence of phosphine ligands. In practice, in the presence of phosphine ligands palladium on charcoal can be used as a catalyst system similar to $\text{Pd}(\text{PR}_3)_n$.¹⁸

Palladium(0) species are frequently formed in situ via reduction of palladium(II) species by several reagents such as alkenes, terminal alkynes, carbon monoxide, alcohols, amines, formate anions, metal hydrides, and butyllithium. Interestingly, $\text{Pd}(\text{OAc})_2$ can be reduced by phosphines.¹⁹

Additives, mostly halide additives²⁰ (and often their stoichiometry), also play a significant role in controlling the reaction outcome of palladium-catalyzed reactions and have been widely utilized in indole chemistry.

Particularly, after the pioneering work of Jeffery,²¹ who showed that the Heck reaction could be run under mild conditions in the presence of $\text{Pd}(\text{OAc})_2$, carbonate or bicarbonate bases, and Bu_4NCl (reported to be much more efficient than other ammonium salts, for example, Bu_4NBr), a great number of papers have described the beneficial effects of Bu_4NCl in palladium(0)-catalyzed transformations, including the synthesis of indole derivatives. Amatore and Jutand have subsequently shown that chloride anions can stabilize palladium species and provide more efficient catalytic cycles.²² Furthermore, the large ammonium cation can stabilize halide-ligated zerovalent or divalent palladium-centered complexes. Bu_4NCl is superior to LiCl in this respect.²² In some cases, however, even the presence of LiCl has been found to provide beneficial effects on $\text{Pd}(0)$ -catalyzed reactions. For example, LiCl has been shown to play a key role in the Stille reaction,²³ affording better results than other salts, such as LiBr , LiI , NaCl , and KCl , or in preventing homocoupling reactions in some carbonylations of aryl iodides.²⁴ Chloride anions play a crucial role in the isomerization of π -allyl palladium complexes.²⁵ The nature of the halide anions influences the stability of five-coordinate palladium complexes²⁶ and the stability of dimeric palladium complexes in amination reactions.²⁷ Halide effects are important in asymmetric allylic alkylations²⁸ and asymmetric Heck reactions.²⁹

Halide additives are also crucial for the success of a variety of palladium(II)-catalyzed reactions, such as the 1,4-difunctionalization of 1,3-dienes,³⁰ the palladium-catalyzed 1,4-oxylactonization,³¹ and the heteroatom addition to carbon–carbon multiple bonds,³² and to control the vinylic substitution/conjugate addition-type ratio in Heck-type reactions with α,β -unsaturated carbonyl compounds³³ and the competition between β -heteroatom elimination and β -hydride elimination of intermediates containing a σ -carbon–palladium bond.³⁴ Halide additives have been extensively used in several synthetic approaches to indole derivatives.

Apart from some important rationalizations, however, the general behavior of phosphine ligands and additives is not always clearly understood. It may also vary from one type of reaction to another. For example, it is not unusual that a given set of conditions gives satisfactory results with electron-rich aryl halides whereas electron-poor aryl halides require a different set of conditions.³⁵ One of the reasons for this lack of general theories is that catalytic cycles usually consist of several consecutive steps and the chemical nature as well as the reactivity of each intermediate can differ widely depending on reaction conditions. Some reaction parameters can also exhibit opposing effects on different steps of a catalytic cycle. Therefore, it is advisable that in the initial search for optimal conditions a variety of phosphines (with different electronic and steric properties) and additives, as well their presence or absence, be investigated.

3. STRATEGIES IN THE PALLADIUM-CATALYZED SYNTHESIS OF INDOLE DERIVATIVES

There are many approaches to the palladium-catalyzed synthesis of indole derivatives which, in this review, have been categorized into

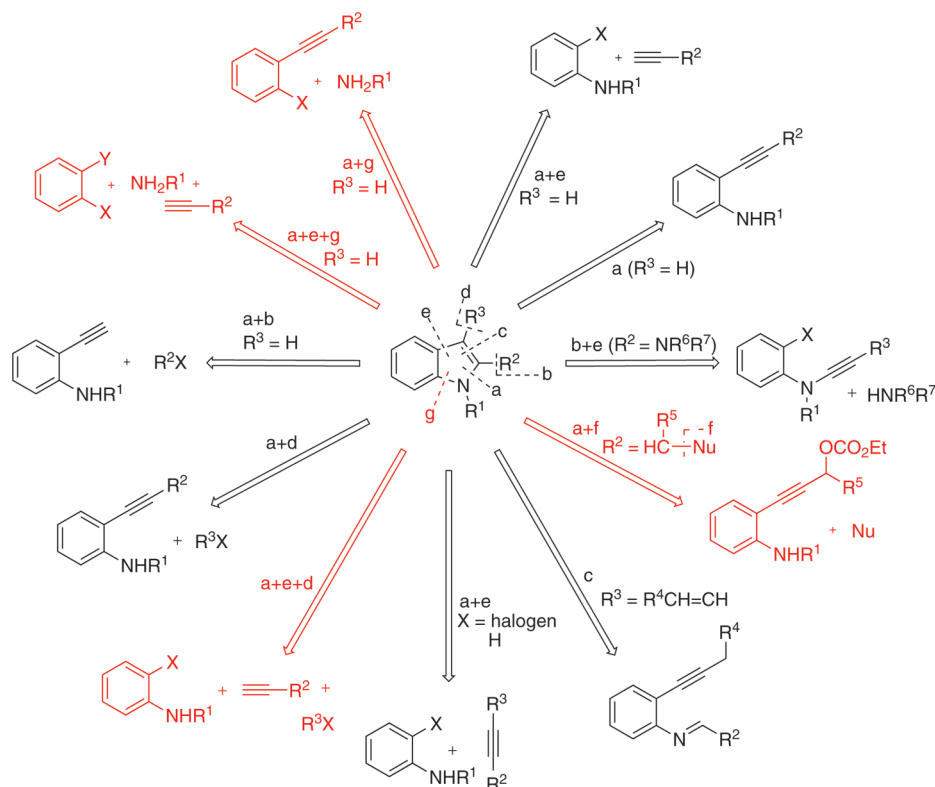


Figure 1. Retrosynthetic representation of the main alkyne-based palladium-catalyzed assemblies of the pyrrole ring.

two main types, corresponding to two main sections: the de novo indole system construction from benzenoid precursors through cyclization reactions and the functionalization of preformed indole rings. The two main sections are further subclassified by the nature of precursors and, furthermore, by the reaction mechanisms.

Cyclization reactions usually involve the assembly of the functionalized pyrrole nucleus on a benzenoid scaffold. This has been a very successful synthetic approach, as evidenced by the vast number of published material available. Construction of the pyrrole nucleus on heteroaromatic scaffolds has also been described.

A considerable part of the studies dedicated to the development of new sequences in the bond-making process leading to the construction of the pyrrole ring is based on the utilization, as precursors, of compounds containing nitrogen nucleophiles and carbon–carbon triple bonds. Nitrogen nucleophiles and alkyne moieties can be part of the same molecule or belong to two different molecules. Most of the alkyne-based palladium-catalyzed approaches to the assembly of the pyrrole ring are represented in Figure 1. The pyrrole nucleus can also be assembled by using precursors containing nitrogen nucleophiles and carbon–carbon double bonds (Figure 2). The chemical structure of olefin precursors can vary widely, but in terms of the bonds that can be created in the construction of the pyrrole rings, the alkene-based approach appears to be less versatile than the alkyne-based approach.

In addition to alkyne- and alkene-based procedures, other strategies for the construction of the functionalized pyrrole nucleus have been described that are based on intramolecular vinylation (Figure 3) and C–N bond forming (Figure 4) reactions.³⁶

As to the functionalization of the preformed indole system, two main trends can be recognized: (a) functionalization via the intermediacy of indolylpalladium complexes and (b) functionalization via organometallic derivatives of indoles such as indolylstannanes, indolylboronic acids, and indolylzinc compounds. In the former case,

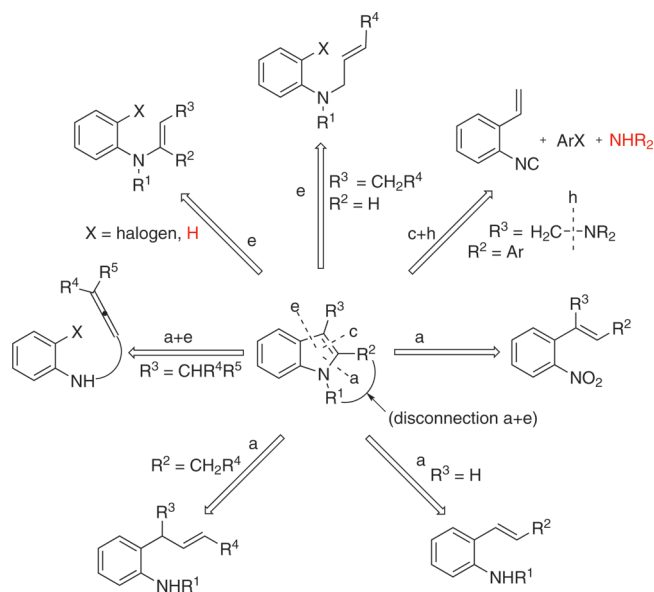


Figure 2. Retrosynthetic representation of the main alkene-based palladium-catalyzed assemblies of the pyrrole ring.

indolyl halides and triflates have been widely used to generate indolylpalladium complexes in situ via oxidative addition to Pd(0) complexes. Examples of indolylpalladium complexes formed via direct activation of C–H bonds with Pd(OAc)₂ have also been reported. In the second case, indole derivatives have been prepared via palladium-catalyzed cross-coupling reactions of indolylstannanes, indolylboronic acids, and indolylzinc compounds with a number of organic halides and triflates.

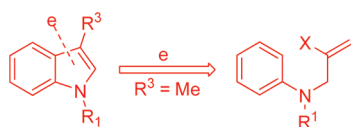


Figure 3. Retrosynthetic representation of the palladium-catalyzed intramolecular vinylation process.

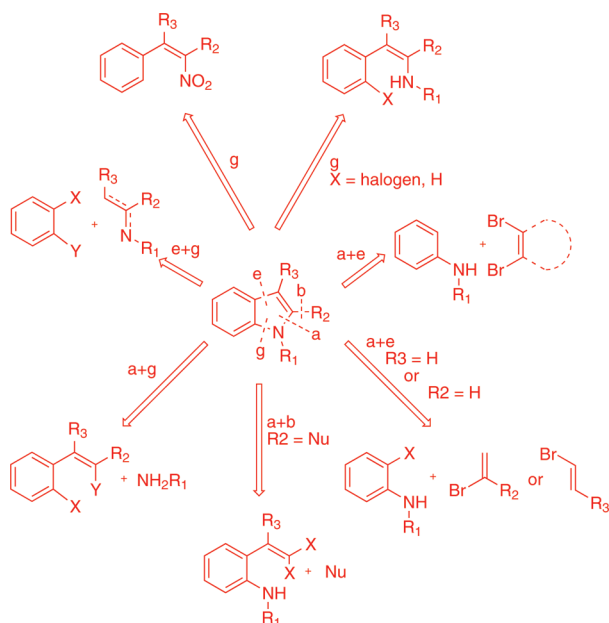


Figure 4. Retrosynthetic representation of the main palladium-catalyzed constructions of the pyrrole ring via N-vinylation and N-arylation reactions.

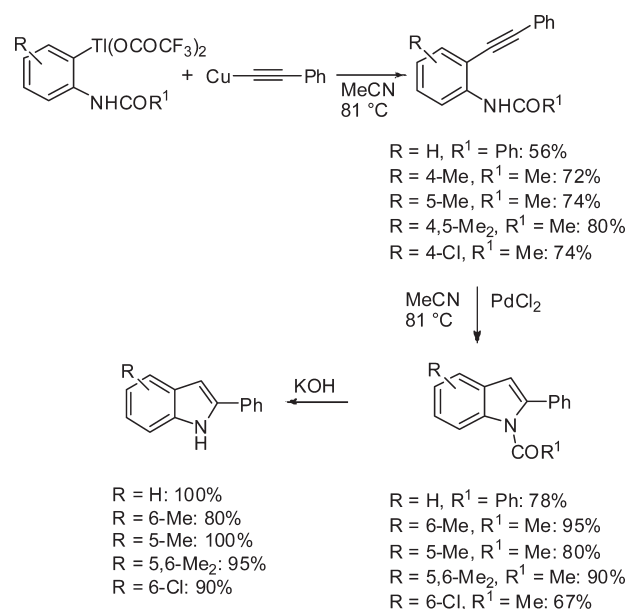
4. ASSEMBLY OF THE PYRROLE NUCLEUS CONTAINED IN THE INDOLE SYSTEM

4.1. Cyclization of Alkynes

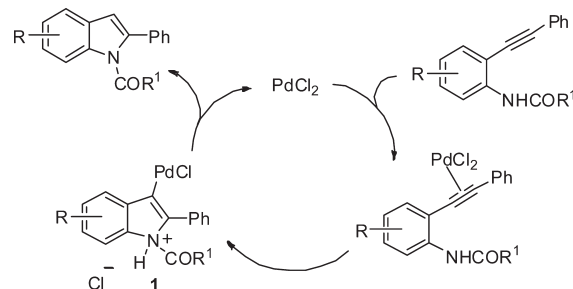
As shown in the retrosynthetic representation shown in Figure 1, alkyne-based procedures have been found to provide a wide range of possibilities for the construction of the pyrrole ring. Both Pd(II)- and Pd(0)-catalyzed cyclizations have been described, and as can be seen in the following five subsections, a variety of structurally diverse substrates function well in these transformations.

4.1.1. Cyclization of *o*-Alkynylanilines and *o*-Alkynylanilides Catalyzed by Pd(II) Salts. Disconnection *a* and *a+e*, Figure 1. The first example of palladium-catalyzed cyclization of *o*-alkynylanilides to indoles (disconnection *a*, Figure 1) was by Taylor and McKillop.³⁷ Their synthesis, which dates back to the mid-1980s, features the coupling of the preformed copper(I) salt of phenylacetylene with *o*-thallated anilides in acetonitrile to give *o*-(phenylethynyl)acetanilides. Treatment of *o*-(phenylethynyl)-acetanilides with PdCl₂ in acetonitrile results in smooth cyclization to *N*-acyl-2-phenylindoles, from which free NH indoles are obtained by deacylation with alcoholic potassium hydroxide (Scheme 3). The process occurs under conditions consistently milder than those described by Castro et al.³⁸ in the early 1960s for the synthesis of indoles from *o*-iodoanilines and cuprous acetylides. For example, under Castro conditions, 2-phenylindole was isolated in 89% yield upon warming *o*-iodoaniline and cuprous phenylacetylide in dimethylformamide (DMF) at 175 °C

Scheme 3



Scheme 4

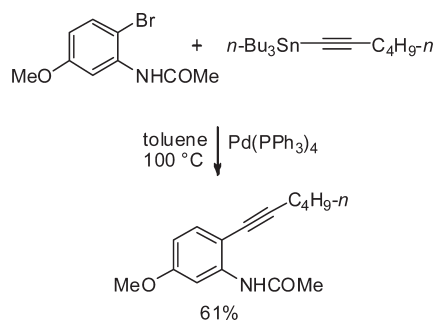


(a two-step sequence, involving the isolation of the coupling intermediate followed by cyclization in the presence of CuI, was suggested for the preparation of 2-alkylindoles).

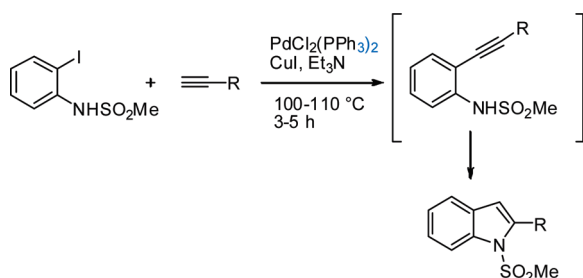
The proposed reaction mechanism for the palladium-catalyzed cyclization, in analogy with the mechanism proposed in a seminal work by Utimoto et al. for the palladium(II)-catalyzed intramolecular cyclization of alkynylamines,³⁹ comprises the following basic steps: (a) initial formation of a π -alkynylpalladium complex, (b) intramolecular nucleophilic attack of the nitrogen nucleophile across the activated carbon-carbon triple bond to give the σ -indolylpalladium complex **1**, (c) proton transfer with loss of Pd(II), which enters a new catalytic cycle, and formation of the *N*-acyl-2-phenylindole (Scheme 4).

This procedure, however, has rarely found applications in indole synthesis, most probably because of the toxicity of the metal used. Subsequently, Stille et al. reported that *o*-bromoacetanilides could be more conveniently used as the aniline partner for the coupling reaction instead of *o*-thallated anilides.⁴⁰ *o*-(Alkynyl)acetanilides could be prepared through palladium(0)-catalyzed reaction of alkynylstannanes with *o*-bromoacetanilides. The reaction tolerates various substituents on the anilides, including esters, ether, and chloro and trifluoromethoxy groups. However, it still uses toxic reagents such as organostannanes. The prototypical reaction is shown in Scheme 5.

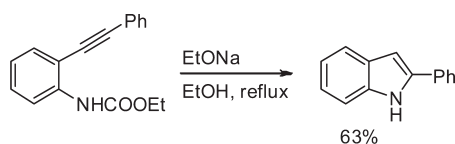
Scheme 5



Scheme 6



Scheme 7

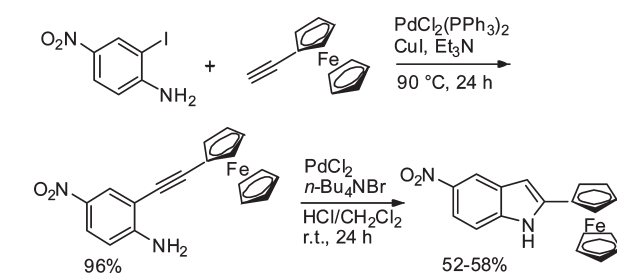


A significant improvement is due to Yamanaka et al.,⁴¹ who observed that treatment of 1-alkynes with *o*-iodo-*N*-mesylanilides under Sonogashira conditions^{42a–c} could directly afford indole products in a single operative step through a domino coupling-cyclization process (disconnection a+e, Figure 1) with palladium and copper catalysts involved both in the coupling and in the cyclization reaction (Scheme 6). Control experiments revealed that formation of the indole product was observed by heating *o*-trimethylsilylethynyl-*N*-mesylanilide, prepared by an alternative procedure, in the presence of both cuprous iodide⁴³ and dichlorobis(triphenylphosphine)palladium, whereas no indole derivative was obtained in triethylamine and DMF for 10 h.

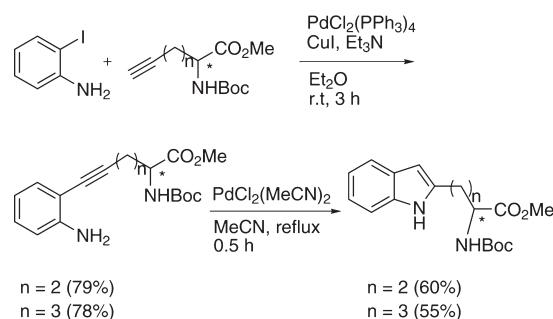
Interestingly, treatment of the crude *o*-(phenylethynyl)-*N*-ethoxycarbonylanilide, prepared through a Sonogashira coupling, with a strong base such as sodium ethoxide was found to give 2-phenylindole in good overall yield,⁴¹ showing that the cyclization of *o*-alkynylanilides can be performed through base-mediated reactions as well (Scheme 7).

The palladium-catalyzed coupling (with^{42a–c} or without^{42d,e} copper cocatalysts) of terminal alkynes with *o*-haloanilines or *o*-haloanilides followed by a cyclization step (through stepwise, one-pot, or domino processes) revealed a particularly useful approach to the synthesis of 2-substituted indoles, and a large number of applications were developed based on this synthetic protocol. Both base-mediated⁴⁴ and palladium-catalyzed protocols were developed to perform the cyclization of coupling intermediates.

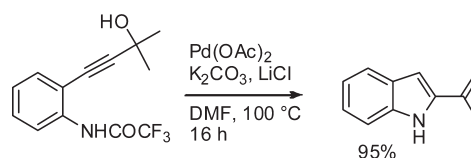
Scheme 8



Scheme 9



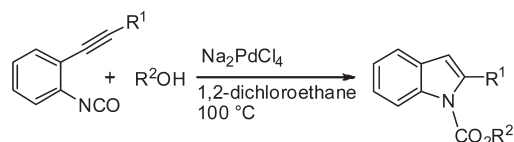
Scheme 10



In some cases, particularly when 2-substituted indoles are directly obtained through domino processes and the authors have not investigated the mechanism of the cyclization step,^{44,45} the specific role of palladium and the base in the formation of the pyrrole ring cannot be clearly established.

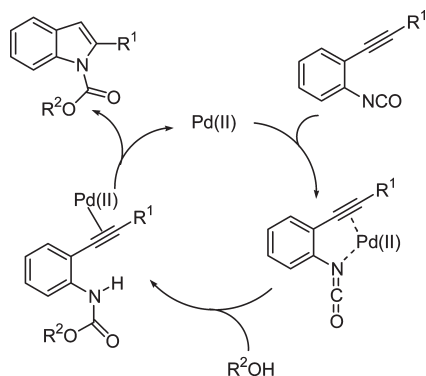
The versatility of the palladium-catalyzed cyclization of *o*-alkynylanilides and *o*-alkynylanilides was demonstrated in the synthesis of pyrrolo[2,3-*b*]pyrimidine derivatives,⁴⁶ 5-azaindoles,^{47a} and 6-substituted 5H-pyrrolo[2,3-*b*]pyrazines,^{47b} in the preparation of 2-ferrocenyl indoles^{48a} (Scheme 8) and bisindoles,^{48b} in the synthesis of paramagnetic indole derivatives,^{48c} in the synthesis of novel optically active tryptophan analogues from aniline-containing acetylenic amino acids⁴⁹ (Scheme 9), and in the synthesis of 2-(aminomethyl)indoles from *o*-iodotosylanilides, propargyl bromide and amine derivatives,⁵⁰ of 2-substituted *N*-tosyl and *N*-mesylanilides from terminal alkynes and *o*-iodo-*N*-tosyl- and *N*-mesylanilides in the presence of Pd(OAc)₂ and *n*-Bu₄NOAc at room temperature under ultrasound or standard stirred conditions,⁵¹ of indole-*N*-carboxamides from *o*-alkynylanilides and isocyanides,⁵² and of antitumor 4-[1-(aryl-sulfonyl-1H-indol-2-yl)]-4-hydroxycyclohexa-2,5-dien-1-ones.⁵³ *o*-[(3-Hydroxy-3,3-dimethylprop-1-yl)trifluoroacetanilide] was converted into *o*-(1-methylethenyl)indole.⁵⁴ In this case, the palladium-catalyzed cyclization was followed by the hydrolysis of the amide bond and a dehydration process leading to the formation of the olefinic double bond (Scheme 10). Cacchi et al. showed that the

Scheme 11



R ¹	R ²	time (h)	yield %
<i>n</i> -Pr	Pr	1.5	74
cyclopentyl	Pr	1.5	83
<i>t</i> -Bu	Pr	2	89
Ph	Pr	1.5	59
<i>p</i> -MeO-C ₆ H ₄	Pr	1.5	58
<i>p</i> -CF ₃ -C ₆ H ₄	Pr	1.5	55
H	Pr	2	45
<i>n</i> -Pr	Me	1.5	65
<i>n</i> -Pr	<i>i</i> -Pr	2	67
<i>n</i> -Pr	<i>t</i> -Bu	24	56

Scheme 12



cyclization of *o*-alkynylanilines can be performed in an acidic CH₂Cl₂/HCl two-phase system in the presence of PdCl₂ and Bu₄NCl at room temperature.⁵⁵ Usually, these conditions were found to give yields comparable to or higher than those obtained with PdCl₂ in acetonitrile at 60–80 °C. However, neutral conditions appear to give better results with *o*-alkynylanilines containing electron-withdrawing groups on the alkyne moiety. **More recently, the cyclization of unprotected primary *o*-alkynylanilines with the use of a FeCl₃–PdCl₂ (2 and 1 mol %, respectively) combination in dichloroethane (DCE) at 80 °C was described.⁵⁶ Although the π -activation of the triple bond by an iron species could not be totally ruled out, experimental evidence suggests that the role of iron under these cyclization conditions is to facilitate the in situ reoxidation of Pd(0) to Pd(II).** Because reactions were carried out in open-air flasks, the presence of oxygen may account for the cascade reoxidation of Fe(II) to Fe(III), which allows the use of catalytic amounts of FeCl₃. Amatore, Genêt, Jutand, et al. described the synthesis of 2-substituted indoles from *o*-iodoaniline or *o*-iodotrifluoroacetanilide and terminal alkynes in the presence of Pd(OAc)₂, triphenylphosphinetrisulfonate sodium salt (TPPTS), and Et₃N in MeCN and H₂O without any copper promoter.⁵⁷ The indole ring was suggested to arise from the intramolecular cyclization of an organopalladate intermediate.

A route to 2-substituted indoles from *o*-(alkynyl)phenylisocyanates was recently described by Yamamoto et al.^{58b} (Scheme 11). Reactions were carried out in the presence of Na₂PdCl₄, but

other Pd(II) catalysts, such as PdCl₂ and PdCl₂(MeCN)₂, were found to exhibit similar catalytic activities. Other transition metals were tested and Pt(II) and Au(III) exhibited catalytic activity to form indole products. Interestingly, an argon atmosphere was not necessary. The catalytic activity seemed to be high for a few hours even in the presence of oxygen. The proposed reaction mechanism is outlined in Scheme 12. The catalyst exhibits a dual role: it accelerates the addition of alcohols to the isocyanate group and activates the alkynes for the subsequent cyclization.

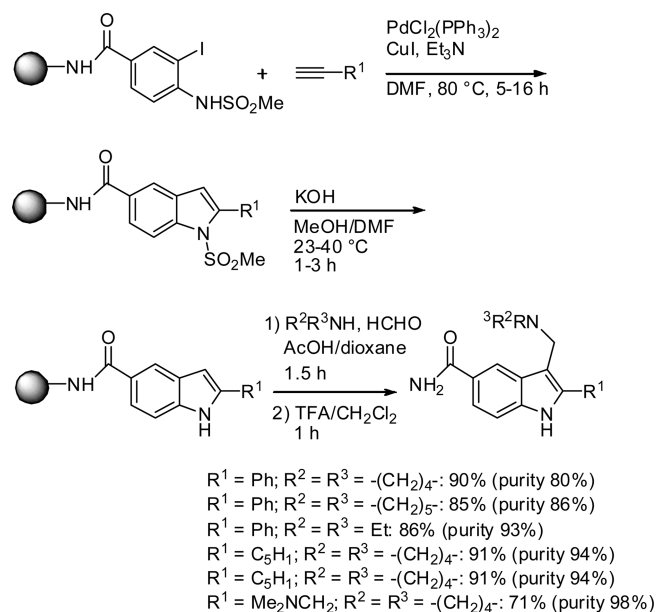
Supported Palladium Catalysts. Palladium on activated carbon^{59a} was shown to be an active and selective single catalyst for the formation of 2-phenyl indole (isolated in 72% overall yield) from phenylacetylene through a domino Sonogashira coupling–intramolecular heteroannulation. The coupling intermediate was never observed, indicating that heteroannulation is rapid under reaction conditions (1 mol % Pd/C, 1 mol % CuI, 120 °C, 6 h). Recycling experiments showed that a strong deactivation of the catalyst occurs during the first run, giving a Pd/C catalyst with an average activity of ca. 20% of the initial activity. Quite recently, a one-pot domino synthesis of 2-alkyl- and 2-aryl-substituted indoles from terminal alkynes and *o*-iodoanilides in water using 10% Pd/C was reported.^{59b} The reaction was carried out in the presence of PPh₃ and CuI as the cocatalyst system and 2-aminoethanol as the base at 80 °C. The highest yields were obtained with *N*-mesylanilides (producing *N*-mesylindoles), whereas in general, the use of *o*-iodotrifluoroacetanilides did not afford good yields. **Almost identical conditions were used to prepare a variety of 2,5-disubstituted indoles in water.^{59c}**

It was also shown that 2-substituted indoles can be obtained through the reactions of *o*-iodoaniline, *o*-iodoacetanilide, *o*-iodotrifluoroacetanilide, and *N*-(*o*-iodophenyl)methanesulfonamide with terminal alkynes on potassium fluoride doped alumina in the presence of palladium powder, cuprous iodide, and triphenylphosphine under solvent-free and microwave-assisted conditions.^{60a} Indeed, advancement in instrumentation, with the possibility of performing reactions in closed vessels in a temperature- and pressure-controlled manner, has gained increasing popularity to microwave-assisted organic synthesis. The best result was obtained with *N*-(*o*-iodophenyl)mesylamide, which afforded exclusively the indole product in 80% yield. *o*-Iodoanilides and terminal alkynes were converted into the corresponding 2-substituted indoles with a Pd(II)–NaY zeolite catalyst in DMF at 140 °C in the presence of LiCl and Cs₂CO₃.^{60b} The catalyst was recycled five times with addition of LiCl and Cs₂CO₃ to each reaction, showing good catalytic reusability, though slightly lower yields were obtained and a longer reaction time was necessary each time.

2-Phenylindole was synthesized from *o*-iodoaniline and phenylacetylene by using a heterogeneous bimetallic [Pd–Cu] catalyst.⁶¹ Palladium nanoparticles stabilized in micelles formed by polystyrene-*co*-poly(ethylene oxide) and cetylpyridinium chloride as a surfactant were used as a catalyst in the reaction of *N*-mesyl-*o*-iodoaniline with phenylacetylene to give *N*-mesyl-2-phenylindole.⁶² [Pd(NH₃)₄]²⁺/NaY and [Pd]/SBA-15 were applied to the synthesis of 2-functionalized indoles.⁶³

Solid-Phase Synthesis. Several solid-phase syntheses of indole derivatives for the generation of indole-based combinatorial libraries were also developed. Solid-phase synthesis has had a significant impact in medicinal chemistry, and the indole ring system is an attractive scaffold for combinatorial synthesis. Structural diversity can be readily increased via ring substitution. One of the first problems to be addressed in designing a solid-phase indole synthesis is how to graft the indole precursor on the

Scheme 13

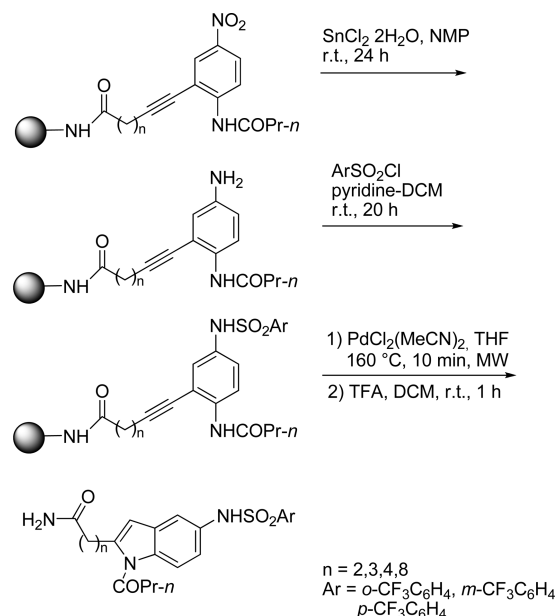


resin. Some solid-phase syntheses are based on ester^{45b} and amide⁶⁴ linkers at the benzene moiety. As an example of an amide linker involving the benzene ring, 2-substituted 3-aminomethylindoles were prepared through a sequence based on the palladium-catalyzed coupling–cyclization of an *o*-iodomesylanilide bound to the resin via a benzamide linkage, followed by a Mannich condensation.⁶⁴ Some crude yields for Mannich reaction products, based on the loading level of the resin, are shown in Scheme 13.

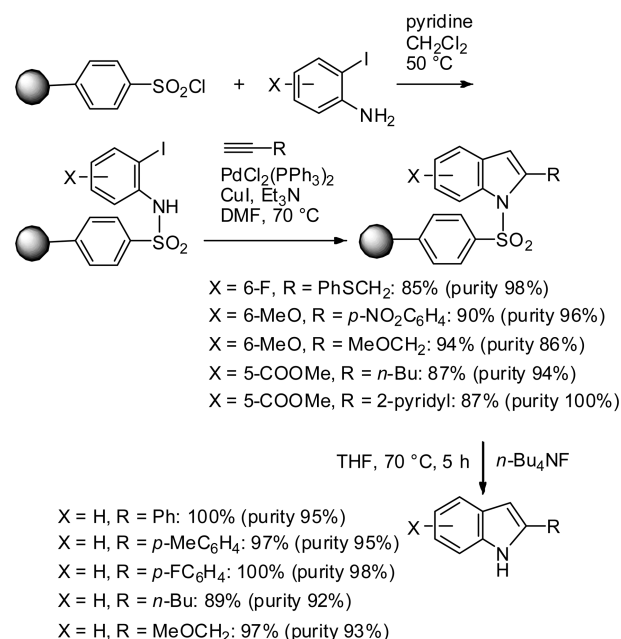
Amide linkers involving the pyrrole nucleus were also employed (Scheme 14).⁶⁵ The palladium-catalyzed cyclization of resin-bound *o*-alkynylanilides was performed under microwave-assisted conditions. Speeding up organic reactions carried out on solid polymeric supports appears ideally suited for solid-phase combinatorial synthesis. In fact, because of its heterogeneous nature, solid-phase synthesis is often flawed by long reaction times, incomplete conversion of starting materials, or both. In the latter case, impurities may accumulate on the polymeric surface and lower the purity of compound libraries. Under microwave-assisted conditions, 1-acyl-2-alkyl-5-arenesulfamoylindoles were obtained, after cleavage from the resin, in 95–99% purities and in 65–82% overall yields.

The preceding syntheses are examples of utilization of linkers that remain as substituents in the final indole derivatives. However, extraneous substituents such as COOH and CONH₂ remaining in the final product after cleavage can well be undesirable in certain indole libraries. This may represent a limit to the scope of the solid-phase approach to the synthesis of indole products and has led to the development of procedures for the solid-phase construction of indole derivatives based on traceless linkers.⁶⁶ One interesting example of such a strategy, applied by Zhang and co-workers to the synthesis of 2-substituted indoles via the coupling–cyclization methodology,^{66a} is shown in Scheme 15. The linker is a sulfonyl group, which plays a doubly significant role: it serves as an activating group to facilitate the cyclization step and, after indole formation, favors the cleavage step. The cleavage step can be performed under mild conditions, which should allow the synthesis of diverse indole derivatives bearing either base- or acid-sensitive functional groups. Other reaction conditions were explored, and potassium *tert*-butoxide was found to provide excellent results in the synthesis of

Scheme 14



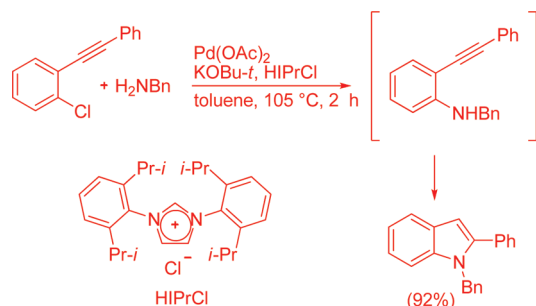
Scheme 15



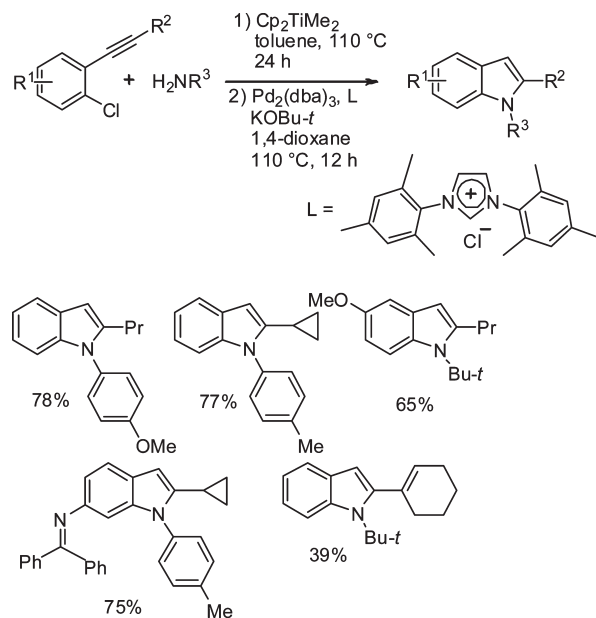
2-phenylindole. However, its use could be limited because strongly basic conditions may not tolerate a wide range of functional groups.

Disconnection a–g, Figure 1. The use of *o*-dihaloarenes as the arene partners in the synthesis of 2-substituted indoles is an interesting alternative to the classical methods based on *o*-haloanilines or their derivatives.^{67a,b,68} The cross-coupling reaction of *o*-dihaloarenes with terminal alkynes affords *o*-alkynylhaloarenes that subsequently undergo a palladium-catalyzed *N*-arylation/cyclization reaction to give the corresponding indoles. A palladium complex generated from the commercially available imidazolium salt HIPrCl in combination with KOBu-*t* was shown to be an efficient catalyst

Scheme 16



Scheme 17

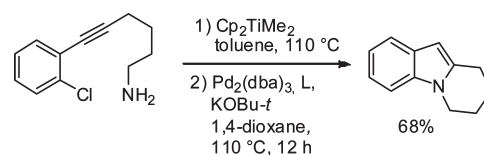


for the conversion of *o*-alkynylhaloarenes into indoles^{67a} (Scheme 16). Mild bases such as Cs_2CO_3 or K_2CO_3 can also be used. However, longer reaction times and, in some cases, incomplete cyclization of the coupling intermediates are observed. These problems can be circumvented by adding CuI to the reaction mixture. Alternatively, *o*-alkynylhaloarenes can be cyclized to indoles using $\text{Pd}(\text{OAc})_2$ and $\text{P}(\text{Bu}-t)_3$ with $\text{KOBu}-t$ (in toluene) or K_3PO_4 (in DMA, *N,N*-dimethylacetamide) as the bases.^{67b}

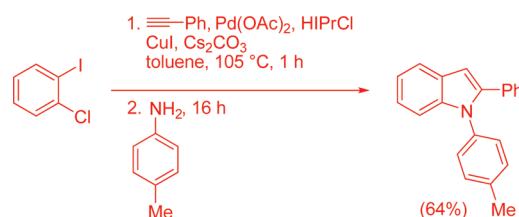
On the basis of these studies, a regioselective synthesis of 4- and 7-alkoxyindoles from 2,3-dihalophenols was developed, a strategy that was successfully applied to the preparation of LY315920, a known inhibitor of phospholipase A2.⁶⁸ Indoles bearing sterically hindered *N*-alkyl or *N*-aryl substituents were prepared from *o*-alkynylhaloarenes and bulky primary amines through a reaction sequence comprising an intermolecular *N*-arylation and an intramolecular hydroamination. The best results were obtained by using a palladium catalyst derived from a bulky *N*-heterocyclic carbene ligand.⁶⁹

An interesting one-pot procedure for the synthesis of *N*-alkyl- and *N*-arylindoles from 1-(*o*-chloroaryl)-2-alkyl alkynes, in which two new C–N bonds are formed, was developed by Doye et al.⁷⁰ (Scheme 17). The alkynes were first subjected to a titanium-catalyzed regioselective hydroamination with a primary amine to

Scheme 18



Scheme 19

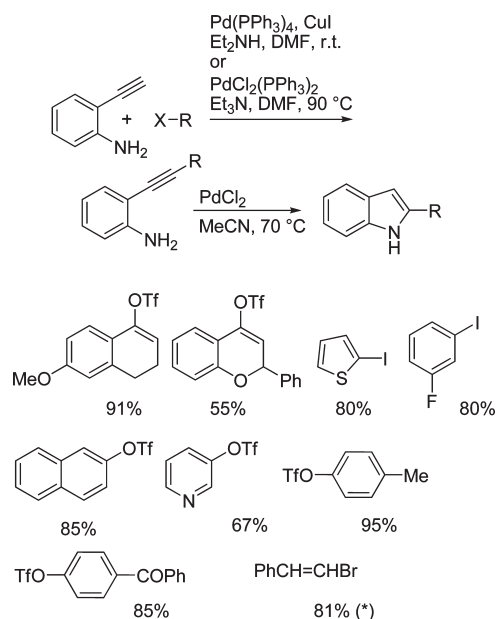


give imines, which under reaction conditions are in equilibrium with the corresponding enamines. Subsequently, $\text{Pd}_2(\text{dba})_3$, 1,3-bis(2,4,6-trimethylphenyl)imidazolium chloride (precursor of a carbene ligand), and $\text{KOBu}-t$ were directly added to the reaction mixture to allow for the conversion of the enamines into indoles by a palladium-catalyzed intramolecular C–N bond forming reaction. Indoles could be isolated usually in good yield. Only the reaction of a 2-vinyl alkyne with *tert*-butylamine gave the corresponding indole in modest yield (39%), most probably because the regioselectivity of the titanium-catalyzed hydroamination of 2-vinyl alkynes is worse than that of 2-alkyl alkynes. The reaction was extended to the preparation of cyclic indole derivatives starting from 1-(*o*-chloroaryl)-2-(aminoalkyl) alkynes. In this case, the titanium-catalyzed hydroamination occurs intramolecularly. An example of this chemistry is shown in Scheme 18.

Disconnection a+e+g, Figure 1. Indoles can be prepared from *o*-dihaloarenes through a one-pot domino *Sonogashira* cross-coupling/*N*-arylation/cyclization process.^{67a,b} An example of this chemistry is shown in Scheme 19.^{67a,71}

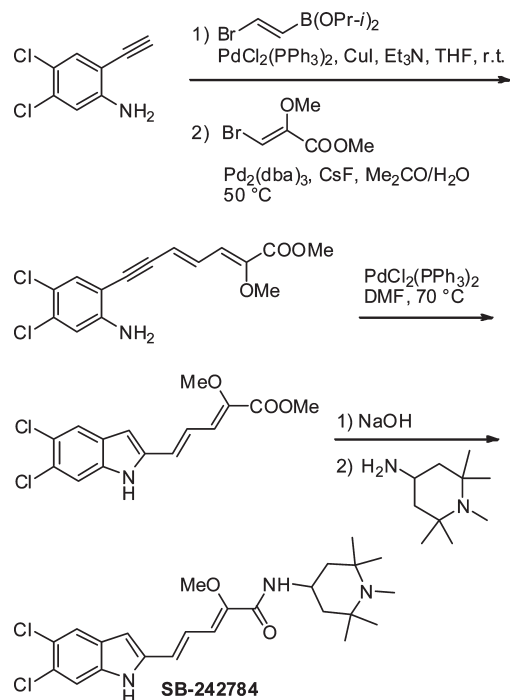
Disconnection a+b, Figure 1. All the previously mentioned procedures require specific 1-alkynes for each indole, and this can sometimes limit their substrate scope. Furthermore, employing *o*-haloanilides usually requires an additional operative step to generate free NH indole derivatives. Cacchi and co-workers⁷² developed an alternative approach in which free NH 2-substituted indoles can be synthesized from the same acetylenic building block: *o*-ethynylaniline. The synthesis of *o*-ethynylaniline is readily accomplished in high overall yield via palladium-catalyzed coupling of *o*-iodoaniline with ethynyltrimethylsilane followed by a desilylation step.⁷² This indole synthesis features a palladium-catalyzed coupling of *o*-ethynylaniline with vinyl and aryl triflates or halides and a palladium-catalyzed cyclization. General reaction conditions are shown in Scheme 20.

Researchers at SmithKline Beecham exploited this strategy to develop a “three-component” approach to SB-242784, an indole derivative reported to be a potential inhibitor of the vacuolar H^+ -ATPase for the treatment of osteoporosis⁷³ (Scheme 21). The key step of the process is the coupling between the alkyne and diisopropyl-(*E*)-bromovinylboronate, which was shown to occur exclusively at the carbon bearing the bromide. Subjecting the

Scheme 20^a

^a (*) indicates that a commercially available *E/Z* mixture was used, but only the isomeric *E* derivative was isolated.

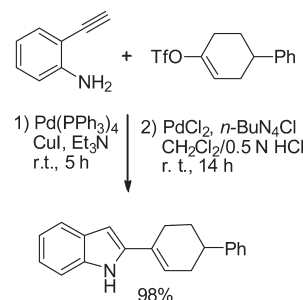
Scheme 21



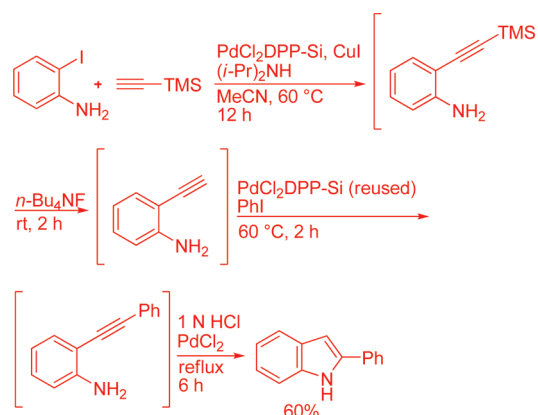
resultant coupling intermediate to Suzuki conditions in the presence of methyl 2-methoxy-3-bromoacrylate afforded the desired ynone product with complete stereocontrol. No other double bond stereoisomers were observed in the crude reaction mixture.

Subsequently, Cacchi et al. implemented this approach to 2-substituted indoles by developing a procedure where the cyclization step is performed under an acidic two-phase system at room temperature.⁵⁵ This coupling/cyclization approach to 2-substituted

Scheme 22



Scheme 23



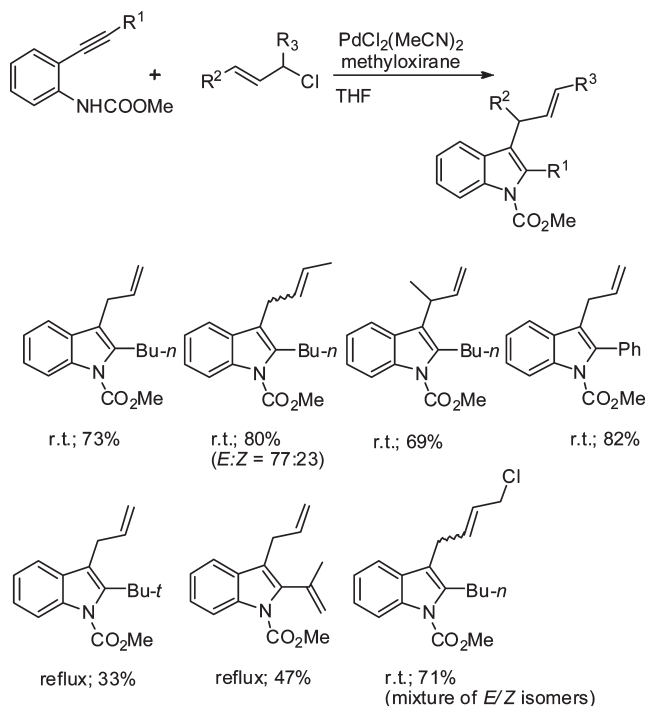
indoles can also be conducted as a one-pot process omitting the isolation of the coupling intermediates (Scheme 22).

Supported Palladium Catalysts. More recently, this coupling/cyclization procedure, based on the use of *o*-ethynylanilines, was developed into a one-pot, four-step synthetic methodology using silica-supported heterogeneous and homogeneous catalysts and reagents (Scheme 23).⁷⁴ The heterogeneous catalyst, $\text{PdCl}_2\text{DPP-Si}$, was prepared from commercially available Silica-Bond Diphenylphosphine and $\text{PdCl}_2(\text{PPh}_3)_2$.

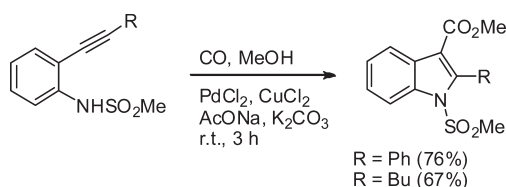
Intramolecular Aminopalladation/C–C Bond Forming Reaction. Formation of 2-substituted indoles via palladium-catalyzed cyclization of aromatic compounds containing alkyne substituents ortho to nitrogen nucleophiles involves trapping of σ -indolylpalladium intermediates with protons, so as to substitute the C–H bond for the C–Pd bond (Scheme 4). These σ -indolylpalladium intermediates, however, can be trapped by other reagents so that the cyclization step can combine with the functionalization of the indole nucleus at the position 3. The potential of this trapping approach to the synthesis of indole derivatives has not gone unnoticed, and some domino processes based on this strategy were developed.

Utimoto et al.⁷⁵ described an allylative cyclization of *o*-alkynyl-*N*-methoxycarbonylanilides (Scheme 24). The nature of the nitrogen nucleophile plays an important role in the cyclization reaction. The unprotected amino group or the acetamido group gave unsatisfactory results. With *N*-methoxycarbonylanilides the reaction usually occurs under mild conditions and proceeds through a regioselective attack of the σ -indolylpalladium intermediate on the γ -position of allyl chlorides. A lack of olefin geometry was observed in some cases. A large excess of the allyl chloride (10:1 allyl chloride-to-alkyne

Scheme 24



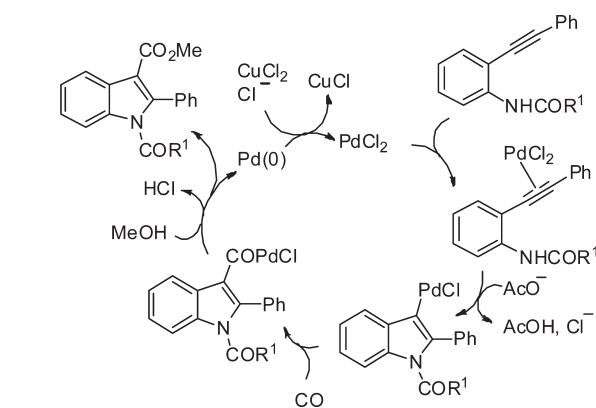
Scheme 25



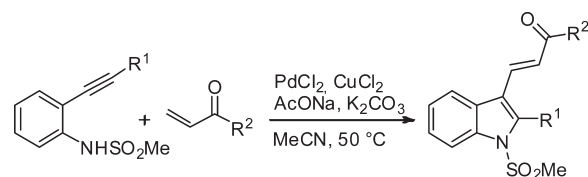
ratio) was needed to obtain the best results. The presence of an oxirane (typically methyl oxirane) as the proton scavenger was shown to be crucial for preventing the competitive protonation leading to 3-unsubstituted 2-substituted indoles. For example, when the allylative cyclization of *o*-(hexyn-1-yl)-acetanilide was attempted omitting methyl oxirane, about 30% of the allylated product and 20–35% of the protonated one were obtained. Variable amounts of 3-unsubstituted 2-substituted indoles were observed, even under the best conditions developed. Employing AcOK and proton sponge instead of methyl oxirane led to the recovery of the starting material.

It was subsequently shown that σ -indolylpalladium intermediates could be trapped by carbon monoxide⁷⁶ and electron-poor alkenes⁷⁷ to give, respectively, indole products incorporating a molecule of carbon monoxide at the C-3 position and 2-substituted 3-vinyl indole derivatives. In the first case, treatment of *o*-alkynyl-mesylnilides with PdCl_2 in methanol under an atmosphere of carbon monoxide afforded σ -acylpalladium derivatives, which reacted with methanol to give indolylcarboxylate esters (Scheme 25). Palladium(0) species formed in this step of the catalytic cycle were oxidized to the active palladium(II) species by CuCl_2 . The reaction did not proceed when using 1,4-benzoquinone, disodium peroxydisulfate, or molecular oxygen. *o*-Alkynylanilines, containing a free amino substituent, did not function well in this reaction: 2-phenyl- and

Scheme 26



Scheme 27



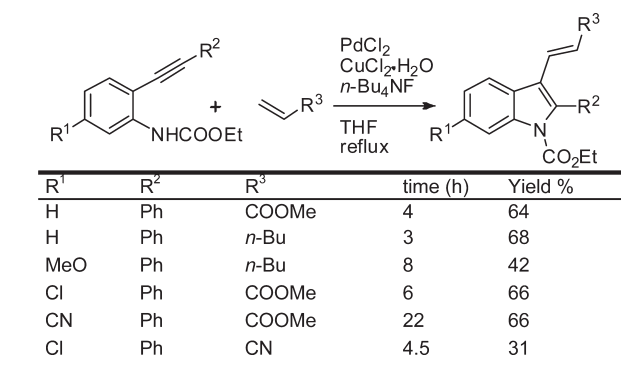
$\text{R}^1 = \text{Ph}$; $\text{R}^2 = \text{OEt}$ (74%)
 $\text{R}^1 = \text{C}_6\text{H}_{13}$; $\text{R}^2 = \text{OEt}$ (46%)
 $\text{R}^1 = \text{Me}_3\text{Si}$; $\text{R}^2 = \text{OEt}$ (32%)
 $\text{R}^1 = \text{Ph}$; $\text{R}^2 = \text{Me}$ (55%)
 $\text{R}^1 = \text{Ph}$; $\text{R}^2 = \text{H}$ (48%)

2-butylindole were isolated in 51% and 30% yield, respectively. The proposed catalytic cycle is outlined in Scheme 26.

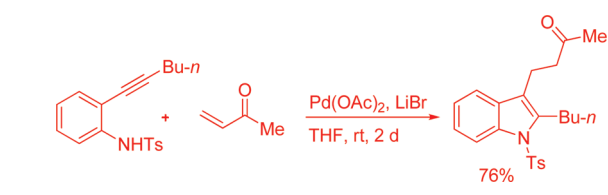
Similar conditions were used to develop a domino cyclization–Heck reaction producing 2-substituted 2-substituted 3-vinyl indoles⁷⁷ (Scheme 27). The reaction, however, appears to be limited to alkenes containing electron-withdrawing groups. Extension to styrene was attempted, but the corresponding 2-substituted 3-vinyl indole was isolated in only 19% yield, the main product being an addition derivative containing chlorine. Building on these studies, improvements in this approach to 2,3-disubstituted indoles were subsequently reported.⁷⁸ In the presence of PdCl_2 , $\text{CuCl}_2 \cdot \text{H}_2\text{O}$ as a reoxidant (low yields were obtained with anhydrous CuCl_2), and excess amounts of Bu_4NF , ethyl 2-ethynylphenylcarbamate derivatives were shown to react according to the domino cyclization–Heck reaction protocol even with alkenes lacking the activation of a carbonyl group (Scheme 28).⁷⁸ 2-Substituted indole byproducts were isolated in variable amounts. With other reoxidants such as $\text{Cu}(\text{OAc})_2$, 2,3-dichloro-5,6-dicyanobenzoquinone (DDQ), and pyridine 1-oxide, the reaction failed to give the desired products. With *o*-alkynylanilides containing internal alkene groups bound to the alkyne fragment, the indolylpalladium intermediate formed in the cyclization step could undergo an intramolecular Heck reaction to give carbazole derivatives.

2-Substituted 3-alkylindoles were prepared from *o*-alkynylanilides and α,β -unsaturated carbonyl compounds through a domino aminopalladation/hydroarylation process (Scheme 29).⁷⁹ The use of LiBr is crucial to prevent the formation of Heck products via β -hydride elimination.

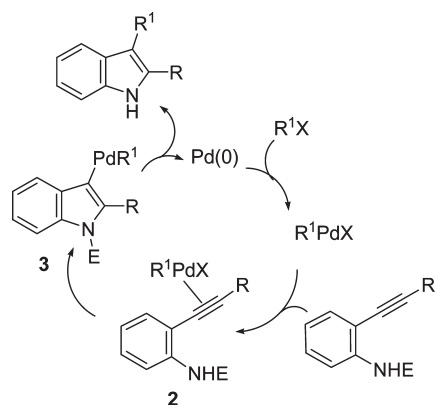
Scheme 28



Scheme 29



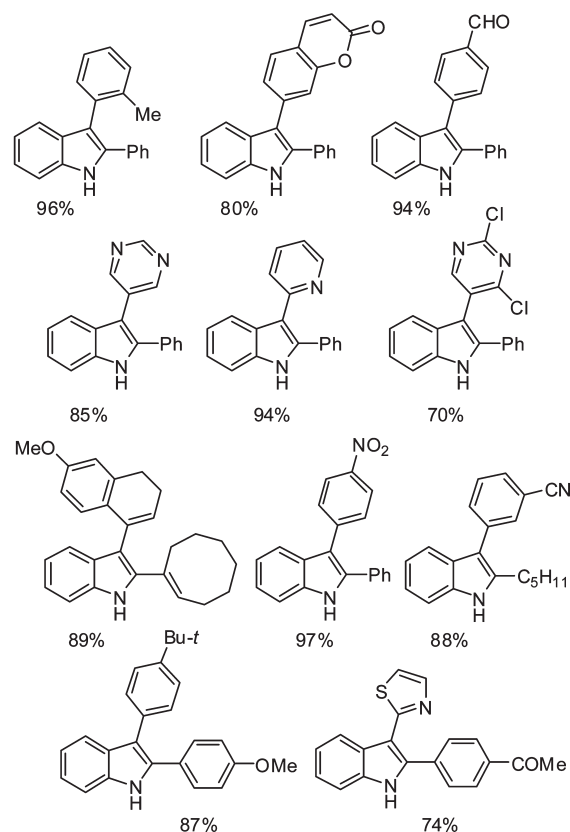
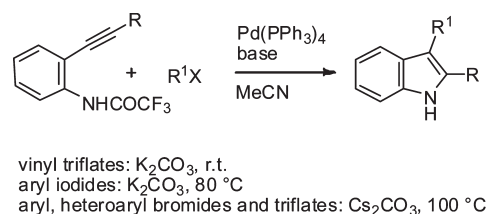
Scheme 30



4.1.2. Cyclization of *o*-Alkynylanilides via Aminopalladation–Reductive Elimination. *Disconnection a+d*, Figure 1. In addition to palladium(II) salts, activation of carbon–carbon triple bonds toward intramolecular nucleophilic attack of proximate nucleophiles can be achieved via coordination to organopalladium(II) complexes. After the pioneering work of Tsuda and Saegusa in 1988,⁸⁰ who showed that π -allylpalladium complexes could activate a carbon–carbon triple bond toward the intramolecular nucleophilic attack of carboxylate anions to give lactones, this methodology has developed into a general powerful tool for the synthesis of a wide range of hetero- and carbocycles.⁸¹

With alkynes containing nitrogen nucleophiles close to the carbon–carbon triple bond, particularly *o*-alkynylanilides, coordination to organopalladium(II) complexes can produce indole derivatives. Organopalladium complexes, exemplified as “R¹PdX” in Scheme 30, can be generated in situ through an oxidative addition of organic halides or triflates to palladium(0) species and give the

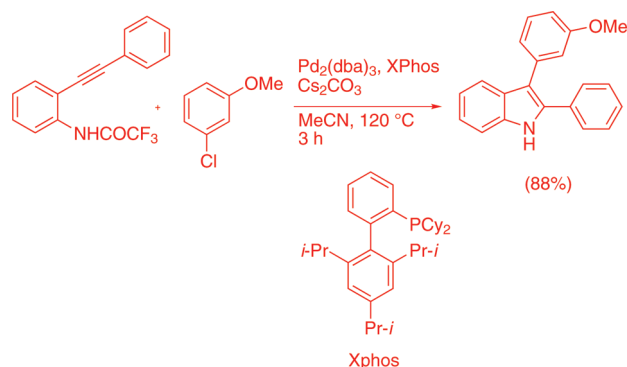
Scheme 31



π -alkyne–organopalladium complexes **2**. The characteristic feature of this route to indoles is that the intramolecular nucleophilic attack by the nitrogen atom across the activated carbon–carbon triple bond affords σ -indolyl- σ -organopalladium intermediates **3** instead of σ -indolylpalladium halide intermediates **1** as observed with palladium(II) salts (see Scheme 4). The desired indole derivative is produced through a reductive elimination reaction (considered as the coupling of two groups coordinated to the palladium center in a cis manner), which forms a new carbon–carbon bond and regenerates the active palladium(0) catalyst. With the σ -indolylpalladium halide intermediates **1** involved in the cyclization of *o*-alkynylanilides catalyzed by palladium(II) salts, the catalytic cycle is terminated by a protonation step (see Scheme 4).

This is the basis of the indole synthesis that Cacchi and co-workers developed and thoroughly investigated. Optimum yields were obtained by using *o*-alkynyltrifluoroacetanilides (E = CF₃CO) as the alkyne partners, whereas *o*-alkynylanilines (E = H) and *o*-alkynylacetanilides (E = MeCO) provided unsatisfactory results. Apparently, the acidity of the nitrogen–hydrogen bond plays a major role in this cyclization reaction. Most probably, the beneficial effect of the trifluoroacetyl group on the cyclization step is due to its

Scheme 32



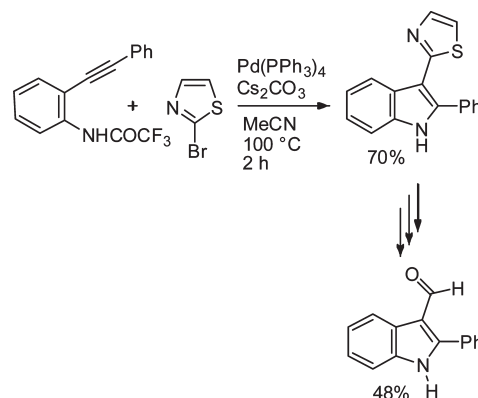
ability to favor the formation of a stronger anionic nitrogen nucleophile or to promote the intramolecular nucleophilic attack by proton removal in the transition state leading to the cyclization adduct. Whatever the real mechanism may be, it remains that organopalladium complexes appear to be less effective than palladium dichloride in activating the carbon–carbon triple bond toward intramolecular nucleophilic attack, as suggested by a variety of cyclizations of alkynes containing close amino^{39,82,83} and amido^{37,40,41,64,65,75–78,84} groups catalyzed by palladium(II) salts. The trifluoroacetyl group provides the additional advantage of being readily removed (the amide bond is broken during the reaction or the workup) so as to allow for the formation of free NH pyrrole nuclei, avoiding troublesome and time-consuming deprotecting steps. Carbonate bases were found to be better bases than Et₃N.

A broad range of 2,3-disubstituted indoles can be prepared from *o*-alkynyltrifluoroacetanilides and aryl, heteroaryl, and vinyl halides or triflates,⁸⁵ allyl esters,⁸⁶ alkyl halides,⁸⁷ and alkynyl bromides⁸⁸ by using this methodology. With aryl, heteroaryl, and vinyl halides or triflates,⁸⁵ reactions were carried out according to the conditions shown in Scheme 31. The reaction tolerates a variety of functional groups, including aldehyde, ketone, ester, nitro, and nitrile groups. Substituents close to the oxidative addition site do not hamper the reaction. As for the alkyne component, indole derivatives were obtained in high yield with alkynes containing alkyl, vinyl, electron-withdrawing, and electron-donating substituents on the alkyne moiety.

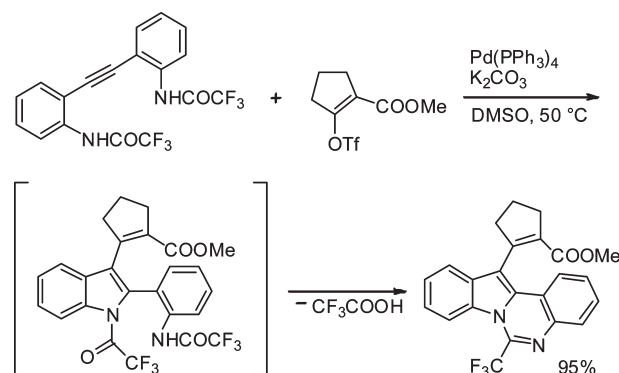
The reaction is particularly suited for a straightforward preparation of symmetrical and unsymmetrical 2,3-diarylindoles, a class of compounds for which the biological activity is the object of a continuing interest. The major advantage of this method for the preparation of unsymmetrical 2,3-diarylindoles is that the regioselectivity follows from the sequence of events and is unambiguous. With aryl iodides, bromides, and triflates, good to excellent results can be usually obtained by using Pd(PPh₃)₄ as catalyst.^{85a,c} Aryl chlorides are more reluctant to undergo oxidative addition to Pd(0) and require the use of XPhos (Scheme 32),^{85e} one of the biaryl monophosphines that enhances the rate of the oxidative addition of aryl chlorides to Pd(0) species.⁸⁹ The utilization of this ligand solves one of the major problems in realizing this type of indole synthesis with relatively unreactive precursors of organopalladium complexes, namely, the competitive formation of simple 2-substituted indoles, the formation of which does not involve the aryl halide partner.

The success with the preparation of 3-thiazolyl indoles is particularly interesting since the indole–thiazole motif is incorporated in a variety of biologically active compounds such as camalexins or the

Scheme 33



Scheme 34



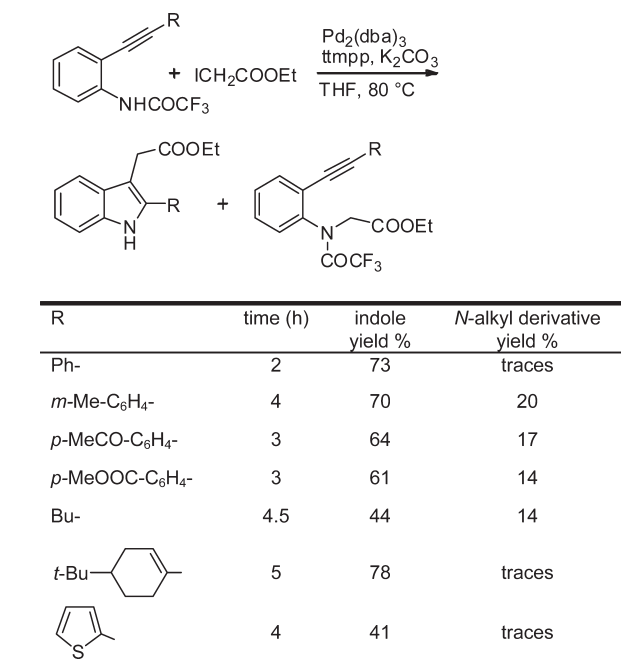
naturally occurring BE 10988, an inhibitor of topoisomerase. In addition, the thiazolyl group can be readily converted into a formyl group⁹⁰ to afford 2-substituted indole-3-carboxaldehydes (Scheme 33).

Interestingly, when the methodology was applied to bis(*o*-trifluoroacetamidophenyl)acetylene, the initially formed indole derivative was found to undergo a subsequent cyclization process to afford 12-aryl- (or vinyl) indole[1,2-*c*]quinazolines, usually in high to excellent yields, with a variety of aryl and vinyl halides or triflates (Scheme 34).

Extension of the methodology to the construction of the functionalized pyrrole nucleus on heteroaromatic scaffolds provided a new access to pyrroloquinoline⁹¹ and azaindole⁹² derivatives.

Alkyl halides, particularly ethyl iodoacetate and benzyl bromides, were also employed in the aminopalladation–reductive elimination route to indoles to prepare indolylcarboxylate esters and 2-substituted 3-benzylindoles.^{85d,87} Under conditions successfully used for the preparation of 2,3-disubstituted indoles from aryl, heteroaryl, and vinyl halides or triflates,⁸⁵ the reaction met with failure, the main or sole product being the *N*-alkyl derivative generated through a competitive nucleophilic substitution reaction. Noteworthy, *N*-alkyl derivatives proved to be useful intermediates for the synthesis of 2-acyl-3-alkylindoles.⁹³ The solvent was found to play a crucial role for the success of the reaction. For example, using dimethylsulfoxide (DMSO) as the solvent but keeping all the other parameters the same, *o*-(phenylethynyl)trifluoroacetanilide produced the corresponding indolecarboxylate ester in only 12% yield, whereas 2-ethoxycarbonyl-3-benzylindole⁹³ was isolated in 64% yield. Investigations

Scheme 35



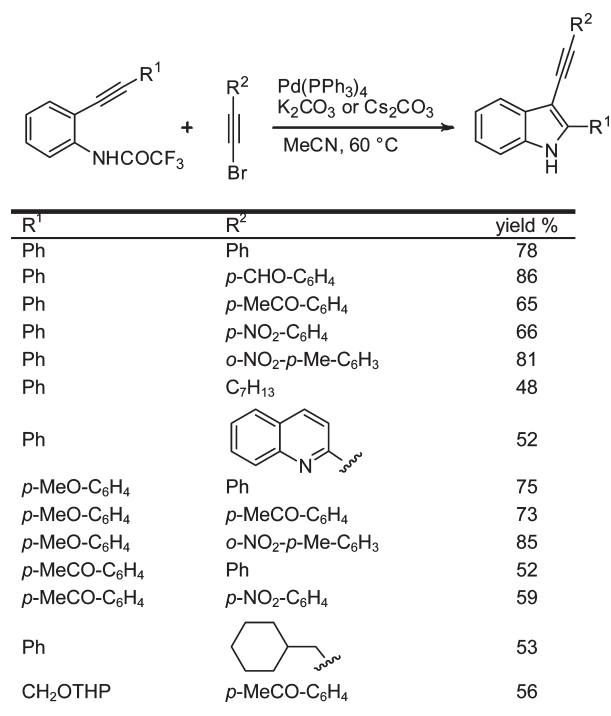
into the role of ligands, bases, and solvents led to the observation that best results could be obtained by using Pd₂(dba)₃, the strongly basic, electron-rich, sterically encumbered ligand tris(2,4,6-trimethoxyphenyl)phosphine (ttmp),⁹⁴ and K₂CO₃ in THF at 80 °C. Under these conditions, good selectivity was observed in favor of the palladium-catalyzed cyclization and a number of *o*-alkynyltrifluoroacetanilides were converted into the desired indole products in satisfactory yields (Scheme 35). Similar results were obtained with benzyl bromides.

In a subsequent extension of the substrate scope of the methodology, it was shown that *o*-alkynyltrifluoroacetanilides could be treated with 1-haloalkynes to give 2-substituted 3-alkynylindoles.⁸⁸ Satisfactory yields were obtained with 1-bromoalkynes, usually under the conditions shown in Scheme 36, whereas 1-iodoalkynes did not function well in this reaction, most probably because of their tendency to undergo side reactions under the conditions used. For example, 1-iodoalkynes were recently shown to undergo palladium-catalyzed homocoupling to give 1,3-diynes.⁹⁵

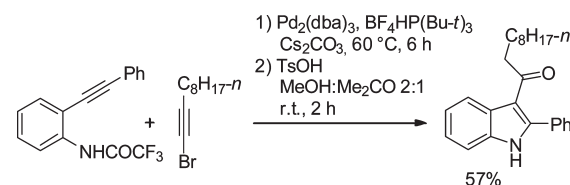
2-Substituted 3-alkynylindoles were found to be useful intermediates for the regioselective synthesis of 2-substituted 3-acylindoles. The addition of water promotes the reaction regioselectivity in high yield at room temperature in the presence of catalytic amounts of TsOH. The presence of electron-withdrawing or electron-donating substituents in the C2-position or in the alkyne moiety does not influence the regioselectivity of the acid-induced hydration. 2-Substituted 3-acylindoles can be conveniently prepared from *o*-alkynyltrifluoroacetanilides and 1-bromoalkynes via a one-pot cyclization–hydration protocol, omitting the isolation of 2-substituted 3-alkynylindoles (Scheme 37).

Extension to the synthesis of the parent indolo[2,3-*a*]-carbazole ring system, common to several biologically active molecules such as acryriaflavin A and the potent antitumor agent rebeccamycin, led to an elegant, straightforward process involving a polyannulation reaction wherein two C–C bonds and two C–N bonds are formed in a single step (Scheme 38).^{43a}

Scheme 36



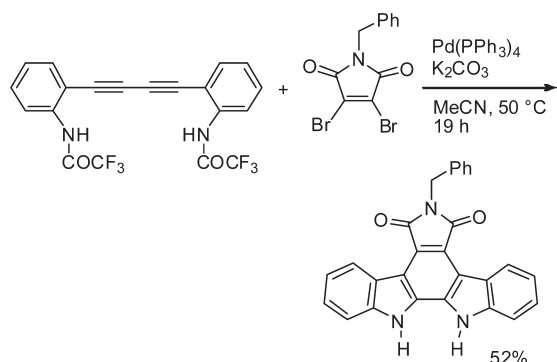
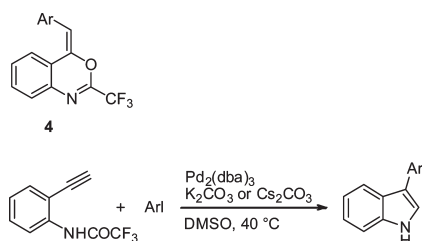
Scheme 37



The methodology was extended to the preparation of 2-unsubstituted 3-aryl indoles from *o*-ethynyltrifluoroacetanilide.⁹⁶ The reaction of aryl iodides with this anilide, containing an ortho terminal alkyne moiety, needed much more optimization, because formation of coupling derivatives^{42d,e} was a significant side reaction under the standard conditions developed for *o*-alkynyltrifluoroacetanilides. Indeed, coupling derivatives were observed under a number of reaction conditions, using a variety of phosphine ligands. With tris(*p*-chlorophenyl)phosphine, for example, the reaction of *o*-ethynyltrifluoroacetanilide with *p*-iodoacetophenone [Pd₂(dba)₃, THF, 60 °C, 7 h] afforded the coupling derivative in 83% yield. In addition, formation of **4**, derived from the nucleophilic attack of the oxygen at the “internal” carbon of the activated carbon–carbon triple bond, was also found to be a significant side reaction. Both the nature of the solvent and the catalyst system were shown to have a strong influence on the N-/O-cyclization ratio. Best results were obtained by using Pd₂(dba)₃ as the palladium(0) source, DMSO as the solvent, and K₂CO₃ as the base, omitting phosphine ligands (Scheme 39). Cs₂CO₃ was also successfully employed. When *o*-ethynyltrifluoroacetanilide was subjected to the same reaction conditions omitting aryl iodides, indole was isolated in 80% yield.

The reaction of *o*-alkynyltrifluoroacetanilides with allyl esters^{85d,86} provides a straightforward route to 2-substituted

Scheme 38

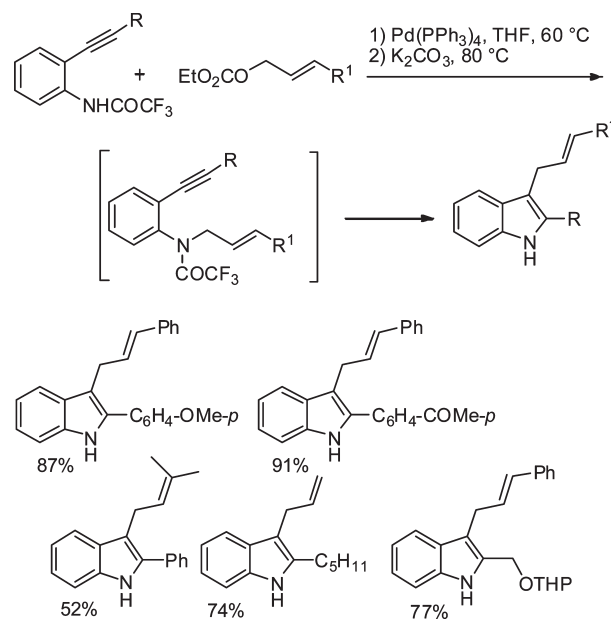
Scheme 39^a

^a ArI = PhI (67%); *p*-MeOC₆H₄-I (56%); *p*-MeCONH-C₆H₄-I (62%); *p*-F-C₆H₄-I (71%); *m*-F-C₆H₄-I (57%); *p*-Cl-C₆H₄-I (86%); *m*-CF₃-C₆H₄-I (82%); *p*-EtOOC-C₆H₄-I (69%); *m*-EtOOC-C₆H₄-I (78%); *m*-NO₂-*p*-Me-C₆H₃-I (69%); *m*-NO₂-C₆H₄-I (85%); *p*-Me-C₆H₄-I (63%).

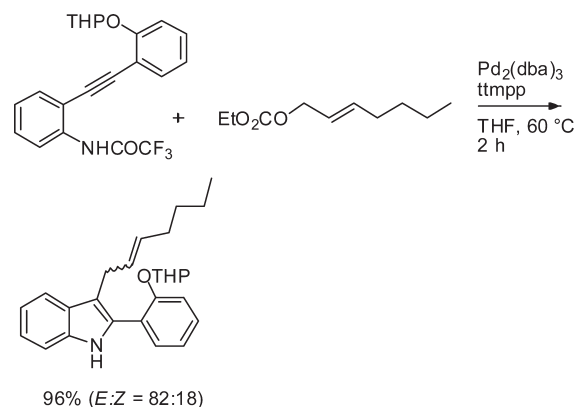
3-allylindoles. Optimization studies developed three basic procedures: procedure A, a stepwise method based on the isolation of *N*-allylation products generated through a palladium-catalyzed *N*-allylation (only *N*-allyl derivatives bearing the nitrogen fragment on the less substituted allyl terminus were isolated), followed by a cyclization step; procedure B, a one-pot reaction that omits the isolation of *N*-allyl intermediates (Scheme 40); procedure C, a reaction that most probably does not involve the intermediacy of *N*-allyl intermediates (Scheme 41).

Noteworthy, procedures A and B involve an ambivalent behavior of the nitrogen atom: it intervenes in the process as a nucleophile in the *N*-allylation step and as a leaving group in the cyclization step, favoring the formation of a π -allylpalladium complex. These procedures gave good results with a variety of *o*-alkynyltrifluoroacetanilides and allylic carbonates. By use of procedure A, 2-unsubstituted 3-allylindoles were also prepared in allowable yields from *o*-ethynyltrifluoroacetanilide. The presence of a substituent on the central carbon atom of the allylic system seems to be tolerated, whereas substitution at both termini of the allylic system or sterically encumbered substituents at one end of the alkyne moiety hamper the cyclization reaction. As to the regiochemistry of the new carbon–carbon bond, the most challenging situation is posed when steric differences between the two allylic termini are small. In these cases, procedure C gave the best results. In the presence of tris(2,4,6-trimethoxyphenyl)-phosphine (ttmpp) the reaction exhibits remarkable regioselectivity, and the indole unit is located almost exclusively on the less

Scheme 40



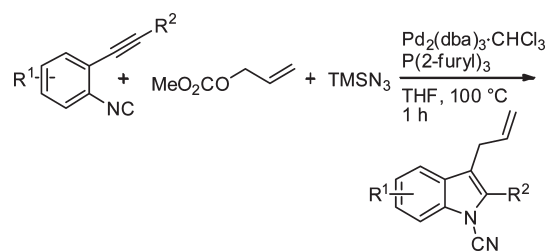
Scheme 41



substituted terminus of the allylic system. The process is accompanied by some loss of olefin geometry.

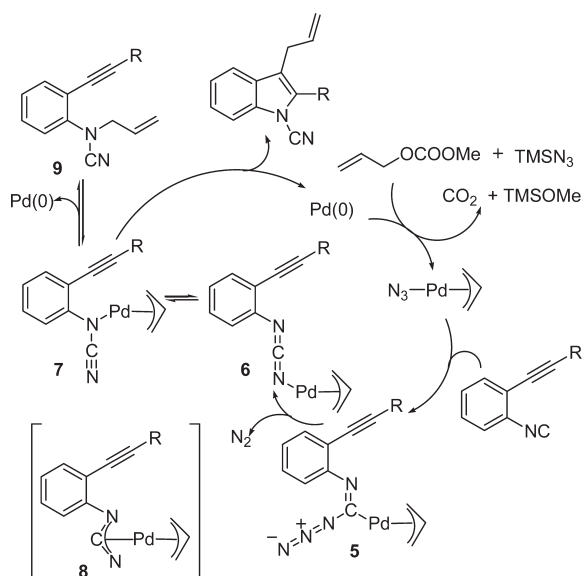
2-Substituted 3-allylindoles were prepared by Yamamoto and co-workers via cyclization of alkynylbenzenes containing isocyano⁹⁷ and isocyanato⁵⁸ functionalities in the ortho position. In the first case, a three-component reaction of *o*-alkynylisocyanobenzenes, allyl methyl carbonate, and trimethylsilyl azide in the presence of Pd₂(dba)₃·CHCl₃ and tri(2-furyl)phosphine at 100 °C gave 2-substituted 3-allyl-*N*-cyanoindoles⁹⁷ (Scheme 42). Good to allowable yields are obtained with a variety of substituents in the aryl ring. The proposed mechanism is outlined in Scheme 43. An interesting and distinctive feature of this mechanism is the Curtius-like rearrangement of the π -allylpalladium intermediate **5** to the palladium–carbodiimide complex **6**. The palladium–carbodiimide complex **6** could be in equilibrium with the palladium–cyanamide complex **7**. The possible involvement of the heteroatom-containing bis- π -allylpalladium complex **8** was also suggested. *N*-Cyanoindole is generated through the insertion of the alkyne moiety into the Pd–N bond of the intermediate **7** followed by a reductive

Scheme 42



R^1	yield %
$R^2 = \text{SiMe}_3$	
H	59
4-MeO	69
4-MeS	67
4-PhN=N	45
4-F	56
4- CF_3	65
4- CO_2Me	53
4-MeCO	37
5-Me	65
3-MeO	62
5- NO_2	59
4- NO_2	34

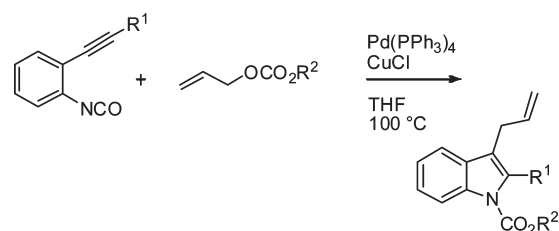
Scheme 43



elimination of $\text{Pd}(0)$. Indoles are generated when the reaction is carried out at 100°C whereas, at lower temperature (up to 40°C), reductive elimination of $\text{Pd}(0)$ from the palladium–cyanamide complex 7 takes place generating allyl cyanamides 9.

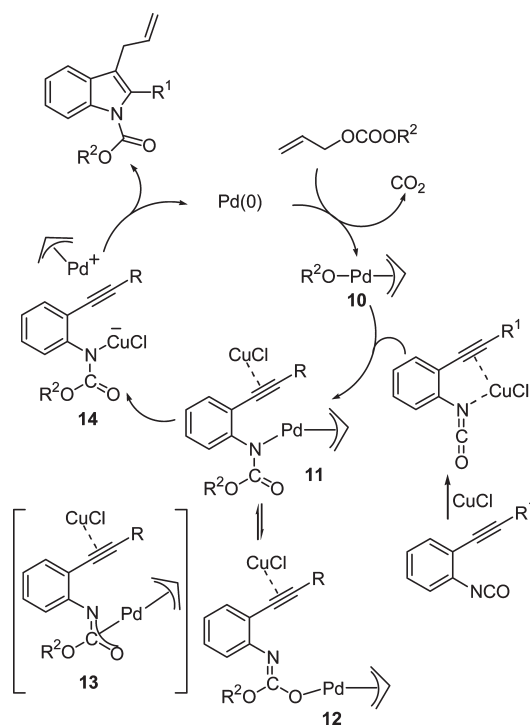
In the second synthetic approach, 2-substituted 3-allyl-*N*-(alkoxycarbonyl)indoles⁵⁸ were prepared via the reaction of *o*-(alkynyl)phenylisocyanates with allyl carbonates in the presence of $\text{Pd}(\text{PPh}_3)_4$ and CuCl (Scheme 44). CuCl gave higher yields than CuBr and was found to be far superior to other copper salts such as CuI , CuOAc , $(\text{CuOTf})_2 \cdot \text{benzene}$, and CuCl_2 . Longer reaction times were required when R^1 was a bulky substituent, and with a *tert*-butyl group, no allylindole was obtained, the sole product being the corresponding *N*-allylaniline derivative. Electronic

Scheme 44



R^1	R^2	time (h)	yield %
<i>p</i> -MeO- C_6H_4 -	Me	6	62
<i>p</i> - CF_3 - C_6H_4 -	Me	7	65
Pr	Me	1	81
cyclopentyl	Me	3	71
<i>t</i> -Bu	Me	5	0
<i>n</i> -Pr	<i>i</i> -Pr	1	69
<i>n</i> -Pr	<i>t</i> -Bu	1	72
<i>n</i> -Pr	Ph	1	86

Scheme 45

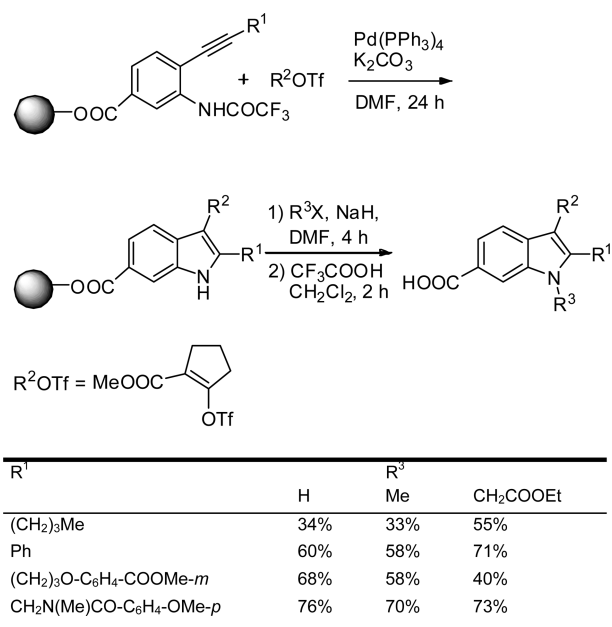


effects of the para substituents on the aromatic ring, as well as the bulkiness of the substituents R^2 of the allyl carbonates, did not seem to exert a significant influence on the reaction outcome.

According to the authors, a likely sequence involves the reaction of the isocyanate group, activated by the coordination of CuCl , with the π -allylpalladium alkoxide complex 10 to give the π -allylpalladium complex 11 in equilibrium with 12, which more probably could be represented as a heteroatom-containing bis- π -allylpalladium analogue 13, a transmetalation step generating the intermediate 14, and, most probably, a trans-aminopalladation followed by a reductive elimination (Scheme 45).

Solid-Phase Synthesis. The Cacchi route to substituted indoles was also adapted to a solid-phase synthesis for the preparation of

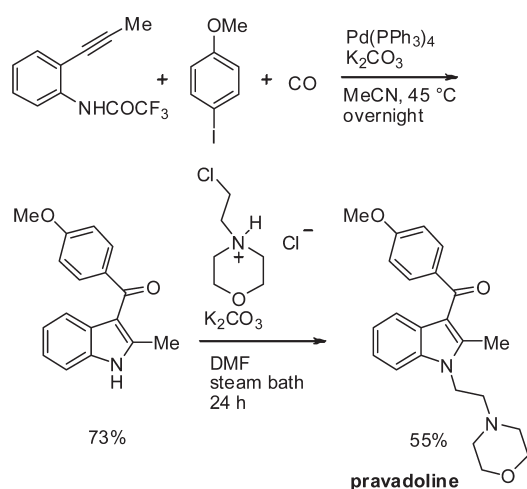
Scheme 46



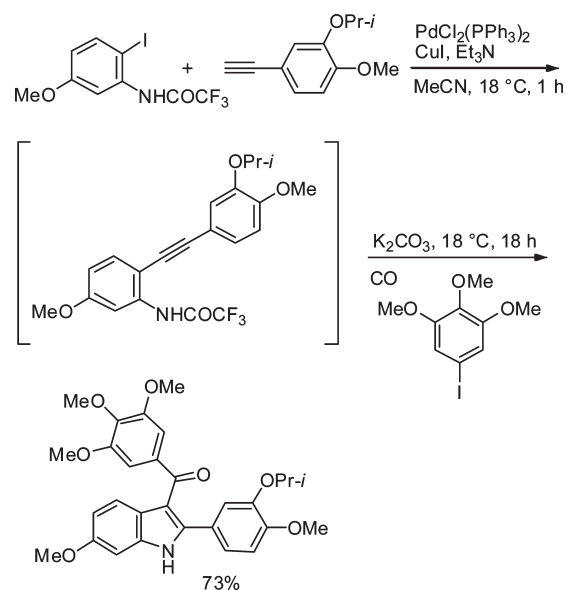
libraries of three independently substituted indoles using a Wang resin⁹⁸ (Scheme 46). The Wang resin was converted into the alkyne precursor for the palladium-catalyzed cyclization through a three-step process. Interestingly, K_2CO_3 was found to be the optimal base for the cyclization step even though it could be expected that a soluble base would be needed for a solid-phase synthesis.

Three-Component Reactions. In a further extension of the scope of the aminopalladation–reductive elimination route to indoles, the Cacchi group showed that the reaction of *o*-alkynyl-trifluoroacetanilides with aryl iodides and vinyl triflates in the presence of carbon monoxide afforded 2-substituted 3-acyl indoles,^{85d,99} a class of compounds exhibiting a number of important therapeutic activities, in fair to good yields. With neutral, electron-rich, or slightly electron-poor aryl iodides and vinyl triflates, this three-component reaction gives satisfactory results using $\text{Pd}(\text{PPh}_3)_4$ and K_2CO_3 in MeCN at 45 °C under a balloon of carbon monoxide. With strongly electron-poor aryl iodides, such as ethyl *p*-iodobenzoate, the use of anhydrous acetonitrile and a higher pressure of carbon monoxide appeared necessary. Alternatively, good results could be obtained with $\text{Pd}(\text{dba})_2/\text{P}(o\text{-tol})_3$ under a balloon of carbon monoxide. Very likely, the reaction proceeds according to the following basic steps: (a) carbonylation of a σ -organopalladium complex formed in situ through oxidative addition of an organic halide or triflate to palladium(0) to give a σ -acylpalladium complex; (b) formation of a π -alkyne- σ -acylpalladium complex via coordination of the alkyne to the palladium atom of the σ -acylpalladium complex; (c) intramolecular nucleophilic attack of the nitrogen to the activated carbon–carbon triple bond to give a σ -acyl- σ -indolylpalladium complex; (d) reductive elimination. Other mechanisms involving carbonylation of a σ -organo- σ -indolylpalladium complex or the addition of a σ -acylpalladium complex to the carbon–carbon triple bond were also considered. The utility of this methodology was demonstrated in a new synthesis of pravastatin,⁹⁹ an indole derivative with analgesic activity in humans (Scheme 47) and, through a one-pot reaction at room temperature, of indole inhibitors of tubulin polymerization (Scheme 48).^{100,101}

Scheme 47



Scheme 48

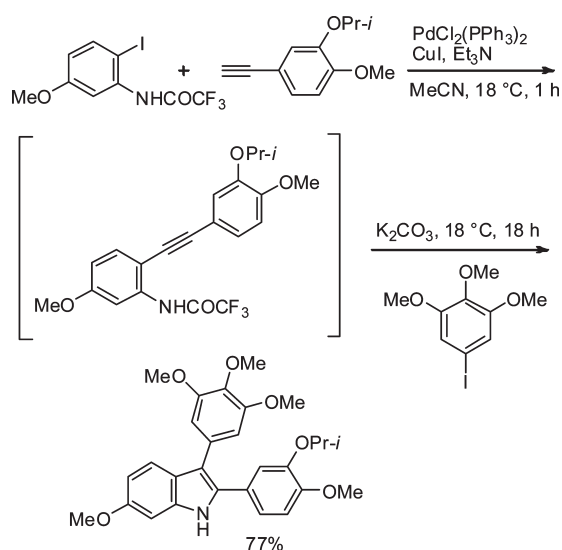


When the reaction was applied to *o*-(*o'*-aminophenylethynyl)-trifluoroacetanilide, 6-aryl-11*H*-indolo[3,2-*c*]quinolines were produced through a carbonylative cyclization followed by the cyclization of the resulting 3-acylindoles. The synthesis was best conducted as a one-pot process.¹⁰² Under the same carbonylative cyclization conditions, bis(*o*-trifluoroacetamidophenyl)-acetylene afforded 12-acylindolo[1,2-*c*]quinazolines.¹⁰³

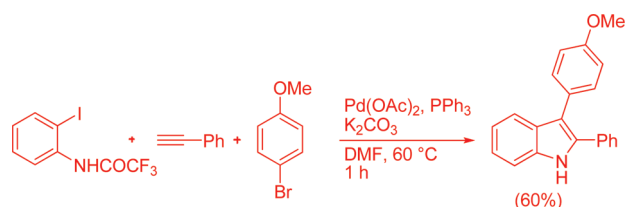
Disconnection a+e+d, Figure 1. The aminopalladation–reductive elimination protocol for the synthesis of 2,3-disubstituted indoles was applied by Flynn et al.^{100,101} to the preparation of indole inhibitors of tubulin polymerization through a one-pot, two-step process by consecutive Sonogashira and Cacchi reactions (Schemes 48 and 49). The appropriate *o*-iodotrifluoroacetanilide was coupled to the terminal alkyne under Sonogashira conditions in acetonitrile to give the coupling product. When this coupling was complete, the aryl iodide and K_2CO_3 were added. After 18 h, the desired indole product was isolated in an overall 77% yield.

Lu and co-workers later on reported a one-pot, three-component regioselective synthesis of unsymmetrical 2,3-diarylindoles by the same Sonogashira/Cacchi process in which they replaced the aryl iodide in the Cacchi cyclization with an aryl bromide.¹⁰⁴ The synthesis was performed as both a one-pot and a domino (Scheme 50) process. More recently, Larock and co-workers¹⁰⁵ took advantage of this synthetic strategy to develop a one-pot, three-component coupling/cyclization reaction for the synthesis of 2,3-disubstituted indoles from *N*-trifluoroacetyl- or *N,N*-dimethyl-*o*-iodoanilines, terminal alkynes, and aryl iodides under microwave conditions. The reaction is performed by adding acetonitrile and aryl iodides to the reaction mixture resulting from the Sonogashira cross-coupling. *N*-trifluoroacetyl-*o*-iodoanilines afford free NH indoles whereas *N,N*-dimethyl-*o*-iodoanilines give rise to the formation of *N*-methylindole derivatives (Scheme 51).

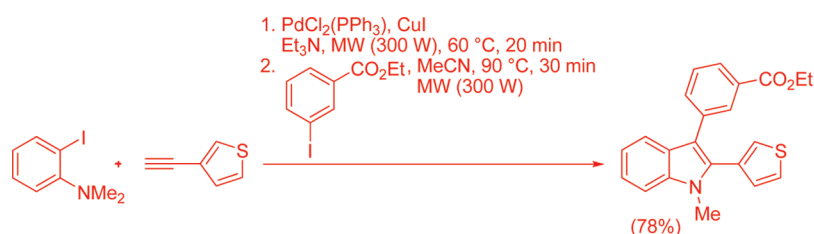
Scheme 49



Scheme 50



Scheme 51



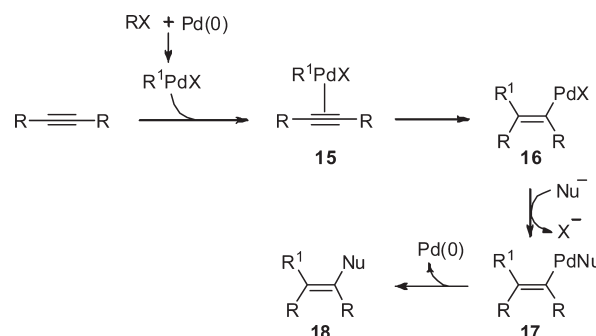
4.1.3. Intermolecular Cycloaddition of *o*-Haloanilines, *o*-Haloanilides, and Synthetic Equivalents with Internal Alkynes. Disconnection *a+e*, Figure 1. *o*-Haloanilines and *o*-Haloanilides. In the absence of nucleophiles close to the carbon–carbon triple bond, formation of π -alkyne- σ -organopalladium complexes **15** can give rise to carbopalladation adducts **16**, which can undergo a number of transformations. For example, when they are trapped by nucleophiles such as formate anions, organometals, carbon monoxide, nitrogen, and oxygen nucleophiles,¹⁰⁶ halide or triflate displacement from the palladium can occur to give the intermediate **17** from which olefin derivatives **18** are formed via reductive elimination of a palladium(0) species (Scheme 52).

When the added organic moiety is an aryl group containing a nitrogen nucleophile ortho to the oxidative addition site as shown in Scheme 53, intramolecular halide or triflate displacement from the palladium can occur to form a nitrogen-containing palladacycle, which subsequently affords the indole product via a reductive elimination step.

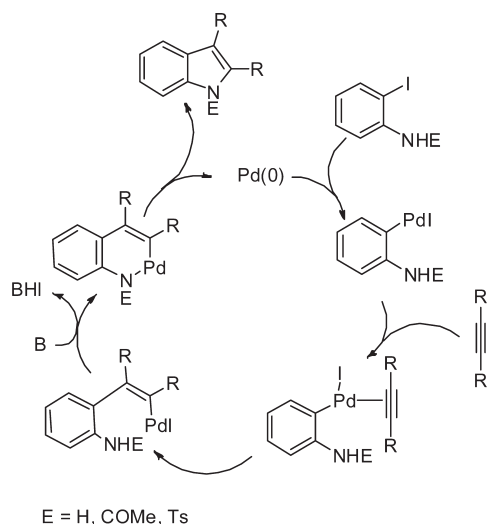
Larock et al. based his versatile and very efficient palladium-catalyzed indole synthesis on this principle. The best results were obtained treating *o*-iodoaniline or the corresponding *N*-methyl, *N*-acetyl, and *N*-tosyl derivatives with an excess of the internal alkyne and a sodium or potassium carbonate base and 1 equiv of LiCl or Bu₄NCl and occasionally adding 5 mol % of PPh₃ at 100 °C in DMF. Under these conditions, 2,3-disubstituted indoles were isolated in good to excellent yields^{107c,d} (Scheme 54).

With unsymmetrical alkynes, the carbopalladation step is crucial to the regiochemical outcome of the reaction that depends on the nature of the substituents bound to the carbon–carbon triple bond.^{107c,d,108} In general, steric and coordination effects are considered to play a major role in controlling the regiochemistry of the carbopalladation step, which follows the general trend observed in related reactions.¹⁰⁶ Steric effects appear to control the conversion of the π -alkyne- σ -organopalladium intermediate

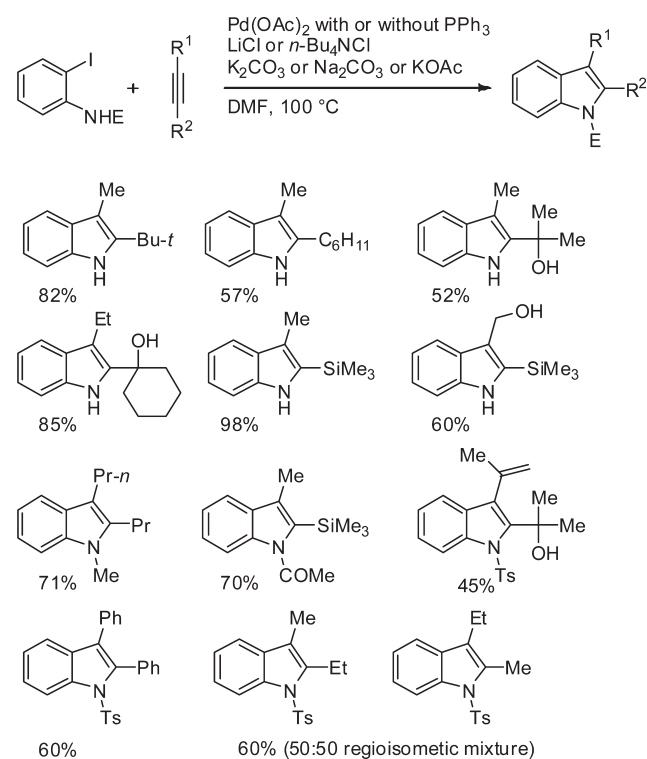
Scheme 52



Scheme 53

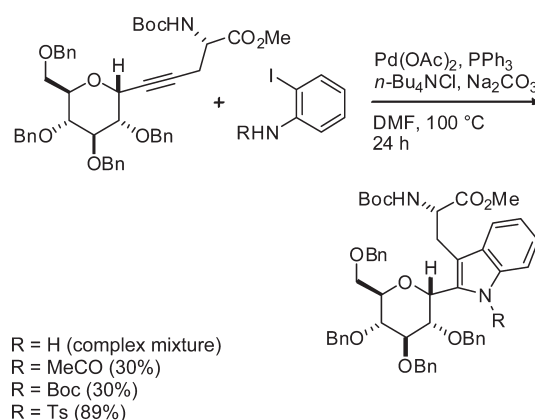


Scheme 54

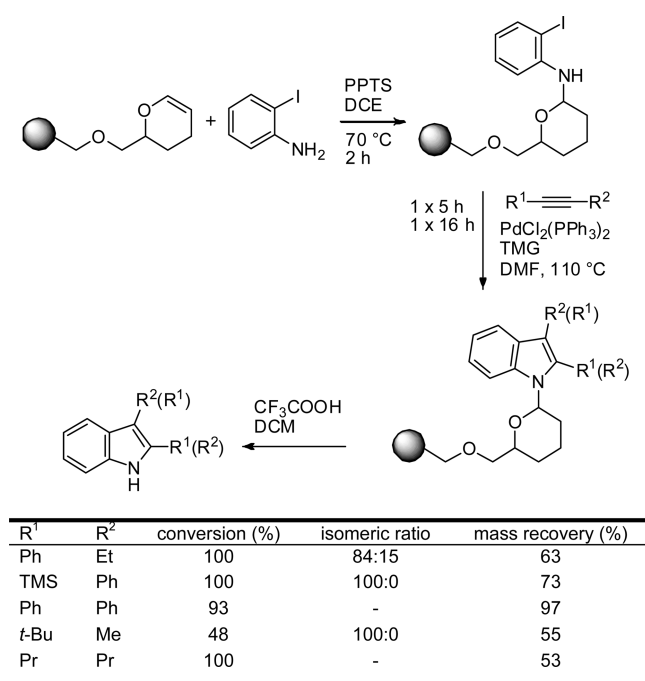


into the carbopalladation adduct so as to direct the organic residue preferentially to the less hindered end of the carbon–carbon triple bond and the palladium moiety to the more hindered end. Thus, the bulkier alkyne substituents usually resides at the C-2 position of the indole. This dependence of regioselectivity on steric effects may be a limitation with alkynes that bear terminal substituents with similar steric demands. A recent illustration of this limitation was reported where poor selectivities were observed when alkynes bearing cycloalkyl and aryl groups were employed.¹⁰⁹ Coordinating effects tend to influence the formation of vinylic adducts in

Scheme 55



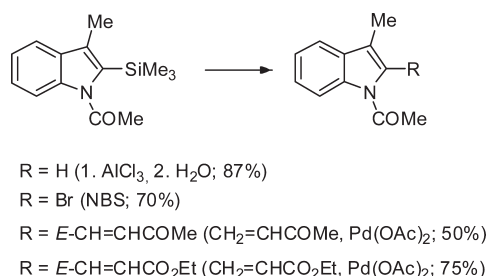
Scheme 56



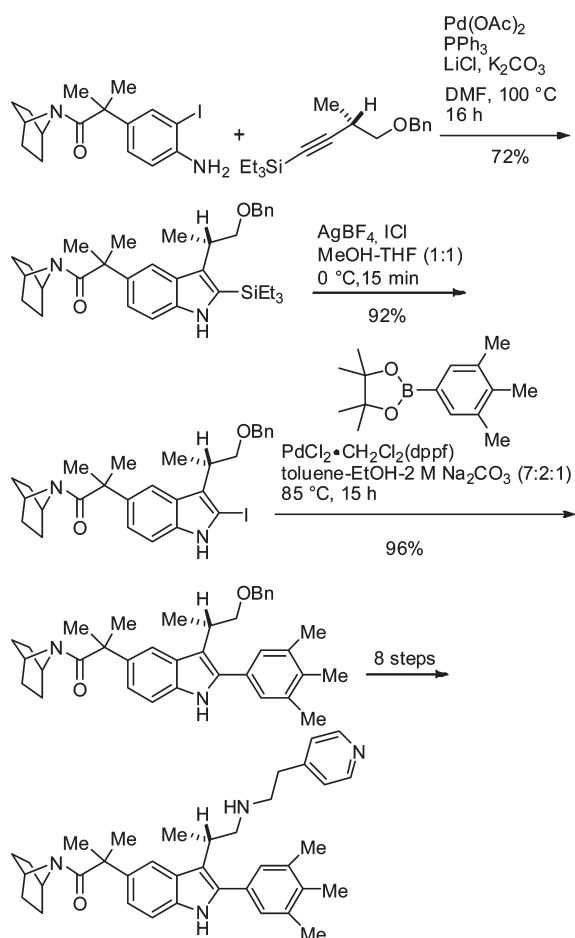
such a way that the added palladium ends up close to the coordinating group. In this respect, the presence of alcohol groups in the alkyne seems to have a particularly strong directing effect.

The Larock annulation reaction was widely employed for the preparation of indole derivatives. The range of products prepared demonstrates the scope and utility of the reaction. It was used in the synthesis of blue-light emitting materials,¹¹⁰ of 5-, 6-, and 7-azaindoles,¹¹¹ of 2,3-disubstituted pyrrolo[2,3-*b*]pyridines,¹¹² of 2,3-disubstituted indoles via a reaction catalyzed by an oxime-derived, chloro-bridged palladacycle (thermally stable, not sensitive to air or moisture),¹¹³ and of α -C-glycosyl-*iso*-tryptophans¹¹⁴ (Scheme 55) and in a solid-phase synthesis of trisubstituted indoles (using an amide group as a linker)¹¹⁵ and in a traceless solid-phase synthesis of 2,3-disubstituted indoles¹¹⁶ (Scheme 56). In the latter case, solution-phase conditions were not found to be particularly successful, incomplete reaction and large quantities of multiple acetylene

Scheme 57



Scheme 58

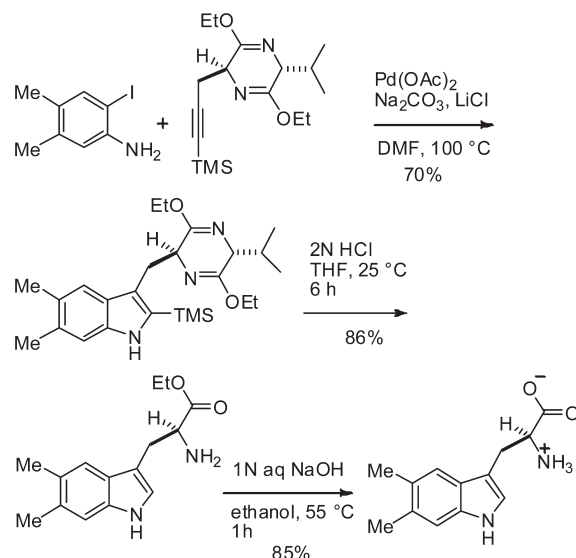


insertion products being observed. Optimum yields attended the use of $PdCl_2(PPh_3)_2$ as the precatalyst, TMG as the base, and double couplings. The reaction was also performed under ligand- and salt-free conditions using heterogeneous palladium catalysts.¹¹⁷

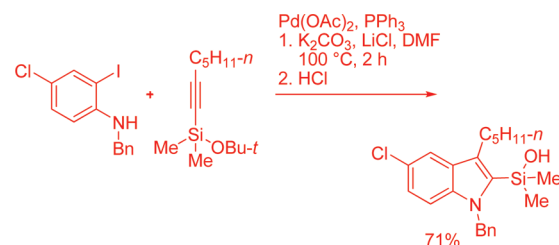
In most of the reactions studied, one of the acetylenic termini was a silyl group. In fact, Larock¹⁰⁷ showed that the annulation of silylalkynes is highly regioselective, affording 2-silylindoles, which are versatile intermediates for the synthesis of a vast array of other indole derivatives (Scheme 57).

The potential of this silylalkyne approach to the synthesis of functionalized indoles was readily recognized. A range of 3-substituted 2-aryl indoles were prepared through processes featuring a silylalkyne-based Larock indole synthesis, conversion of the obtained

Scheme 59



Scheme 60

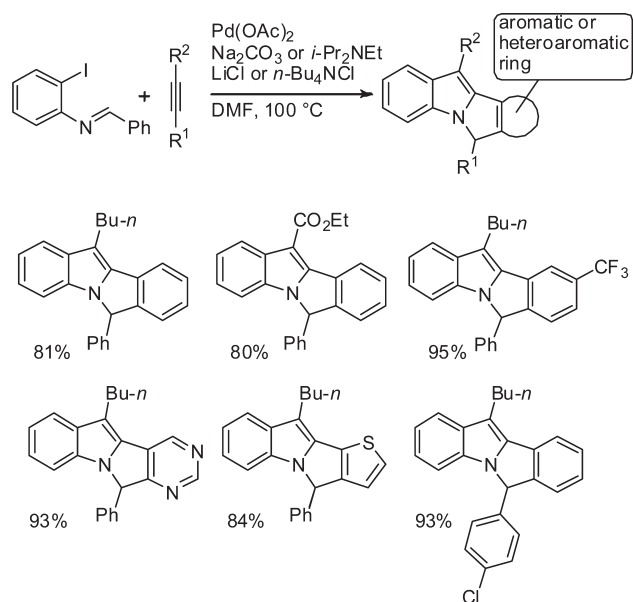


2-silylindole into the corresponding iodide derivative, and a Suzuki coupling sequence.^{45d,118} For example, this route to indole derivatives was exploited to develop a convergent synthesis of (*S*)- β -methyl-2-aryltryptamine-based gonadotropin releasing hormone antagonists¹¹⁸ (Scheme 58).

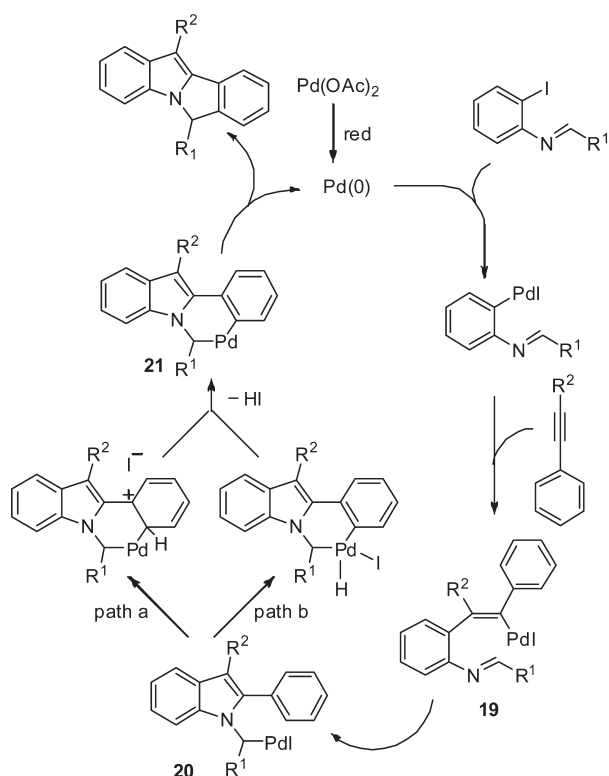
Bz-substituted tryptophans,^{119a} optically active ring A substituted tryptophans^{119b,c} (Scheme 59), alkoxy-substituted indole bases 16-*epi*- N_a -methylgardneral, 11-methoxyaffinisine, and 11-methoxymacroline, as well as the indole alkaloids alstophylline and macralstonine,^{120a} 12-alkoxy-substituted indole alkaloids (+)-12-methoxy- N_a -methylvellosimine, (+)-12-methoxyaffinisine, (–)-fuchsiaefoline,^{120b} (–)-indolmycin and (–)-5-methoxyindolmycin,¹²¹ 12-methoxy-substituted sarpagine indole alkaloids,¹²² vincamajine-related indole alkaloids,¹²³ and the 5-HT_{1D} receptor agonist MK-0462,^{124a} were also prepared through the annulation–desilylation sequence. This silylalkyne chemistry was also employed in the microwave-assisted solid-phase synthesis of 5-carboxamido-*N*-acetyltryptamine derivatives.^{124b}

Denmark and co-workers¹²⁵ replaced the simple trimethylsilyl group employed by Larock with a silyl ether and showed that *N*-benzyl-2-iodoanilines reacted with an alkynyl dimethylsilyl *tert*-butyl ether to afford indole-2-silanols after hydrolysis (Scheme 60). The development and strategic use of a *tert*-butoxysilyl ether was crucial to the success of the reaction. The presence of a silyl ether would serve two purposes: directing the heteroannulation and, after unmasking the silanol, allowing for a silicon-based cross-coupling reaction. Indeed, the corresponding

Scheme 61



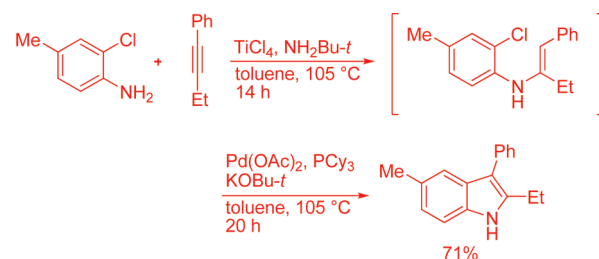
Scheme 62



sodium 2-indolylsilanolate salts were successfully engaged in cross-coupling reactions with aryl bromides and chlorides to afford *N*-benzyl-2,3-disubstituted indoles.

As an extension of the palladium-catalyzed annulation to indoles of *o*-iodoanilines and *o*-iodoanilides with internal alkynes, Larock et al.^{107a,b} described a concise synthesis of isoindole[2,1-*a*]-indoles via annulation of internal alkynes by imines derived

Scheme 63



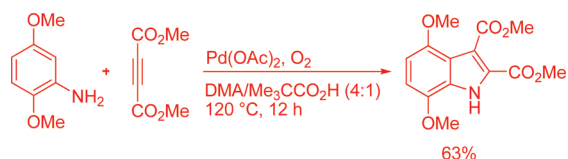
from *o*-iodoanilines (Scheme 61). Two basic procedures were developed: procedure A [$\text{Pd}(\text{OAc})_2$, Na_2CO_3 , LiCl , DMF , 100°C] and procedure B [$\text{Pd}(\text{OAc})_2$, $i\text{-Pr}_2\text{NEt}$, Bu_4NCl , DMF , 100°C]. A third procedure was developed (procedure C), which differs from procedure B in only the amount of DMF . In general, with alkyl-substituted alkynes better results attended the use of procedure B, while the alternative procedure C was favored with diarylalkynes. The other substituted alkynes (hydroxyl, esters) afforded better yields when procedure A was used. Internal alkynes containing either a phenyl or a heterocyclic ring were employed in this annulation reaction.

The proposed mechanism for this isoindoloindole synthesis is outlined in Scheme 62 and involves the following basic steps: (a) oxidative addition of the aryl iodide to $\text{Pd}(0)$, (b) regioselective carbopalladation of the alkyne, (c) 5-*exo* addition of the resultant σ -vinylpalladium intermediate **19** across the carbon–nitrogen double bond to give the σ -alkylpalladium intermediate **20**, (d) either electrophilic palladation of the σ -alkylpalladium moiety of **20** onto the adjacent aromatic ring (path a) or oxidative addition of the neighboring carbon–hydrogen bond to the σ -alkylpalladium moiety of **20** (path b), (e) elimination of HI by base to give the palladacycle **21**, and (f) reductive elimination of $\text{Pd}(0)$ to give the isoindoloindole.

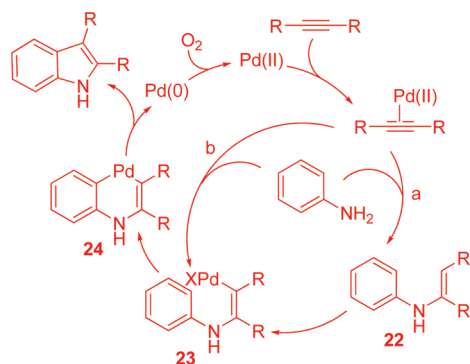
To circumvent some drawbacks associated with the Larock indole synthesis, such as the high cost and the low stability of 2-iodoanilines, the palladium-catalyzed indolization of 2-bromo- or 2-chloroanilines with internal alkynes was developed. Several types of highly active phosphine ligands were examined, among which 1,1'-bis(*di-tert*-butylphosphino)ferrocene gave superior results.¹²⁶ In a more recent study, the use of *o*-bromoanilines under phosphine-free conditions (phenylurea was found to be the optimal ligand) was also suggested.¹²⁷ Ackermann and co-workers¹²⁸ used *o*-bromo or *o*-chloroanilines and internal alkynes to prepare 2,3-disubstituted indoles. The reaction, however, is different from the Larock annulation in that it proceeds through a one-pot protocol involving a regioselective TiCl_4 -catalyzed intermolecular hydroamination to give a *N*-(*o*-haloaryl) enamine that subsequently undergoes a palladium-catalyzed intramolecular cyclization. The cyclization step is most effectively accomplished with PCy_3 as ligand. With unsymmetrically substituted alkynes, this strategy enables a highly regioselective synthesis of diversely functionalized indoles and the regioselectivity is complementary to the one obtained when employing Larock's direct cyclization reaction (Scheme 63).

Subsequently, Jiao and co-workers¹²⁹ took advantage of a C–H activation process to prepare indoles from simple and readily available anilines and internal alkynes. The reaction is catalyzed by $\text{Pd}(\text{OAc})_2$ in the presence of O_2 as the oxidant. Moderate to excellent results were obtained with butynedioate esters (Scheme 64) and trimethylphenyl triflate, a precursor of benzyne. With phenylpropionitrile, an asymmetrically substituted alkyne,

Scheme 64



Scheme 65



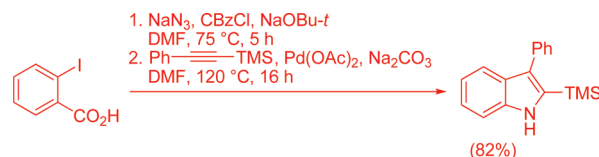
only one of the regioisomeric indole derivatives was isolated, although in low yield.

Even in this case, the reaction proceeds through the formation of enamine intermediates. The reaction mechanism begins with the activation of the alkyne by Pd(II) followed by an intermolecular hydroamination step (Scheme 65, path a). Then, the intermediate **23** is formed via electrophilic palladation of the resultant enamine **22** and deprotonation. Alternatively, aminopalladation of the starting alkyne could occur to form the intermediate **23** (Scheme 65, path b), which generates the intermediate **24** by an acid-promoted electrophilic aromatic palladation and subsequent proton abstraction. Subsequently, the intermediate **24** undergoes reductive elimination to give the indole product and Pd(0), which is oxidized to Pd(II) by O₂.

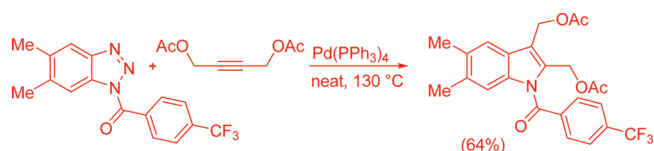
***o*-Iodobenzoic Acid and *N*-Aroylbenzotriazoles.** Alternative proposals to the original Larock synthesis are based on the utilization of *o*-iodobenzoic acid¹³⁰ and *N*-aroylbenzotriazoles¹³¹ as synthetic equivalents of *o*-iodoanilines. The synthesis of indoles from *o*-iodobenzoic acid involves a one-pot Curtius rearrangement/palladium-catalyzed indolization process (Scheme 66). With *N*-aroylbenzotriazoles, the reaction proceeds through a palladium-catalyzed denitrogenative/indolization sequence (Scheme 67). The best results were obtained with symmetrical alkynes. Unsymmetrical alkynes provided regioisomeric indole derivatives. The suggested reaction mechanism is outlined in Scheme 68. The reaction of palladium(0) with the diazonium moiety of a 2-iminobenzenediazonium species (thermally generated from the starting benzotriazole) affords a σ -aryl palladium intermediate, which may be in equilibrium with a four-membered palladacycle. A subsequent carbopalladation step leads to a six-membered palladacycle species from which the indole product is formed via reductive elimination.

4.1.4. Cyclization of *o*-Alkynyl-*N*-alkylidene-anilines. *Disconnection c*, Figure 1. Yamamoto et al.¹³² reported a palladium-catalyzed indole synthesis in which the new bond formed via a palladium-catalyzed reaction, and leading to the functionalized pyrrole ring is the carbon–carbon bond between the C-2 and the

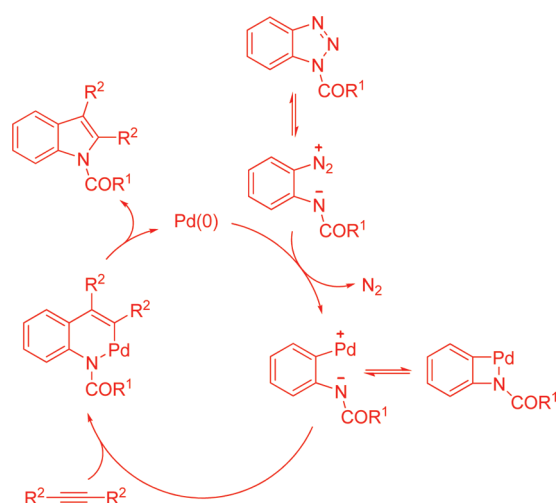
Scheme 66



Scheme 67



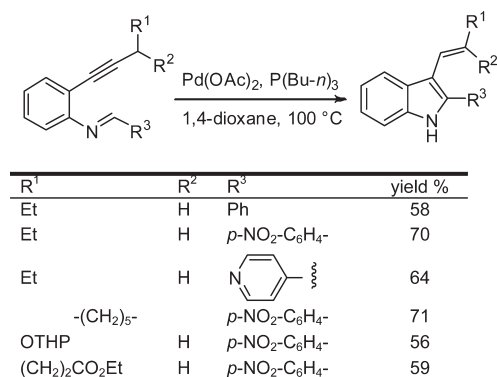
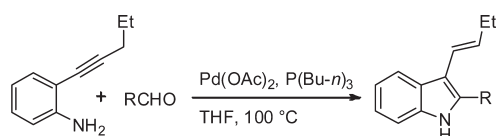
Scheme 68



C-3. In this synthesis 2-(1-alkynyl)-*N*-alkylidene anilines undergo a palladium-catalyzed cyclization to give 2-substituted 3-vinylindoles (Scheme 69). Cyclization of 2-aryl- and 2-heteroaryl substituted imines afforded the corresponding indole derivatives in good yields. When the preparation of 2-alkyl indoles was attempted, optimum yields were obtained through a reaction involving the formation in situ of imines from 2-alkynylanilines and aldehydes, followed by subsequent cyclization (Scheme 70). In fact, preparation of alkyl-substituted imines failed because of their instability. The in situ protocol proceeds without problems even with benzaldehyde.

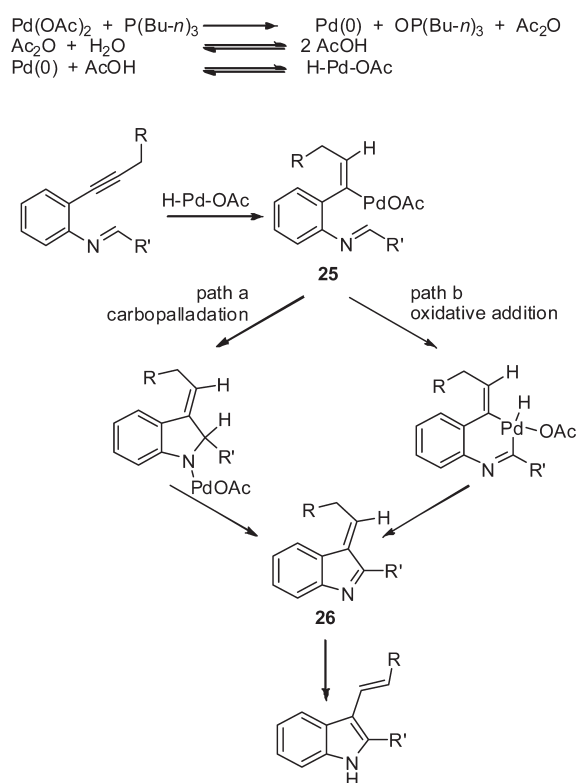
Most probably, the reaction proceeds through the regioselective addition of palladium–hydride species to the carbon–carbon triple bond (Scheme 71). The resultant vinylpalladium intermediate **25** can afford the indolenine **26** either through a carbopalladation step followed by a β -hydride elimination (path a) or through an oxidative addition step followed by a reductive elimination pathway (path b). Isomerization of **26** generates the indole derivative. Palladium–hydride species can be formed by the reaction of AcOH (generated in situ by the hydrolysis of Ac₂O) with Pd(0)¹³³ [formed by the reaction of Pd(OAc)₂ with Bu₃P].¹³⁴

Scheme 69

Scheme 70^a

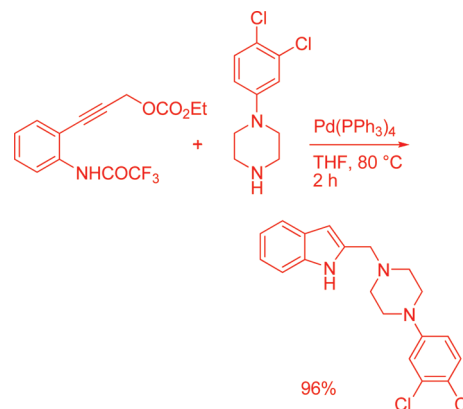
^a R = Ph₂CH- (30%); Ph(Me)CH- (67%; NMR yield); Me₂CH- (57%; NMR yield); Ph- (74%; NMR yield).

Scheme 71

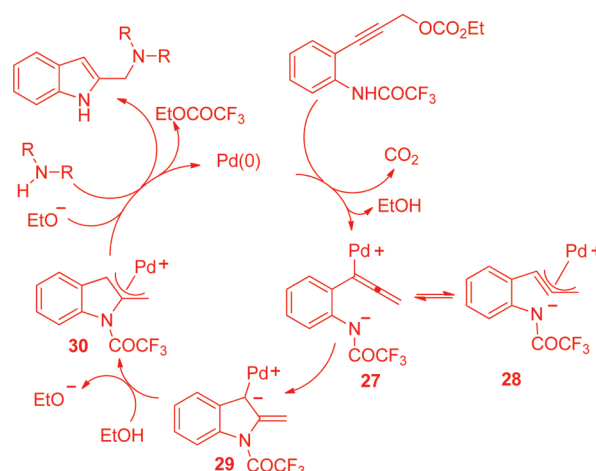


4.1.5. Indoles from Propargylic Esters. Disconnection *a+f*. Cacchi and co-workers showed that 3-(*o*-trifluoroacetamidoaryl)-1-propargylic esters could be used as common synthetic intermediates for the preparation of a variety of

Scheme 72



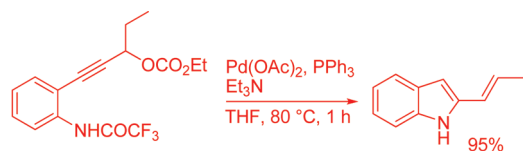
Scheme 73



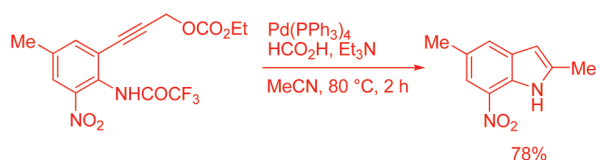
3-unsubstituted 2-substituted indoles.^{135–137} Treating ethyl 3-(*o*-trifluoroacetamidoaryl)-1-propargylic carbonates unsubstituted or containing an aryl substituent at the propargylic carbon with piperazines and Pd(PPh₃)₄ in tetrahydrofuran (THF) at 80 °C affords 2-(piperazin-1-ylmethyl)indoles in excellent yields (Scheme 72).^{135,138} Only with piperazines containing bulky substituents at the 2-position was the desired indole product isolated in moderate yield, very likely because of the low nucleophilicity of the nitrogen derivative due to steric effects. Good to excellent yields of 2-aminomethylindoles are also obtained with other secondary amines. The acidity of the nitrogen–hydrogen bond plays a crucial role. When ethyl 3-(*o*-acetamidophenyl)-1-propargyl carbonate, containing a less acidic nitrogen–hydrogen bond, was subjected to 4-ethylpiperazine under standard conditions, the indole product was formed only in trace amounts, if any.

A possible mechanism considers the following basic steps (Scheme 73): (a) initial formation of the σ -allenylpalladium complex **27** (via a S_N2' reaction of the palladium complex with the starting propargylic ester), which would be in equilibrium with a π -propargylpalladium intermediate **28**, (b) intramolecular nucleophilic attack of the nitrogen at the central carbon of the allenyl/propargylpalladium complex, (c) protonation of the resultant carbene **29** to give a π -allylpalladium complex **30**, and (d) regioselective intermolecular nucleophilic attack of the nitrogen nucleophile at the less hindered allylic terminus of **30**.

Scheme 74



Scheme 75



Interestingly, this indole synthesis gives excellent results using a monodentate phosphine ligand, though it has been reported that π -propargylpalladium complexes are formed in a more stable manner in the presence of bidentate ligands¹³⁹ and that the best ligands for the palladium-catalyzed reaction of propargylic halides¹⁴⁰ and carbonates¹⁴¹ with soft nucleophiles are the bidentate ligands.

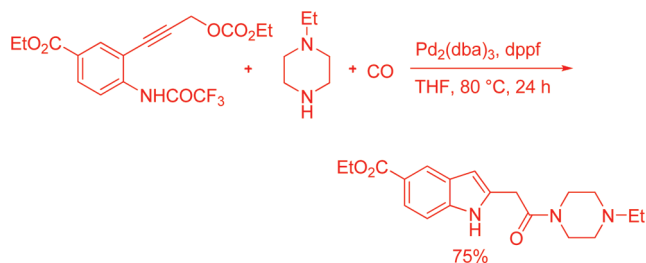
Ethyl 3-(*o*-trifluoroacetamidoaryl)-1-propargylic carbonates bearing an alkyl substituent at the propargylic carbon (Scheme 74) and ethyl 3-(*o*-trifluoroacetamidoaryl)-1-propargylic acetates disubstituted at the propargylic carbon give 2-vinyl indoles with the Pd-(OAc)₂/PPh₃ combination and Et₃N in THF at 80 °C.¹³⁵ Formation of 2-vinyl indoles is quite stereoselective, generating *trans*-vinyl derivatives, at least with the substrates investigated.

In the presence of formic acid, Et₃N, and Pd(PPh₃)₄ in MeCN at 80 °C, ethyl 3-(*o*-trifluoroacetamidoaryl)-1-propargylic carbonates provide ready access to 2-alkylindoles in good to excellent yields (Scheme 75)¹³⁶ and, with primary or secondary amines, in the presence of Pd₂(dba)₃, 1,1'-bis(dimethylphosphino)ferrocene (dppf), and CO in THF at 80 °C, are converted to *N*-unsubstituted indole-2-acetamides (Scheme 76).¹³⁷ The reaction can also be applied to the synthesis of *N*-unsubstituted indole 2-acetic acid methyl esters [Pd₂(dba)₃, dppf, CO, MeOH/THF, 80 °C, 24 h].¹³⁷

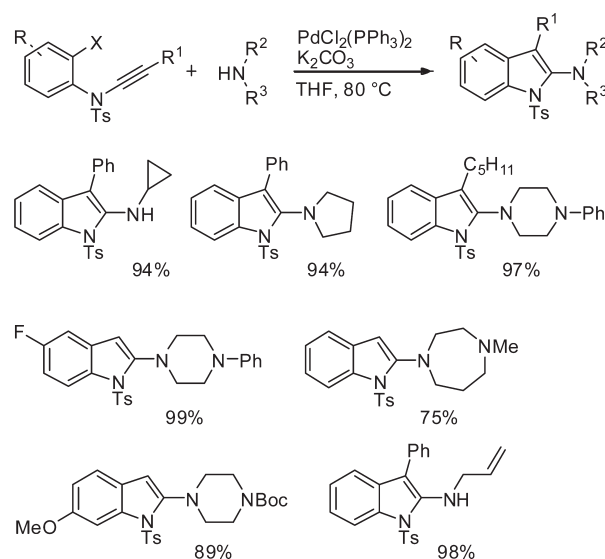
4.1.6. Cyclization of *o*-Halo-*N*-alkynylanilides and *o*-Iodo-*N*-propargylanilides. A variety of synthetic protocols to indole derivatives were based on the use of alkynes with the acetylenic moiety ortho to a nitrogen functionality (disconnections a, a+e, a+g, a+e+g, a+b, a+d, a+e+d, a+e, a+f, and c, Figure 1). Strategies have also been developed in which the alkyne fragment is bound to the nitrogen atom. Particularly, *o*-halo-*N*-alkynylanilides and *o*-halo-*N*-propargylanilides were employed as the starting alkynes to construct the functionalized pyrrole ring.

Disconnection b+e, Figure 1. The formation of indoles from *o*-halo-*N*-alkynylanilides was developed by Witulsky and co-workers¹⁴² and involves the palladium-catalyzed reaction of *o*-halo-*N*-alkynylanilides with primary or secondary amines to give the interesting class of 2-aminoindoles (Scheme 77). In the search for optimal conditions, several additional bases such as DABCO, KOH, KO^{*t*}Bu, K₂CO₃, and Cs₂CO₃ were tested. K₂CO₃ and Cs₂CO₃ proved to be the most efficient ones. THF was found to be more suitable than dimethylformamide (DMF) or toluene. PdCl₂(PPh₃)₂ as the precatalyst gave higher yields than Pd(PPh₃)₄, very likely because of its lower phosphine content [the palladium/phosphine ratio is crucial for the success of palladium-catalyzed reactions, and a relatively high phosphine content can reduce the activity of the actual Pd(0) catalyst].

Scheme 76



Scheme 77



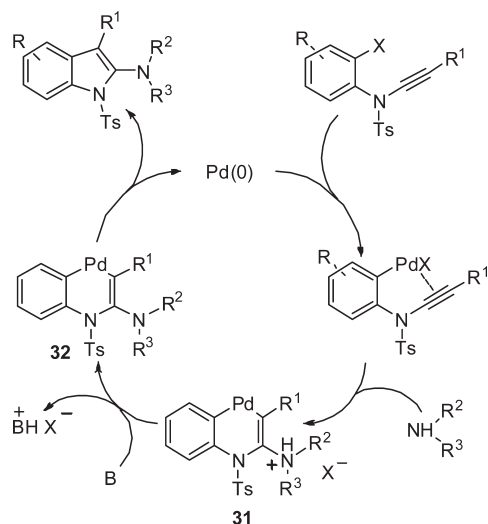
The proposed mechanism is shown in Scheme 78. The Pd(0) species generated in situ inserts into the carbon–halogen bond to give a σ -aryl- π -alkynepalladium complex. Subsequently, addition of amine to the activated carbon–carbon triple bond affords the palladacycle 31. Deprotonation of the positively charged nitrogen atom followed by reductive elimination of the resultant intermediate 32 gives rise to the indole product and regenerates the active catalyst.

4.1.7. Miscellaneous. As part of their extensive work on the palladium-catalyzed polycyclization–anion capture sequence, Grigg et al.¹⁴³ reported a cascade process leading to indoles containing polycyclic substituents at the C-3 starting from *o*-iodo-*N*-propargylanilides and norbornene. The reaction proceeds through an intramolecular carbopalladation step, followed by capture of norbornene to give a σ -alkylpalladium(II) intermediate which, in turn, undergoes an intramolecular Heck reaction. One example of this chemistry is shown in Scheme 79.

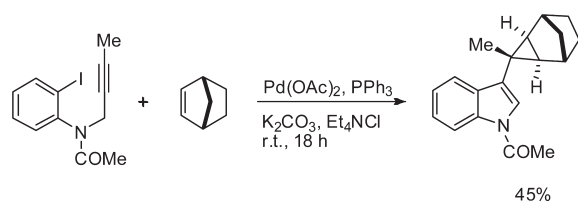
2,3-Disubstituted indoles were prepared in moderate yields through PdBr₂-catalyzed cyclization of *o*-alkynylphenyl *N,O*-acetals (Scheme 80).¹⁴⁴

Gabriele et al.¹⁴⁵ prepared indol-2-acetic esters from 1-(2-aminoaryl)-2-yn-1-ols, easily obtained by the reaction between alkynylmagnesium bromides and 1-(2-aminoaryl)ketones (Scheme 81). Indole products were isolated in moderate to good yields [42–88%, based on starting 1-(2-aminoaryl)ketones] under 90 atm of CO, in MeOH at 100 °C and in the presence of PdI₂ and KI in the case of 1-(2-aminoaryl)-2-yn-1-ols bearing

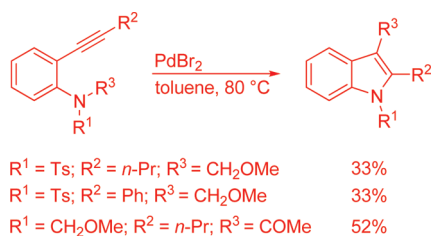
Scheme 78



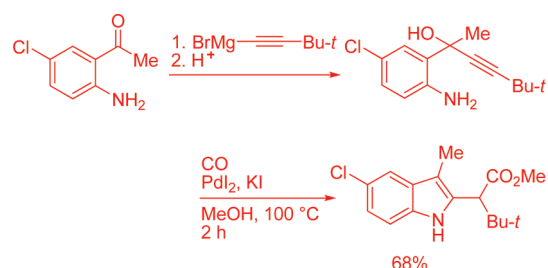
Scheme 79



Scheme 80



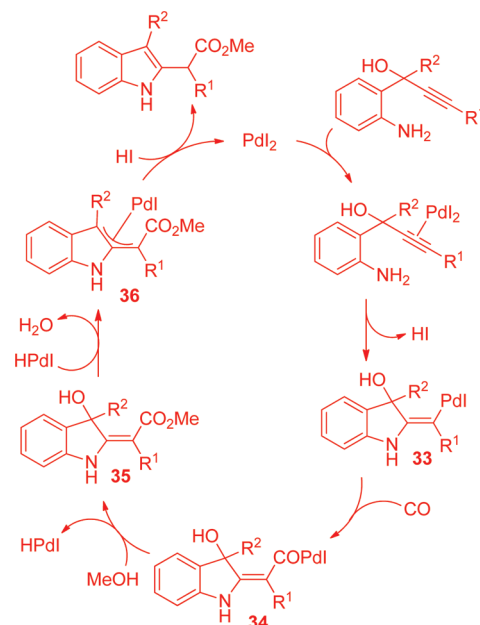
Scheme 81



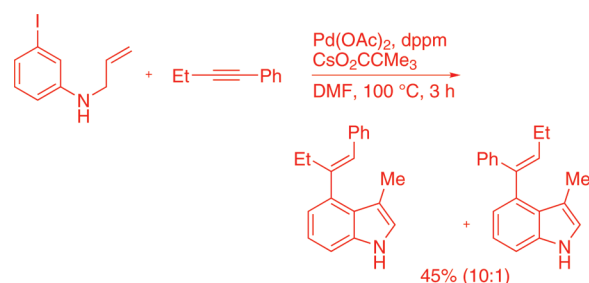
either a primary or secondary amino group and substituted with a bulky group on the triple bond.

The proposed reaction mechanism is shown in Scheme 82. The initial intramolecular attack by the amino group to the

Scheme 82



Scheme 83

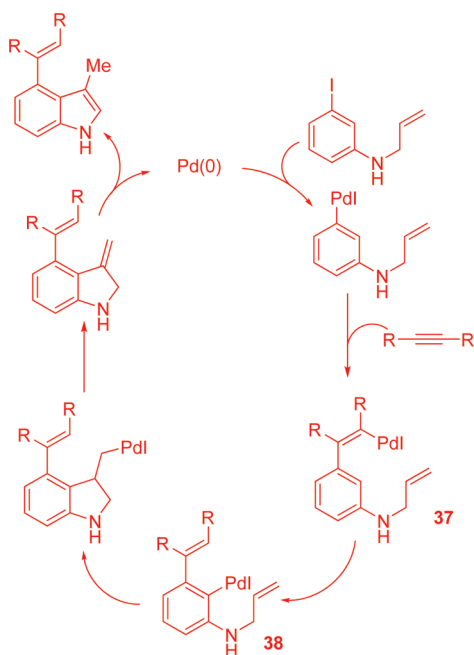


coordinated triple bond can occur in a *5-exo-dig* cyclization mode, leading to the vinylpalladium intermediate 33, with formal elimination of HI. Under CO pressure, the complex 33 can insert carbon monoxide to give the corresponding acylpalladium intermediate 34. Eventually, nucleophilic displacement by an external alcohol should afford the corresponding heterocyclic derivatives 35 with elimination of HPdI. Because intermediate 35 still contains an allyl alcoholic function, it can react further with HPdI to give the allylpalladium complex 36. Protonolysis of the latter by HI would then lead to the indol-2-acetic ester with regeneration of the catalytically active species PdI₂.

Zhao and Larock¹⁴⁶ demonstrated that indole rings can be constructed from *N*-allyl-3-iodoaniline and internal alkynes by a vinylic to aryl palladium migration followed by an intramolecular Heck reaction (Scheme 83).

According to the proposed reaction mechanism (Scheme 84), the alkyne reacts with the σ -arylpalladium intermediate formed via oxidative addition of the aryl iodide to Pd(0) to give the σ -vinylpalladium intermediate 37. Once the palladium moiety undergoes nitrogen-directed vinylic to aryl migration to afford arylpalladium species 38, an intramolecular Heck reaction, followed by aromatization, should generate the indole derivative.

Scheme 84



Very likely, the major problem in this process is the fact that both the σ -vinylpalladium intermediate **37** and the σ -arylpalladium intermediate **38** can react with *N*-allyl-3-iodoaniline. Furthermore, the vinylic to aryl palladium migration is presumably the slow step. Therefore, although the desired process is an intramolecular reaction (which should have some advantage over intermolecular processes), there is plenty of time for side reactions.

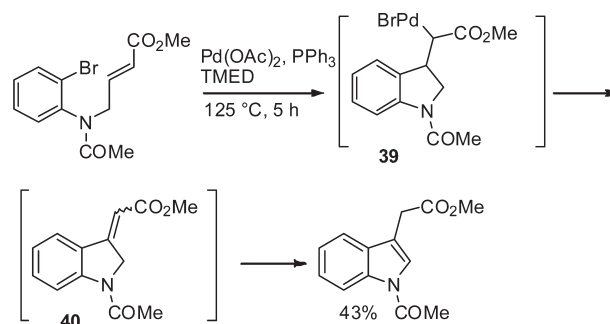
4.2. Cyclization of Alkenes

A large part of the chemistry used in the assembly of the pyrrole nucleus from acyclic precursors containing alkenes is based on the intramolecular Heck reaction, defined as a reaction involving the intramolecular carbopalladation of the carbon–carbon double bond by a σ -organopalladium complex formed in situ followed by the syn- β -elimination of a hydridopalladium species. The intramolecular amination of olefinic systems represents another potentially general approach to the construction of the pyrrole ring, though only a few synthetic applications were reported. Other approaches were also described, but their synthetic scope appears more limited. In the next seven subsections, we review this indole chemistry.

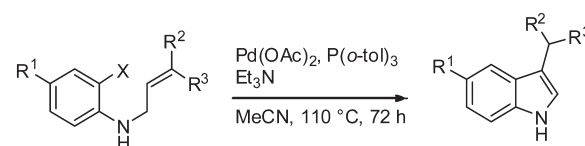
4.2.1. *o*-Halo-*N*-allylanilines. Disconnection e, Figure 2.

The first synthesis of indoles based on the intramolecular Heck reaction was described by Mori et al. in 1977.¹⁴⁷ These authors prepared indole derivatives from *o*-halo-*N*-allylanilides containing the side-chain olefin conjugated to a carbonyl group. One of the original examples of this procedure is outlined in Scheme 85. Though aryl iodides are known to be more reactive in the oxidative addition step, the aryl bromide shown in Scheme 85 provided a better result, whereas the corresponding aryl chloride failed to give any of the desired indole derivative. According to the authors, the alkylidene indoline intermediate **40**, generated from the carbopalladation adduct **39** via elimination of a hydridopalladium species, isomerizes to give the indole product under reaction conditions. Formation of the desired indole product was found to be accompanied by the formation of the deallylated

Scheme 85



Scheme 86



X	R ¹	R ²	R ³	yield
I	H	H	H	87
Br	H	H	H	60
I	H	H	Me	51
I	H	Me	Me	73
Br	Me	H	H	77
Br	COOEt	H	H	50

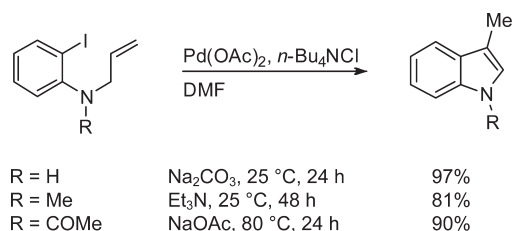
o-bromoacetanilide and the deallylated acetanilide (the latter derived from the reduction of the carbon–bromine bond).

Unactivated *o*-halo-*N*-allylanilines were used by Hegedus and co-workers¹⁴⁸ (Scheme 86). Iodo aromatics gave good results in the presence of Pd(OAc)₂ and Et₃N in MeCN at 110 °C for 72 h. Because of deactivation of the catalyst during the reaction, considerably better results were obtained by periodic provision of fresh catalyst. In practice, the same total amount of catalyst was added in three successive 1% portions, one each day of reaction. Less reactive bromo aromatics required the addition of 2 equiv of tris(*o*-tolyl)phosphine per Pd to produce acceptable yields.

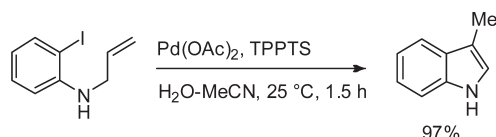
Subsequently, Larock et al.¹⁴⁹ greatly improved upon the conditions developed by Hegedus for the cyclization of *o*-halo-*N*-allylanilines. For example, 3-methylindole could be obtained in higher yield and under milder conditions omitting phosphine ligands (Scheme 87). The palladium-catalyzed cyclization of *o*-halo-*N*-allyl amines was also performed in a homogeneous water–acetonitrile medium in the presence of the water-soluble tris(3-sulfonatophenyl)-phosphine sodium salt (TPPTS) ligand¹⁵⁰ (Scheme 88), in supercritical carbon dioxide in the presence of Pd(OAc)₂ and a fluorinated phosphine ligand [(C₆F₁₃CH₂CH₂)₂PPh],¹⁵¹ and on solid phase, coupling the aryl¹⁵² and the olefin¹⁵³ moieties to the solid support.

Numerous applications of this indole chemistry were described, including the preparation of cycloprop[c]indol-5-ones,¹⁵⁴ indole-3-acetic acids and hetero analogues,¹⁵⁵ indole-3-pyruvic acid oxime ethers,¹⁵⁶ 5-(sulfamoylmethyl)indoles,¹⁵⁷ the antimigraine agent CP-122,288,¹⁵⁸ the protected A-unit of CC-1065,^{159,160} and DG-041, a potent EP3 receptor antagonist.¹⁶¹ One-pot syntheses of highly diverse indole scaffolds by Ugi/Heck reaction¹⁶² as well as domino *N*-allylation/Heck reaction¹⁶³ and *N*-arylation of

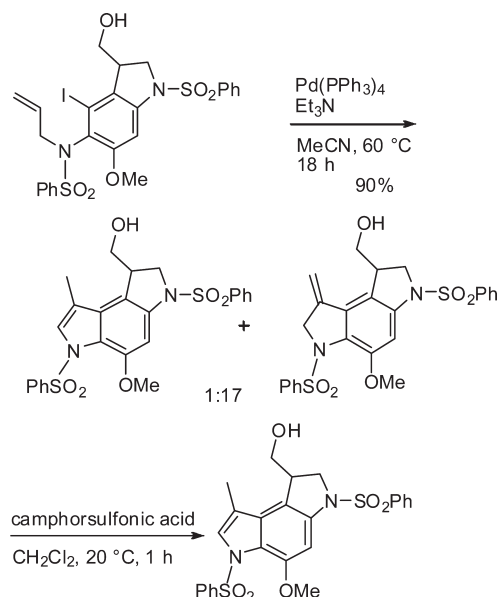
Scheme 87



Scheme 88



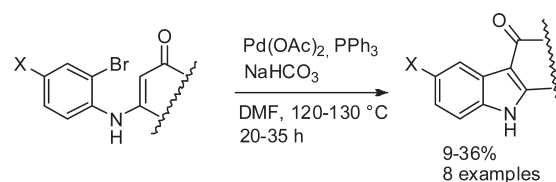
Scheme 89



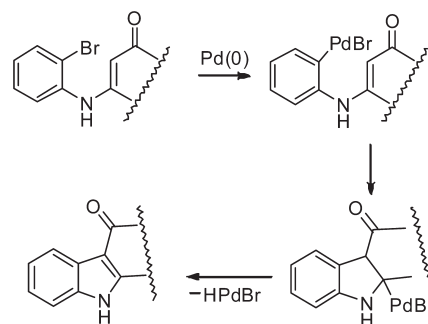
allylamine/Heck reaction¹⁶⁴ were also described. In the synthesis of the protected A-unit of CC-1065 developed by Tietze et al.¹⁶⁰ (Scheme 89), the cyclization step produced indole and alkylidene indoline products as a 1:17 mixture from which, without further workup, the indole derivative was obtained through quantitative isomerization with camphorsulfonic acid.

4.2.2. *N*-(*o*-Haloaryl)enamines, *N*-(*o*-Haloaryl)allenamides, *N*-(*o*-Haloaryl)enolates, and *N*-Arylenamines. *Disconnection e*, Figure 2. *N*-(*o*-Haloaryl)enamines. Another application of the Heck reaction to the synthesis of indoles is based on the utilization of *N*-(*o*-haloaryl)enamines. Formation of indole derivatives from *N*-(*o*-haloaryl)enamines has been known since 1980.¹⁶⁵ In the first utilization of this chemistry, enamines (derived from *o*-bromoanilines and 1,3-dicarbonyls) were subjected to cyclization conditions and afforded the desired products in low to moderate yields at high temperature (Scheme 90). The proposed mechanism is outlined in Scheme 91.

Scheme 90



Scheme 91



Since then, a variety of structurally diverse *N*-(*o*-haloaryl)-enamines conjugated to ketone and ester groups (prepared from 1,3-dicarbonyls, palladium-catalyzed oxidative amination of electron-deficient olefins with *o*-haloanilines,¹⁶⁶ Michael addition of *o*-haloanilines to ethynyl ketones and esters, and Buchwald/Hartwig amination) were shown to function well in this application of the Heck reaction¹⁶⁷ (Table 1). Domino processes were also described in which *o*-haloenamines conjugated to carbonyl groups, generated through the Buchwald/Hartwig palladium-catalyzed C–N bond formation from vinylogous amides and *o*-dibromobenzenes^{167f} (Scheme 92) or vinylogous acyl chlorides and *o*-haloanilines,^{167g} undergo an in situ Heck cyclization. Recently, a strategy was developed for the synthesis of 2-substituted indoles starting from acyclic α -phosphoryloxy enecarbamates.¹⁶⁸ The reaction is based on a highly chemoselective cross-coupling of *N*-(*o*-bromophenyl)- α -phosphoryloxyenecarbamates with boron nucleophiles leading to the formation of *N*-(*o*-bromophenyl)enecarbamates, which served as useful precursors for subsequent Heck-type cyclization to 2-substituted indoles. The reaction can also be carried out as a domino process omitting the isolation of *N*-(*o*-bromophenyl)- α -phosphoryloxyenecarbamate intermediates (Scheme 93).

o-Haloanilino enamines conjugated to an ester group (prepared through a Wittig reaction) were also utilized in the preparation of 2-trifluoromethylated indoles possessing electron-deficient 5-substituents.¹⁶⁹ In this case, however, the cyclization was proposed to proceed through a mechanism that does not involve the classical Heck sequence (i.e., carbopalladation of the carbon–carbon double bond followed by a syn- β -elimination of hydridopalladium species). The two possible mechanistic pathways are shown in Scheme 94: one pathway (arrow a) involves the formation of palladacycles **41** and **42**, the latter being converted into the indole product via reductive elimination of Pd(0); the alternative pathway (arrow b) involves the intermediacy of the 3*H*-indole **43** from which indole is formed by isomerization.

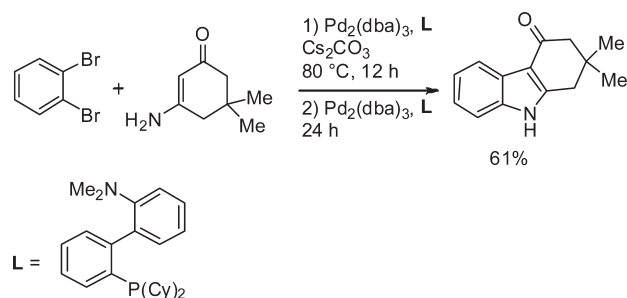
Table 1. Indoles Prepared via Palladium-Catalyzed Cyclization of *N*-(*o*-Haloaryl)enamines Conjugated to Carbonyl Functionalities

<i>o</i> -haloanilino enamine	conditions	indole	yield %
	Pd(OAc) ₂ , P(<i>o</i> -tol) ₃ , Et ₃ N MeCN, 100 °C, 20 h		95 ^{167a}
	Pd(OAc) ₂ , P(<i>o</i> -tol) ₃ , Et ₃ N MeCN, 100 °C, 20 h		96 ^{167a}
	Pd(OAc) ₂ , Et ₃ N DMF, 120 °C, 6 h		49 ^{167b}
	Pd(OAc) ₂ , P(<i>o</i> -tol) ₃ , Et ₃ N DMF, 120 °C, 6 h		35 ^{167b}
	Pd(OAc) ₂ , P(<i>o</i> -tol) ₃ , Et ₃ N MeCN, reflux, 19.5 h		81 ^{167c}
	Pd(PPh ₃) ₄ , NaHCO ₃ HMPA, 140 °C, 2 h		76 ^{167d}
	Pd(OAc) ₂ , PPh ₃ , NaHCO ₃ DMF, reflux, 15 h		23 ^{167e}
	PdCl ₂ (PPh ₃) ₂ , NaOAc·3H ₂ O DMA, 130 °C, 3 h		73 ^{167g}

N-(*o*-Haloaryl)enamines containing an ester substituent on the same carbon bearing the aniline group were used in the synthesis of the 2,3,4-trisubstituted indole motif found in the antibiotic nosiheptide¹⁷⁰ (Scheme 95). The substrate required for the cyclization reaction was obtained via condensation of a properly substituted *o*-iodoaniline with the benzyl ester of 2-ketobutyric acid.

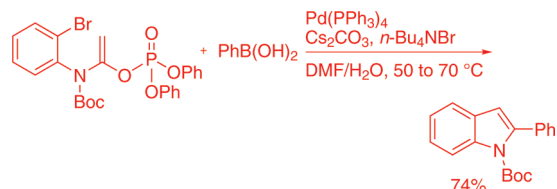
Kondo et al. developed the enamine approach to the construction of the indole skeleton into a solid-phase synthesis in which immobilized *N*-(*o*-iodo-) and *N*-(*o*-bromoaryl)enaminoesters are cyclized to give, after a transesterification step, indolecarboxylate derivatives¹⁷¹ (Schemes 96 and 97). Adding P(*o*-tol)₃ increased the isolated yield, and with *N*-(*o*-bromoaryl)enaminoesters, Pd₂(dba)₃·CHCl₃ worked better than Pd(OAc)₂.

A significant extension of the enamine route to indoles is based on the in situ preparation of *N*-(*o*-iodoaryl)enamines from *o*-iodoanilines and simple cyclic and acyclic ketones,¹⁷² although with the latter the procedure is not as effective. Best conditions are shown in Scheme 98. Most reactions proceeded efficiently in DMF, but in the more sluggish cases, the

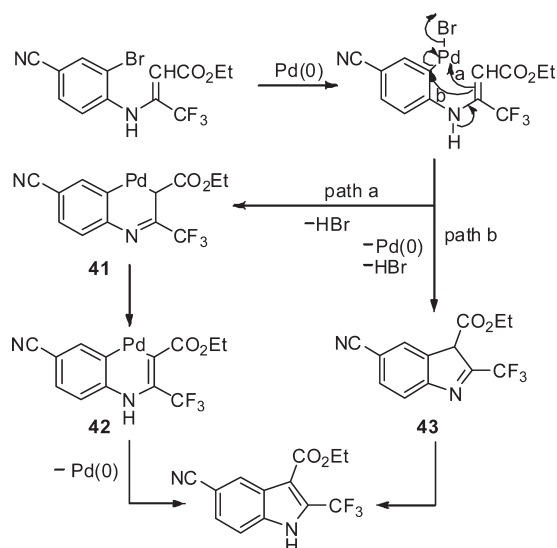
Scheme 92

addition of MgSO₄, presumably acting as a dehydrating agent, was found to be beneficial. Although the presence of an amine base was found to be critical to the success of the process, the ability of palladium to oxidize amines¹⁷³ made it necessary to use an amine such as DABCO, able to resist oxidation to imine.

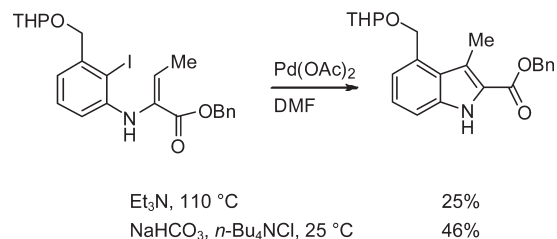
Scheme 93



Scheme 94



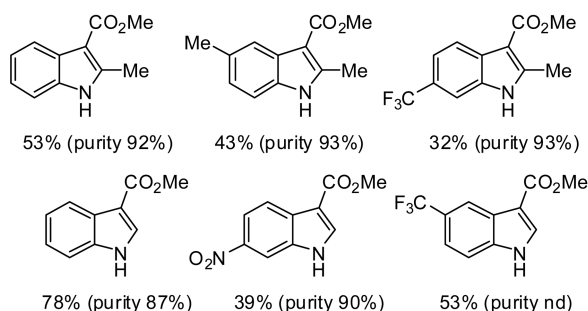
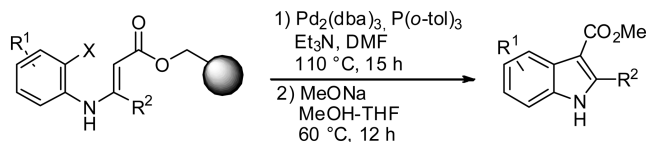
Scheme 95



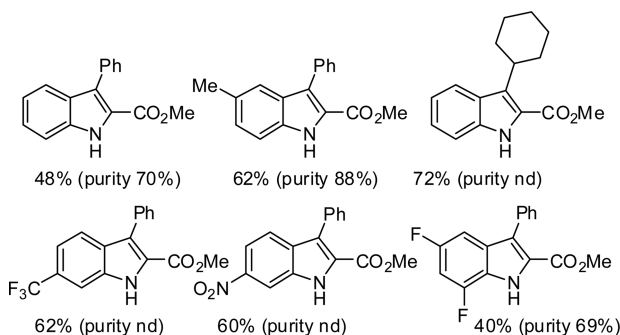
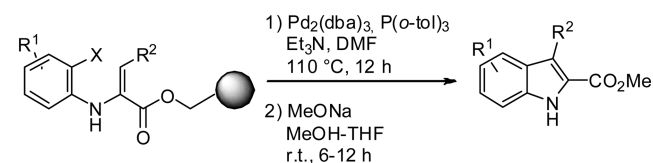
The reaction was subsequently extended to include a wide range of *o*-chloroanilines. A variety of electron-rich and electron-poor *o*-chloroanilines and cyclic and acyclic ketones containing diverse functional groups (including amides and free acids), as well as *N*-alkylated anilines, could be converted into the corresponding indoles (Scheme 99).¹⁷⁴ *o*-Chloroaminopyridines were cyclized smoothly to give azaindoles.

More recently, aldehydes were coupled with *o*-iodo-, *o*-bromo-, and *o*-chloroanilines.¹⁷⁵ The reaction of *o*-iodoaniline with aldehydes was realized under mild conditions [Pd(OAc)_2 , DABCO, DMF , 85 °C] omitting phosphine ligands, whereas XPhos was found to be the ligand of choice with *o*-bromo- and *o*-chloroanilines. A variety of aldehydes and *o*-haloanilines were found to be suitable substrates. Chiral aldehydes participated in this reaction without racemization. This method was successfully applied to the synthesis of complestatin¹⁷⁶ and suaveolindole.¹⁷⁷ However, the reaction of electron-poor

Scheme 96



Scheme 97

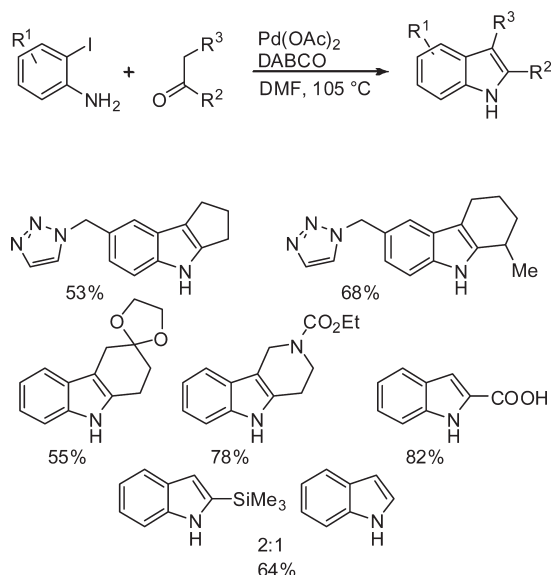


o-chloro- and *o*-bromoanilines gave the desired products in unsatisfactory yields, together with significant amounts of the reduced arene compounds. The limitation was circumvented by treating electron-poor *o*-chloro- or *o*-bromoanilines with aldehydes in the presence of Pd(dba)_2 , $\text{BF}_4\text{HP(Bu-}t)_3$, and KOAc in DMA at 120 °C.¹⁷⁸ The reaction was also applied to *o*-chloroaminopyridines.

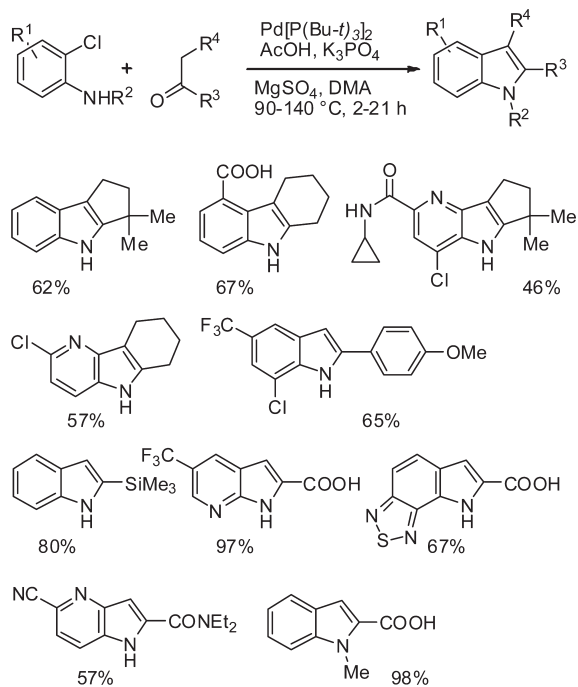
Coupling of (*S*)-2-*N,N*-di-*tert*-butoxycarbonyl-5-oxopentanoate with *o*-haloanilines provides a rapid access to the ring-A-substituted tryptophans.¹⁷⁹ *N*-(*o*-Haloaryl)enamines were also prepared in situ from *o*-haloanilines and internal alkynes and cyclized to indoles through a one-pot process by Ackermann and co-workers (see Scheme 63).¹²⁸

N-(*o*-halophenyl)allenamides. *N*-(*o*-Halophenyl)allenamides were used as the starting material in a new approach to the synthesis of 2-unsubstituted, 3-substituted, and 2,3-disubstituted indoles via an intramolecular carbopalladation/anion capture domino reaction with boron nucleophiles.¹⁸⁰ The selective introduction of an appropriate silicon group to the α -position of the allenamide moiety allows for the synthesis of 2-silyl

Scheme 98



Scheme 99

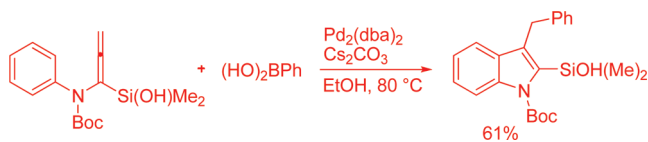


indoles, which may serve as useful substrates for further functionalization at the C-2 position (Scheme 100).

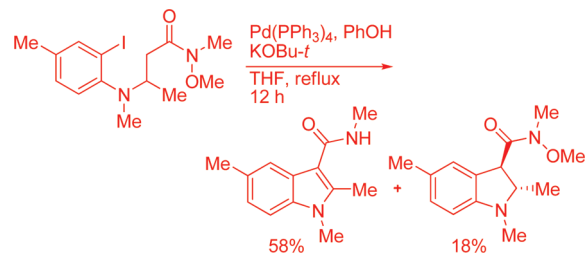
***N*-(*o*-Haloaryl)enolates.** The use of *N*-(*o*-haloaryl)enolates has also found its place in the field of indole synthesis. An example of this chemistry, which involves the cyclization of β -(*o*-iodoanilino)carboxamides, is shown in Scheme 101.¹⁸¹ Indolines are frequently significant side-products or even the main reaction products in this reaction.

***N*-Arylenamines.** In the context of the construction of the indole system via cyclization of simple, not halogenated enamine derivatives, Åkermarck and co-workers disclosed an approach that

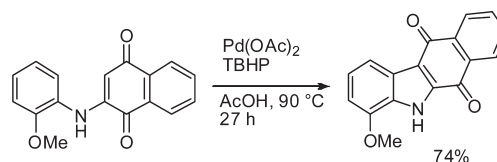
Scheme 100



Scheme 101



Scheme 102



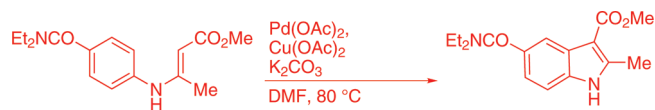
involves the palladium-catalyzed cyclization of 2-arylamino-1,4-quinones.¹⁸² The cyclization was performed in acetic acid in the presence of catalytic amounts of $\text{Pd}(\text{OAc})_2$ and an excess of *tert*-butyl hydroperoxide (TBHP) as oxidant to give indole derivatives in 30–74% yield (Scheme 102). The reaction was found to be strongly dependent on the quality of both palladium acetate and *tert*-butyl hydroperoxide. This catalytic process was subsequently exploited in a key step of a total synthesis of carbazoquinocin C, a potent lipid peroxidation inhibitor.^{167i,j}

A remarkable advance is due to Glorius and co-workers,¹⁸³ who developed an attractive alternative to the methods requiring the use of *N*-(*o*-haloaryl)enamines. This group demonstrated that a wide variety of indole derivatives can be obtained from simple *N*-arylenamines, prepared through condensation of anilines and 1,3-dicarbonyl compounds, via direct oxidative C–C coupling by the selective activation of two C–H bonds, avoiding the prefunctionalization of the reaction centers. The reaction is catalyzed by $\text{Pd}(\text{OAc})_2$ in the presence of $\text{Cu}(\text{OAc})_2$ as the oxidant. An example of this chemistry is shown in Scheme 103.

Furthermore, the entire process, the condensation of anilines and 1,3-dicarbonyl compounds followed by the cyclization step, can be conducted as a one-pot sequence. For example, aniline was condensed at room temperature with methyl acetoacetate in the presence of InBr_3 without a solvent. The resultant enamine carboxylate underwent subsequent cyclization under the standard conditions to give the desired indole product (Scheme 104).

According to the suggested reaction mechanism (Scheme 105), the cyclization to indoles proceeds via palladation of the nucleophilic enamine and deprotonation. Then, the resulting intermediate **44**

Scheme 103



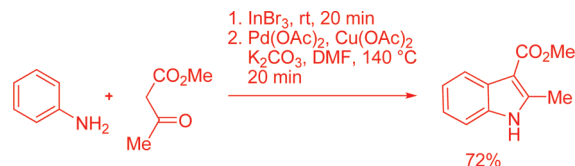
undergoes an electrophilic aromatic palladation or an intramolecular C–H activation through σ -bond metathesis or base-assisted deprotonation. Subsequent reductive elimination generates the indole product and Pd(0), which is reoxidized to Pd(II) by Cu(OAc)₂. Other oxidants such as Ag₂CO₃ or benzoquinone led to a decrease in the yield.

More recently, the *N*-arylenamine route to indoles was exploited by Jiao and co-workers¹²⁹ to develop a new direct approach to indoles from internal alkynes and simple and readily available anilines. The reaction is catalyzed by Pd(OAc)₂ in the presence of O₂ (see Scheme 64).

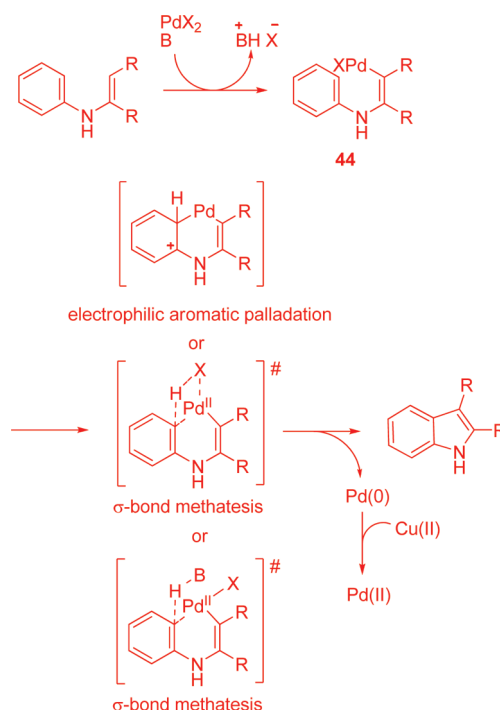
4.2.3. *o*-Iodoanilines with an Allene Functionality Connected to the Nitrogen Atom. *Disconnection a+e, Figure 2.* The palladium-catalyzed reaction of allenes with organic halides or triflates in the presence of nucleophiles represents a useful three-component reaction to afford products via intermolecular nucleophilic attack of the nucleophile to one of the allylic termini of the π -allylpalladium intermediate, which is generated from the starting allene and the organopalladium complex formed in situ.¹⁸⁴ The reaction was exploited to develop a new approach to indoles from compounds containing the three components (allene, aryl halide, and nucleophilic functionalities) in the same molecule. *o*-Iodoanilines with an allene functionality connected to the nitrogen atom were indeed cyclized to indoles.¹⁸⁵ Pd(dba)₂ gave better results than Pd(OAc)₂. Using refluxing MeCN or DME resulted in higher yields. Phosphine ligands, as well as the nature of the starting allene, were found to influence the reaction outcome. The presence of Et₃N or K₂CO₃ was essential to achieve cyclization products. In some cases, 3-alkylidene indolines were obtained as the main products. An example of this reaction is shown in Scheme 106. The proposed reaction mechanism is outlined in Scheme 107.

4.2.4. *o*-Allylanilines. *Disconnection a, Figure 2.* After reporting the palladium-assisted amination of simple monoolefins by secondary amines to give tertiary amines, in 1976 Hegedus et al.¹⁸⁶ described an intramolecular version of the reaction in which *o*-allylanilines underwent palladium-assisted cyclization to 2-methylindoles. One of the original examples is shown in Scheme 108. The reaction of *o*-allylaniline with PdCl₂ affords the complex **45** which, upon addition of Et₃N, undergoes the displacement of the weakly basic aromatic amine to generate complex **46**. Nucleophilic attack of the aromatic amine across the coordinated olefin results in the σ -alkylpalladium complex **47**, which upon elimination of HCl and “HPd” gives compound **48**. Spontaneous isomerization of the latter affords the observed 2-methylindole. While this chemistry provided a new simple synthesis of indoles under mild conditions, it suffered from requiring stoichiometric amounts of palladium dichloride (palladium is in fact reduced to metallic Pd). Improvements to this method were clearly needed, and in a subsequent paper,¹⁸⁷ these authors described a catalytic version of the process using benzoquinone to reoxidize Pd(0) to Pd(II) (Scheme 109). In some cases, indole products were formed in higher yield under catalytic conditions than under

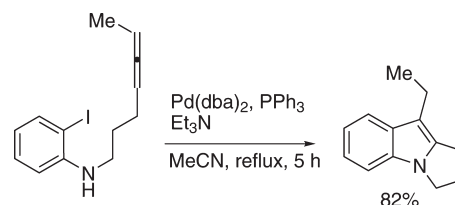
Scheme 104



Scheme 105



Scheme 106

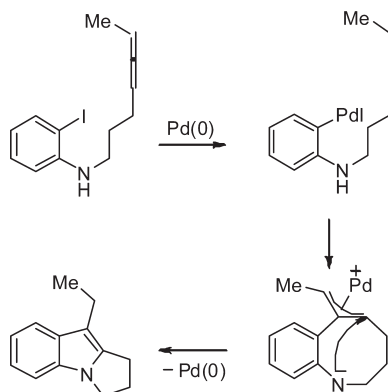


stoichiometric conditions. The source of Pd(II) used was PdCl₂(MeCN)₂, as in the stoichiometric process. Neither palladium acetate nor lithium chloropalladate were as effective.

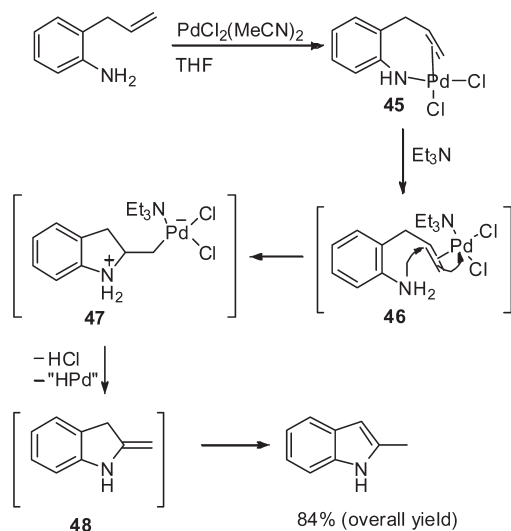
The reaction has rarely been applied to the preparation of indoles. One of the few examples was the preparation of 3-alkoxyindoles¹⁸⁸ (Scheme 110).

4.2.5. *o*-Vinylanilines. *Disconnection a, Figure 2.* In 1978, in the same paper describing the cyclization of *o*-allylanilines to indoles in the presence of catalytic amounts of palladium, Hegedus and co-workers reported the successful palladium-catalyzed preparation of indole from *o*-vinylaniline¹⁸⁷ (Scheme 111). Remarkably, no cyclization of the same substrate was observed under stoichiometric conditions. Subsequently, the

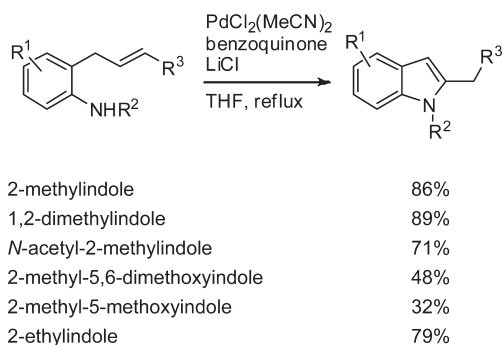
Scheme 107



Scheme 108

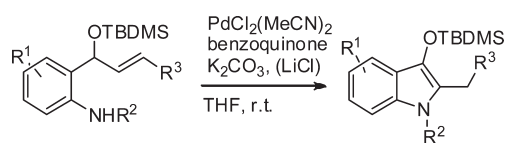


Scheme 109

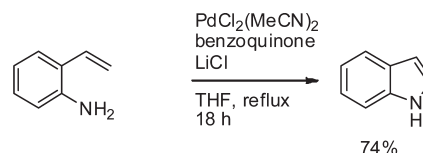


procedure was applied to the cyclization of *o*-vinyl-*N*-tosylanilines,^{189b–c} *o*-vinylacetanilides,¹⁹⁰ *o*-vinylanilines, and *o*-vinyl-*N*-alkylanilines.¹⁹¹ However, despite the potential of this chemistry, its applicability remained essentially unexplored, possibly because the routes to *o*-vinylanilines are somewhat difficult due to the number of steps necessary^{189d,192a–192d} or

Scheme 110



Scheme 111

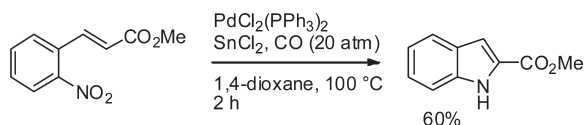


because more direct approaches usually proceed only in moderate yield.^{192e}

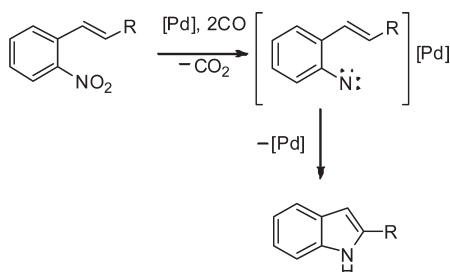
4.2.6. *o*-Nitrostyrenes. *Disconnection a*, Figure 2. The direct involvement of *o*-nitrostyrenes as substrates in the palladium-catalyzed synthesis of indoles was first observed by Kasahara et al.¹⁹⁰ who, upon Heck reactions of *o*-bromonitrobenzenes with ethylene in the presence of palladium acetate to prepare *o*-nitrostyrenes, isolated in some cases significant amounts of indole products. For example, *o*-bromonitrobenzene led to a mixture of *o*-nitrostyrene (43% yield) and indole (22% yield). These authors suggested a mechanism consisting of the reduction of *o*-nitrostyrenes (formed via Heck reaction) to *o*-vinylanilines by hydridopalladium species (generated in the Heck reaction as well), followed by a Pd(II)-catalyzed cyclization. Subsequently, Watanabe et al.¹⁹³ described the preparation of indoles in moderate to good yields via reductive *N*-heteroannulation in the presence of carbon monoxide, catalytic amounts of PdCl₂(PPh₃)₂, and an excess of SnCl₂ (Sn/Pd = 10:1). Other additives such as SnCl₄, CuCl₂, FeCl₃, and BF₃·Et₂O were ineffective. An example of this reaction is shown in Scheme 112. Since this reaction proceeds in the presence of carbon monoxide, a different mechanism is probably in operation. The proposed reaction mechanism (Scheme 113) involves (a) the deoxygenation of the nitro group of the nitroarene by carbon monoxide, (b) the attack of the resultant active transition metal nitrene intermediate to the olefinic carbon, and (c) the hydrogen transfer via [1,5]-sigmatropic rearrangement.

A significant improvement upon the conditions developed by Watanabe et al. was made by Söderberg et al.^{194a} The protocol developed by these authors proceeds at a substantially lower temperature and pressure and does not require the presence of tin dichloride. The optimized reaction conditions are shown in Scheme 114. The stereochemistry of the alkene moiety does not affect the reaction outcome. In general, the reaction appears to be independent of the substituents on the aromatic ring. *o*-Nitrostyrenes containing either electron-withdrawing or electron-donating substituents give indoles in moderate to excellent yields. In subsequent papers, Söderberg and co-workers applied the reaction to the synthesis of indoles isolated from *Tricholoma* species^{194b} and fused indoles.^{194c} In the latter case, the highest yields were obtained under slightly modified reaction conditions: Pd(OAc)₂, 1,3-bis(diphenylphosphino)propane (dppp), CO (60 psi), DMF, 120 °C. The Pd/phosphine catalytic system used by Söderberg et al. required relatively mild pressure and temperature but 6 mol % of palladium loading. As catalytic alternatives effective at lower

Scheme 112



Scheme 113



catalyst loading would enhance widespread uptake of this technology, efforts were made to use lower amounts of palladium.¹⁹⁵ Good results were obtained by employing 1 mol % Pd(OAc)₂ and 2 mol % 1,10-phenanthroline (phen) under a 15 psig CO atmosphere at 80 °C for 16 h in DMF. Pd(O₂CCF₃)₂ (1.0 mol %) and 2.0 mol % 3,4,7,8-tetramethyl-1,10-phenanthroline (TMP) or 0.1 mol % Pd(O₂CCF₃)₂ and 0.7 mol % TMP under the same conditions were also effective. The latter conditions were successfully used in the synthesis of a novel class of KDR kinase inhibitors (Scheme 115).¹⁹⁶

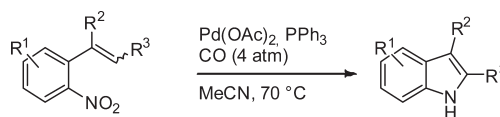
Söderberg and co-workers later on showed that the *N*-heterocyclization of *o*-nitrostyrenes is feasible for the preparation of indoles having an electron-donating alkoxy group in the 3-position (Scheme 116)¹⁹⁷ and 3-indolecarboxylic acid derivatives.¹⁹⁸

4.2.7. *o*-Vinylphenyl Isocyanide. Disconnection *c+h*, Figure 2. The three-component reaction of aryl iodides, *o*-vinyl isocyanide, and diethylamine was found to give 2,3-disubstituted indoles according to Scheme 117.¹⁹⁹ Using PPh₃, 1,2-bis-(diphenylphosphino)ethane (dppe), or 1,4-bis(diphenylphosphino)butane (dppb) instead of dppp decreases the yields. Though the yields of the few examples investigated range only from low to moderate, this is one of the few palladium-catalyzed reactions involving isocyanides. The tentative reaction mechanism is shown in Scheme 118.

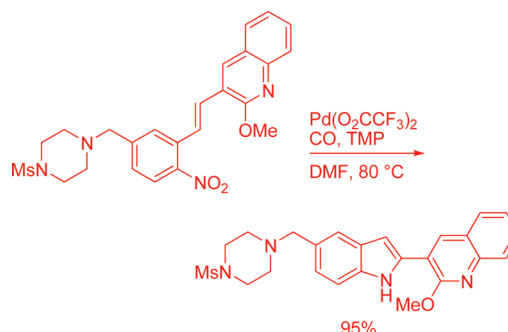
4.3. Cyclization via Intramolecular Coupling of Vinyl Halides onto Aromatic Positions

Disconnection e, Figure 3. Unlike procedures where the site of the oxidative addition step is located on the benzenoid ring, such as the cyclization of *o*-halo-*N*-allylanilines (section 4.2.1), *o*-haloanilino enamines (section 4.2.2), and allene-containing *o*-iodoanilines (section 4.2.3), in this type of cyclization the oxidative addition site is located in a vinylic fragment tethered to the benzenoid ring. This synthetic strategy was applied to the preparation of indole carbamates from phenolic carbamates containing a bromovinylic fragment bound to the nitrogen atom²⁰⁰ (Scheme 119). Optimum yields were obtained by use of the Herrmann's catalyst. The reaction involves a palladacycle intermediate, formed via nucleophilic attack of a carbon nucleophile on the σ -vinylpalladium moiety of **49**, which is converted to the indole product via reductive elimination

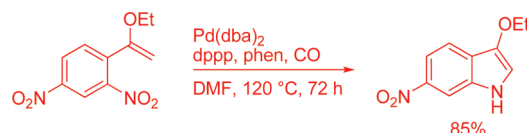
Scheme 114



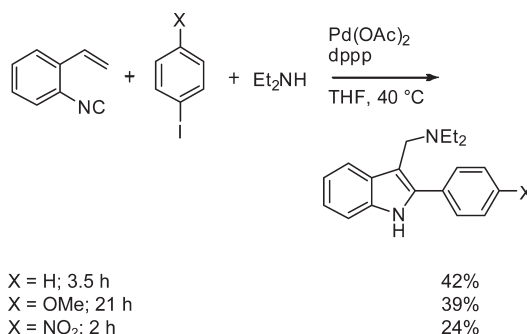
Scheme 115



Scheme 116



Scheme 117

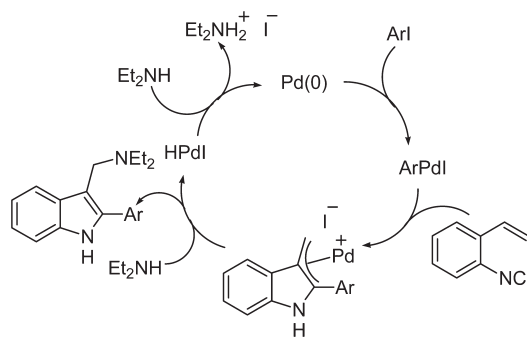


(Scheme 120). Cyclization both at the ortho and at the para position can occur, generating mixtures of indole derivatives. The issue of ortho versus para selectivity can be avoided by blocking one of the cyclizable positions with a substituent or using a symmetrical phenol such as that in Scheme 119.

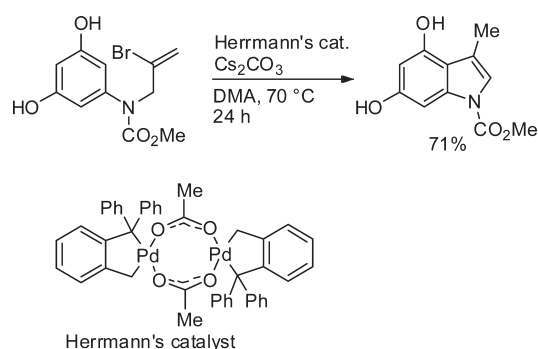
4.4. Cyclization via C–N Bond Forming Reactions

Disconnection g, Figure 4. *o*-Haloaryl- and Arylenamines. After the pioneering work of Buchwald et al. and Hartwig et al. on the palladium-catalyzed C–N bond forming reaction from aryl halides or triflates and amines, amides, and carbamates, the methodology has gained enormous popularity. Its utility and enormous substrate scope has been demonstrated by the vast amount of published material available describing the preparation of a wide range of *N*-aryl derivatives.³⁶ Recently, the first

Scheme 118



Scheme 119

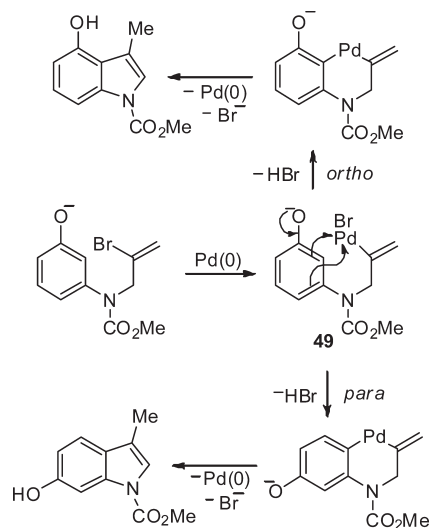


extensions of the C–N bond forming reaction to the direct formation of indole rings by intramolecular N-arylation were also reported. Watanabe et al.²⁰¹ described the synthesis of *N*-aminoindoles via palladium-catalyzed cyclization of *o*-chloroarylacetaldehyde *N,N*-dimethylhydrazones. Best results were obtained under the conditions shown in Scheme 121. The ligand 2-(dimethylaminomethyl)-1-(di-*tert*-butylphosphinyl)ferrocene was chosen because it could be readily synthesized in one step from commercially available dimethylaminomethylferrocene. In some cases, the use of the bulky electron-rich P(*Bu-t*)₃ gave satisfactory results. Cs₂CO₃ and Rb₂CO₃ could also be used as bases. Yields of chloroindoles are lower than those of unsubstituted indoles or fluoroindoles because oxidative addition of chloroindoles to Pd(0) species takes place under reaction conditions. Since indole derivatives bearing chloro substituents on the carbocyclic ring could be useful substrates for increasing the molecular complexity of indole products, the authors developed a domino process based on the palladium-catalyzed intramolecular cyclization to chloroindoles followed by the palladium-catalyzed functionalization of their carbocyclic rings (see section 5.2.4).

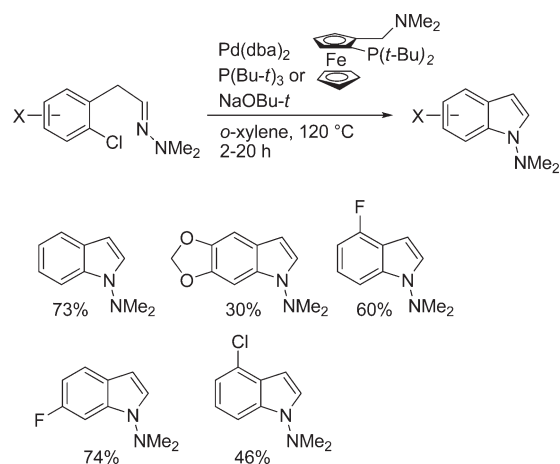
N-Aryl indole-2-carboxylates were prepared through an intramolecular palladium-catalyzed cyclization of (*Z*)-didehydrophenylalanine derivatives²⁰² (Scheme 122). The reaction was extended to the preparation of *N*-acylindoles starting from (*Z*)-*N*-acyldidehydroamino acid derivatives.

A solid-phase indole synthesis based on the intramolecular cyclization of immobilized α -acetamido- β -(*o*-bromophenyl)-acrylates by the Buchwald/Hartwig intramolecular *N*-arylation was developed by Kondo and co-workers.²⁰³ Pd₂(dba)₃ was used as the source of Pd(0). The search for optimal phosphine ligands and bases was made under solution-phase conditions and

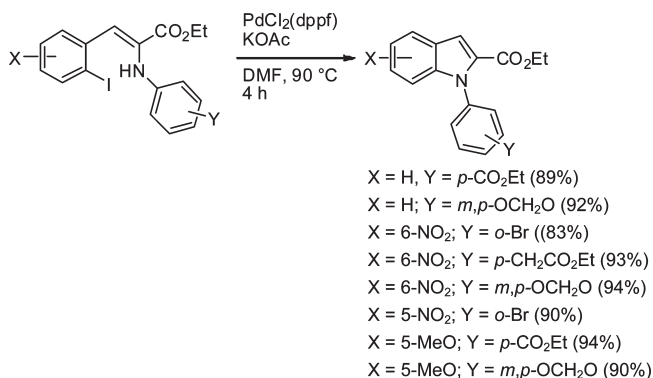
Scheme 120



Scheme 121

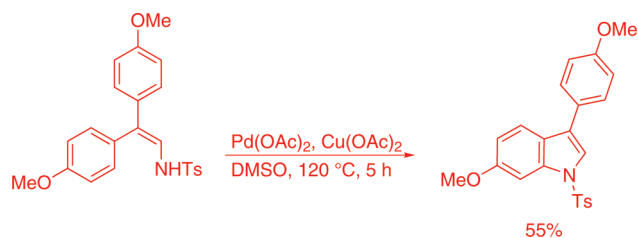


Scheme 122

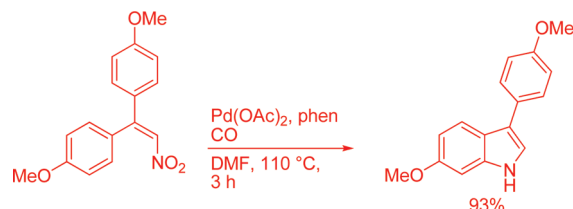


showed that the use of P(*Bu-t*)₃ or the air stable, robust BF₄HP(*Bu-t*)₃ introduced by Fu et al.²⁰⁴ and Cy₂NMe afforded the highest yields. Consequently, the *N*-arylation under

Scheme 123



Scheme 124



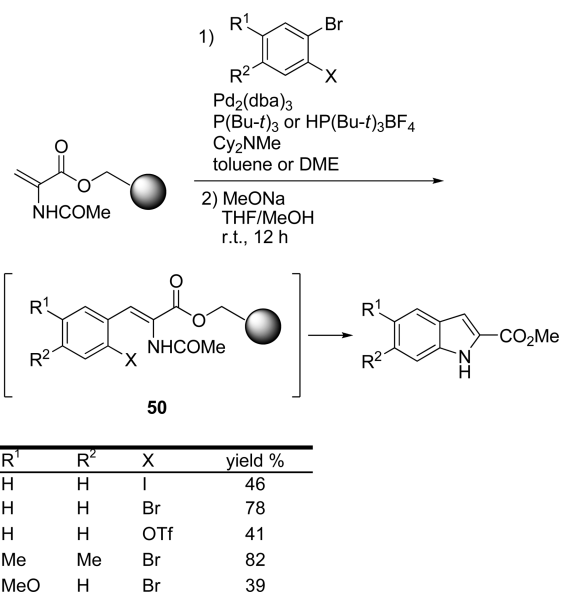
solid-phase conditions was carried out in the presence of $P(\text{Bu-}t)_3$ and Cy_2NMe in toluene at 80 °C when it involved the substitution of the C–N bond for a C–Br bond and in the presence of $\text{BF}_4\text{HP}(\text{Bu-}t)_3$ and Cy_2NMe in DME at 100 °C when the reaction involved the substitution of the C–N bond for a C–OTf bond.

A new approach to indoles through palladium-catalyzed C–H activation followed by intramolecular amination of enamine compounds was developed recently (Scheme 123).²⁰⁵ This reaction provides indoles in moderate yields but has the advantage of substituting the aryl halide fragment with a simple arene fragment. With enamine derivatives containing different aromatic rings, mixtures of indole products were isolated, suggesting that the *E/Z* isomerization of enamines occurs rapidly during this process.

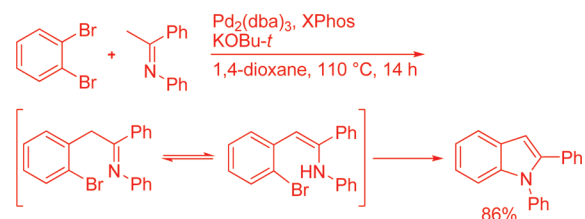
Conjugated Nitroalkenes. The palladium-catalyzed reductive cyclization of nitro-containing compounds to indoles largely concentrated on *o*-nitrostyrenes. Recently, a complementary strategy, based on the use of 2,2-diaryl nitroalkenes, was developed.²⁰⁶ These compounds, generated from their corresponding benzophenones via a two-step sequence, were converted into 3-arylindoles via reductive cyclization under 1 atm of CO in the presence of $\text{Pd}(\text{OAc})_2$ and phenylacetylene in DMF at 110 °C (Scheme 124). None of the indole product was observed without ligands. Substrates bearing H, Me, Bu-*t*, and OMe substituents underwent reductive cyclization within 3 h whereas those containing electron-withdrawing groups such as Cl and CF_3 required longer reaction times (6–16 h). Both regioisomers of the indole products were obtained with meta-substituted 2,2-nitroalkenes. However, a 2,2-dinaphthyl nitroalkene derivative produced only one regioisomer. This intramolecular C–N bond forming reaction is attractive because direct amination of aromatic C_{sp^2} –H bonds can be performed without requiring a functionalized coupling fragment (e.g., an aryl halide or triflate fragment).

Disconnection e+g, Figure 4. The solid-phase indole synthesis based on the intramolecular cyclization of immobilized α -acetamido- β -(*o*-bromophenyl)acrylates could also be carried out as a domino process via the Heck reaction of the solid-supported *N*-acetyl-dehydroalanine with 1,2-dibromobenzenes or 2-bromophenyl triflates, followed by the in situ intramolecular

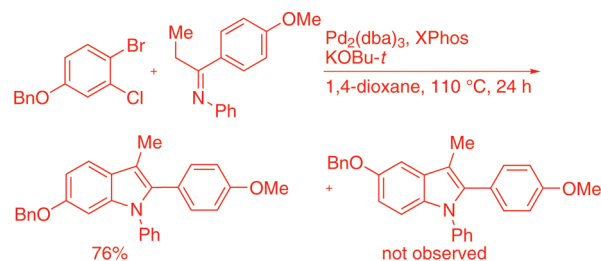
Scheme 125



Scheme 126



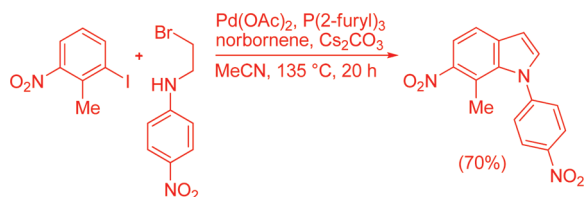
Scheme 127



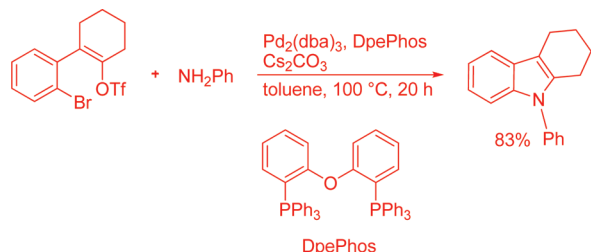
cyclization of the resultant α -acetamido- β -(*o*-bromophenyl)acrylate intermediates **50** (Scheme 125).²⁰³

Barluenga and co-workers²⁰⁷ later on demonstrated that indoles can be prepared from *o*-dihaloarenes or *o*-halobenzene sulfonates (the best substrates are *o*-chlorononaflates) and imines through a domino process comprising a selective palladium-catalyzed imine C-arylation followed by a palladium-catalyzed intramolecular C–N bond forming reaction (Scheme 126). With *o*-dibromobenzene the reaction shows wide scope and allows for the introduction of aryl, alkyl, and vinyl substituents at different positions of the pyrrole ring of the indole. Taking advantage of the different reactivities of I, Br, and Cl in oxidative addition reactions, the regioselective synthesis of

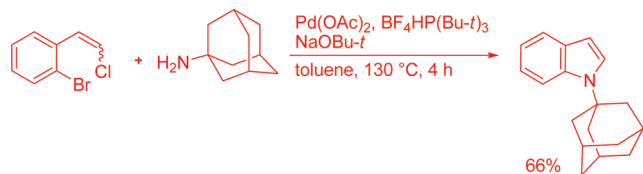
Scheme 128



Scheme 129



Scheme 130

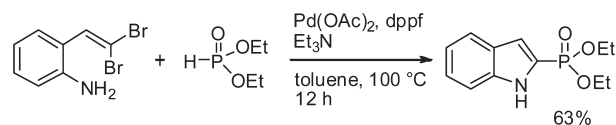


indoles substituted in the benzene ring can be carried out by employing *o*-dihalobenzene derivatives with two different halogens (Scheme 127).

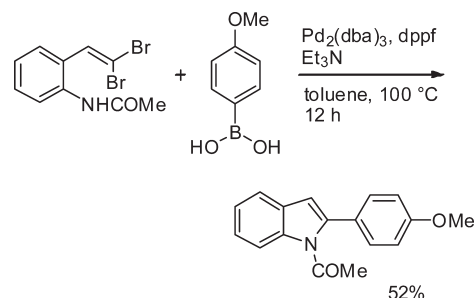
The e+g disconnection strategy was used by Lautens and co-workers to prepare an indole product from 3-nitro-2-methylindobenzene and a bromethylamine derivative (Scheme 128).²⁰⁸ The scope of this approach to indoles, which involves a domino intermolecular alkylation/intramolecular amination and usually leads to the formation of indolines, was investigated only briefly. The reaction was found to be protecting-group dependent: the phenyl-protected amine also gives the corresponding indole in 53% yield, whereas the CO₂Et derivative gives only the corresponding indoline in 20% yield. The mechanism of the reaction is uncertain. The palladium-catalyzed dehydrogenation of a putative indoline intermediate seems unlikely in view of the fact that indole formation is not observed with any of the other iodoarenes tested.

Disconnection a+g, Figure 4. Willis et al.²⁰⁹ reported an indole synthesis in which a domino process involving a C–N bond forming reaction at an alkenyl triflate followed by a C–N bond forming reaction at an aryl halide is used to prepare the indole core (Scheme 129). The required bis-activated carbon frameworks could be conveniently prepared from ketones and *o*-dihaloarenes through a two-step synthesis. Subsequently, to address some limitations of this method such as difficult access to the substrates required for the preparation of 2- or 3-mono-substituted structures and the two-step synthesis required to prepare the alkenyl triflate substrates, the same group developed an improved approach to *N*-substituted indoles.²¹⁰ Because

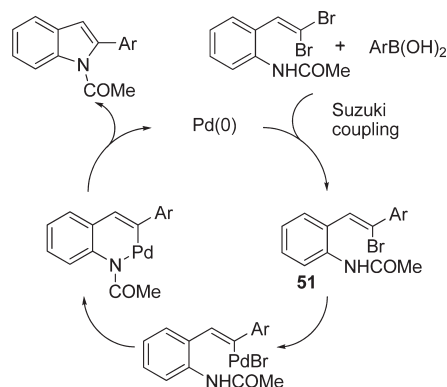
Scheme 131



Scheme 132



Scheme 133

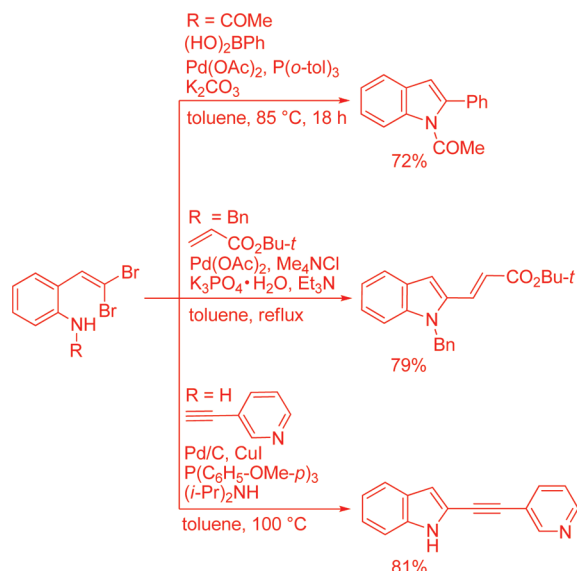


triflate to halide substitution provided more robust substrates, this is based on the reaction of *o*-(2-haloalkenyl)aryl halides, conveniently prepared in a single step from the corresponding *o*-halobenzaldehydes, with amines. All combinations of Br and Cl leaving groups can be employed, and a range of substituents on the arene, alkene, and amine can all be tolerated. The method was applied to the synthesis of indoles bearing sterically demanding *N*-substituents²¹¹ (Scheme 130), including the natural product demethylasterriquinone A1. Both geometrical isomers of the substrates can be converted to the indole products.

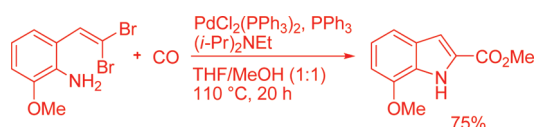
Disconnection a+b, Figure 4. *o*-(2,2-Dibromovinyl)-phenylaniline and *o*-(2,2-dibromovinyl)phenylacetanilide were converted into 2-functionalized indoles through domino palladium-catalyzed coupling–cyclization reactions according to the conditions shown in Schemes 131 and 132.²¹² The indole synthesis based on the Suzuki coupling (Scheme 132) required the utilization of the acetanilide derivative (unsatisfactory results were obtained with the free aniline or the Boc derivative) and the presence of Pd₂(dba)₃ instead of Pd(OAc)₂.

The suggested mechanism for the domino Suzuki coupling–cyclization reaction is shown in Scheme 133. The known higher reactivity of the *trans* C–Br bond relative to the *cis* C–Br

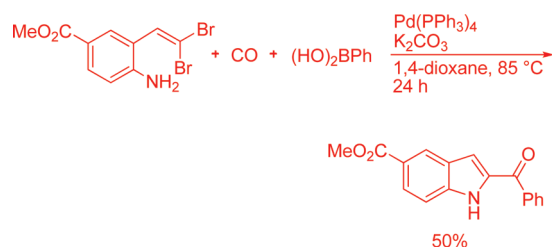
Scheme 134



Scheme 135



Scheme 136

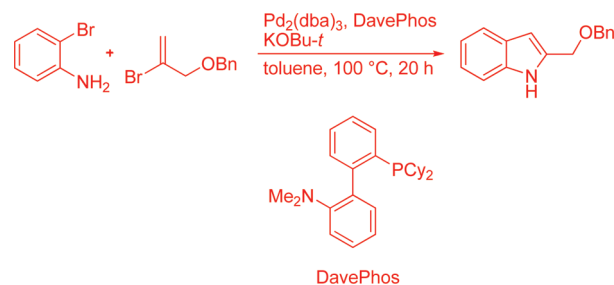


bond toward oxidative addition²¹³ should favor the formation of the coupling intermediate **51**. A subsequent oxidative addition step followed by the intramolecular halide displacement from the palladium forms a nitrogen-containing palladacycle from which the indole product is generated via reductive elimination.

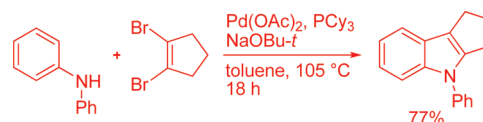
Lautens and co-workers took advantage of the reactivity of *gem*-dihalovinylanilines in palladium-catalyzed reactions to develop new indole syntheses via domino C–N/Suzuki,²¹⁴ C–N/Heck,²¹⁵ and C–N Sonogashira²¹⁶ couplings (Scheme 134). The same group applied the domino C–N/Suzuki coupling methodology in a synthesis of KDR kinase inhibitors²¹⁷

This protocol was exploited to prepare 2-carboxyindoles via a domino C,N-coupling/carbonylation process (Scheme 135).²¹⁸ Subsequently, a domino C,N-coupling/carbonylation/C,C-coupling sequence provided a new route to 2-aryl-/heteroarylindoles bearing a variety of functional groups.²¹⁹ The reaction involves *gem*-dibromovinylanilines, carbon monoxide, and

Scheme 137



Scheme 138



boronic acids (Scheme 136). The desired indoles were isolated in moderate to good yields in dioxane. To promote the carbonylation step, domino reactions were performed under 12 bar of CO. 2-Aroylindoles were isolated in low yields using toluene as the solvent under 1 atm of CO at 90 °C along with 2-arylindoles, arising from a C,N-coupling/Suzuki reaction.

Disconnection a+e, Figure 4. Indoles were obtained from *o*-bromoanilines and alkenyl halides via a domino process that involves an alkenyl amination followed by an intramolecular Heck reaction (Scheme 137).²²⁰ The reactivity order of alkenyl halides was found to be as follows: alkenyl bromides > aryl bromides > alkenyl chlorides > aryl chlorides. The best results were obtained by using the Pd₂(dba)₃, 2-dicyclohexylphosphino-2'-N,N-dimethylaminobiphenyl (DavePhos) combination with NaOBu-*t* in toluene at 100 °C. The reaction proceeds with aryl, alkyl, and functionalized substituents in both starting reactants. The cyclization of *N*-substituted *o*-bromoanilines (which would give rise to *N*-substituted indoles) gave the corresponding indoles only with 1-substituted-2-bromoalkenes. The application of this methodology to *o*-chloroanilines required a catalytic combination based on Pd₂(dba)₃ and XPhos.

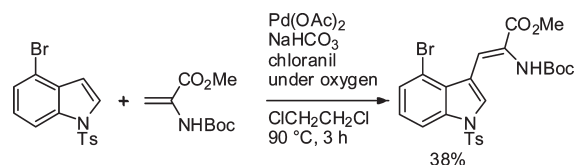
An example of a strategy based on the reaction of *N*-phenylaniline with 1,2-dibromocyclopentene was described by Ackermann et al.²²¹ (Scheme 138). The reaction proceeds through an intermolecular amination and an intramolecular direct arylation process.

5. FUNCTIONALIZATION OF THE PREFORMED INDOLE SYSTEM

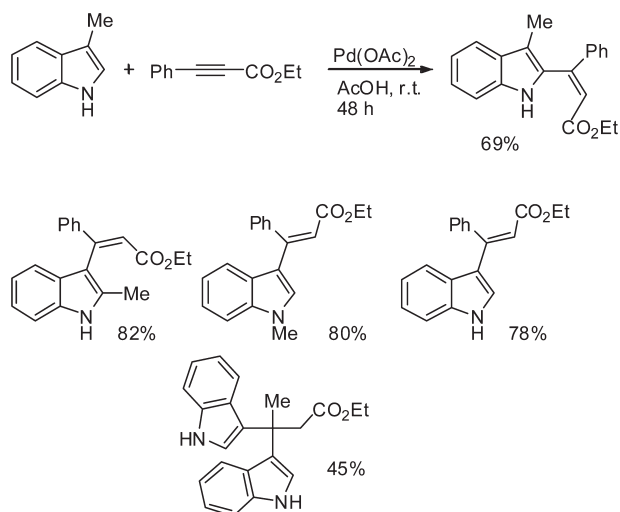
5.1. Indoles

The substitution of C–H bonds with C–C bonds generating the critical Pd–C intermediate by C–H activation represents a convenient tool for the direct elaboration of the indole core motif, eliminating the need for introducing reactive functionalities such as carbon–halogen or carbon–triflate bonds or “anionic” sites via stoichiometric metalation. Two main approaches have been highlighted: the reaction of indoles with Pd(II) salts and the reaction of indoles with organopalladium complexes generated in situ.

Scheme 139



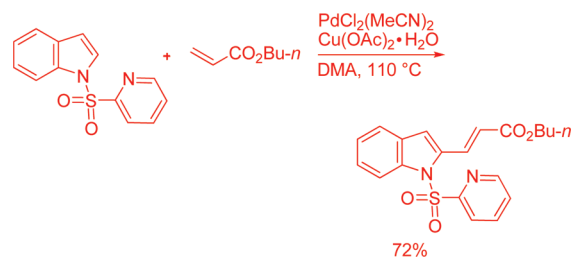
Scheme 140



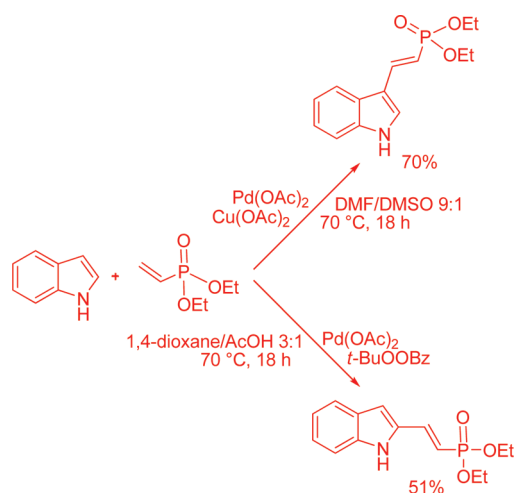
5.1.1. Reaction with Pd(II) Salts. C-Alkenylation. The catalytic routes to the functionalization of indoles using Pd(II) salts have been mostly used to prepare alkenyl derivatives at the pyrrole ring. Some of these procedures are flawed by the efficiency of the catalyst systems used. For example, the selective alkenylation of a *N*-tosyl bromo indole at the C-3 position in the presence of 0.25 equiv of Pd(OAc)₂ produced the desired indole product only in 38% yield²²² (Scheme 139).

Very recently, however, the Pd(II)-catalyzed functionalization of indoles was developed into some synthetically useful processes. Fujiwara and co-workers described a reaction in which the free NH indolyl unit is involved in the regioselective addition to the carbon–carbon triple bonds of ethyl alkynoates (Scheme 140).²²³ The new C–C bond is formed at the β -carbon of the ynoate system. The reaction occurs in the presence of 5% of Pd(OAc)₂ under mild conditions. The unsubstituted indole forms 3-vinyl derivatives, while 3-substituted indoles give 2-vinyl derivatives. Both (*E*) and (*Z*) addition products²²⁴ were isolated. With alkynoate esters containing small groups in the C-3 position, such as Me, the reaction in AcOH affords diaddition products, while with a relatively bulky group, such as Ph, the reaction gives mono-addition products. The suggested reaction mechanism involves (a) the electrophilic substitution of a pyrrole C–H bond by a cationic Pd(II) species, (b) the regioselective addition of the resultant indolylpalladium(II) intermediate to the alkyne, and (c) substitution of the C–Pd bond of the carbopalladation adduct with the C–H bond. The presence of AcOH would facilitate both the formation of the cationic Pd(II) species and the protonation step.

Scheme 141



Scheme 142



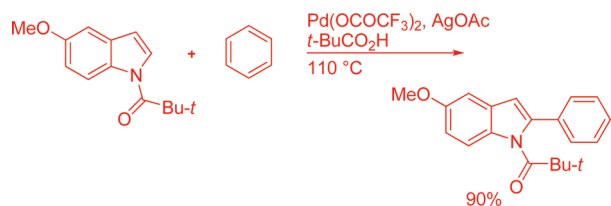
The alkenylation at the C-3 position of free NH 2-substituted and 2-unsubstituted indoles was performed even using homogeneous or heterogeneous heterobimetallic [Pd/Cu] catalysts.²²⁵

As the natural reactivity of indole suggests that electrophilic palladation and subsequent C–C bond forming reactions occur selectively at the C-3,²²⁶ the regioselective C–H functionalization at the C-2 position represents an important challenge in this area. A means to promote catalytic routes to 2-substituted indoles is to use suitable directing N-substituents. They could provide activation of the C-2 position via cyclopalladation intermediates. On the basis of this idea, Ricci and co-workers²²⁷ described a regioselective 2-alkenylation of the indole core motif by using a *N*-2-pyridylmethyl directing substituent. However, this substituent may be difficult to remove, and from a synthetic viewpoint, the practicality of the directing group strategy can be compromised when the target molecule does not contain such functionalities.

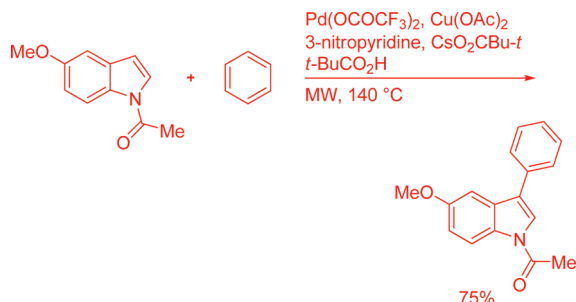
Miura, Satoh, and co-workers²²⁸ found that indole-3-carboxylic acids can undergo a palladium(II)-catalyzed C–H alkenylation/decarboxylation to afford exclusively 2-alkenyl indoles. In this reaction, the carboxyl group blocks the C-3 position and acts as a removable directing group. Nevertheless, the preparation of indole-3-carboxylic acids is required. Garca-Rubía, Arrayás, and Carretero²²⁹ described a palladium(II)-catalyzed regioselective C-2 alkenylation of indoles relying on the easily installed and removed *N*-(2-pyridyl)sulfonyl directing group (Scheme 141).

The simplest method was proposed by Gauntlett and co-workers,²³⁰ who developed a general procedure for the selective intermolecular alkenylation of free NH indoles either at the C-2

Scheme 143



Scheme 144



or the C-3 position by varying the nature of the solvents and additives, although decreased yields were obtained in C-2 alkenylation (Scheme 142).

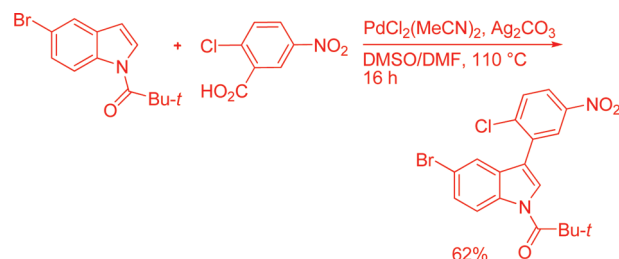
This procedure was subsequently applied to the alkenylation at the C-3 position of a *N*-protected indole that is a key intermediate in the preparation of a component of the cyclic heptapeptide cyclo-marin A.²³¹ Ethyl acrylate was used as the alkene counterpart. Interestingly, a significant increase of the yield was observed by using PdCl_2 instead of $\text{Pd}(\text{OAc})_2$. *N*-Protected 3-iodo- and 3-bromoindole proved to be worse substrates and gave the corresponding vinylic derivatives in unsatisfactory yields.

C-Arylation. Fagnou and co-workers^{232a} investigated the role of *N*-protecting groups, oxidants, and additives in the oxidative coupling of indoles with arenes and found that *N*-pivaloyl indoles instead of *N*-acetylindoles afforded selectively C-2 arylated products via palladium-catalyzed oxidative C–H arylation when treated with arenes in the presence of $\text{Pd}(\text{OCOCF}_3)_2$, AgOAc , and $t\text{-BuCO}_2\text{H}$ at $110\text{ }^\circ\text{C}$ (Scheme 143). These studies showed that it is the acetate base, when added as a $\text{Ag}(\text{I})$ or $\text{Cs}(\text{I})$ salt, and not the metal counterion, that imparts the C-2 selectivity to the palladium catalyst.

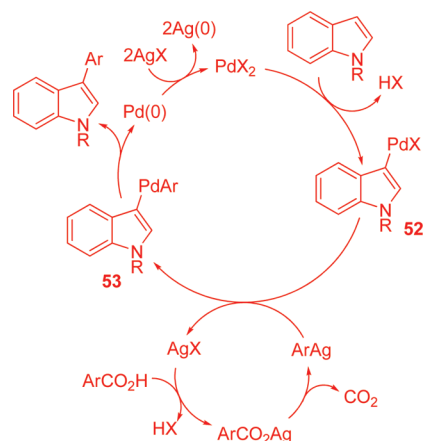
In an independent study, it was reported that *N*-methyl or *N*-acetylindole could give oxidative coupling using $\text{Pd}(\text{OAc})_2$ and $\text{Cu}(\text{OAc})_2$ in an AcOH /benzene mixture.^{232b} However, the C-2/C-3 selectivity was low to poor, and a high catalyst loading was required as well as stoichiometric amounts of $\text{Cu}(\text{OAc})_2$. Later on, the same authors^{232c} reported that *N*-acetylindoles can be oxidatively coupled with arenes such as benzene or pentafluorobenzene using a high loading (25 mol %) of $\text{Pd}(\text{OAc})_2$ in dioxane to give C-3 arylated derivatives with $\text{Cu}(\text{OAc})_2$ as stoichiometric oxidant and C-2 arylated derivatives with AgOAc . In the meantime, Stuart and Fagnou²³³ showed that a range of *N*-acetylindoles and benzenes afforded C-3 aryl derivatives in high yield and high regioselectivity using $\text{Pd}(\text{OCOCF}_3)_2$ and $\text{Cu}(\text{OAc})_2$ as reoxidant (Scheme 144). Minor amounts of 2-aryl- and 2,3-diarylindoles were observed.

The use of the air stable $\text{Pd}(\text{TMHD})_2$ (TMHD = 2,2,6,6-tetramethyl-3,5-heptanedionate) was shown to be an efficient catalyst of the C-2 arylation of 2-methylindole with iodobenzene

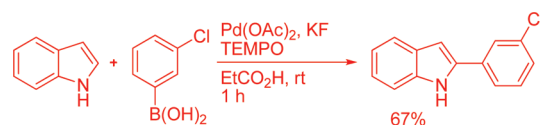
Scheme 145



Scheme 146



Scheme 147

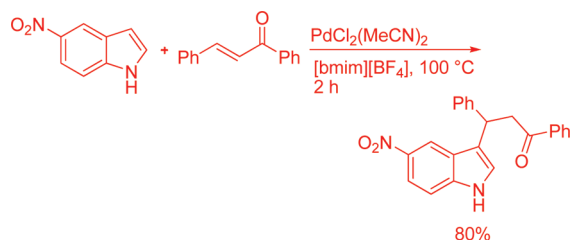


and 4-iodiodobenzene.²³⁴ The best results were obtained with K_3PO_4 in *N*-methylpyrrolidinone (NMP) at $125\text{ }^\circ\text{C}$. However, a high catalyst loading (10 mol %) was required.

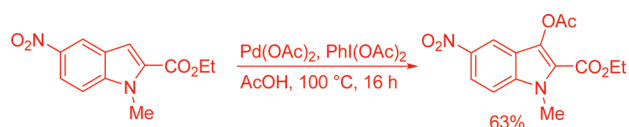
More recently, the direct arylation of *N*-pivaloyl protected indoles was reported to occur by using benzoic acids bearing ortho electron-withdrawing substituents as the aryl partners (Scheme 145).²³⁵ The process occurs with high chemo- and regioselectivity in both coupling partners. Furthermore, contrary to the C-2 regioselectivity of *N*-pivaloyl-protected indoles observed under Fagnou conditions,^{232a} this methodology affords exclusively the C-3 arylated indole products. The suggested reaction mechanism is outlined in Scheme 146. The palladium catalyst performs an electrophilic palladation of the starting indole to give the σ -indolylpalladium intermediate **52** that subsequently reacts with a silver arene, formed in an intertwined catalytic cycle through a decarboxylative process. The resultant transmetalation intermediate **53** affords the expected indole derivative through a reductive elimination step. Finally, oxidation of $\text{Pd}(0)$ to $\text{Pd}(\text{II})$, also performed by the silver salt, completes the arylation cycle.

Even boronic acids found their place as aryl donors in the field of direct C–H arylations of indoles. Such a synthetic application was developed by Studer and co-workers,²³⁶ who demonstrated

Scheme 148



Scheme 149



that free NH indole and *N*-methyl indole undergo direct selective C-2 arylation with arylboronic acids in the presence of $\text{Pd}(\text{OAc})_2$, KF, and TEMPO (2,2,6,6-tetramethylpiperidine *N*-oxyl radical) as an external mild oxidant in propionic acid at room temperature (Scheme 147). 3-Arylated indoles were formed as minor side products. In the same study, these authors also showed that in the presence of Ac, Bz, or Boc *N*-protecting groups the reaction affords indoline derivatives via a highly diastereoselective palladium-catalyzed arylcarboaminoxylation.

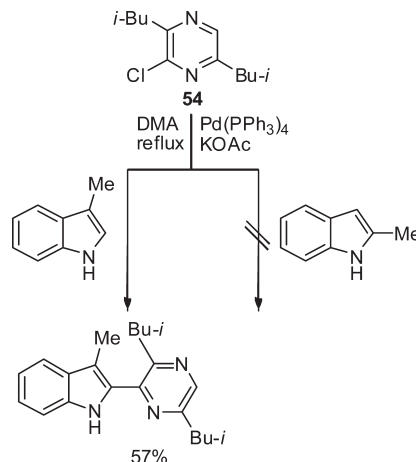
C-Alkylation. 3-Substituted indole derivatives were prepared from free NH indoles and α,β -unsaturated ketones through a Michael addition in the presence of $\text{PdCl}_2(\text{MeCN})_2$ using an ionic liquid as the reaction medium (Scheme 148).²³⁷

C-Acetoxylation. 2-Substituted (CO_2Et , Me, CH_2OAc) free NH and *N*-protected 3-acetoxyindoles were synthesized through a direct C-3 acetoxylation of the corresponding indoles using $\text{Pd}(\text{OAc})_2$ and $\text{PhI}(\text{OAc})_2$ as a terminal oxidant (Scheme 149).²³⁸ Alternative oxidants such as $\text{K}_2\text{S}_2\text{O}_8$, *m*-CPBA, *t*-BuOOH, and $\text{Cu}(\text{OAc})_2$ were totally inefficient, while the use of oxone or Mg peroxyphthalate led to the desired indole derivative in poor yield. The reaction, which was found to be sensitive to the electronic nature of the substituents on both the pyrrole and the benzene rings of the indole heterocycle, is suggested to proceed through an electrophilic palladation at the C-3 position, followed by the oxidation of the resulting σ -indolylpalladium(II) intermediate and the reductive elimination of an acetoxyindole from a $\text{Pd}(\text{IV})$ complex.

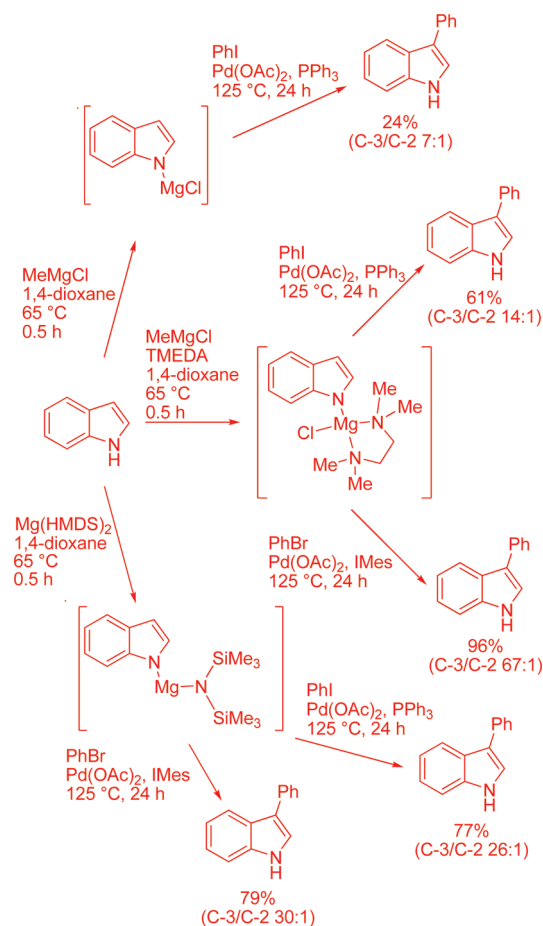
5.1.2. Reaction with Organopalladium Complexes. The second straightforward approach to the direct palladium-catalyzed functionalization of the core motif of indoles is based on the reaction of indoles with organopalladium complexes generated in situ from aryl halides or triflates, allylic esters, and alkynyl bromides to perform C-arylation, C-allylation, and C-alkynylation reactions, respectively.

C-Arylation of Free NH and *N*-Metal Indoles.²³⁹ In the first application of this chemistry, 2-(pyrazin-2-yl)indoles, which constitute the carbon skeleton of the *Cypridina* luciferin, were prepared via palladium-catalyzed reaction of free NH indole with chloropyrazines.²⁴⁰ Two procedures were developed: (procedure A) $\text{Pd}(\text{PPh}_3)_4$, KOAc, DMA, reflux; (procedure B) $\text{PdCl}_2(\text{PPh}_3)_2$ —CuI, K_2CO_3 , DMA, reflux. Interestingly, the

Scheme 150



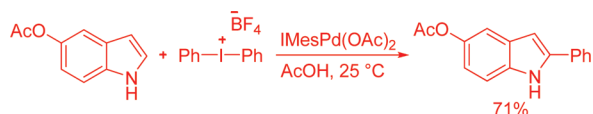
Scheme 151



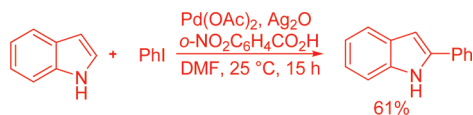
reaction of 2-methylindole with the chloropyrazine **54** failed, whereas 3-methylindole gave the indole derivative in 57% yield (Scheme 150).

More recently, in a study devoted to provide a mechanistic rationale for regioselectivity of the direct palladium-catalyzed C-2 and C-3 arylation of indoles, Sames and co-workers²⁴¹ showed that the arylation of indole magnesium salts with aryl iodides and

Scheme 152



Scheme 153

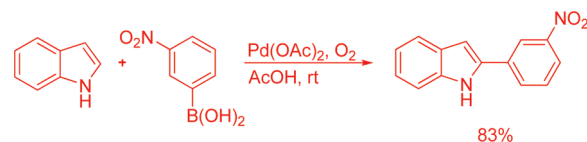


bromides brings about the preferable and sometimes selective formation of 3-arylindoles (Scheme 151). In particular, the arylation of the indole Grignard salt prepared from indole and MeMgCl with iodobenzene gave a mixture of 3-phenyl- and 2-phenylindole in a 7:1 ratio, although in low yield. The addition of tetramethylethylenediamine (TMEDA) led to the formation of 3-phenylindole with high selectivity (14:1), presumably via the intermediacy of a sterically demanding magnesium complex. The use of a magnesium salt from indole and Mg(HMDS)₂ led to even higher selectivity (26:1). With bromobenzene, the use of IMes ligand [1,3-bis(2,4,6-trimethylphenyl)imidazol-2-ylidene] in place of PPh₃ was required. Under these conditions, bromobenzene gave better results in comparison to iodobenzene in both the yield and the selectivity. The results of this study were confirmed by a subsequent paper that investigated the reactivity of a variety of indole salts with bromobenzene and showed that an increase in nitrogen–metal covalent binding gives rise to a decrease in the content of *N*-phenylindole with an increase in the content of 2- and 3-phenylindoles.²⁴² *N*-Phenylindole is the major product in the arylation of indole lithium, lanthanum, and terbium salts, whereas the phenylation of the indole magnesium salt leads to the formation of 3-phenylindole in 85% yield.

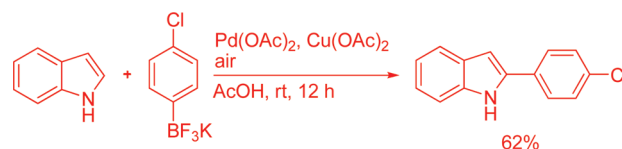
In a more recent work, the selective targeting of C–H bonds in the presence of free NH functionality to prepare C-2 aryl indoles was carried out under base-free and ligandless conditions. Indole products were isolated in low to moderate yields by highly regioselective Pd-catalyzed Cu-mediated arylation with a variety of aryl iodides.²⁴³ The procedure, however, requires an excess of a copper cocatalyst and a high reaction temperature (160 °C). A direct C-2 arylation of free NH indoles with aryl bromides and iodides under phosphine-free conditions [Pd(OAc)₂, CsOAc, DMA] was also described by Sames and co-workers.²⁴⁴ The reaction provides the required 2-arylindoles in low to satisfactory yields and accommodates both ortho-substituted aryl electrophiles and 3-substituted indoles. However, aryl bromides are less efficient than aryl iodides, giving poor C-2/C-3 selectivity under similar conditions. This drawback was significantly improved by adding stoichiometric amounts of NH(Pr-*i*)₂.

In 2006, Sanford and co-workers²⁴⁵ designed a new protocol for the C-2 arylation of free NH and *N*-methylindoles, which is based on the use of diaryliodonium tetrafluoroborates as aryl donors (Scheme 152). The reaction involves a Pd(II)/Pd(IV) catalytic cycle and allows the use of lower temperatures (usually room temperature) than the methods previously reported (125–150 °C). IMesPd(OAc)₂ was revealed to give the best results. Notably, the reactivity of free NH indoles was shown to be comparable to that of *N*-methyl

Scheme 154



Scheme 155



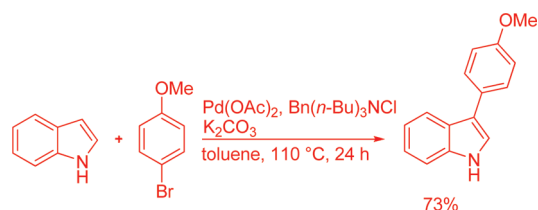
indoles in these transformations. To circumvent some potential limitations of this approach due to the requirement of noneasily accessible iodine(III) arylating reagents, a practical one-pot protocol starting with ArI(OAc)₂ and commercially available arylboronic acids was developed. Under these conditions, *N*-methyl-2-arylindoles were isolated in 67–81% yields.

More recently, the direct selective C-2 arylation of free NH indoles with a variety of aryl iodides was carried out at room temperature in the presence of silver carboxylates generated in situ from Ag₂O and carboxylic acids (Scheme 153).²⁴⁶ The use of silver salts is based on their known ability to abstract halide anions from transition metal complexes, thus rendering them more electrophilic.²⁴⁷ Indeed, the limiting step in the arylation of indoles in a Pd(0)/Pd(II) catalytic cycle is believed to be the electrophilic palladation of the electron-rich ArPdXL₂ intermediate formed in situ via an oxidative addition step. Silver(I) salts should remove the iodide from the ArPdIL₂ intermediate and generate a cationic palladium species, which would be more electrophilic toward the indole unit, thus increasing the rate of the palladation step.

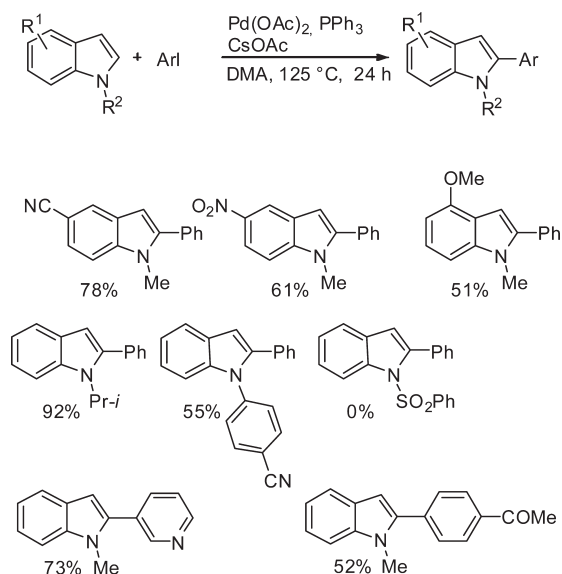
In 2008, two independent studies reported on the use of aryl boronic acids²⁴⁸ and potassium aryltrifluoroborates²⁴⁹ as coupling partners in the direct C-2 arylation of indoles. Aryl boronic acids and a variety of free NH indoles were arylated in moderate to good yields in the presence of Pd(OAc)₂, AcOH, and O₂ at room temperature (Scheme 154). Potassium aryltrifluoroborates and *N*-methyl or free NH indoles were converted into the corresponding C-2 arylated derivatives under similar conditions using Pd(OAc)₂ and AcOH in the presence of catalytic amounts of Cu(OAc)₂ and air (Scheme 155). In both cases, the efficiency of the transformation was sensitive to steric hindrance: ortho substituents in the aromatic boronic acid and aryltrifluoroborate salt resulted in a low yield.

Some procedures were developed to perform the C-3 arylation of free NH indoles. In 2007, Zhang et al.²⁵⁰ described the C-3 arylation of free NH indoles with aryl bromides in the presence of Pd(OAc)₂-(PPh₃)₂ or PdCl₂[P(OH)(Bu-*t*)₂]₂ and K₂CO₃ in refluxing dioxane. However, this protocol, which features a high C-3/C-2 selectivity, is unsuitable for aryl bromides bearing either electron-withdrawing or electron-donating substituents, which were found to depress the arylation. In addition, when cyano and nitro groups were introduced at C-5 position, the reaction was seriously retarded and no arylated product was formed, subjecting 2-acetylindole to bromobenzene. Investigating the N/C-3 arylation selectivity of 2-substituted indoles, Djakovitch and co-workers⁶³ found that the C-3 arylation of 2-phenylindole with 4-nitro- or 4-fluorobromobenzene could be achieved

Scheme 156



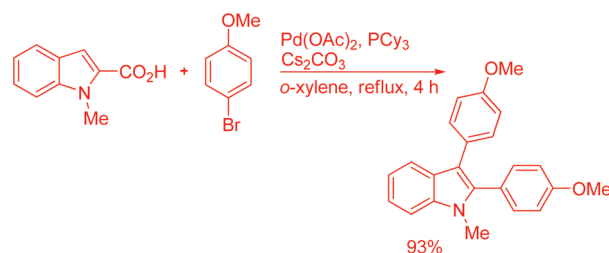
Scheme 157



by using the heterogeneous catalyst [Pd]/SBA-15 or Pd(OAc)₂ in the presence of NaOAc in NMP at 140 °C. In the course of this study, they also found that 4-nitroiodobenzene led to clean C–N coupling. Subsequently, the arylation reaction was carried out with the Pd(OAc)₂/AgBF₄ catalyst system, but the methodology was limited to activated aryl bromides.²⁵¹ The same group reported even the use of a heterogeneously Pd-catalyzed procedure for the selective C-3 arylation of free NH, 2-methyl-, and 2-phenylindole with aryl bromides.²⁵² The reaction was carried out using [Pd(NH₃)₄]/NaY and K₂CO₃ in refluxing dioxane. The reaction outcome was found to be strongly dependent on the electronic nature of the aryl bromides. The highest conversions were achieved with electron-rich aryl bromides, whereas unsatisfactory results were obtained with inactivated or deactivated aryl bromides.

A more general method for the regioselective direct C-3 arylation of free NH indole and its electron-rich N-unsubstituted derivatives was subsequently described (Scheme 156).²⁵³ The reaction involves treatment of free NH indoles with neutral, electron-rich, and electron-poor aryl bromides in the presence of Pd(OAc)₂, benzyl-(tributyl)ammonium chloride, and K₂CO₃ under phosphine-free conditions in refluxing toluene and furnishes the required free NH 3-arylindoles in satisfactory to good yields. Unfortunately, this protocol does not allow the selective C-3 arylation of free NH indoles and N-methylindoles containing an electron-withdrawing substituent. Interestingly, the authors found that the palladation at the C-3 position in this reaction is not accompanied by a 1,2-migration of an intermediate palladium species²⁴¹ and seems to require a strongly electron-rich C-3 position in the heterocyclic substrate.

Scheme 158



Scheme 159



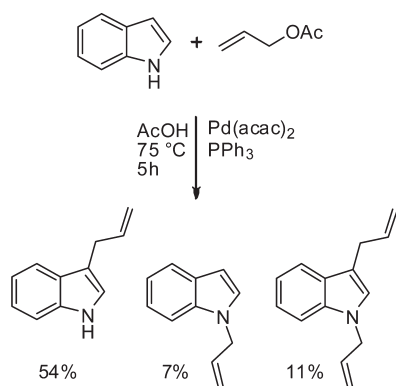
Recently, the direct arylation of neutral and electron-rich indoles with neutral, electron-rich, and electron-poor aryl bromides was reported to occur regioselectively at the C-3 position with an *in situ* generated palladium complex derived from an air stable heteroatom-substituted secondary phosphine oxide, which enabled syntheses of diversely functionalized indoles, also with sterically hindered substrates.²⁵⁴

C-Arylation of N-Protected Indoles. Indoles containing N-alkyl substituents were usually found to give 2-heteroarylation and 2-arylation products. This trend was observed in the palladium-catalyzed reaction of N-methyl- and N-benzylindoles with 2-chloro-3,6-dialkylpyrazines [Pd(PPh₃)₄, KOAc, DMA, reflux].²⁵⁵ 2-Aryl derivatives were obtained from N-alkylindoles and aryl iodides^{241,256} under the conditions shown in Scheme 157. CsOAc gave higher yields than MgO, ZnO, Cs₂CO₃, KOAc, or CsOCOCF₃. Aryl iodides containing ortho substituents afforded mixtures of C-2 and C-3 arylation products. Formation of homocoupling biaryl products, a competitive side reaction assumed to require a bimolecular transmetalation of σ -aryl palladium species formed in the oxidative addition step, was limited by decreasing the catalyst loading. Typically, arylations were carried out with 0.5 mol % of Pd(OAc)₂.

N-methylindoles underwent direct C-2 arylation in high yields with diaryliodonium tetrafluoroborates,²⁴⁵ with aryl iodides in the presence of silver salts,²⁴⁶ with aryl boronic acids,²⁴⁸ and with potassium aryltetrafluoroborates.²⁴⁹ Interestingly, and in contrast with the results obtained with free NH indoles, in the latter two procedures the efficiency of the arylation was not affected by steric hindrance, as ortho-substituted aryl boronic acids and potassium aryltetrafluoroborates gave the corresponding indole derivatives in high yields. C-2 aryl derivatives were selectively prepared from N-SEM-protected indoles [SEM = 2-(trimethylsilyl)ethoxymethyl] using palladium complexes of N-heterocyclic carbenes and phosphines.²⁵⁷ The advantage of using N-SEM-protected indoles relies on the easiness of deprotection in the presence of a fluoride source.

With N-tosylindole and 2-chloro-3,6-dialkylpyrazines, the C-3 position was preferentially targeted.^{240b} Preferential C-3 arylation was also observed in the reaction of N-methylindole with 4-bromo-3-nitroanisole in the presence of Pd(OAc)₂, PPh₃, and K₂CO₃ in DMF at 130 °C.²⁵⁸

Scheme 160



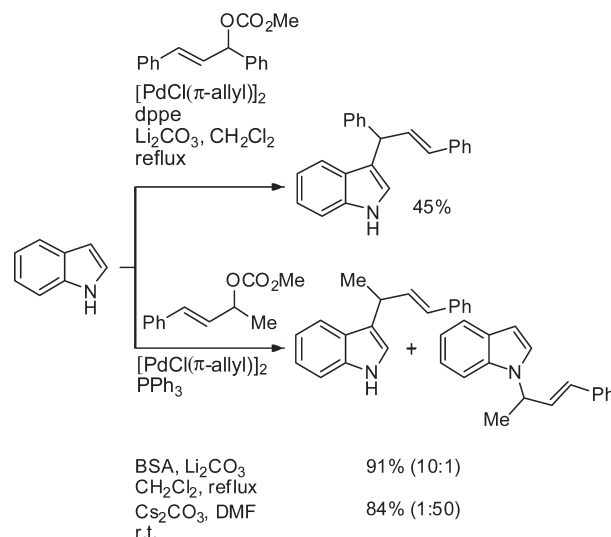
The 2,3-diarylation on *N*-protected indole scaffolds was also described. This target was achieved subjecting *N*-methyl-, *N*-MOM- (MOM = methoxymethyl), and *N*-phenylcarboxyindoles to palladium-catalyzed direct and decarboxylative arylations. Under the conditions shown in Schemes 158 and 159, aryl groups were installed at the C-2 and/or C-3 positions.²⁵⁹

C-Allylation. The Tsuji–Trost reaction was also applied to the direct functionalization of indoles. In the first application of this chemistry to the synthesis of indole derivatives, it was shown that the reaction of indole with allyl acetate in the presence of triphenylphosphine and Pd(acac)₂ (palladium acetylacetonate) in a 1:1 ratio in glacial acetic acid afforded 3-allylindole along with minor amounts of *N*-allylindole and bisallylindole (Scheme 160).²⁶⁰ This chemistry probably involves the nucleophilic attack of the indole to a π -allylpalladium complex as the key step. The product distribution and the rate of the reaction were found to be strongly dependent on the catalyst/ligand ratio. When the ligand/catalyst ratio was increased to 3:1, the overall rate became significantly lower and *N*-allylindole was obtained as the major product. In the same work, it was also demonstrated that allyl alcohol could serve as the allylating agent. Interestingly, this reaction seemed to work best in benzene (85 °C, 18 h) in the presence of Pd(acac)₂ and triphenylphosphine in a 3:1 ratio. Under these conditions, 3-allylindole was obtained in 45% yield.

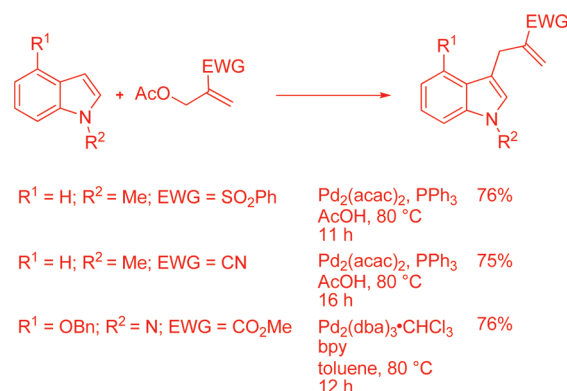
More recently, the palladium-catalyzed allylation of free NH indoles was investigated using allyl carbonates as allylating agents.²⁶¹ The C/*N*-allylation ratio was found to be extremely sensitive to the base and the solvent. The authors suggested that low-coordinating solvents (i.e., CH₂Cl₂) in combination with bases bearing relatively small cations (i.e., Li₂CO₃) would favor the formation of intimate indolyl–metal ion pairs, which, in turn, would afford preferentially 3-allylated indoles. Highly coordinating solvents (i.e., THF, DMF) in combination with bases bearing larger cations (i.e., K₂CO₃, Cs₂CO₃) would favor the intermediacy of solvent-separated ion pairs and drive the reaction toward the formation of *N*-allylated indoles. Some examples from that study are outlined in Scheme 161. Notably, under both reaction conditions, only attack at the less hindered position of the allylic system was observed with the asymmetric allylic carbonate.

The palladium-catalyzed allylic alkylation of free NH indoles was also performed in the presence of chelating chiral ligands. The use of a chiral ferrocenyl heterobidentate P/S ligand bearing both central and planar chirality, prepared from (*S*)-Ugi's amine via a three-step modular synthesis, allowed for the asymmetric allylic alkylation of indoles at the C-3 position. Reactions were

Scheme 161



Scheme 162

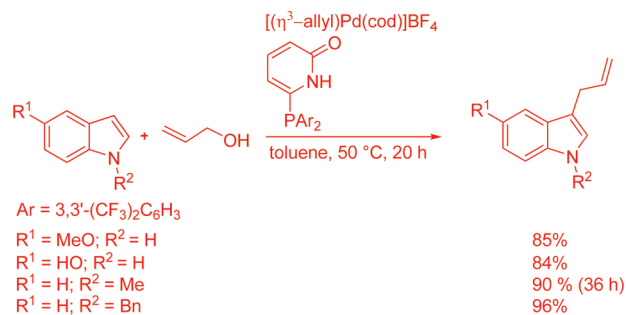


carried out with 1,3-diphenyl-2-propenyl acetate as the arylating agent and led selectively to the desired indole derivatives with high enantioselectivities (96% ee), irrespective of the steric or electronic nature of indoles.²⁶²

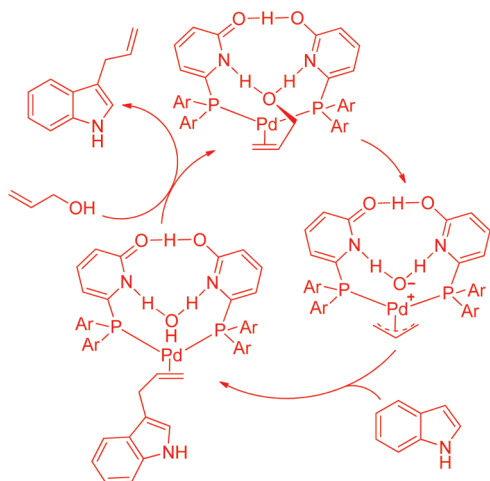
The reaction of indoles with allyl acetates bearing electron-withdrawing substituents at the internal olefinic carbon revealed a useful method to install electron-deficient olefin functionalities at their C-3 position. Such a reaction was carried out with 2-acetoxymethyl-substituted electron-deficient alkenes.^{263,264} In the more recent paper, it was found that *N*-protected indoles reacted smoothly in the presence of Pd(acac)₂ and PPh₃ at 80 °C in AcOH, whereas with *N*-unprotected indoles, the reaction was carried out by using Pd(dba)₂ or Pd₂(dba)₃·CHCl₃ and bpy (2,2′-bipyridine) in toluene (Scheme 162).

To circumvent some of the disadvantages associated with the use of allylic esters, such as the formation of a stoichiometric amount of a coupled product (carboxylate or alcohols/alcoholates and carbon dioxide) and the need to prepare these starting materials in an extra step from the corresponding allylic alcohols, allylic alcohols were directly used as allylating agents in the palladium-catalyzed selective allylation of indoles at the C-3 position. Reactions were promoted by Et₃B, which however resulted in the formation of boron-containing

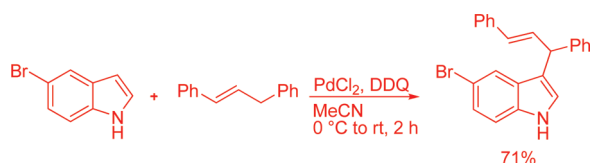
Scheme 163



Scheme 164



Scheme 165



coupled products.²⁶⁵ Self-assembling ligand systems based on complementary hydrogen bonding were reported to allow the direct allylation of indoles with allylic alcohols as substrates with water being the only byproduct (Scheme 163).²⁶⁶ As shown in the proposed reaction mechanism (Scheme 164), the hydrogen-bonding network, in addition to determining the ligand structure, assists the hydroxy group to become a better leaving group in the course of this allylic substitution process.

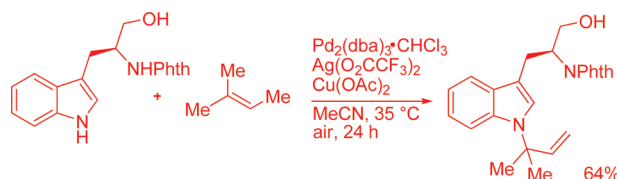
The allylation of NH free and *N*-methyl indoles was demonstrated to occur even using 1,3-diarylpropenes as allylating agents through an oxidative cross-coupling reaction in the presence of palladium dichloride and DDQ (2,3-dichloro-5,6-dicyanoquinone) as oxidant (Scheme 165).²⁶⁷

***N*-tert-Prenylation.** *N*-tert-Prenylated indoles were recently synthesized through a direct, one-step procedure (Schemes 166–168).²⁶⁸ Substitution at the C-3 position is required and substitution at the C-2 was found to hinder the prenylation reaction. Although a

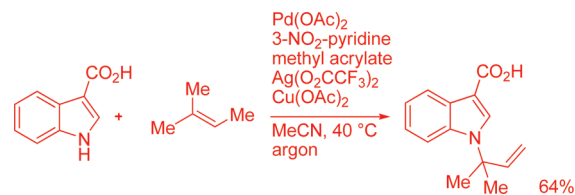
Scheme 166



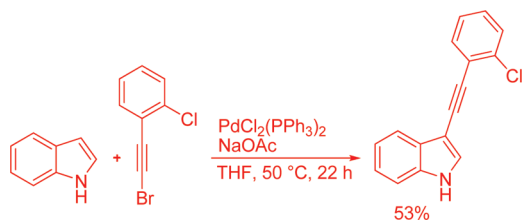
Scheme 167



Scheme 168



Scheme 169

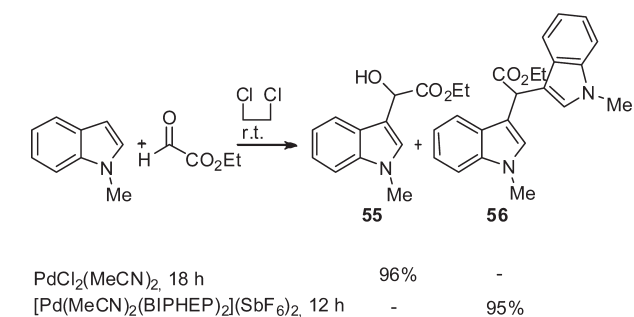


high catalyst loading and stoichiometric amounts of Ag(I) and Cu(II) salts were used, the reaction does not require prefunctionalized starting materials and superfluous redox steps. Interestingly, the authors report that their attempts to utilize prenyl acetate (or similar derivatives) in concert with various transition metals did not lead to *N*-tert-prenylation of indoles.

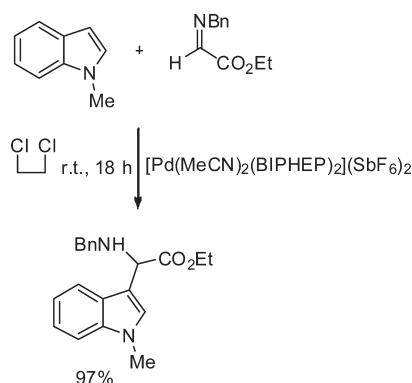
***C*-Alkynylation.** Free NH and *N*-methylindoles undergo a direct selective C-3 alkynylation on treatment with aryl- and cyclohexenyl-substituted 1-bromoalkynes in the presence of PdCl₂(PPh₃)₂ and NaOAc at 50 °C (Scheme 169).²⁶⁹

***C*-Alkylation.** In the context of functionalization of indoles via reaction with organopalladium complexes, a related straightforward approach to the functionalization of indoles involves the utilization of Pd(II) complexes as Lewis acids to perform Friedel–Crafts C–C bond forming reactions. This type of chemistry was used in the reaction of *N*-methylindole with ethyl glyoxylate.²⁷⁰ In dichloroethane as solvent and in the presence of a neutral palladium(II) complex, such as PdCl₂(MeCN)₂, the reaction led to the formation of the α-hydroxy indolyl acetate **55**, while in the presence of the

Scheme 170



Scheme 171



cationic complex $[\text{Pd}(\text{MeCN})_2(\text{BIPHEP})_2](\text{SbF}_6)_2$, the reaction afforded the diindolyl acetate **56** (Scheme 170). In addition to the nature of the Pd(II) complex, the product ratio (**55** vs **56**) was found to be affected by solvents and reaction temperature. This palladium(II)-catalyzed Friedel–Crafts reaction was found to be applicable also to imine counterparts. With these substrates the reaction afforded α -amino indolyl acetates (Scheme 171). Recently, an asymmetric Friedel–Crafts reaction of indole with *N*-tosylarylimines was achieved by using axially chiral cyclometalated bidentate *N*-heterocyclic carbene palladium(II) complexes derived from binaphthyl-2,2'-diamine (BINAM).²⁷¹

The $\text{PdCl}_2/\text{FeCl}_3$ combination in the presence of acetylacetone was demonstrated to be an efficient bimetallic catalytic system with strong Lewis acidic activity for the Michael-type Friedel–Crafts reactions of indoles with chalcones.²⁷²

***N*-Arylation and *N*-Vinylolation.** As part of an investigation dedicated to the extension of the palladium-catalyzed C–N bond couplings to the formation of the aryl nitrogen bonds in azoles, Hartwig et al.^{273a} recently reported that *N*-arylindoles could be efficiently prepared from indoles and aryl bromides in the presence of $\text{Pd}(\text{OAc})_2$ and dppf. Typically, reactions were carried out at 100 °C using Cs_2CO_3 as base with aryl bromides containing electron-withdrawing substituents in the para position, whereas neutral aryl bromides required higher temperature (120 °C), $\text{NaOBu-}t$ as base, and longer reaction times. On the basis of their data, the authors concluded that reductive eliminations that form *N*-aryl azoles require higher temperatures than do reductive eliminations that form C–C bonds in biaryls or C–N bonds in anilines. Subsequently, Hartwig et al.^{273b} showed that the catalyst system involving $\text{Pd}(\text{dba})_2$ and $\text{P}(\text{Bu-}t)_3$ as ligand allowed for much milder

Scheme 172

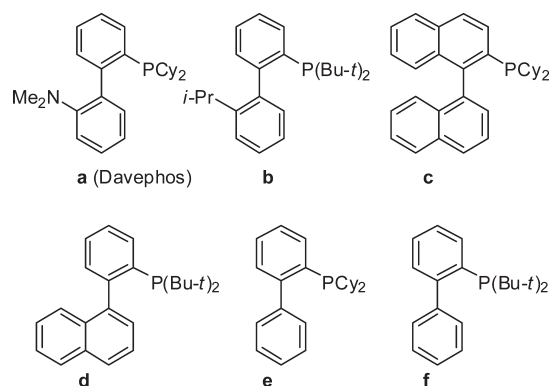
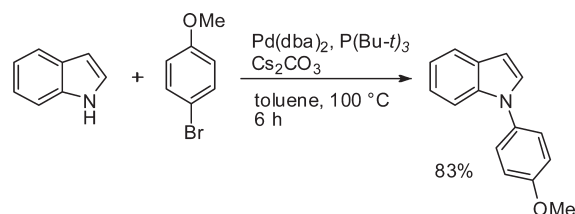


Figure 5

arylation of indoles than the combination of $\text{Pd}(\text{OAc})_2$ and dppf. Electron-rich aryl bromides and *p*-chlorotoluene could arylate indoles at 100 °C in toluene. The use of Cs_2CO_3 as base rather than $\text{NaOBu-}t$ was crucial to the success of the reaction. When reacted with unsubstituted indoles, hindered aryl halides such as *o*-bromotoluene generated products derived from the arylation at the nitrogen, at the C-2 position, and at both sites. The prototypical reaction is shown in Scheme 172. Practically parallel to the work of Hartwig et al. on the role of $\text{P}(\text{Bu-}t)_3$ in favoring the *N*-arylation of indoles, Watanabe and co-workers²⁷⁴ showed that the $\text{Pd}(\text{OAc})_2/\text{P}(\text{Bu-}t)_3$ combination in the presence of K_2CO_3 or Cs_2CO_3 (xylene, 120 °C) could effectively catalyze the *N*-arylation of indoles, pyrroles, and carbazoles. Interestingly, with their catalytic system, Cs_2CO_3 and $\text{NaOBu-}t$ did not function very well.

In another recent development, taking into account the dramatic influence of the ligand on C–N bond forming reactions, Buchwald and co-workers²⁷⁵ investigated the use of bulky, electron-rich phosphines (Figure 5) as the supporting ligand in combination with $\text{Pd}_2(\text{dba})_3$ in the arylation of indole. They were able to arylate a variety of substituted indoles with aryl iodides and bromides and also chlorides and triflates. Some examples from that study are depicted in Scheme 173. Building on these studies, Buchwald and co-workers²⁷⁶ reported further improvements to the catalytic variation of the formation of C–N bonds. Indeed, in a study devoted to the arylation of a variety of nitrogen-containing compounds, they showed that the air-stable ligand **j** (XPhos) (Figure 6) is well suited for *N*-arylation and *N*-vinylation reactions. This ligand was found to be the best compromise between ligand **k** with the largest PR_2 group (moderately effective) and ligand **i** with the smallest PR_2 group (ineffective). It was also far superior to ligands **g** and **h** (Figure 6). Although only two indole examples were reported, it was demonstrated that indole underwent efficient *N*-arylation and *N*-vinylation

Scheme 173

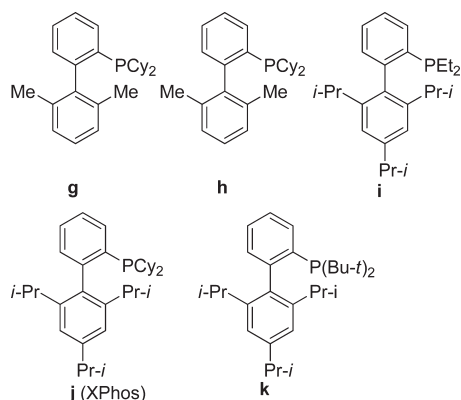
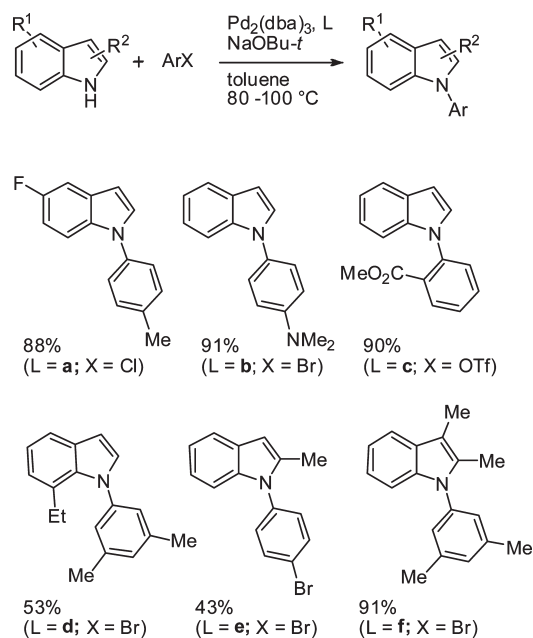


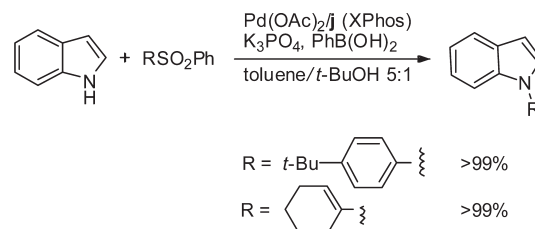
Figure 6

in the presence of the $\text{Pd}_2(\text{dba})_3/\text{XPhos}$ combination with aryl and vinyl sulfonates, a class of compounds less expensive than their triflate counterparts and usually reluctant to enter palladium-catalyzed reactions with other Pd/ligand combinations (Scheme 174). Noteworthy, in many cases excellent results were obtained using the $\text{Pd}_2(\text{dba})_3/\text{XPhos}$ combination and KOH in water. Under these conditions, indole was arylated with *p*-butylchlorobenzene in 92% yield.

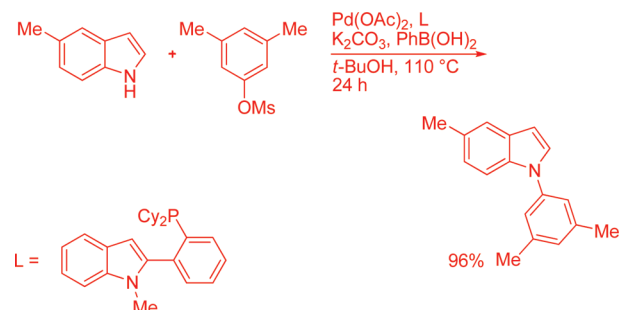
Aryl mesylates, less reactive than the corresponding aryl tosylates and benzene sulfonates (the leaving group ability of commonly used sulfonate groups based on the $\text{p}K_a$ values of their conjugate acids, are known to decrease in the order $^- \text{OTf} \gg ^- \text{OSO}_2\text{Ph} > ^- \text{OTs} > ^- \text{OMs}$)²⁷⁷ and were recently applied as coupling partners in C–N bond forming reactions with indoles (Scheme 175).²⁷⁸ Electron-rich and electron-poor aryl mesylates were successfully used in this reaction.

The palladium-catalyzed vinylation of indoles with vinyl bromides was also performed by treating preformed indolyl-lithium in the presence of $\text{Pd}(\text{dba})_2$ and $\text{P}(\text{Bu-}t)_3$,²⁷⁹ though the

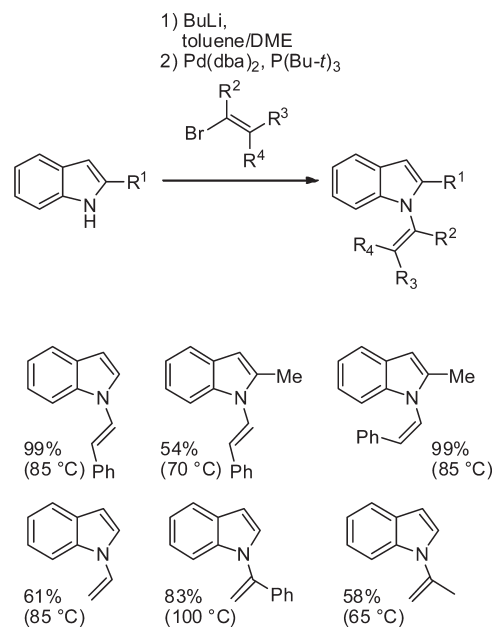
Scheme 174



Scheme 175

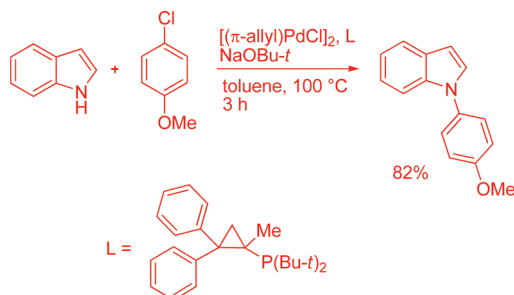


Scheme 176



catalyst based on the more expensive *t*-Bu₂PC₆H₄Ph-*o* was shown to possess a very high activity. Either preformed indolyl-lithium or indole/LiOBu-*t* were found to be the most reactive and selective reagents for this type of reaction, whereas potassium and sodium salts as well as indole/ K_2CO_3 gave poor results. Satisfactory yields were provided by indole/ K_3PO_4 and indolyl-magnesium bromide. The addition of a coordinating solvent such as DME to toluene was found to favor the vinylation reaction. The reactions with both (*Z*)- and (*E*)-bromostyrenes were stereospecific, giving the respective products with full retention

Scheme 177



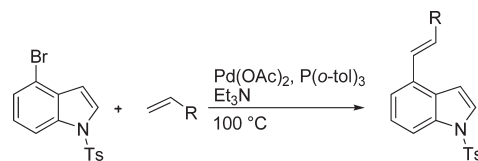
of configuration. Some examples of this chemistry are shown in Scheme 176. In an investigation aimed at developing a catalytic method for the stereospecific N-vinylation of azaheterocycles using vinyl triflates, the N-vinylation of indole and 3-cyanoindole was described.²⁸⁰ While indole afforded the corresponding vinylic derivative in 74% yield using $\text{Pd}_2(\text{dba})_3$, XPhos, and K_2CO_3 in toluene at 60 °C, low yields (17–18%) were obtained with 3-cyanoindole. The electron-withdrawing substituent facilitates the deprotonation of the heterocycle. However, the resultant anionic nucleophile is less nucleophilic, and a slower overall rate of coupling is observed. Hence, undesired reactions may compete, including triflate elimination and sulfonyl transfer reactions.

More recently, the N-arylation of indoles was performed in supercritical carbon dioxide.²⁸¹ Employing a catalyst system of $\text{Pd}(\text{OAc})_2$ and 2-di(*t*-butyl)phosphino-1,1'-biphenyl or 2-di(*t*-butyl)phosphino-2'-isopropyl-1,1'-biphenyl enabled the catalytic arylation of *N*-trimethylsilylindole with aryl bromides. The use of a *N*-silyl derivative as the coupling partner avoided in part the formation of carbamic acids.

N-Aryl indoles were prepared by treating indole with aryl bromides in the presence of $\text{PdCl}_2[\text{P}(o\text{-tolyl})_3]_2/\text{BINAP}$ (+) or $\text{PdCl}_2[\text{P}(o\text{-tolyl})_3]_2/\text{PPh}_3$ and Cs_2CO_3 as base.²⁸² ^{18}F -labeled σ_2 receptor ligands for positron emission tomography were prepared by N-arylation of indoles with 4- ^{18}F fluoroiodobenzene.²⁸³

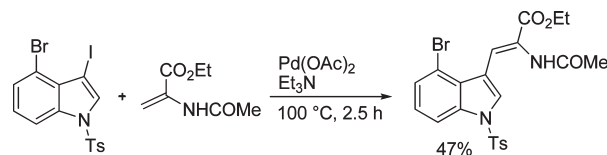
In a study on the use of $\text{Pd}(\text{OAc})_2$ and *rac*-PPhos [$\text{PPhos} = 2,2',6,6'$ -tetramethoxy-4,4'-bis(diphenylphosphino)-3,3'-bipyridine], an air stable atropisomeric dipyridylphosphine ligand, in the palladium-catalyzed aromatic amination of aryl halides, the authors reported the N-arylation of indole with 4-cyanobromobenzene.²⁸⁴ In the context of the continuing efforts to develop even more versatile and effective ligands for the palladium-catalyzed coupling reaction of aryl halides with nitrogen-containing compounds, the use of a hybrid phosphine was reported.²⁸⁵ This hybrid phosphine features two structural characteristics commonly found in many of the most effective phosphine ligands reported recently: three *tert*-alkyl substituents bound to the phosphorus and an aryl group at an appropriate position. The combination of $[(\pi\text{-allyl})\text{PdCl}]_2$ with this hybrid phosphine proved to be a versatile and effective catalyst system for the N-arylation of a variety of primary and secondary amines, including indole (Scheme 177).

Although the vast majority of the N-arylation reactions of indoles were performed using phosphine ligands, the use of carbene ligands in this chemistry was also described. For example, indoles were converted to *N*-aryl-substituted indoles with aryl bromides as arylating agents employing $\text{Pd}(\text{OAc})_2$ as source of $\text{Pd}(0)$ species and an imidazolium salt, $\text{SIPr} \cdot \text{HCl}$ [$\text{SIPr} = 1,3\text{-bis}(2,6\text{-diisopropylphenyl})\text{-4,5-dihydroimidazol-2-ylidene}$], in the presence of bases.²⁸⁶

Scheme 178^a

^a $\text{R} = \text{CO}_2\text{Me}$ (1 h, 86%); $\text{C}(\text{Me})_2\text{OH}$ (5 h, 97%); Ph (7 h, 74%); NPhth (MeCN , 24 h, 74%).

Scheme 179



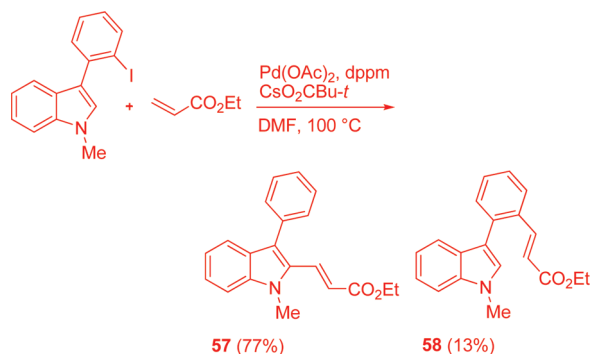
Few reports described the use of heterogeneous catalysts in the N-arylation of indoles. An example of this approach was reported by Djakovitch and co-workers⁶³ who showed that the reaction of 2-phenylindole with 4-nitroiodobenzene in the presence of the heterogeneous catalyst $[\text{Pd}]/\text{SBA-15}$ or $\text{Pd}(\text{OAc})_2$ and NaOAc in NMP at 140 °C afforded the corresponding *N*-aryl derivative in 50% yield. Under the same conditions, clean C-3 arylation could be achieved with 4-nitro- or 4-fluorobromobenzene.

5.2. Indolyl Halides and Triflates

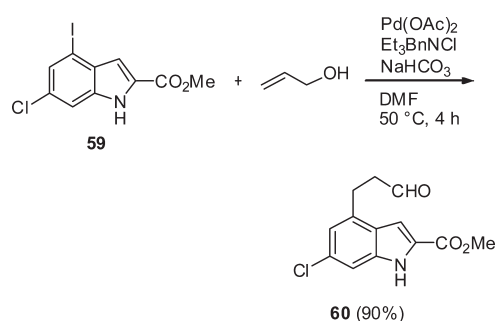
Indolyl halides and triflates were used in the synthesis of a large number of indole derivatives. The first step of this chemistry involves the oxidative addition of the carbon–halogen or carbon–triflate bond to $\text{Pd}(0)$ species. Subsequently, the resultant indolylpalladium-(II) intermediate is converted into the desired indole derivatives via reaction with a variety of reagents, such as alkenes, alkynes, organometallic reagents (organostannanes, arylboronic acids, organozinc compounds), and nonorganometallic nucleophiles. Though the preparation of indolyl halides and, particularly, triflates is not always a straightforward process, this derivatization strategy has received attention by synthetic organic chemists most probably because it provides the interesting advantage of utilizing a single indolyl partner for a wide range of palladium-catalyzed reactions.

5.2.1. Reaction with Alkenes. In 1978, in a study devoted to palladium-catalyzed vinylic substitution reactions with heterocyclic bromides, Heck and co-workers^{189a} showed that 5-bromoindole reacted with methyl acrylate to give the corresponding vinylated indole in 53% yield. 3-Bromoindole gave no identifiable product. However, subjecting *N*-acetyl-3-bromoindole to the same conditions produced the vinylic substitution derivative in 50% yield. Subsequently, during his studies on the synthesis of the ergoline framework, Hegedus et al.^{189b} showed that 4-bromo-1-tosylindole could be readily converted into a number of 4-substituted 1-tosylindoles via the Heck reaction with electron-poor, neutral, and electron-rich olefins (Scheme 178). In the same paper, the selective functionalization of 4-bromo-3-iodo-1-tosylindole at the C-3 position was reported. Methyl acrylate and *N*-vinylphthalimide gave the desired indole derivatives in high yield (61% and 77%, respectively). Of particular interest is the reaction with methyl α -acetamidoacrylate (Scheme 179), which would allow access to optically active tryptophans via catalytic asymmetric reduction. Only one stereoisomer

Scheme 180



Scheme 181



was observed. Unfortunately, olefin polymerization was found to be a significant competitive side reaction under the high-concentration conditions employed, and the use of a larger excess of the olefin did not increase the yield of the indole product.

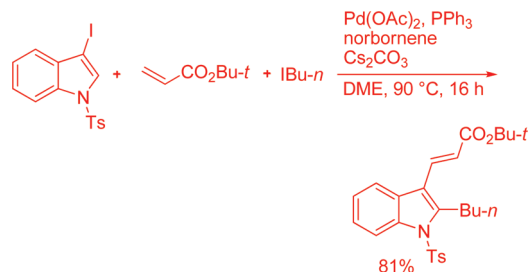
Other indolyl halides and triflates were subsequently utilized as indolyl donors in palladium-catalyzed Heck reactions with terminal olefins. 7-Iodoindole was treated with methyl acrylate to give methyl 3-(indol-7-yl)-acrylate in excellent yield,^{287a} *N*-tosyl-4-bromoindole was treated with methyl α -acetamidoacrylate to give the corresponding vinylic substitution product in 57% yield,^{287b} 3-substituted-2-iodoindoles were converted into the corresponding 2,3-disubstituted indoles,²⁸⁸ and *N*-(tosyl)indol-3-yl triflate gave 3-vinylic indoles with a number of electron-poor and neutral olefins,²⁸⁹ while the corresponding 2-carboxylate derivative did not give satisfactory results.²⁹⁰ The procedure was successfully applied to the functionalization of *N*-substituted 2-iodo-5-azaindoles and *N*-substituted 2-iodo-7-azaindoles.²⁹¹

As part of a study on a novel 1,4-palladium migration between the *o*- and *o'*-positions of biaryls in organopalladium intermediates derived from *o*-halobiaryls, Jenks, Larock, and co-workers observed that the reaction of 3-(2-iodophenyl)-1-methylindole with ethyl acrylate afforded **57** and **58** in 77 and 13% yield, respectively, indicating a strong preference for palladium to migrate from the phenyl to the pyrrole ring (Scheme 180).²⁹²

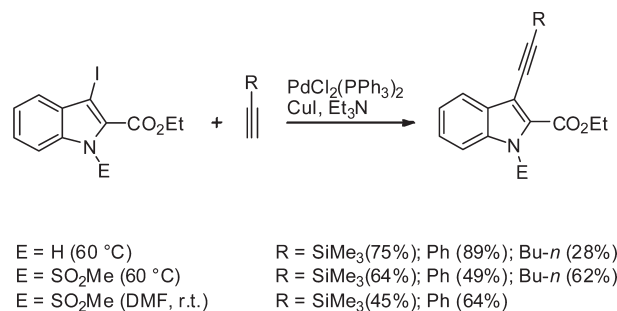
In an important step of a synthetic route to a series of tricyclic indole 2-carboxylic acids, a class of potent *N*-methyl-D-aspartate (NMDA)–glycine antagonists, the 4-iodo derivative **59** was subjected to allylic alcohol under the conditions shown in Scheme 181 to give the corresponding aldehyde derivative **60**.²⁹³

Recently, in a study mostly devoted to the synthesis of di- and trisubstituted thiophenes by a one-pot palladium-catalyzed ortho-

Scheme 182



Scheme 183



alkylation sequence terminated by either Heck or C–H coupling and based on the work of Catellani and co-workers,²⁹⁴ Lautens and co-workers²⁹⁵ described the 2,3-coupling of *N*-tosyl-3-iodoindole (Scheme 182). The nature of the nitrogen protecting group was found to be crucial to the efficiency of the reaction. Use of a methyl protecting group yielded only the direct Heck product.

5.2.2. Reaction with Alkynes. In 1988, Yamanaka et al.²⁹⁶ described the palladium-catalyzed cross-coupling of 3-iodoindole derivatives with terminal alkynes under Sonogashira^{42a} conditions (Scheme 183).

Other coupling reactions of terminal alkynes with indolyl halides and triflates^{48b,288,289,290b,297} were subsequently reported under Sonogashira^{42a} or Heck–Cassar^{42d,e} conditions (Table 2). This chemistry was also applied to the elaboration of the C-5 position in a solid-phase synthesis of 2,3,5-trisubstituted indoles,²⁹⁸ to the preparation of 2-ethynylindoles from bromoindole precursors in a synthesis of symmetrical (2-indolyl)ethynes,²⁹⁹ and to a parallel library synthesis of *N*-methyl-3-alkynyl-2-substituted indoles from the corresponding 3-iodo derivatives.³⁰⁰ The latter reaction was carried out in solution and on a chlorinated Wang resin as a solid support, affording 1,2,3,5-tetrasubstituted indoles after cleavage from the support. An indolylfulgimide–adamantane linker conjugate with nitrile anchoring groups was synthesized via a multistep process involving a key Sonogashira cross-coupling step that gave the best results using $\text{PdCl}_2(\text{PhCN})_2$ and $\text{BF}_4\text{HP}(\text{Bu}-t)_3$ in the presence of (*i*-Pr)₂NH, with CuI as additive at room temperature.³⁰¹

5.2.3. Reaction with Organostannanes. The palladium-catalyzed coupling of organohalides or triflates with organostannanes, commonly known as the Stille reaction, is in widespread use in organic synthesis. This is due to the stability of organostannanes to moisture and air and to their compatibility with a wide range of functionalities. However, the toxicity of organotin compounds, organostannane reagents, and byproducts can represent a significant drawback that, in some cases, may limit the Stille reaction. This

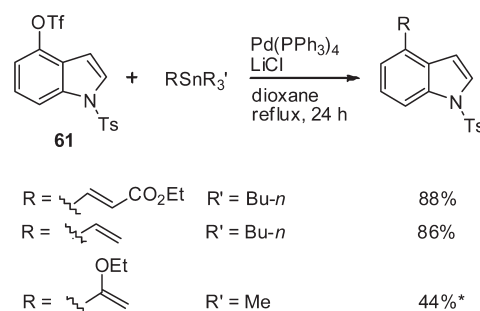
Table 2. Alkynyl Indoles Prepared via Palladium-Catalyzed Reaction of Indolyl Halides and Triflates with Terminal Alkynes

indolyl halide or triflate	alkyne	conditions	alkynyl indole	yield %
		$\text{PdCl}_2(\text{PPh}_3)_2$, $i\text{-Pr}_2\text{EtN}$ LiCl , DMF		81 ²⁸⁹
		$\text{Pd}(\text{OAc})_2$, PPh_3 , CuI Et_3N , DMF, 70 °C, 14 h		69 ^{297a}
		$\text{Pd}(\text{OAc})_2$, PPh_3 Et_3N , DMF, 100 °C, 6 h		80 ^{290b}
		$\text{PdCl}_2(\text{PPh}_3)_2$, CuI , Et_2NH r.t., 8 h		89 ²⁸⁸
		$\text{PdCl}_2(\text{PPh}_3)_2$, CuI , Et_2NH r.t., 8 h		93 ²⁸⁸

disadvantage can be limited by using organostannanes of lower toxicity³⁰² and solid-phase synthesis, which can allow an easy separation of the desired product from the bulk of the reaction containing an excess of the reagent and byproducts.

The Stille reaction has been widely used in indole synthesis, and part of this chemistry involves the utilization of indolyl halides or triflates as the coupling partners. The first example of this type of cross-coupling approach to the functionalization of the indole nucleus is just due to Stille et al.,^{189d} who showed that the indolyl triflate **61** could be converted to 4-substituted indoles (Scheme 184) utilizing the procedure previously developed for the coupling reaction of vinyl triflates. In that study,²³ Stille had found that the presence of LiCl was crucial for the success of the reaction with vinyl triflates and suggested that chloride anions could be involved in a ligand exchange step converting initially formed σ -vinylpalladium triflate intermediates to σ -vinylpalladium chlorides, more prone to enter a cross-coupling catalytic cycle.³⁰³

This cross-coupling methodology, employing LiCl as additive, was utilized by other groups to functionalize 2- and 3-indolyl triflates.^{289,290b,290c,304} Indolyl halides,^{48b,291,297a,305,306} in some cases in the presence of ammonium chlorides,^{306a,e,g} were also subjected to cross-coupling conditions, usually with aryl-, heteroaryl-, and vinylstannanes. Sakamoto et al.³⁰⁷ applied this derivatization protocol to the alkylation at the C-3 position of a 3-iodoindole. Snieckus et al.³⁰⁸ described the bisalkylation of a

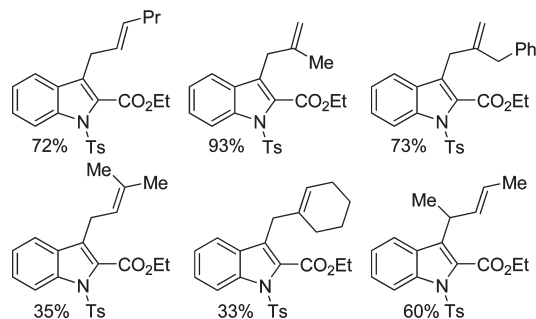
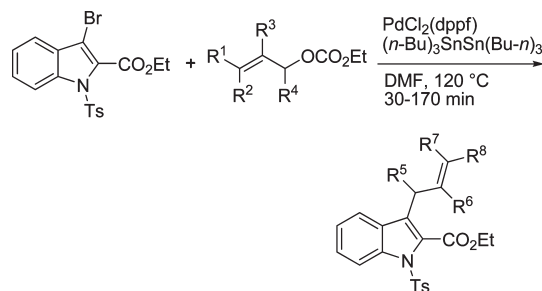
Scheme 184^a

^a The * indicates that the product hydrolyzed on workup to give $\text{R} = \text{COMe}$.

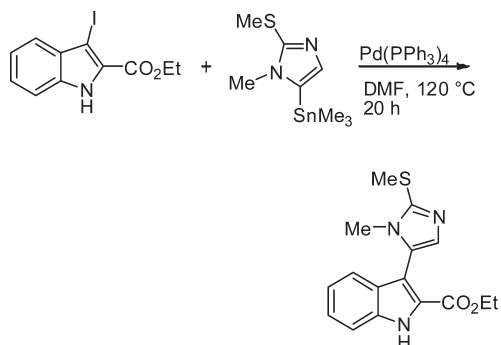
3,4-dibromoindole derivative. A 3-bromoindole was allylated with allyl esters in the presence of hexabutylstannane through a reaction featuring an in situ formation of organostannanes^{306b} (Scheme 185). Allyl carbonates gave better yields than the acetate counterparts. The new carbon–carbon bond was found to occur at the less substituted end of the allyl moiety with formation of (*E*)-isomers as a result of the isomerization or allylic rearrangement of the double bond.

The Stille reaction was employed in key steps of several syntheses of biologically active compounds such as borrerine^{306a} (a naturally occurring alkaloid), grossularines-1 and -2^{306d,e} (pyrido[2,3-*b*]indoles

Scheme 185



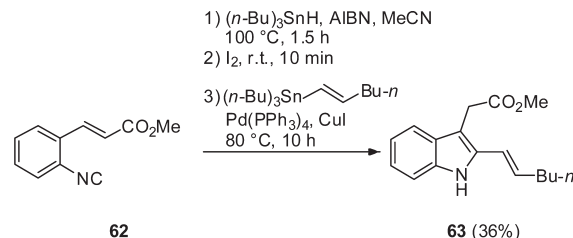
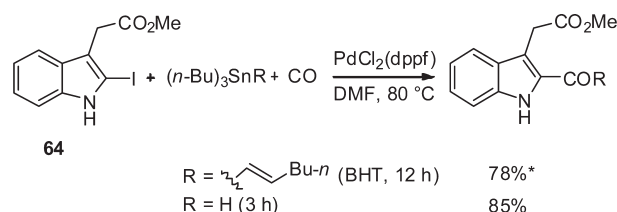
Scheme 186



possessing interesting antitumor properties; Scheme 186), carazostatin and hyellazole^{306h} (polysubstituted carbazole alkaloids), tetracyclic oxazolocarbazoles^{306g} (prepared as functionalized precursors of antiostatins and carbazoquinocins), (\pm)-vincadifformine and (–)- tabersonine^{306j} (prominent members of aspiriderma alkaloids), and 2-arylindole NK_1 receptor antagonists.^{306k} In a one-pot procedure,^{288a} the isocyanate **62** was converted into the indole **63** via a 2-iodoindole prepared in situ (Scheme 187). An indole synthesis based on an intramolecular version of the Stille coupling was also described.^{288b}

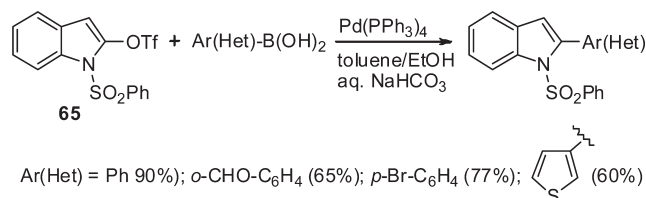
Under an atmosphere of carbon monoxide, the Sn-based cross-coupling was utilized to convert the 2-iodoindole **64** into the corresponding α,β -unsaturated ketone and aldehyde via reaction with *trans*-1-tributylstannylhexene and tributyltin hydride, respectively²⁸⁸ (Scheme 188). Best results were obtained in the presence of $\text{PdCl}_2(\text{dppf})$. With *trans*-1-tributylstannylhexene, a loss of olefin geometry was observed and a *trans/cis* mixture of olefinic derivatives was isolated. Under similar conditions, omitting the organostannane and

Scheme 187

Scheme 188^a

^aThe * indicates *trans/cis* = 27:1.

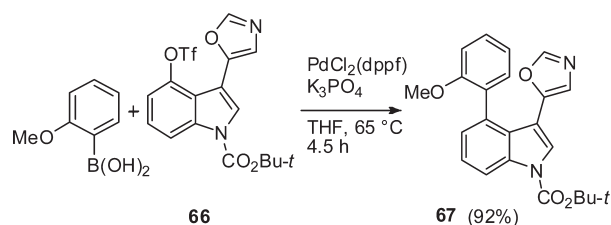
Scheme 189



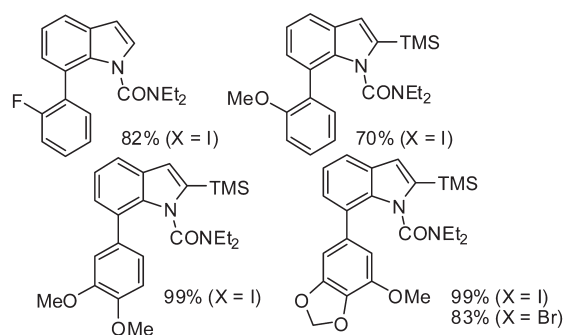
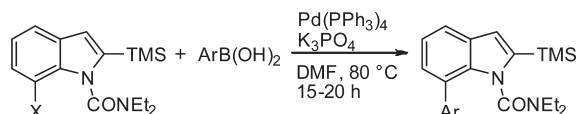
adding methanol, the same 2-iodoindole gave the corresponding methyl ester in 89% yield.

5.2.4. Reaction with Boron Compounds. C–C Bond Forming Reactions. Among the cross-coupling methodologies based on palladium catalysts and additional organometallic reagents, the Suzuki coupling^{309,310}—usually the palladium-catalyzed reaction of an aryl halide or triflate with an arylboronic acid as the nucleophilic part of the reaction (arylboronate esters can be used as well, though they were utilized less frequently)—is most probably the one that has attracted more interest from academic and industrial chemists. There are several advantages of the Suzuki reaction over all the other procedures using organometallic reagents: boronic derivatives can tolerate a broad range of functional groups; their toxicity, and that of byproducts, is low, particularly if compared to tin-containing compounds; they are easy to handle and can be manipulated with less risk than other organometallics; typically, steric effects play a minor role in controlling the reaction outcome. Apparently, the only drawback of this reaction is the difficulty of preparing boronic acids. Boronic acids, in fact, are usually prepared via a three-step process involving the reaction of aryl halides with butyllithium, the formation of an aryl boronate ester via reaction of the resultant lithiated aryl intermediate with a boric acid ester, and the hydrolysis of the obtained aryl boronate ester.³¹¹ This butyllithium route to aryl boronic acids has important deficiencies in flexibility, yield, and costs. Nevertheless, the Suzuki coupling is widely practiced in procedures assembling biaryl units. Commercial

Scheme 190



Scheme 191

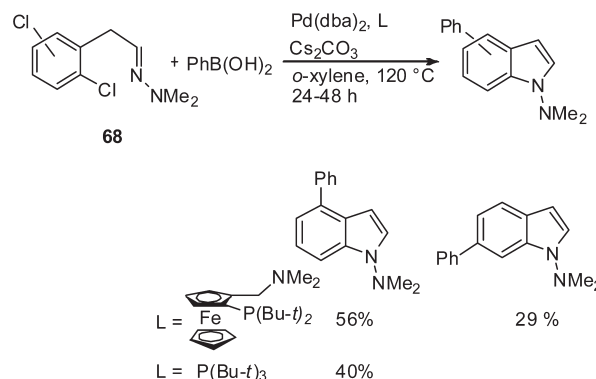


examples include the antihypertensive drug valsartan and the fungicide boscalid. The reaction is particularly used in the discovery of new bioactive compounds. Availability of libraries of aryl halides and arylboronic acids may allow for ready access to a whole range of drug candidates.

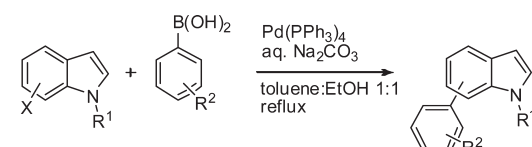
The Suzuki reaction has been widely used in the functionalization of indoles, and part of this chemistry involves the utilization of indolyl halides and triflates as coupling partners. Particularly, all the positions of the indole skeleton were functionalized by using the cross-coupling of indolyl halides or triflates with aryl- and heteroarylboronic acids. Only a few examples involving indolyl triflates, however, were described. The reaction of the 2-indolyl triflate **65** with a variety of aryl- and heteroarylboronic acids was reported to afford the coupling products in moderate to excellent yields^{290c} (Scheme 189), a 3-indolyl triflate was converted to the corresponding phenyl derivative,^{290b} a N-protected 2-indolyl triflate was converted to the corresponding aryl and heteroaryl derivatives,^{297e} and 4-indolyl triflate **66** gave the aryl derivative **67**, a model compound of the biaryl and 3-(oxazol-5-yl)indole segment of diazonamide A³¹² (Scheme 190).

Most of this derivatization chemistry deals with the utilization of indolyl halides. Methyl 2-(2-iodo-1*H*-indol-3-yl)acetate was converted to the corresponding 2-phenyl derivative via reaction with phenylboronic acid,²⁸⁸ a 2-iodoindole derivative was coupled with an arylpinacolboronate ester in a convergent synthesis of (*S*)- β -methyl-2-aryltryptamine-based gonadotropin releasing hormone antagonists^{118a} (see Scheme 58), and 6- and 7-bromoindoles were converted to 6-(*p*-fluorophenyl)indole and 7-(*p*-methoxyphenyl)indole via coupling with the appropriate boronic acid.³¹³ No indole

Scheme 192



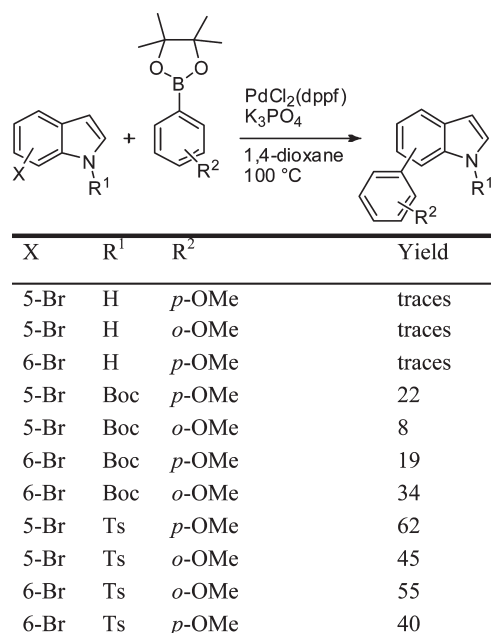
Scheme 193



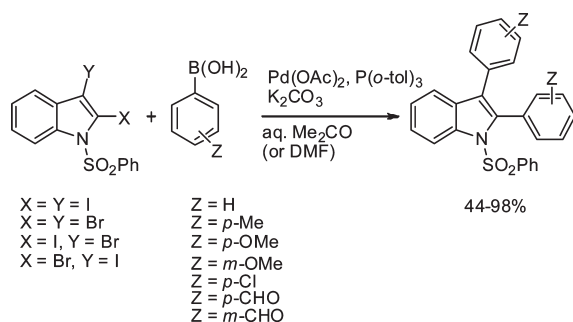
X	R ¹	R ²	Yield
5-Br	H	<i>p</i> -Me	97
5-Br	H	<i>p</i> -OMe	85
5-Br	H	<i>o</i> -Me	99
5-Br	H	<i>o</i> -OMe	91
6-Br	H	<i>p</i> -OMe	76
6-Br	H	<i>o</i> -OMe	80
7-Br	H	<i>p</i> -OMe	67
7-Br	H	<i>o</i> -OMe	66
5-Br	Boc	<i>p</i> -Me	79
5-Br	Boc	<i>p</i> -OMe	70
5-Br	Boc	<i>o</i> -Me	99
5-Br	Boc	<i>o</i> -OMe	94
6-Br	Boc	<i>p</i> -OMe	67
6-Br	Boc	<i>o</i> -OMe	84
5-Br	Ts	<i>p</i> -Me	70
5-Br	Ts	<i>p</i> -OMe	93
5-Br	Ts	<i>o</i> -Me	74
5-Br	Ts	<i>o</i> -OMe	97
6-Br	Ts	<i>p</i> -OMe	80
6-Br	Ts	<i>o</i> -OMe	89

protection or functionalization was required in these coupling reactions. 3-Pyridyl-5-bromo-1-tosylindole was coupled with aryl and heteroarylboronic acids to give 3-pyridyl-5-aryl(heteroaryl)-indoles.³⁰⁵ 5-Bromoindoles were converted into their dimers via reaction with 5-indolylboronates prepared in situ.^{314a} Snieckus et al. described the arylation of some 7-iodo- and 7-bromoindole derivatives³¹⁵ (Scheme 191) and 2-bromo-N-carbamoylindoles.³¹⁶ An example of coupling of 3- and 5-indolyl bromides with heteroaryl boranes, particularly with diethyl-(3-pyridyl)-borane, was also reported.³¹⁷ The reaction affords the corresponding heteroaryl-substituted indoles in moderate yields. In the same work describing the construction of the indole ring through an intramolecular N-arylation process²⁰¹ (section 4.4), Watanabe et al. developed a

Scheme 194



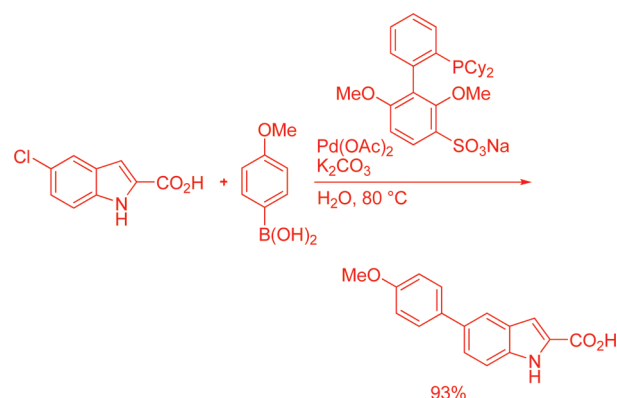
Scheme 195



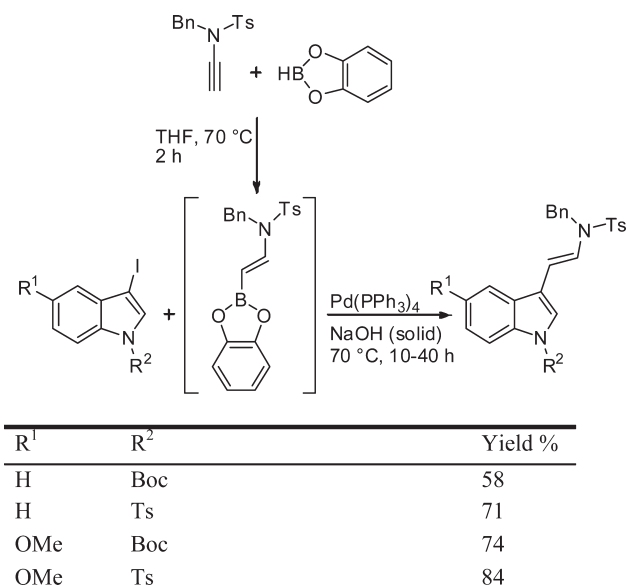
procedure for the synthesis of 4- and 6-aryl-1-aminoindoles through a domino Buchwald/Hartwig–Suzuki process. Cyclization of **68** in the presence of phenyl boronic acid gave 4- and 6-phenylindole products in moderate overall yield (Scheme 192). Using 2-(dimethylaminomethyl)-1-(di-*tert*-butylphosphinyl)ferrocene as ligand provided a slightly higher yield. In this reaction, the authors observed that Suzuki coupling took place five times faster than the formation of chloroindole (2 h at 120 °C).

A recent detailed comparative study on the cross-couplings of 5-, 6-, and 7-bromoindoles with substituted arylboronic acids (Scheme 193) and arylpinacolboronate esters³¹⁸ (Scheme 194) showed that, when arylboronic acids were used as coupling partners, the position of the bromo substituent has little influence on the yield, the effect of increased steric hindrance in the arylboronic acid is negligible, and yields are similar both with protected and with free NH indoles. With arylpinacolboronate esters, a remarkably different picture emerged. For example, an evident effect of increased steric hindrance in the arylboronate partner was observed (ortho-substituted arylpinacolboronates usually gave lower yields than their para-substituted counterparts), and the reaction outcome depended on whether the heterocyclic nitrogen was protected. In general, arylpinacolboronate

Scheme 196



Scheme 197



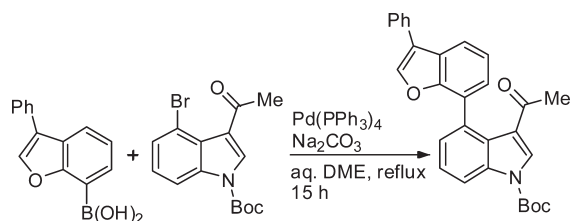
esters were less reactive than arylboronic acids, and this led to lower yields and longer reaction times.

The Suzuki coupling was used to functionalize the C-2 position of various 5- and 7-azaindoles.²⁹⁰ The authors found that 7-azaindoles provided higher yields of 2-aryl-7-azaindoles and required shorter reaction times than 5-azaindoles. Gribble et al.³¹⁹ described a convenient synthesis of symmetrical 2,3-diarylindoles via a domino bis-Suzuki cross-coupling reaction of 2,3-dihalo-1-(phenylsulfonyl)indoles (Scheme 195). Attempts to achieve domino bis-Suzuki couplings leading to unsymmetrical 2,3-diarylindoles were unsuccessful.

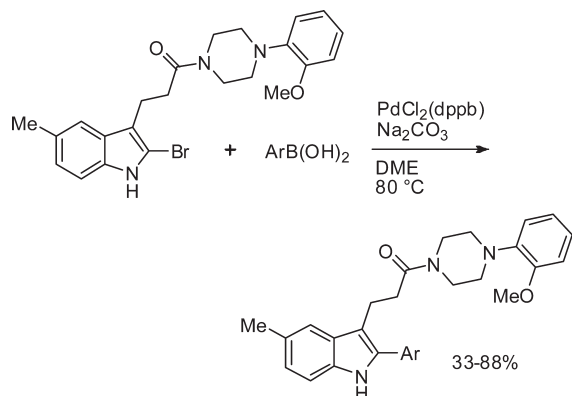
Following their studies on biaryl monophosphine ligands, Buchwald and co-workers showed that SPhos and XPhos are highly effective in favoring the Suzuki coupling with indolyl bromides and chlorides³²⁰ and that the use of sulfonated Sphos provides a highly active catalyst system for Suzuki coupling reactions in aqueous-phase processes (Scheme 196).³²¹

Though less frequently, vinylation of the indole backbone via Suzuki coupling of indolyl halides has also been used to prepare indole derivatives. This protocol was applied to the functionalization of the C-3³²² (Scheme 197) and the C-7^{297a} positions

Scheme 198



Scheme 199

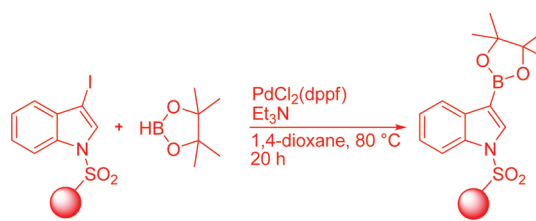


starting from the corresponding indolyl iodides. Vinylic derivatives at the C-2 position were prepared starting from a *N*-protected 2-indolyl triflate via reaction with vinylic boronic acids.^{297e} The same triflate was also subjected to arylation, heteroarylation, and allylation reactions using suitable boron compounds.

A variety of key precursors of bioactive compounds or their simplified analogues were prepared utilizing the Suzuki cross-coupling chemistry, such as in the preparation of *N*-(acyloxyalkyl)pyridinium salts as soluble prodrugs of a potent platelet activating factor antagonist,^{323a} in abbreviated syntheses of pyrrolophenanthridinone alkaloids anhydrolycorinone and oxoasosanine,^{323b} in model studies toward the synthesis of the cytotoxic marine natural product diazonamide A³²⁴ (Scheme 198), in the synthesis of 2-aryltryptamines,³²⁵ in arylation studies directed toward the synthesis of simplified eastern subunits of chloropeptin I and II and kistamycin,^{326b} in coupling reactions toward the total synthesis of the natural product diazonamide A,³¹² and in the synthesis of new tryptamine derivatives.³²⁷ Researchers at Merck exploited the Suzuki coupling of a 2-bromoindole with arylboronic acids to prepare novel 2-aryl indole hNK₁ receptor ligands^{306k} (Scheme 199).

Cross-coupling chemistry on solid support is an active area. Solid-phase versions of the coupling of indolyl halides with aryl and heteroarylboronic acids evolved and were used to prepare 3-substituted 2-aryl indoles via elaboration of C-2 or C-3 positions and C-2, C-3 positions (in the latter case, the reaction was performed via a one-pot bis-Suzuki coupling of a 2,3-dibromoindole),^{45d} 3-aryl(heteroaryl)-2-carboxyindoles (via elaboration of the C-3 position),³²⁸ 2,3,5-trisubstituted indoles (via elaboration of the C-5 position),²⁹⁸ and 1,2,3,5-tetrasubstituted indoles after cleavage from the support (via elaboration of the C-3 position; the same reaction afforded 1,2,3-trisubstituted indoles when carried out in solution).²⁹⁹ Recently, the Suzuki reaction of indolyl bromides with arylboronic acids was

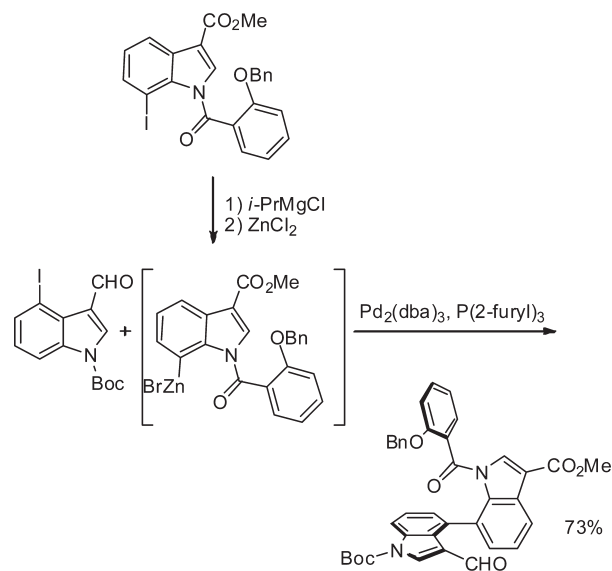
Scheme 200



Scheme 201



Scheme 202

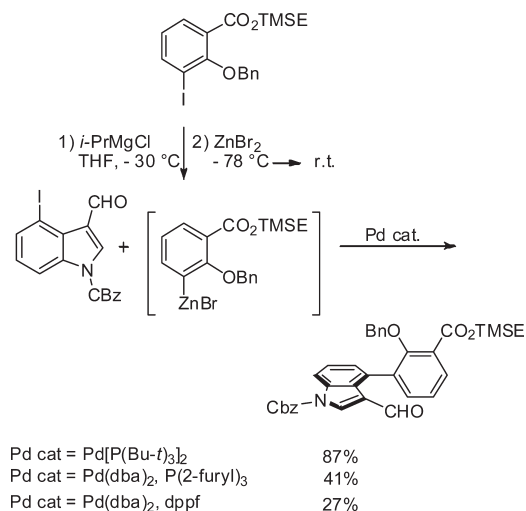


performed using Pd(OAc)₂ in the presence of PEG 2000 and commercially available silica gel in aqueous media.³²⁹

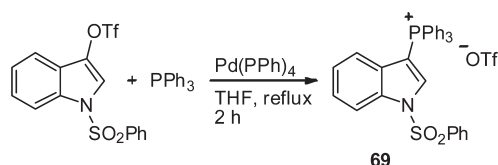
C–B Bond Forming Reactions. *N*-protected 4-, 5-, 6-, and 7-bromoindoles were converted into the corresponding pinacol-type indolylboronates via palladium-catalyzed borylation with pinacolborane.³³⁰ More recently, a highly active catalyst system based upon PdCl₂(MeCN)₂ and SPhos as the ligand was introduced for the borylation of aryl and heteroaryl iodides and bromides, including *N*-acetyl-5-bromoindole, with pinacol borane.³³¹ This method allows for the use of lower amounts of palladium catalyst with shorter reaction times. Immobilized indolylboron compounds were also prepared from resin-bound 3-iodoindole (Scheme 200).³³² The subsequent Suzuki cross-coupling was used for the synthesis of bisindole alkaloid analogues.

5.2.5. Reaction with Organozinc Compounds. Of the palladium-catalyzed cross-coupling reactions involving organometallics, the Zn-based methodology, known as the Negishi reaction, is the less frequently used with indolyl halides or triflates. Apparently, the

Scheme 203



Scheme 204



first example dates back to 1989, when Hegedus et al.³³³ applied this chemistry to the selective functionalization of the C-3 position of a 3-iodo-4-bromo indole (Scheme 201). The required allenylzinc reagent was prepared via reaction of 1-methoxy-1,2-propadiene with *tert*-butyllithium in the presence of TMEDA in THF, and the Pd(0) species was obtained through the reduction of PdCl₂(PPh₃)₂ with diisobutylaluminum hydride. The Negishi reaction was also applied to the preparation of a 2-vinylindole derivative via reaction of 2-iodo-1-methylindole with an α -(phenylthio)vinylzinc halide,³³⁴ of 5-(indol-2'-yl)pyridin-2-ones and 5-(indol-2'-yl)pyran-2-ones from 2-iodoindole derivatives, though in very low yields (10–12%),³³⁵ of 2-thienyl and 2-furyl derivatives from the corresponding *N*-protected triflate,^{297e} and of a bisindole (Scheme 202) and an indole salicylate (Scheme 203) with the required axial chirality for diazomamide A.³³⁶ Interestingly, optimum yields were obtained with P(*t*-Bu)₃ as ligand in the latter case, in contrast to the P(2-furyl)₃ alternative favored with the indole-derived zinc reagent.

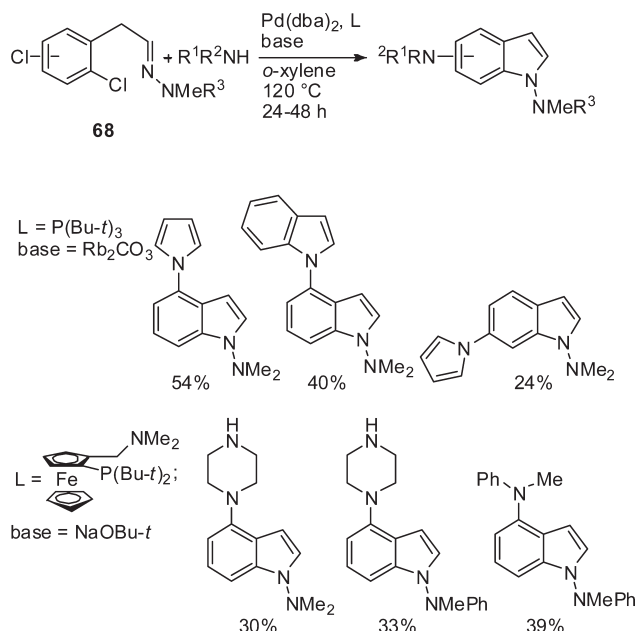
5.2.6. Reaction with Nonorganometallic Nucleophiles.

Only a few examples of this methodology were described using P-, N-, C-, and O-nucleophiles.

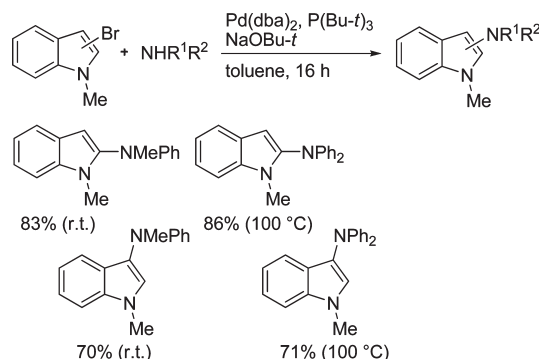
C–P Bond Forming Reactions. An example involving the reaction of an indolyl triflate with a nonorganometallic nucleophile was described by Gribble et al.,²⁸⁹ who prepared the triphenylphosphonium salt **69** from 1-(phenylsulfonyl)-3-indolyl triflate and triphenyl phosphine (Scheme 204).

C–N Bond Forming Reactions. Watanabe et al.²¹³ prepared azolyl- and aminoindoles from dichlorophenylhydrazones **68** through a domino process based on a bis amination protocol (Scheme 205). With azoles, Rb₂CO₃ was preferably used as base and P(*t*-Bu)₃ was found to be superior to 2-(dimethylaminomethyl)-1-(di-*tert*-butylphosphinyl)ferrocene, whereas with amines the latter

Scheme 205



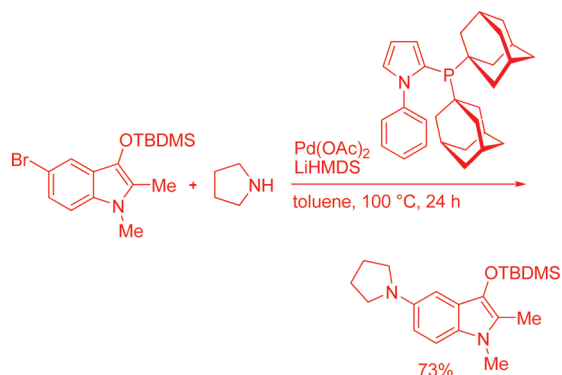
Scheme 206



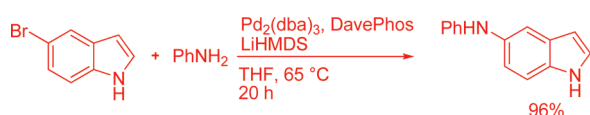
ligand gave better results than P(*t*-Bu)₃ and NaOBu-*t* was used as base. In sharp contrast to the reaction of **68** in the presence of phenylboronic acid (see Scheme 192), where the Suzuki coupling occurs before cyclization, in this case formation of the pyrrole ring was found to precede the amination of the benzenoid ring. As part of a study conducted to determine the activity of palladium catalysts for the amination of a variety of five-membered heterocyclic halides, Hartwig et al.³³⁷ presented the amination of some *N*-methyl bromoindoles. The reaction could be best performed under the conditions outlined in Scheme 206. Interestingly, reactions with *N*-methylaniline proceeded to completion at room temperature, whereas reactions with diarylamines required 100 °C.

The amination and amidation of *N*-protected bromoindoles were reported to occur in moderate to high yields by using, respectively, Pd₂(dba)₃·CHCl₃/2-[di(*tert*-butyl)phosphino]biphenyl and Pd₂(dba)₃·CHCl₃/Xantphos [or 3,5-(CF₃)₂Xantphos].³³⁸ *N*-Protected 5-bromo-3-[2-(diethylamino)ethoxy]indoles were subjected to amination reactions in the presence of Pd(OAc)₂, 1-phenyl-2-[di(1-adamantyl)phosphanyl]pyrrole as ligand, and LiHMDS (or Cs₂CO₃) at 100 °C for 20 h in toluene.³³⁹ The amination of

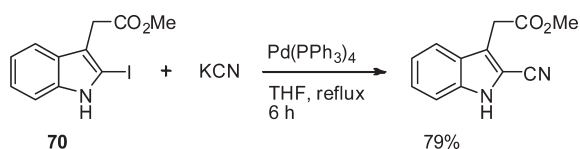
Scheme 207



Scheme 208



Scheme 209

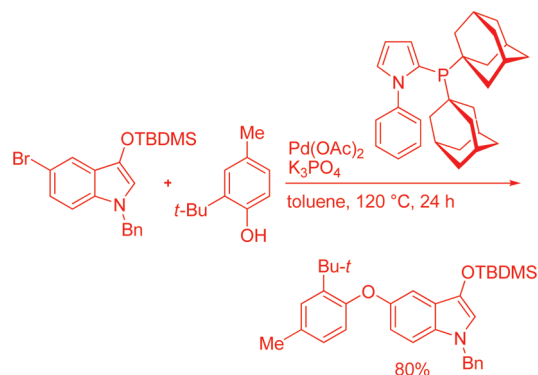


N-protected indoles was also performed under microwave-assisted conditions. For example, the reaction of aniline with an *N*-methyl indolynonaflate gave the corresponding amination product in 99% yield after 30 min.³⁴⁰ The palladium-catalyzed amination of an electron-rich indole such as 3-*tert*-butyldimethylsilyloxy-5-bromo-1,2-dimethylindole with primary and secondary amines was performed in the presence of the catalyst system Pd(OAc)₂/*N*-phenyl-2-(di-1-adamantylphosphino)pyrrole and lithium bis(trimethylsilyl)amide (LiHMDS) (Scheme 207).³⁴¹ Although other ligands gave comparable or even improved results in the model reaction, *N*-phenyl-2-(di-1-adamantylphosphino)pyrrole was usually employed for the synthesis of amino-functionalized indoles because of the easier availability for the authors of this study.

The first couplings of amines with chloro- and bromoindoles bearing a free NH were reported by Buchwald and co-workers by utilizing bulky electron-rich biaryl phosphine ligands.³⁴² In particular, 5-bromoindole and 6-chloroindole were found to be viable coupling partners with anilines and acyclic and cyclic secondary alkylamines. The best results were obtained by using Davephos for the reactions of 5-bromoindole with aniline (Scheme 208) and morpholine. The more difficult reaction with *n*-Bu₂NH afforded the desired product in 51% yield. Acceptable yields for the coupling of 6-chloroindole with piperidine were only obtained with XPhos.

C–C Bond Forming Reactions. A couple of examples of palladium-catalyzed reactions of indolyl halides with alkali metal cyanide were reported. Cyanation of 5-bromoindole [Pd(PPh₃)₄, CuI, NaCN, in valeronitrile, 115 °C, 2 h] was reported to give 5-cyanoindole in 76% yield,³⁴³ and the 2-iodoindole **70** was converted

Scheme 210



to the corresponding cyano derivative²⁸⁸ according to the conditions shown in Scheme 209. More recently, a combination of Pd₂(dba)₃·CHCl₃ and air stable BF₄HP(Bu-*t*)₃ in the presence of Zn powder and Zn(CN)₂ as the cyanide source was shown to be an efficient catalyst system for the cyanation of a diverse array of aryl bromides at room temperature, including 5-bromoindole.³⁴⁴

C–O Bond Forming Reactions. N-Protected 5-bromoindoles were converted into the corresponding aryl ethers in high yields via reaction with phenols in the presence of Pd(OAc)₂ and *N*-phenyl-2-(di-1-adamantylphosphino)pyrrole as ligand (Scheme 210).³⁴⁵ Interestingly, when the reaction was carried out with α -naphthol, no indole ether formation was observed and 5-(4-hydroxynaphthyl)-indoles were isolated via C–C coupling.

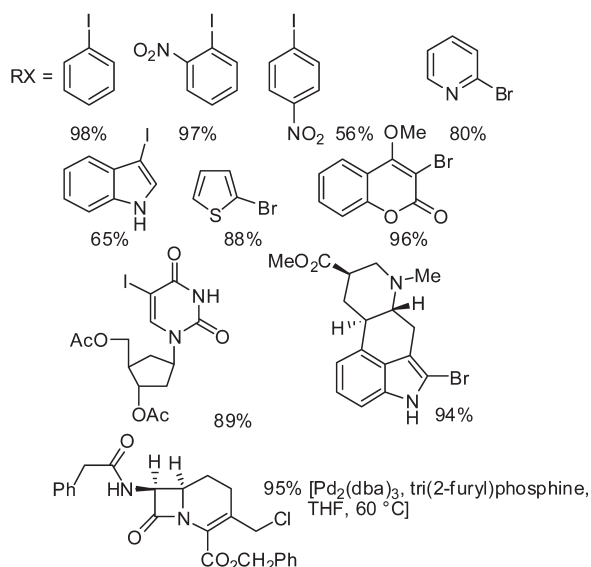
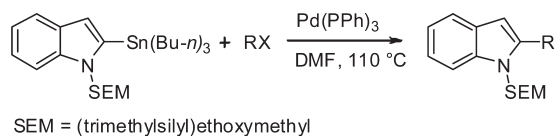
5.3. Indolylmetal Compounds

Apart from the occasional utilization of other indolylmetal intermediates, such as in the palladium-catalyzed Heck reaction of a thallated indole derivative with butenone and methyl acrylate,^{346a,b} in the palladium-catalyzed cross-coupling of indolylmagnesium halides,^{346c–e} in the palladium-catalyzed cross-coupling reactions of indium organometallics with 3,4-dihalomaleimides,³⁴⁷ and in the palladium-catalyzed reaction of indole-3-mercurials with dichlorinated quinones,³⁴⁸ this derivatization chemistry has been usually based on indolylstannanes, indolylboron compounds, indolylzinc compounds, and, more recently, on indolylsilanols. The next four subsections are focused on this subject.

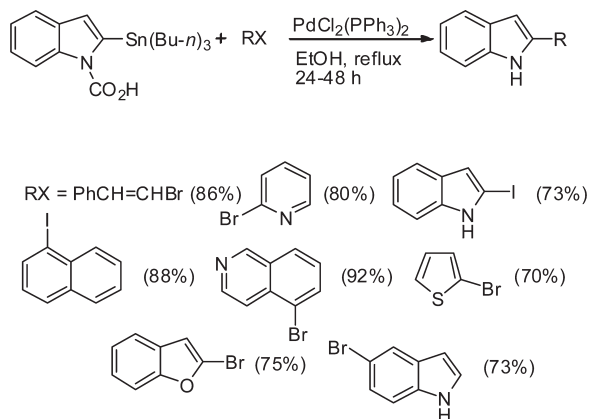
5.3.1. Indolylstannanes. Indolylstannanes were mostly utilized in the functionalization of the C-2, C-3, and, less extensively, C-5 positions. The scope of the reaction was expanded by incorporating different partners, reaction conditions, and procedures to allow access to the required stannylindoles. These developments led to a potentially general methodology for the functionalization of indoles, though the lithiation step typically used for the preparation of indolylstannanes can limit its flexibility. Alternative procedures for their preparation, which avoid the lithiation step, were developed. For example, *N*-tosyl-3-trimethylstannylindole was prepared via palladium-catalyzed coupling of *N*-tosyl-3-bromoindole with hexamethylditin.³⁴⁹ These procedures, however, have not found widespread application.

First reports on the palladium-catalyzed replacement of the stannyl residue in stannylated indoles deal with 2-indolylstannanes prepared via lithiation of the *N*-SEM-,³⁵⁰ *N*-methyl-, and *N*-Boc-^{350c} protected indoles and date back to the early 1990s. *N*-SEM-2-(tributylstannyl)indole was prepared on a multigram scale in excellent yield by metalation with BuLi followed by quenching of the resultant lithio complex with Bu₃SnCl. The authors ascribed the selective metalation at the C-2 position

Scheme 211



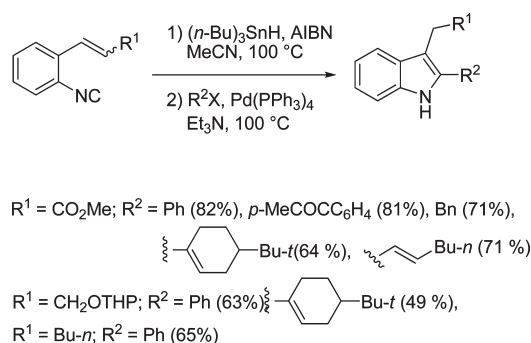
Scheme 212



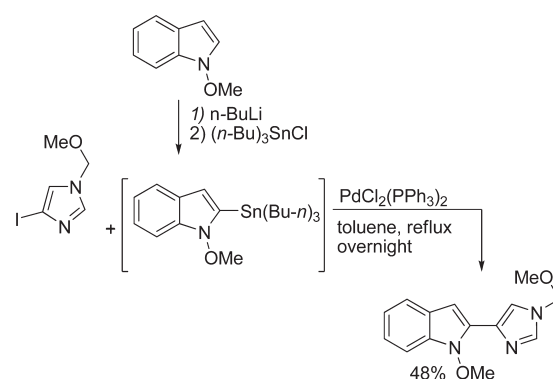
to the electron-withdrawing effect of nitrogen and the chelating ability of the oxygen contained in the protecting group. *N*-SEM-2-(tributylstannyl)indole could be stored at 0 °C for several weeks without significant decomposition. The reagent's versatility was illustrated by synthesizing a number of aryl, heteroaryl, alkynyl, vinyl, and benzyl indoles in 45–95% yields with reaction times ranging from 1 to 76 h. Best results were usually obtained under conditions shown in Scheme 211.

The use of the *N*-Boc protecting group has the advantage of the easy protection and deprotection. However, the *N*-Boc-protected indole reportedly gave poor yields in the formation of the *N*-Boc-2-stannyl intermediate. The *N*-SEM protecting group [subsequently employed in the synthesis of 5-(indol-2'-yl)pyridin-2-

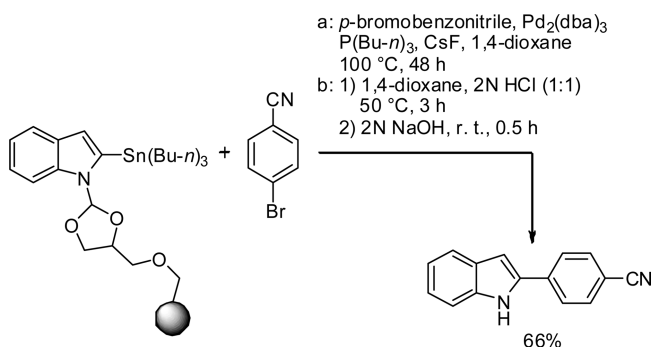
Scheme 213



Scheme 214



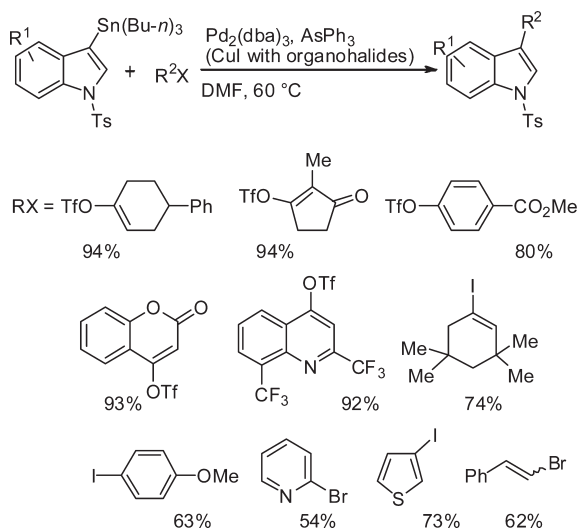
Scheme 215



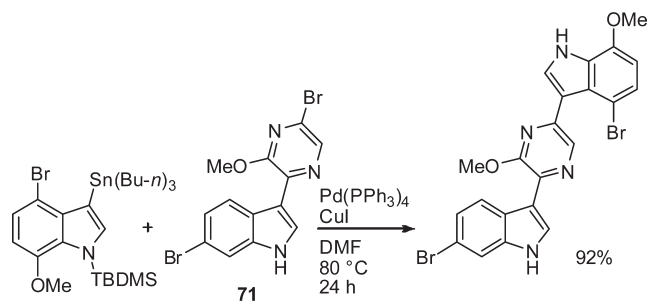
ones and 5-(indol-2'-yl)pyran-2-ones]³³⁵ is not without faults. In some cases, it was reported to be difficult to remove.^{350b} Attempts to use *N*-sulfonyl-protected stannyl indoles such as *N*-(benzenesulfonyl)-2-(trimethylstannyl)indole³⁵¹ or *N*-(*p*-methoxybenzenesulfonyl)-2-(trimethylstannyl)indole³³⁵ were unsuccessful or produced the desired indole derivatives in very low yields.

In the search for alternative protecting groups, it was demonstrated that the utilization of carbon dioxide as a source of protection of the indole NH (and of an α -carbanion stabilizing group) could provide an efficient tool for the preparation of 2-substituted 1-*H*-indoles.^{306f} Indeed, 2-substituted 1-*H*-indoles were prepared in high yield via palladium-catalyzed coupling of aryl, heteroaryl, and vinyl halides with *N*-carboxy-2-(tributylstannyl)indole (Scheme 212).

Scheme 216



Scheme 217



This stannane was found to be stable for periods up to one month when stored at $-20\text{ }^{\circ}\text{C}$ under nitrogen.

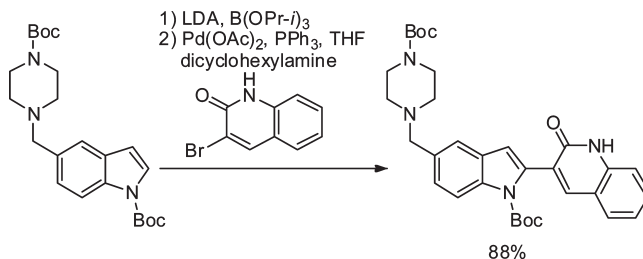
Fukuyama et al.^{48b,352} described a completely different approach to the synthesis of 2-stannylindoles. This approach, which affords 2-stannylindoles via cyclization of isonitriles in the presence of tributyltin hydride and catalytic amount of AIBN and avoids the lithiation step, was applied to the preparation of *N*-unprotected 3-substituted 2-stannylindoles. Since 2-stannylindoles were found to undergo destannylation during workup, the Stille coupling with aryl and vinyl halides and triflates was best performed through a one-pot protocol omitting the isolation of stannyl intermediates (Scheme 213).

In a new synthetic approach to the alkaloid granulatimide and its structural analogues, the pyrrole nitrogen was protected with the methoxy group. Indeed, *N*-methoxy-2-(tributylstannyl)indole was coupled with 4-iodimidazoles to give the corresponding indole imidazole products in satisfactory yields (48–65%).³⁵³ An example of this chemistry is outlined in Scheme 214. Deprotection of the *N*-methoxy group was achieved with $\text{Mg}-\text{MeOH}$.

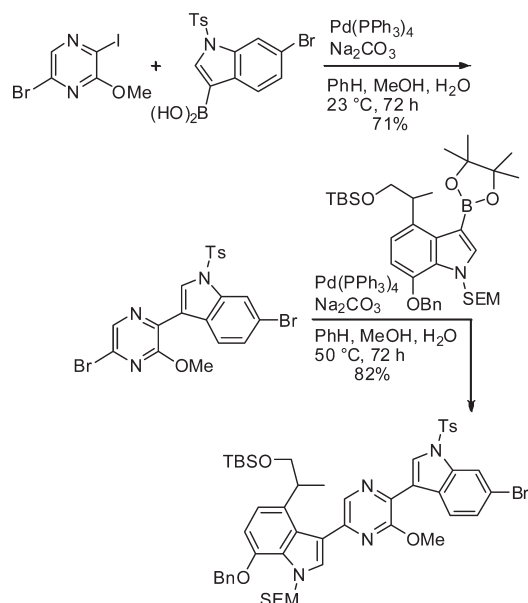
An application of the Stille coupling to a solid-phase synthesis of a 2-arylindole, based on the traceless linker methodology,³⁵⁴ is shown in Scheme 215.

In an extension of the Stille coupling to the C-3 position of the indole backbone, it was shown that the palladium-catalyzed reaction of *N*-tosyl-3-tributylstannylindoles with a wide range of aryl, heteroaryl, and vinyl triflates and halides provided a general and efficient

Scheme 218



Scheme 219



method for the synthesis of 3-substituted indoles³⁵⁵ (Scheme 216). Interestingly, the *N*-tosyl protecting group was successfully used in the stannylation of the C-3 position, whereas other *N*-sulfonyl protecting groups^{335,351} were found unsuitable for the preparation of 2-stannylindoles. Preliminary studies were conducted on cholest-2-en-3-yl triflate as vinyl triflate model. Classical Stille conditions²³ [$\text{Pd}(\text{PPh}_3)_4$, LiCl , THF at reflux] proved unsatisfactory. The use of $\text{Pd}_2(\text{dba})_3$, tri(2-furyl)phosphine, and LiCl in DMF at $60\text{ }^{\circ}\text{C}$ gave the indole product only in 39% yield, and omitting LiCl led to a moderate improvement (53%). A dramatic increase of the yield (93%) was achieved by using AsPh_3 as ligand without added chloride. These conditions were extended to include a large number of vinyl, aryl, and heteroaryl triflates, and the range of products prepared demonstrates the scope and utility of the reaction. With vinyl, aryl, and heteroaryl halides, optimum yields were obtained by adding CuI as cocatalyst.

A sulfonyl-protected stannane, *N*-(phenylsulfonyl)-3-indolylstannane, was subsequently used in a three-component coupling approach to the marine bis-indole alkaloids topsentin, deoxytopsentin, and bromotopsentin.³⁵⁶ A 3-indolylstannane was also utilized in the construction of the skeleton of the dragmacidin D,³⁵⁷ a significant bis-indole alkaloid belonging to an emerging class of bioactive marine natural products. In this case, the *tert*-butyldimethylsilyl (TBDMS) moiety was the protective group

(Scheme 217). The reaction was carried out subjecting the indolylpirazine **71** to the crude stannane reagent.

A couple of 5-heteroaryl-substituted 1-(*p*-fluorophenyl)-1*H*-indoles were prepared through the Stille coupling of a 5-stanny-indole with heteroaryl halides.³⁵⁸

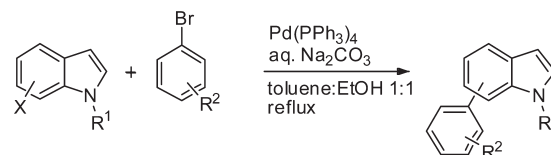
5.3.2. Indolylboron Compounds. Indolylboronic Acids and Indolylboronates. The Suzuki coupling of indolylboronic acids and indolylboronates has known widespread application in the functionalization of the indole ring. All the positions of the indole skeleton were functionalized with this cross-coupling protocol using a vast array of N-protecting groups (Me, allyl, Bn, MOM, SO₂Ph, Boc, SEM, TBDMS, TIPS). Since preparation and purification of indolylboronic acids in a pure state is not always easy to accomplish,^{36,318} often they are used directly as crude products.

N-Boc-2-indolylboronic acid was used to prepare some 2-arylin-dole derivatives.³⁵⁹ Free NH, *N*-Boc-, and *N*-tosylindoles were shown to undergo Suzuki cross-coupling with aryl and heteroaryl chlorides and bromides in the presence of Pd₂(dba)₃, PCy₃, K₂CO₃ in dioxane/water at 100 °C.³⁶⁰ 2-Indolylboronates were utilized in the synthesis of indolecarbazoles,³⁶¹ dihydroindazole derivatives,³⁶² and in a key step of a concise synthesis of a novel antiangiogenic tyrosine kinase inhibitor³⁶³ (Scheme 218). In the latter case, the Suzuki coupling was carried out on a multikilogram scale. The indole was lithiated selectively at the C-2 position and was quenched in situ by triisopropyl borate using a noncryogenic protocol. Dicyclohexylamine was found to be an excellent activator, whereas usual basic aqueous system led to substantial deboronation.

The palladium-catalyzed cross-coupling between *N*-tosyl-3-indolylboronic acids and vinyl triflates was shown to be an efficient method for the regioselective introduction of the vinyl group into the indole C-3 position.³⁶⁴ 3-Indolylboronic acids were successfully used in the total synthesis of the marine alkaloids nortopsentins A–D,³⁶⁵ in the synthesis of mono(indolyl)-4-trifluoromethylpyridines and bis(indolyl)-4-trifluoromethylpyridines³⁶⁶ (novel analogues of marine alkaloids), in the construction of the skeleton of dragmacidin D by stepwise cross-coupling reactions,³⁵⁷ in the synthesis of bisindole alkaloids,³⁶⁷ and in the preparation of some analogues of camalexins.³⁶⁸ In convergent and short-step syntheses of *dl*-Cypridina luciferin and its analogues,³⁶⁹ *N*-tosyl-3-indolylboronic acid was coupled with a bromopyrazine to afford the corresponding free NH coupling derivative in 80% yield after hydrolysis with a 1:1 mixture of 5 M NaOH (aq) and 1,4-dioxane. *N*-Tosyl-3-indolylboronic acid, *N*-SEM-3-indolylboronate, and *N*-SEM-4-indolylboronate intermediates were involved in key steps of the first total synthesis of dragmacidin D.³⁷⁰ An example of this chemistry is shown in Scheme 219. The authors emphasized that precise temperature control is needed for this sequence of coupling reactions, particularly for the second step, which involves the coupling of the pyrazinyl bromide in the presence of the indolyl bromide. They observed that, at temperatures approaching 80 °C, coupling of the bromoindole unit becomes competitive with the desired cross-coupling.

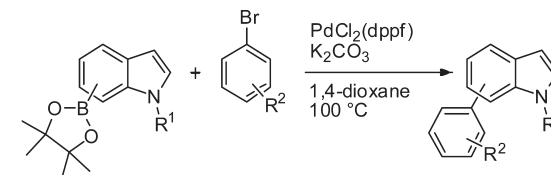
Free NH and *N*-methyl-protected 5-indolylboronates prepared in situ through the reaction of bis(pinacolato)diborane and 5-bromoindoles in the presence of PdCl₂(dppf) and KOAc in DMF were used in the synthesis of new melatonin analogues,^{314a} and *N*-methyl-protected 4-indolylboronates were found to be useful intermediates in the synthesis of novel quinolinequinone antitumor agents.^{314b} Hippadine and prato-sine were prepared from indolyl halides through a one-pot borylation/Suzuki reaction/lactamization process.³⁷¹ Unprotected 5-indolylboronic acid was coupled with a variety of aryl and heteroaryl halides in the presence of Pd(PPh₃)₄ and

Scheme 220



X	R ¹	R ²	yield
5-(BOH) ₂	H	<i>p</i> -Me	65
5-(BOH) ₂	H	<i>p</i> -OMe	80
5-(BOH) ₂	H	<i>o</i> -Me	60
5-(BOH) ₂	H	<i>o</i> -OMe	94
6-(BOH) ₂	H	<i>p</i> -OMe	75
6-(BOH) ₂	H	<i>o</i> -OMe	65
7-(BOH) ₂	H	<i>p</i> -OMe	52
7-(BOH) ₂	H	<i>o</i> -OMe	87
5-(BOH) ₂	Boc	<i>p</i> -Me	34
5-(BOH) ₂	Boc	<i>p</i> -OMe	50
5-(BOH) ₂	Boc	<i>o</i> -Me	18
5-(BOH) ₂	Boc	<i>o</i> -OMe	8
5-(BOH) ₂	Ts	<i>p</i> -OMe	10
5-(BOH) ₂	Ts	<i>o</i> -OMe	traces

Scheme 221

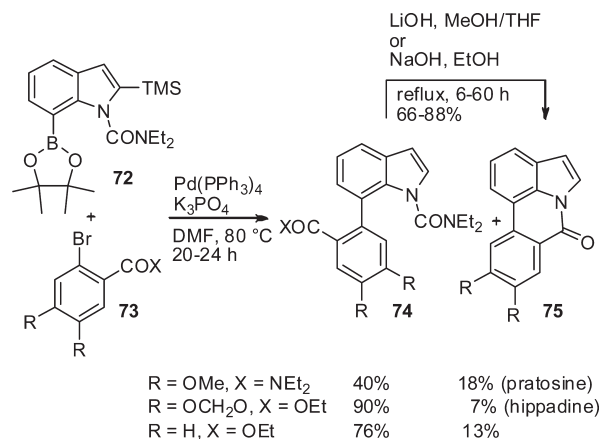


X	R ¹	R ²	yield
5-Bpin	H	<i>p</i> -OMe	traces
5-Bpin	H	<i>o</i> -OMe	traces
6-Bpin	H	<i>p</i> -OMe	traces
5-Bpin	Boc	<i>p</i> -OMe	36
5-Bpin	Boc	<i>o</i> -OMe	16
6-Bpin	Boc	<i>p</i> -OMe	39
6-Bpin	Boc	<i>o</i> -OMe	30
5-Bpin	Ts	<i>p</i> -OMe	43
5-Bpin	Ts	<i>o</i> -OMe	24
6-Bpin	Ts	<i>p</i> -OMe	62
6-Bpin	Ts	<i>o</i> -OMe	42

aqueous sodium bicarbonate in ethylene glycol dimethyl ether.^{326a} Unprotected 5-, 6-, and 7-indolylboronic acids were employed to develop an access toward analogues of the eastern subunits of chloropeptin I and II and kistamycin.^{326b} In this work, a brief comparative study was made between Suzuki cross-coupling reactions involving bromoindoles and those involving indolylboronic acids. The yields with indolylboronic acids were higher than those provided by indolyl bromides.

The general picture emerging from literature data on the partner role swapping in the functionalization of the indole core motif via Suzuki coupling, however, is not unambiguous and straightforward, most probably because of the number of the

Scheme 222



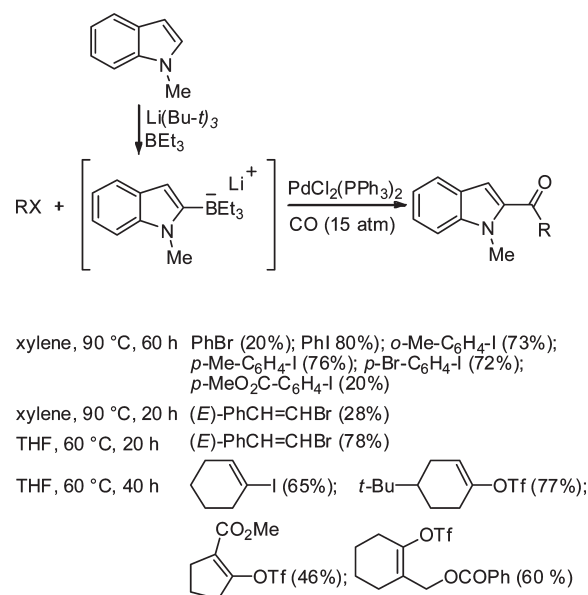
reaction variables involved in the reaction. The cross-couplings of unprotected and N-protected (Boc and Ts) 5-, 6-, and 7-indolylboronic acids (Scheme 220) and 5-, 6-, and 7-indolylboronate esters (Scheme 221) with aryl bromides were subsequently investigated in an extensive and systematic work and a comparison was made with the reactivity of the 5-, 6-, and 7-indolyl bromide counterparts.³¹⁸ In general, cross-couplings with indolyl bromides gave the highest yields, which were unaffected by incorporating *N*-Boc or *N*-tosyl protection.

With indolylboronic acids and indolylboronates, which were subjected to coupling conditions directly as crude products, the reaction outcome was found to be influenced by the functionalization at the pyrrole nitrogen. In particular, with indolylboronic acids yields were almost independent of the position of the boronic acid group within indole. Nevertheless, they were usually lower in comparison to the series of reactions performed with indolyl bromides (see Scheme 193), most probably as a consequence of the reduced effectiveness with which the more electron-rich aryl bromides can participate in the oxidative addition to Pd(0) species.³⁷² Furthermore, the effect of steric hindrance was found to be dependent on the substituents at the pyrrole nitrogen. It was negligible in those couplings involving unprotected indoles (yields ranged from 52% to 94%) and became noticeable in couplings involving *N*-Boc-protected indolylboronic acids (8–50%). With the *N*-tosyl-protected counterparts, yields were very low (<10%) and no reliable relationship could be established. The authors suggested that the variation with respect to the substituents at the nitrogen atom could be explained by the higher reluctance of more electron-deficient indolylboronic acids to undergo transmetalation.³⁰⁹ The possibility that the results with indolylboronic acids might reflect the yields in which the different boronic acids themselves were formed was not ruled out.

Indolylboronate esters gave yields significantly lower than indolylboronic acids (Scheme 140). The effect of increased steric hindrance in the ortho position of aryl bromides was again noticeable. Markedly, an inversion of the trend of the yields for *N*-tosyl-protected, *N*-Boc-protected, and free NH boronates was observed in comparison to the reactions employing boronic acids. The highest yields were in fact obtained with *N*-tosyl-protected boronates and the lowest ones with free NH boronates. *N*-Boc-protected boronates gave intermediate values.

Snieckus et al. applied the Suzuki coupling of 7-indolylpinacolboronate esters with aryl bromides to the synthesis of some

Scheme 223



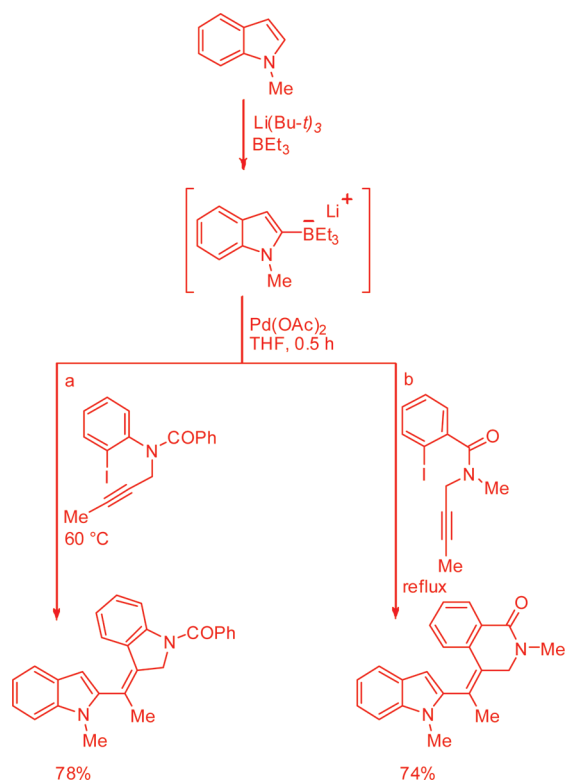
representative members of pyrrolophenanthridone alkaloids exhibiting antitumor and other biological activities.³¹⁵ The indolylboronate **72** was coupled with aryl bromides **73** to give products **74** in moderate to high yields along with **75** as side products (Scheme 222). Prolonged cross-coupling reaction times or hydrolysis of isolated compounds afforded the tetracyclic derivatives in good yields.

Immobilized 3-indolylpinacolboronate ester was treated with aryl and heteroaryl iodides and bromides to prepare the corresponding free NH arylated and heteroaryl indoles.³³¹ The reaction was applied to the synthesis of a bisindolylmaleimide derivative.

Indolylborates. Indolylborates, generated in situ from lithioindoles and triethylborane, were also used to form functionalized indoles. Ishikura et al. employed indolylborates in cross-couplings with aryl, heteroaryl, and vinyl halides,^{373a} in domino cyclization/cross-couplings to provide access to ellipticine derivatives,³⁷⁴ and in cyclization/carbonylation/cross-coupling^{373a} reactions. Carbonylative cross-coupling of *N*-methylindolylborate with 2-propynyl carbonates produced cyclopenta[*b*]indole derivatives in a one-pot manner.³⁷⁵ An adequate set of conditions was developed to allow for the isolation of indol-2-yl allenyl ketone intermediates. With aryl halides and vinyl halides and triflates,^{376a} carbonylative cross-coupling of triethyl(*N*-methylindol-2-yl)borate produced *N*-methylindol-2-yl ketones (Scheme 223). Variable amounts of cross-coupling products not incorporating carbon monoxide and *N*-methyl-2-ethylindole were also isolated. The usefulness of this approach to indolyl ketones was demonstrated by using the palladium-catalyzed carbonylative cross-coupling of triethyl(*N*-Boc-indol-2-yl)borate and triethyl(*N*-methoxyindol-2-yl)borate with vinyl triflates in a key step of a concise preparation of yuehchukene (a novel class of bisindole alkaloids) and its analogues.^{376b}

Recently, Ishikura et al.³⁷⁷ showed that indolylborates can be used to trap vinylic palladium intermediates generated in situ via cyclization of 2-iodo- or 2-bromo-*N*-propargylic anilides (Scheme 224a), 2-iodo-*N*-propargylic benzamides (Scheme 224b), and 2-iodoarylalkynes to form a variety of 2-substituted indoles. Performing the reaction under an atmosphere of carbon monoxide afforded indolyl ketones. The same group took advantage of this cyclization–cross-coupling

Scheme 224

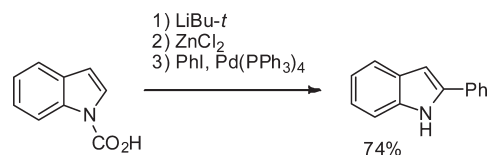


chemistry in a study on the preparation of 1,5-methanoazocinoindole³⁷⁸ and in a short formal synthesis of olivacine.³⁷⁹

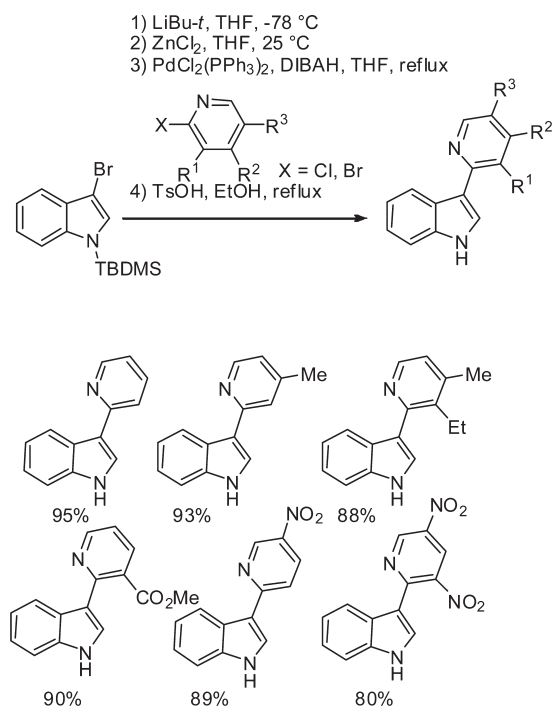
5.3.3. Indolylzinc Compounds. The Negishi reaction represents the third methodology widely employed in the functionalization of indoles based on indolylmetal intermediates. Typically, indolylzinc compounds are prepared via lithiation of *N*-protected indoles. As observed with indolylstannanes and indolylboronic acids, however, this butyllithium route may limit the scope of the process in terms of flexibility, yields, and costs. To solve the problem of synthesizing indolylzinc intermediates via lithiation of indoles, Sakamoto et al. proposed an alternative preparation based on the oxidative addition of active zinc to indolyl iodides.³⁸⁰ This method appears to be suitable for the functionalization of indoles containing functional groups such as acyl, alkoxy carbonyl, or cyano.

First applications of indolylzinc halides to the functionalization of indoles dates back to the early 1990s. In 1993, Sakamoto, Yamanaka, et al.³⁸¹ described the synthesis of some 2-aryl- and 2-heteroarylindoles in 29–74% yield by the palladium-catalyzed reaction of aryl and heteroaryl iodides with *N*-COOH-protected 2-indolylzinc. A typical example of this chemistry is shown in Scheme 225. Other *N*-protecting groups such as $-\text{SO}_2\text{Ph}$, $-\text{CH}_2\text{OMe}$, and $-\text{CH}_2\text{NMe}_2$ gave unsatisfactory results. The reaction of iodobenzene with *N*-COO-*t*-Bu-protected 2-indolylzinc gave the cross-coupling product in similar yield (68%), but the use of indole-*N*-carboxylic acid, which has a structural relationship with *N*-*tert*-butoxycarbonylindole, provides the significant advantage of allowing for easy removal of the protecting group during the workup. Almost at the same time, Amat, Bosch, and co-workers showed that *N*-(benzenesulfonyl)-2-indolylzinc chloride, prepared by treatment of *N*-(benzenesulfonyl)-indole with LDA followed by transmetalation with anhydrous ZnCl_2 , underwent smooth reaction with a variety of 2-chloro- and 2-bromopyridines to give 2-(2-pyridyl)indoles.^{382a}

Scheme 225

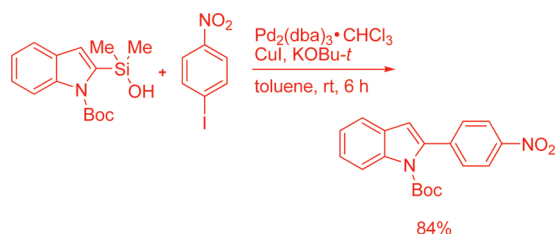


Scheme 226

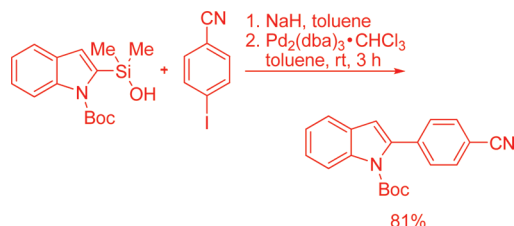


In subsequent papers, these two research groups reported further studies on the preparation of 2-aryl,^{380b} 2-pyridyl,^{382b,c} and 3-aryl/heteroaryl^{380,382b,c} indoles. For the preparation of 3-functionalized indoles, three different approaches were developed. Since selective preparation of 3-indolylzinc chlorides from 3-lithioindoles unsubstituted at the C-2 position is difficult because of the easy rearrangement of the latter to the 2-lithio isomer, the required 3-indolylzinc intermediate was prepared via oxidative addition of active zinc to 3-iodo-*N*-(phenylsulfonyl)indole at room temperature.^{380a,b} Palladium-catalyzed cross-coupling of *N*-(benzenesulfonyl)-3-indolylzinc chloride with aryl halides gave 3-arylindoles in moderate to good yields. The procedure was developed into a new approach to camalexin. 3-Indolylzinc derivatives were also prepared by cyclization of *N*-benzyl-2-(phenylethynyl)anilines via deprotonation with butyllithium followed by treatment with zinc chloride and heating in toluene.^{380c} Formation of the C–C bond at the C-3 position was performed through palladium-catalyzed reaction with aryl and heteroaryl iodides. Alternatively, the C-3 position was successfully functionalized by using the bulky *tert*-butyldimethylsilyl group as the protecting group (Scheme 226).^{382b,c} Indeed, most probably because the steric requirements of the bulky alkyl substituents on the silicon atom provide lateral protection of the C-2 position and noncoordinating abilities, *N*-(*tert*-butyldimethylsilyl)-3-lithioindole was found to be a stable species which does not rearrange to the 2-lithio isomer, even upon warming at room temperature.

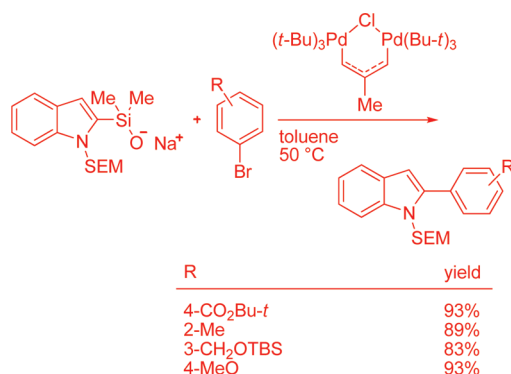
Scheme 227



Scheme 228



Scheme 229



Organozinc species derived from transmetalation of the dilithio derivative of *N*-butylindole-3-carboxylic acid with ZnCl₂ produced 2-benzyl derivatives upon treatment with benzyl bromides in the presence of catalytic amounts of Pd(Ph₃)₄.^{383a} (*N*-Methylindol-2-yl)zinc chloride was used to prepare 2-(2-arylethenyl)-*N*-methylindoles in good yield,^{383b} and (*N*-SEM-indol-2-yl)zinc chloride was coupled with 5-bromopyridin-2-ones and 5-bromopyran-2-ones to give the corresponding 5-(indol-2'-yl)pyridin-2-ones and 5-(indol-2'-yl)pyran-2-ones in low to moderate yields.³³⁵ A methodology for the phenyl ring functionalization of indoles via combined directed ortho-metalation/cross-coupling was developed by Sniekus et al. to prepare some indole derivatives functionalized at the C-4 position.^{308,384} The Negishi cross-coupling protocol was applied to the preparation of 5-heteroaryl *N*-(*p*-fluorophenyl)indoles in gram scale in 38–85% yield³⁵⁸ (the use of reverse addition techniques in the lithiation step was found to be crucial to avoid formation of byproducts) and in the syntheses of a bisindole (Scheme 202).

5.3.4. Indolysilanols. Organosilicon functions—easily introduced, nontoxic, and synthetically useful nucleophiles for cross-coupling reactions with alkenyl and aryl halides³⁸⁵—have also found their place in the palladium-based chemistry of indoles. In particular, Denmark and Baird³⁸⁶ showed that *N*-methyl- and *N*-Boc-2-

indolysilanols can give cross-coupling reactions with aryl iodides and bromides under mild conditions using nonfluoride activators.³⁸⁷

The cross-coupling proceeds through the base-promoted formation of a palladium–silanolate intermediate prior to the transmetalation step. The role of CuI, which is used in stoichiometric quantities, is unclear. In general, electron-poor aryl iodides coupled smoothly at room temperature (Scheme 227). Electron-rich and sterically encumbered aryl iodides and electron-poor aryl bromides required mild heating (55–60 °C) to reach completion. The latter required even the addition of dppb. The reactivity of the 2-indolyl moiety toward cross-coupling was found to be strongly influenced by the substituent on the indole nitrogen.

Subsequently, Denmark and co-workers³⁸⁸ developed an improved procedure, exemplified in Scheme 228, for an electron-poor aryl iodide that allows for omitting the use of CuI. Under these conditions, electron-rich aryl iodides required slightly higher temperature (80 °C) to reach completion with respect to the conditions using CuI, but yields were comparable. The use of the soluble base NaHMDs to favor the deprotonation of silanols was also described.³⁸⁹ In the same study, the extension of the cross-coupling to include aryl bromides and chlorides was reported. Optimization studies, using a variety of phosphine ligands, revealed that only trace amounts of cross-coupling products could be obtained using preformed *N*-Boc-2-indolysilanols. These failures were attributed to their poor reactivity, due to the electron-withdrawing *N*-substituent. The more electron-donating *N*-SEM group resulted to be a suitable replacement for *N*-Boc. Neutral, electron-rich, electron-poor, and sterically encumbered aryl bromides worked well to afford the desired 2-arylindoles (Scheme 229). A similar behavior was observed with aryl chlorides. In this case, reactions were carried out in the presence of [allylPdCl]₂ and SPhos. This chemistry was next extended to the preparation of 2,3-disubstituted indoles by sequential Larock heteroannulation an silanolate-based cross-coupling reactions.¹²⁵

6. CONCLUDING REMARKS

In this review, we showed that over the past decades palladium catalysis has achieved an important place in the arsenal of organic chemists involved in the construction and functionalization of indole derivatives. Palladium-catalyzed reactions, usually tolerant of a wide range of functionalities—thus providing the significant advantage of avoiding protection group chemistry—have become a very powerful tool in this area. In general, it is apparent from a synthetic perspective that the application of palladium catalysis to indole chemistry has had a considerable impact on the synthesis of this important class of compounds. It is used almost routinely in today's preparation of a vast number of indoles, ranging from simple to complex molecular targets.

Several areas of the palladium-catalyzed indole chemistry have been the object of an increasing number of studies, which include the development of new synthetic strategies, the optimization of reaction conditions (ligands, bases, additives, solvents), the utilization of solid-phase synthesis and microwave-assisted conditions, the construction of indole libraries, and the application to the synthesis of bioactive derivatives.

Despite the impressive number of contributions and results obtained, however, many challenges remain. A better understanding of various processes is necessary, and there is room for improvement in both scope and mildness of the reaction conditions for many of the methods described. Achieving higher turnover numbers of the catalytic cycles to favor a major impact on industry can also be expected to be an area of major interest.

Finally, one can reasonably anticipate that future studies will provide new applications to the preparation of complex molecules, particularly in the area of biologically active compounds.

AUTHOR INFORMATION

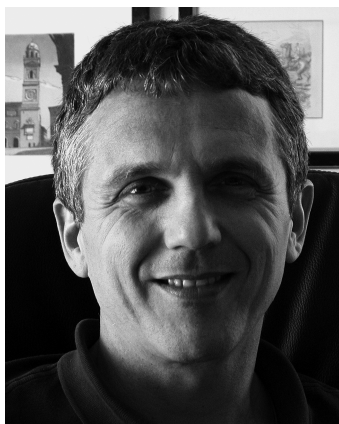
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BIOGRAPHIES



Born in Macerata (Marche) in 1943, Sandro Cacchi began his university training at the University of Camerino where he obtained his laurea degree in Chemistry in 1967. He directly moved to the University of Bologna where he worked under the guidance of Professor Luciano Caglioti. After doing his national service (October 1968 to December 1969), he went back to the University of Bologna and was promoted to Assistant Professor in 1970. In 1972, he joined the University “La Sapienza”, Rome, where he became (1983) Associate Professor of Organic Chemistry and subsequently (1986) full Professor of Organic Chemistry. His research interests include various aspects of palladium catalysis applied to the preparation of fine chemicals and pharmaceutical products with the search for new and selective methodologies being a major thrust. The utilization of palladium-catalyzed reactions in the development of environmentally friendly processes is also an area of current extensive interest.



Giancarlo Fabrizi was born in Rome in 1963. He obtained his laurea degree in Medicinal Chemistry and Technology at the University “La Sapienza” of Rome in 1987. He spent four

years (1990–1994) at the Science Department of the University of L'Aquila as Inorganic Chemistry Researcher. In 1994, he became Organic Chemistry Researcher at the Dipartimento di Studi Chimica e Tecnologia delle Sostanze Biologicamente Attive of Rome working under the supervision of Professor Sandro Cacchi, and since 2001, he has been Associate Professor in the same Department. His research interests include organic synthesis, organometallic chemistry of palladium, asymmetric synthesis, and NMR techniques.

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DEDICATION

[†]In memory of Prof. Bianca Rosa Pietroni, a colleague and very close friend.

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